

# 1 Weak Interaction

## Classification

Because the strong interaction effects are difficult to compute reliably, we distinguish processes involving hadrons from leptons in weak interactions.

### (a) Leptonic weak interactions

Here all particles are leptons and there is no complication from strong interactions. Once the interaction is known we can calculate these processes accurately since the interaction is weak and perturbation theory is applicable.

Examples:

$$\begin{array}{ll} \mu^- \rightarrow e^- + \nu_\mu + \bar{\nu}_e & \nu_\mu + e \rightarrow \nu_\mu + e \\ \tau^- \rightarrow \mu^- + \nu_\tau + \bar{\nu}_\mu & \tau^- \rightarrow e^- + \nu_\tau + \bar{\nu}_e \end{array}$$

### (b) Semi-leptonic interactions

These processes involve both leptons and hadrons. Since we can calculate the leptonic parts quite reliably, these processes can be used to study the properties of hadrons very similar to the case of  $ep$  scattering.

Examples :

$$\begin{array}{ll} \pi^- \rightarrow \mu^- + \nu_\mu & K^+ \rightarrow \pi^0 + e^+ + \nu_e \\ n \rightarrow p + e^- + \bar{\nu}_e & \bar{\nu}_\mu + p \rightarrow \mu^+ + n \end{array}$$

### (c) Non-leptonic interactions

Here all particles are hadrons and they are the most difficult reactions to study because of the strong interaction effects. This class of reactions differ from the normal strong interaction in the slower decay rates and smaller crosssections.

Example :

$$\begin{array}{ll} K^+ \rightarrow \pi^+ + \pi^0 & K^0 \rightarrow \pi^+ + \pi^- + \pi^0 \\ \Sigma^+ \rightