

# Quantum Field Theory

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## Group Theory

The tool for studying symmetry is the group theory. Will give a simple discussion

### Elements of group theory

group  $G$  : collection of elements  $(a, b, c, \dots)$  with a multiplication laws satisfies;

- ① Closure.            If  $a, b \in G$  ,  $c = ab \in G$
- ② Associative         $a(bc) = (ab)c$
- ③ Identity            $\exists e \in G \ni a = ea = ae \quad \forall a \in G$
  
- ④ Inverse        For every  $a \in G$  ,  $\exists a^{-1} \ni aa^{-1} = e = a^{-1}a$

### Examples

- ① **Abelian group** — group multiplication commutes, i.e.  $ab = ba \quad \forall a, b \in G$   
e.g. cyclic group of order  $n$ ,  $Z_n$ , consists of  $a, a^2, a^3, \dots, a^n = E$
- ② **Orthogonal group** —  $n \times n$  orthogonal matrices,  $RR^T = R^T R = 1$ ,  $R : n \times n$  matrix  
e. g. the matrices representing rotations in 2-dimensions,

$$R(\theta) = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

- ③ **Unitary group** —  $n \times n$  unitary matrices,

Built larger groups from smaller ones by direct product:

**Direct product group** — Given two groups ,  $G = \{g_1, g_2 \dots\}$ ,  $H = \{h_1, h_2 \dots\}$  define a direct product group is defined as  $G \times H = \{g_i h_j\}$  with multiplication law

$$(g_i h_j)(g_m h_n) = (g_i g_m)(h_j h_n)$$

## Theory of Representation

group  $G = \{g_1 \cdots g_n \cdots\}$ . If for each group element  $g_i \rightarrow D(g_i)$ ,  $n \times n$  matrix such that

$$D(g_1)D(g_2) = D(g_1g_2) \quad \forall \quad g_1, g_2 \in G$$

then  $D$ 's a representation of the group  $G$  ( $n$ -dimensional representation). If a non-singular matrix  $M$  such that matrices can be transformed into block diagonal form,

$$MD(a)M^{-1} = \begin{pmatrix} D_1(a) & 0 & 0 \\ 0 & D_2(a) & 0 \\ 0 & 0 & \ddots \end{pmatrix} \quad \text{for all } a \in G.$$

$D(a)$  is called reducible representation. Otherwise it is irreducible representation (irrep)

**Continuous group:** groups parametrized by continuous parameters

Example: Rotations in 2-dimensions can be parametrized by  $0 \leq \theta < 2\pi$

## SU(2) group

Set of  $2 \times 2$  unitary matrices with determinant 1 is called  $SU(2)$  group.

In general,  $n \times n$  unitary matrix  $U$  can be written as

$$U = e^{iH} \quad H : n \times n \text{ hermitian matrix}$$

From

$$\det U = e^{i \text{Tr} H}$$

$$\text{Tr} H = 0 \quad \text{if} \quad \det U = 1$$

Thus  $n \times n$  unitary matrices  $U$  can be written in terms of  $n \times n$  traceless Hermitian matrices.

Note that Pauli matrices:

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

complete set of  $2 \times 2$  hermitian traceless matrices.

Define  $J_i = \frac{\sigma_i}{2}$  then

$$[J_1, J_2] = iJ_3, \quad [J_2, J_3] = iJ_1, \quad [J_3, J_1] = iJ_2$$

Lie algebra of  $SU(2)$  symmetry. exactly the same as commutators of angular momentum.  
to construct the irrep of  $SU(2)$  algebra, define

$$J^2 = J_1^2 + J_2^2 + J_3^2, \quad \text{with property } [J^2, J_i] = 0, \quad i = 1, 2, 3$$

Also define

$$J_{\pm} \equiv J_1 \pm iJ_2 \quad \text{then} \quad J^2 = \frac{1}{2}(J_+J_- + J_-J_+) + J_3^2 \quad \text{and} \quad [J_+, J_-] = 2J_3$$

choose simultaneous eigenstates of  $J^2, J_3$ ,

$$J^2|\lambda, m\rangle = \lambda|\lambda, m\rangle \quad , \quad J_3|\lambda, m\rangle = m|\lambda, m\rangle$$

From

$$[J_+, J_3] = -J_+$$

we get

$$(J_+J_3 - J_3J_+)|\lambda, m\rangle = -J_+|\lambda, m\rangle$$

Or

$$J_3(J_+|\lambda, m\rangle) = (m+1)(J_+|\lambda, m\rangle)$$

Thus  $J_+$  is called *raising operator*. Similarly,  $J_-$  lowers  $m$  to  $m-1$ ,

$$J_3(J_-|\lambda, m\rangle) = (m-1)(J_-|\lambda, m\rangle)$$

Since

$$J^2 \geq J_3^2 \quad , \quad \lambda - m^2 \geq 0$$

$m$  is bounded above and below. Let  $j$  be the largest value of  $m$ , then

$$J_+ |\lambda, j\rangle = 0$$

Then

$$0 = J_- J_+ |\lambda, j\rangle = (J_2^2 - J_3^2 - J_3) |\lambda, j\rangle = (\lambda - j^2 - j) |\lambda, j\rangle$$

and

$$\lambda = j(j+1)$$

Similarly, let  $j'$  be the smallest value of  $m$ , then

$$J_- |\lambda, j'\rangle = 0 \quad \lambda = j'(j' - 1)$$

Combining these 2,

$$j(j+1) = j'(j' - 1) \Rightarrow j' = -j \text{ and } j - j' = 2j = \text{integer}$$

use  $j, m$  to label the states. Assume the states are normalized,

$$\langle jm | jm' \rangle = \delta_{mm'} \quad \text{Write } J_{\pm} |jm\rangle = C_{\pm}(jm) |j, m \pm 1\rangle$$

Then

$$\langle jm | J_- J_+ |jm\rangle = |C_+(j, m)|^2 \rightarrow$$

$$= \langle j, m | (J^2 - J_3^2 - J_3) |jm\rangle = j(j+1) - m^2 - m \Rightarrow C_+(j, m) = \sqrt{(j-m)(j+m+1)}$$

Similarly

$$C_-(j, m) = \sqrt{(j+m)(j-m+1)}$$

Summary: eigenstates  $|jm\rangle$  have the properties

$$J_3|j, m\rangle = m|j, m\rangle \quad J_{\pm}|j, m\rangle = \sqrt{(j \mp m)(j \pm m + 1)}|jm \pm 1\rangle \quad , \quad J^2|j, m\rangle = j(j+1)|jm\rangle$$

$J|j, m\rangle$ ,  $m = -j, -j+1, \dots, j$  are the basis for irreducible representation of  $SU(2)$  group.  
From these relations we can construct the representation matrices.

Example:  $j = \frac{1}{2}$ ,  $m = \pm \frac{1}{2}$

$$J_3 = |\frac{1}{2}, \pm \frac{1}{2}\rangle \langle \pm \frac{1}{2}, \pm \frac{1}{2}|$$

$$J_+|\frac{1}{2}, \frac{1}{2}\rangle = 0 \quad , \quad J_+|\frac{1}{2}, -\frac{1}{2}\rangle = |\frac{1}{2}, \frac{1}{2}\rangle \quad , \quad J_-|\frac{1}{2}, \frac{1}{2}\rangle = |\frac{1}{2}, -\frac{1}{2}\rangle \quad , \quad J_-|\frac{1}{2}, -\frac{1}{2}\rangle = 0$$

If we write

$$|\frac{1}{2}, \frac{1}{2}\rangle = \alpha = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad |\frac{1}{2}, -\frac{1}{2}\rangle = \beta = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

Then we can represent  $J$ 's by matrices,

$$J_3 = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad J_+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad J_- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

$$J_1 = \frac{1}{2}(J_+ + J_-) = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad J_2 = \frac{1}{2i}(J_+ - J_-) = \frac{1}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

Within a factor of  $\frac{1}{2}$ , these are just Pauli matrices



## Product representation

Let  $\alpha$  be the spin-up and  $\beta$  the spin-down states. Then for 2 spin  $\frac{1}{2}$  particles, the total wavefunction is product of wavefunctions of the form,  $\alpha_1\alpha_2, \alpha_1\beta_2 \dots$

Define  $\vec{J}^{(1)}$  acts only on particle 1 and  $\vec{J}^{(2)}$  acts only on particle 2.

$$\vec{J} = \vec{J}^{(1)} + \vec{J}^{(2)}$$

Use

$$J_3 = J_3^{(1)} + J_3^{(2)} \quad , \quad J_3(\alpha_1\alpha_2) = (J_3^{(1)} + J_3^{(2)})(\alpha_1\alpha_2) = (\alpha_1\alpha_2)$$

from

$$\vec{J}^2 = (\vec{J}^{(1)} + \vec{J}^{(2)})^2 = (\vec{J}^{(1)})^2 + (\vec{J}^{(2)})^2 + 2\left[\frac{1}{2}(J_+^{(1)}J_-^{(2)} + J_-^{(1)}J_+^{(2)} + J_3^{(1)}J_3^{(2)})\right]$$

$$\vec{J}^2(\alpha_1\alpha_2) = \left(\frac{3}{4} + \frac{3}{4} + \frac{2}{4}\right)|\alpha_1\alpha_2\rangle = 2|\alpha_1\alpha_2\rangle \Rightarrow j = 1 \text{ state } |1, 1\rangle = \alpha_1\alpha_2$$

To get other  $j = 1$  states, we can use the lowering operator

$$J_-(\alpha_1\alpha_2) = (J_-^{(1)} + J_-^{(2)})(\alpha_1\alpha_2) = (\beta_1\alpha_2 + \alpha_1\beta_2)$$

On the other hand

$$J_-(\alpha_1\alpha_2) = J_-|11\rangle = \sqrt{(1+1)(1-1+1)}|1,0\rangle = \sqrt{2}|1,0\rangle$$

$$\Rightarrow |1, 0\rangle = \frac{1}{\sqrt{2}}(\beta_1\alpha_2 + \alpha_1\beta_2)$$

Clearly  $|1, 0\rangle = \beta_1\beta_2$  The only state left-over is

$$\frac{1}{\sqrt{2}}(\alpha_1\beta_2 - \beta_1\alpha_2) \Rightarrow |0, 0\rangle \text{ state}$$

Summary:

- ① Among the generator only  $J_3$  is diagonal, —  $SU(2)$  is a rank-1 group
- ② Irreducible representation is labeled by  $j$  and the dimension is  $2j + 1$
- ③ Basis states  $|j, m\rangle$   $m = j, j - 1 \cdots (-j)$  representation matrices can be obtained from

$$J_3|j, m\rangle = m|j, m\rangle \quad J_{\pm}|j, m\rangle = \sqrt{(j \mp m)(j \pm m + 1)}|j, m \pm 1\rangle$$

## SU(2) and rotation group

The generators of  $SU(2)$  group are Pauli matrices

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} , \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} , \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Let  $\vec{r} = (x, y, z)$  be arbitrary vector in  $R_3$  (3 dimensional coordinate space). Define a  $2 \times 2$  matrix  $h$  by

$$h = \vec{\sigma} \cdot \vec{r} = \begin{pmatrix} z & x - iy \\ x + iy & -z \end{pmatrix}$$

$h$  has the following properties

- ①  $h^+ = h$
- ②  $Trh = 0$
- ③  $\det h = -(x^2 + y^2 + z^2)$

Let  $U$  be a  $2 \times 2$  unitary matrix with  $\det U = 1$ . Consider the transformation

$$h \rightarrow h' = U h U^\dagger$$

Then we have

- ①  $h'^+ = h'$
- ②  $Trh' = 0$

$$\det h' = \det h$$

, (3)

Properties (1)&(2) imply that  $h'$  can also be expanded in terms of Pauli matrices

$$h' = \vec{r}' \cdot \vec{\sigma} \vec{r} = (x', y', z')$$

$$\det h' = \det h \Rightarrow x'^2 + y'^2 + z'^2 = x^2 + y^2 + z^2$$

Thus relation between  $\vec{r}$  and  $\vec{r}'$  is a rotation. This means that an arbitrary  $2 \times 2$  unitary matrix  $U$  induces a rotation in  $R^3$ . This provides a connection between  $SU(2)$  and  $O(3)$  groups.

## Rotation group & QM

Rotation in  $R_3$  can be represented as linear transformations on

$$\vec{r}(x, y, z) = (r_1, r_2, r_3) \quad , \quad r_i \rightarrow r'_i = R_{ij} r_j \quad RR^T = 1 = R^T R$$

Consider an arbitrary function of coordinates,  $f(\vec{r}) = f(x, y, z)$ . Under the rotation, the change in  $f$

$$f(r_i) \rightarrow f(R_{ij} r_j) = f'(r_i)$$

If  $f = f'$  we say  $f$  is invariant under rotation, eg  $f(\gamma_i) = f(\gamma)$ ,  $\gamma = \sqrt{x^2 + y^2 + z^2}$

In QM, we implement the rotation by

$$|\psi\rangle \rightarrow |\psi'\rangle = U|\psi\rangle, \quad O \rightarrow O' = UOU^\dagger$$

so that

$$\Rightarrow \langle \psi' | O' | \psi' \rangle = \langle \psi | O | \psi \rangle$$

If  $O'^\dagger = O$ , we say the operator  $O$  is invariant under rotation

$$\rightarrow UO = OU \quad [O, U] = 0$$

In terms of infinitesimal generators

$$U = e^{-i\theta \vec{n} \cdot \vec{J} / \hbar}$$

this implies  $[J_i, O] = 0$ ,  $i = 1, 2, 3$ . For the case where  $O$  is the Hamiltonian  $H$ , this gives  $[J_i, H] = 0$ .

Let  $|\psi\rangle$  be an eigenstate of  $H$  with eigenvalue  $E$ ,

$$H|\psi\rangle = E|\psi\rangle$$

$$\text{then } (J_i H - H J_i)|\psi\rangle = 0 \Rightarrow H(J_i|\psi\rangle) = E(J_i|\psi\rangle)$$

i.e.  $|\psi\rangle$  &  $J_i|\psi\rangle$  are degenerate. For example, let  $|\psi\rangle = |j, m\rangle$  the eigenstates of angular momentum, then  $J_{\pm}|j, m\rangle$  are also eigenstates if  $|\psi\rangle$  is eigenstate of  $H$ . This means for a given  $j$ , the degeneracy is  $(2j + 1)$ .

## Gauge Theory

Abelian gauge theory(QED)

Maxwell Equation

$$\vec{\nabla} \cdot \vec{E} = \frac{\rho}{\epsilon_0} \quad , \quad \vec{\nabla} \cdot \vec{B} = 0$$

$$\vec{\nabla} \times \vec{E} + \frac{\partial \vec{B}}{\partial t} = 0 \quad , \quad \frac{1}{\mu_0} \vec{\nabla} \times \vec{B} = \epsilon_0 \frac{\partial \vec{E}}{\partial t} + \vec{J}$$

Source free equations can be solved

$$\vec{B} = \nabla \times \vec{A} \quad , \quad \Rightarrow \quad \nabla(\vec{E} + \frac{\partial \vec{A}}{\partial t}) = 0$$

$$\vec{E} = -\nabla\phi - \frac{\partial \vec{A}}{\partial t} \quad \partial^\mu A^\nu - \partial^\nu A^\mu = F^{\mu\nu} \quad F^{ij} \sim \epsilon^{ijk} B_k \quad F^{0i} \sim E^i$$

Gauge invariance

$$\phi \rightarrow \phi - \frac{\partial \alpha}{\partial t} \quad \vec{A} \rightarrow \vec{A} + \vec{\nabla} \alpha$$

$$A^\mu = (\frac{\phi}{c}, \vec{A}) \quad A^\mu \rightarrow A^\mu - \partial^\mu \alpha$$

Schrodinger Equation for a charged particle

$$[\frac{1}{2m} (\frac{\hbar}{i} \vec{\nabla} - e\vec{A})^2 - e\phi] \psi = i\hbar \frac{\partial \psi}{\partial t}$$

To get gauge invariance, need to transform  $\psi$

$$\psi \rightarrow e^{ie\alpha/\hbar}\psi$$

Consider the Lagrangian for a free electron field  $\psi(x)$

$$\mathcal{L}_0 = \bar{\psi}(x)(i\gamma^\mu\partial_\mu - m)\psi(x)$$

This has global U(1) symmetry,

$$\psi(x) \rightarrow \psi'^{-i\alpha}\psi(x) \quad \alpha : \text{constant}$$

$$\bar{\psi}(x) \rightarrow \bar{\psi}'(x) = \bar{\psi}(x)e^{i\alpha}$$

Suppose

$$\alpha = \alpha(x) \quad \psi'^{-i\alpha(x)}\psi(x) \quad , \quad \bar{\psi}'(x) = \bar{\psi}(x)e^{i\alpha(x)}$$

transformation of derivative

$$\bar{\psi}(x)\partial_\mu\psi(x) \rightarrow \bar{\psi}'(x)\partial_\mu\psi'(x) = \bar{\psi}(x)\partial_\mu\psi(x) - i(\partial_\mu\alpha)(\bar{\psi}\psi) \quad \text{not invariant}$$

Introduce gauge field  $A_\mu(x)$  to form covariant derivative

$$D_\mu\psi \equiv (\partial_\mu + igA_\mu)\psi(x)$$



So that  $D_\mu \psi$  transforms by a phase,

$$(D_\mu \psi)' = e^{-i\alpha(x)} (D_\mu \psi)$$

This requires that

$$\begin{aligned} (\partial_\mu + igA'_\mu) \psi'^{-i\alpha} (\partial_\mu + igA_\mu) \psi &\rightarrow e^{-i\alpha} [\partial_\mu \psi + i(\partial_\mu \alpha) \psi + igA'_\mu \psi] \\ \Rightarrow A'_\mu &= A_\mu - \frac{1}{g} \partial_\mu \alpha \end{aligned}$$

Then

$$\mathcal{L}_0 \rightarrow \bar{\psi} i \gamma^\mu (\partial_\mu + igA_\mu) \psi - m \bar{\psi} \psi$$

is invariant under local symmetry transformation (local symmetry)

The Lagrangian for gauge field is of the form,

$$\mathcal{L}_4 = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu \quad \text{invariant}$$

One useful relation is to write  $F_{\mu\nu}$  in terms of covariant derivative,

$$\begin{aligned} D_\mu D_\nu \psi &= (\partial_\mu + igA_\mu)(\partial_\nu + igA_\nu) \psi = \partial_\mu \partial_\nu \psi - g^2 A_\mu A_\nu \psi + ig(A_\mu \partial_\nu + A_\nu \partial_\mu) \psi \\ &\quad + ig(\partial_\mu A_\nu) \psi \end{aligned}$$

$$\Rightarrow (D_\mu D_\nu - D_\nu D_\mu)\psi = ig(\partial_\mu A_\nu - \partial_\nu A_\mu)\psi = ig(F_{\mu\nu})\psi$$

$$\text{From } [(D_\mu D_\nu - D_\nu D_\mu)\psi]^{I-i\alpha} (D_\mu D_\nu - D_\nu D_\mu)\psi \Rightarrow F'_{\mu\nu} = F_{\mu\nu}$$

Thus the Lagrangian of the form

$$\mathcal{L} = \bar{\psi} i \gamma^\mu (\partial_\mu + ig A_\mu) \psi - m \bar{\psi} \psi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

is invariant under gauge transformation

$$\psi(x) \rightarrow \psi^{I-i\alpha(x)} \psi(x)$$

$$A_\mu(x) \rightarrow A'_\mu(x) = A_\mu(x) - \frac{1}{g} \partial_\mu \alpha(x)$$

Remarks:

- ①  $A_\mu A^\mu$  term is not gauge invariant  $\Rightarrow$  field massless.
- ②  $D_\mu \psi = (\partial_\mu + ig A_\mu) \psi \Rightarrow$  minimal coupling determined by U(1) transformation universality.
- ③ no gauge self coupling because  $A_\mu$  does not carry U(1) charge.

## Non-Abelian symmetry-Yang Mills fields

1954: Yang-Mills generalized U(1) local symmetry to SU(2) local symm.

Consider an isospin doublet

$$\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$$

Under SU(2) transformation

$$\psi(x) \rightarrow \psi'(x) = \exp\left\{-\frac{i\vec{\tau} \cdot \vec{\theta}}{2}\right\} \psi(x) \quad \vec{\tau} = (\tau_1, \tau_2, \tau_3) \text{ Pauli matrices}$$

$$\left[\frac{\tau_i}{2}, \frac{\tau_j}{2}\right] = i\epsilon_{ijk} \left(\frac{\tau_k}{2}\right)$$

Start with free Lagrangian

$$\mathcal{L}_0 = \bar{\psi}(x)(i\gamma^\mu \partial_\mu - m)\psi$$

Under local symmetry transformation,

$$\psi(x) \rightarrow \psi'(x) = U(\theta)\psi(x) \quad U(\theta) = \exp\left\{-\frac{i\vec{\tau}\theta(\vec{x})}{2}\right\}$$

Derivative term

$$\partial_\mu \psi(x) \rightarrow \partial_\mu \psi'(x) = U\partial_\mu \psi + (\partial_\mu U)\psi$$

Introduce gauge fields  $\vec{A}_\mu$  to form covariant derivative,

$$D_\mu \psi(x) \equiv (\partial_\mu - ig \frac{\vec{\tau} \cdot \vec{A}_\mu}{2}) \psi$$

Require that

$$[D_\mu \psi]' = U[D_\mu \psi] \Rightarrow (\partial_\mu - ig \frac{\vec{\tau} \cdot \vec{A}_\mu'}{2})(U\psi) = U(\partial_\mu - ig \frac{\vec{\tau} \cdot \vec{A}_\mu}{2})\psi$$

$$\text{or } -ig(\frac{\vec{\tau} \cdot \vec{A}_\mu'}{2})U + \partial_\mu U = U(-ig \frac{\vec{\tau} \cdot \vec{A}_\mu}{2}) \quad \boxed{\frac{\vec{\tau} \cdot \vec{A}_\mu'}{2} = U(\frac{\vec{\tau} \cdot \vec{A}_\mu}{2})U^{-1} - \frac{i}{g}(\partial_\mu U)U^{-1}}$$

We can use covariant derivatives to construct field tensor

$$\begin{aligned} D_\mu D_\nu \psi &= (\partial_\mu - ig \frac{\vec{\tau} \cdot \vec{A}_\mu}{2})(\partial_\nu - ig \frac{\vec{\tau} \cdot \vec{A}_\nu}{2})\psi = \partial_\mu \partial_\nu \psi - ig(\frac{\vec{\tau} \cdot \vec{A}_\mu}{2}\partial_\nu \psi + \frac{\vec{\tau} \cdot \vec{A}_\nu}{2}\partial_\mu \psi) \\ &\quad - ig\partial_\mu(\frac{\vec{\tau} \cdot \vec{A}_\nu}{2})\psi + (-ig)^2(\frac{\vec{\tau} \cdot \vec{A}_\mu}{2})(\frac{\vec{\tau} \cdot \vec{A}_\nu}{2})\psi \end{aligned}$$

Antisymmetrization

$$(D_\mu D_\nu - D_\nu D_\mu)\psi \equiv ig(\frac{\vec{\tau} \cdot \vec{F}_{\mu\nu}}{2})\psi \quad \frac{\vec{\tau} \cdot \vec{F}_{\mu\nu}}{2} = \frac{\vec{\tau}}{2} \cdot (\partial_\mu \vec{A}_\nu - \partial_\nu \vec{A}_\mu) - ig[\frac{\vec{\tau} \cdot \vec{A}_\mu}{2}, \frac{\vec{\tau} \cdot \vec{A}_\nu}{2}]$$

$$\text{or } F_{\mu\nu}^i = \partial_\mu A_\nu^i - \partial_\nu A_\mu^i + \underline{g\epsilon^{ijk} A_\mu^i A_\nu^k} \rightarrow \text{new term}$$

under gauge transformation.

$$\vec{\tau} \cdot \vec{F}_\mu \nu' = U(\vec{\tau} \cdot \vec{F}_\mu \nu) U^{-1}$$

Infinitesimal transformation  $\theta(x) \ll 1$

$$A^{i/\mu} = A^\mu + \epsilon^{ijk} \theta^j A_\mu^k - \frac{1}{g} \partial_\mu \theta^i$$

$$F_{\mu\nu}^{/i} = F_{\mu\nu}^i + \epsilon^{ijk} \theta^j F_{\mu\nu}^k$$

Remarks

- ① Again  $A_\mu^a A^{a\mu}$  is not gauge invariant  $\Rightarrow$  gauge boson massless  $\Rightarrow$  long range force
- ②  $A_\mu^a$  carries that symmetry charge (e.g. color —)

$F^{aj\mu\nu} \sim \partial A - \partial A + gAA \rightarrow$  term responsible for Asymptotic freedom.

Maxwell Equation

$$\vec{\nabla} \cdot \vec{E} = \frac{\rho}{\epsilon_0} \quad , \quad \vec{\nabla} \cdot \vec{B} = 0$$

$$\vec{\nabla} \times \vec{E} + \frac{\partial \vec{B}}{\partial t} = 0 \quad , \quad \frac{1}{\mu_0} \vec{\nabla} \times \vec{B} = \epsilon_0 \frac{\partial \vec{E}}{\partial t} + \vec{J}$$

Source free equations can be solved

$$\vec{B} = \nabla \times \vec{A} \quad , \quad \Rightarrow \quad \nabla(\vec{E} + \frac{\partial \vec{A}}{\partial t}) = 0$$

$$\vec{E} = -\nabla\phi - \frac{\partial \vec{A}}{\partial t} \quad \partial^\mu A^\nu - \partial^\nu A^\mu = F^{\mu\nu} \quad F^{ij} \sim \epsilon^{ijk} B_k \quad F^{0i} \sim E^i$$

Gauge invariance

$$\phi \rightarrow \phi - \frac{\partial \alpha}{\partial t} \quad \vec{A} \rightarrow \vec{A} + \vec{\nabla} \alpha$$

$$A^\mu = (\frac{\phi}{c}, \vec{A}) \quad A^\mu \rightarrow A^\mu - \partial^\mu \alpha$$

Schrodinger Eq for a charged particle

$$[\frac{1}{2m} (\frac{\hbar}{i} \vec{\nabla} - e\vec{A})^2 - e\phi] \psi = i\hbar \frac{\partial \psi}{\partial t}$$

To get gauge invariance, need to transform  $\psi$

$$\psi \rightarrow e^{ie\alpha/\hbar} \psi$$

## Spontaneous symmetry breaking

Spontaneous symmetry breaking—ground state does not have the symmetry of the Hamiltonian

⇒ If the symmetry is continuous one, there will be massless scalar fields

Example: ferromagnetism

$T > T_c$  (Curie temp) all dipoles are randomly oriented—rotational invariant

$T < T_c$  all dipoles are oriented in some direction

Ginzburgh-Landau theory

Free energy as function of magnetization  $\vec{m}$  (averaged)

$$\mu(\vec{M}) = (\partial_t \vec{M})^2 + \alpha_1(T) \vec{M} \cdot \vec{M} + \alpha_2(\vec{M} \cdot \vec{M})^2$$

$$\alpha_2 > 0, \quad \alpha_1(T) = \alpha(T - T_c) \quad \alpha > 0$$

$$\text{ground state} \quad \vec{M}(\alpha_1 + 2\alpha_2 \vec{M} \cdot \vec{M}) = 0$$

$T > T_c$  only solution is  $\vec{M} = 0$

$T < T_c$  non-trivial sol  $|\vec{M}| = +\sqrt{\frac{\alpha_1}{2\alpha_2}} \neq 0$

⇒ ground state with  $\vec{M}$  in some direction is no longer rotational invariant.

Nambu-Goldstone theorem:

Noether's theorem: continuous symmetry  $\longrightarrow$  conserved charge  $Q$

Suppose there are 2 local operator  $A, B$  with property

$$[Q, B] = A \quad Q = \int d^3x j_0(x) \quad \text{indep of time}$$



Suppose  $\langle 0|A|0\rangle = V \neq 0$  (symmetry breaking condition)

$$\Rightarrow 0 \neq \langle 0|[Q, B]|0\rangle = \int d^3x \times \langle 0|[j_0(x), BJ]|0\rangle$$

$$= \sum_n (2\pi)^3 \delta^3(\vec{P}_n) \{ \langle 0|j_0(0)|n\rangle \langle n|B|0\rangle e^{-iE_n t} - \langle n|B|0\rangle \langle 0|j_0(0)|n\rangle e^{-iE_n t} \} = U$$

Since  $U \neq 0$  and time-independent, we need a state such that

$$E_n \rightarrow 0 \quad \text{for} \quad \vec{P}_n = 0$$

massless excitation. For the case of relativistic particle with energy momentum relation  $E = \sqrt{\vec{P}^2 + m^2}$  this implies massless particle- Goldstone boson.

Discrete symmetry case

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi)^2 - \frac{\mu^2}{2}\phi^2 - \frac{\lambda}{4}\phi^4 \quad \phi \rightarrow -\phi \quad \text{symmetry}$$

The Hamiltonian density

$$H = \frac{1}{2}(\partial_0 \phi)^2 + \frac{1}{2}(\vec{\nabla} \phi)^2 + \frac{\mu^2}{2}\phi^2 + \frac{\lambda}{4}\phi^4$$

Effective energy

$$\mu(\phi) = \frac{1}{2}(\vec{\nabla} \phi)^2 + V(\phi) \quad , \quad V(\phi) = \frac{\mu^2}{2}\phi^2 + \frac{\lambda}{4}\phi^4$$

For  $\mu^2 < 0$  the ground state has  $\phi = \pm \sqrt{\frac{-\mu^2}{\lambda}}$  classically. This means the quantum ground state  $|0\rangle$  will have the property

$$\langle 0|\phi|0\rangle = v \neq 0 \quad \text{symmetry breaking condition}$$

Define quantum field  $\phi'$  by  $\phi' = \phi - v$

$$\text{then} \quad \mathcal{L} = \frac{1}{2}(\partial_\mu \phi'^2 - (-\mu^2)\phi'^2 - \lambda v \phi'^3 - \frac{\lambda}{4}\phi'^4$$

No Goldstone boson—discrete symmetry

Abelian symmetry case

$$\mathcal{L} = \frac{1}{2}[(\partial_\mu \sigma)^2 + (\partial_\mu \pi)^2] - V(\sigma^2 + \pi^2)$$

$$\text{with} \quad V(\sigma^2 + \pi^2) = -\frac{\mu^2}{2}(\sigma^2 + \pi^2) + \frac{\lambda}{4}(\sigma^2 + \pi^2)^2$$

$$O(2) \text{ symmetry} \quad \begin{pmatrix} \sigma \\ \pi \end{pmatrix} \rightarrow \begin{pmatrix} \sigma' \\ \pi' \end{pmatrix} = \begin{pmatrix} \cos \alpha & \sin \alpha \\ -\sin \alpha & \cos \alpha \end{pmatrix} \begin{pmatrix} \sigma \\ \pi \end{pmatrix}$$

$$\text{minimum} \quad \sigma^2 + \pi^2 = \frac{\mu^2}{\lambda} = v^2 \quad \text{circle in } \sigma - \pi \text{ plane}$$

$$\text{For convenience choose} \quad \langle 0|\sigma|0\rangle = v \quad \langle 0|\pi|0\rangle = 0$$

New quantum field  $\sigma' = \sigma - v$  ,  $\pi' = \pi$

$$\text{New Lagrangian } \mathcal{L} = \frac{1}{2}[(\partial_\mu \sigma'^2 + (\partial_\mu \pi')^2)] - \mu^2 \sigma'^2 - \lambda v \sigma'(\sigma'^2 + \pi'^2) - \frac{\lambda}{4}(\sigma'^2 + \pi'^2)^2 \quad O(2)$$

no  $\pi'^2$  term,  $\Rightarrow \pi'$  massless Goldstone boson

Non-Abelian case-  $\sigma$  model

$$\mathcal{L} = \frac{1}{2}[(\partial_\mu \sigma'^2 + (\partial_\mu \vec{\pi})^2)] + \bar{N} i \gamma^\mu \partial_\mu N + g \bar{N}(\sigma + i \vec{t} \cdot \vec{\pi} \gamma_5) N - V(\sigma^2 + \vec{\pi}^2) + (f_\pi m_\pi^2 \sigma)$$

$$V(\sigma^2 + \vec{\pi}^2) = -\frac{\mu^2}{2}(\sigma^2 + \vec{\pi}^2) + \frac{\lambda}{4}(\sigma^2 + \vec{\pi}^2)^2$$

$$\text{minimum} \quad \sigma^2 + \vec{\pi}^2 = v^2 = \frac{\mu^2}{\lambda}$$

$$\text{choose} \quad \langle \sigma \rangle = v \quad , \quad \langle \vec{\pi} \rangle = 0$$

Then  $\vec{\pi}$  are Goldstone bosons.

## Higgs Phenomena

When we combine spontaneous symmetry breaking with local symmetry, a very interesting phenomena occurs. This was discovered in the 60's by Higgs, Englert & Brout, Guralnik, Hagen & Kibble independently.

### Abelian case

Consider the Lagrangian given by

$$\mathcal{L} = (D_\mu \phi)^\dagger (D^\mu \phi) + \mu^2 \phi^\dagger \phi - \lambda (\phi^\dagger \phi)^2 - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

$$\text{where } D^\mu \phi = (\partial^\mu - igA^\mu)\phi, \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

The Lagrangian is invariant under the local gauge transformation

$$\phi(x) \rightarrow \phi' = e^{-i\alpha(x)} \phi(x)$$

$$A_\mu(x) \rightarrow A'_\mu(x) = A_\mu(x) - \frac{1}{g} \partial_\mu \alpha(x)$$

The spontaneous symm. breaking is generated by the potential

$$V(\phi) = -\mu^2 \phi^\dagger \phi + \lambda (\phi^\dagger \phi)^2$$

which has a minimum at

$$\phi^\dagger \phi = \frac{v^2}{2} = \frac{1}{2} \left( \frac{\mu^2}{\lambda} \right)$$

For the quantum theory, we can choose

$$|\langle 0|\phi|0\rangle| = \frac{v}{\sqrt{2}}$$

Or if we write

$$\phi = \frac{1}{\sqrt{2}}(\phi_1 + i\phi_2)$$

this corresponds to

$$\langle\phi_1\rangle = v \quad , \quad \langle\phi_2\rangle = 0 \quad \phi_2 : \textit{Goldstone boson}$$

Define the quantum fields by

$$\phi'_1 = \phi_1 - v \quad , \quad \phi'_2 = \phi_2$$

Covariant derivative terms gives

$$(D_\mu\phi)^+(D^\mu\phi) = [(\partial_\mu + igA_\mu)\phi^+][(\partial^\mu - igA^\mu)\phi]$$

$$\frac{-1}{2}(\partial_\mu\phi'_1 + gA_\mu\phi'_2)^2 + \frac{1}{2}(\partial_\mu\phi'_2 - gA_\mu\phi'_1)^2 + \underline{\frac{g^2v^2}{2}A^\mu A_\mu} + \dots \textit{mass terms for } A^\mu$$

Write the scalar field as

$$\phi(x) = \frac{1}{\sqrt{2}}(v + \eta(x))e^{i\tilde{\zeta}(x)/v}$$

"Gauge" transformation:

$$\phi' = e^{-i\tilde{\zeta}(x)/v} \phi(x) \quad , \quad B_\mu = A_\mu(x) - \frac{1}{g\nu} \partial_\mu \tilde{\zeta}$$

$\tilde{\zeta}(x)$  disappears from the Lagrangian

Roughly speaking, massless gauge field  $A_\mu$  combine with Goldstone boson

$\tilde{\zeta}(x)$  to become massive gauge boson. As a consequence, two long range forces (from Goldstone boson  $\tilde{\zeta}(x)$  and  $A_\mu(x)$ ) disappear.

Non-Abelian case

SU(2) group:  $\phi = \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}$  doublet

$$\mathcal{L} = (D_\mu \phi)^\dagger (D^\mu \phi) - V(\phi) - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} \quad , \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

$$V(\phi) = -\mu^2 (\phi^\dagger \phi) + \lambda (\phi^\dagger \phi)^2$$

Spontaneous symmetry breaking:

$$\langle \phi \rangle_0 = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix} \quad v = \sqrt{\frac{\mu^2}{\lambda}}$$

Define  $\phi' = \phi - \langle \phi \rangle_0$

From covariant derivative

$$(D_\mu \phi)^+ (D^\mu \phi) = [\partial_\mu - ig \frac{\vec{\tau} \cdot \vec{A}_\mu}{2} (\phi' + \langle \phi \rangle_0)]^+ [\partial^\mu - ig \frac{\vec{\tau} \cdot \vec{A}_\mu}{2} (\phi' + \langle \phi \rangle_0)]$$

$$\rightarrow \frac{1}{4} g^2 \langle \phi \rangle_0 (\vec{\tau} \cdot \vec{A}_\mu) (\vec{\tau} \cdot \vec{A}^\mu) \langle \phi \rangle_0 = \frac{1}{2} (g v)^2 \vec{A}_\mu \cdot \vec{A}^\mu$$

All gauge bosons get masses

$$M_A = \frac{1}{2} g v$$

The symmetry is completely broken.

Write  $\phi(x) = \exp\left\{\frac{i\vec{\tau} \cdot \vec{\xi}(x)}{v}\right\} \begin{pmatrix} 0 \\ v + \eta(x) \end{pmatrix}$

"gauge" transformation

$$\phi'(x) = U(x) \phi(x) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + \eta(x) \end{pmatrix}$$

$$\frac{\vec{\tau} \cdot \vec{B}_\mu}{2} = U(x) \frac{\vec{\tau} \cdot \vec{A}_\mu}{2} U^{-1} - \frac{i}{g} [\partial_\mu U] U^{-1}(x)$$

where  $U(x) = \exp\left\{\frac{\vec{\tau} \cdot \vec{\xi}}{v}\right\}$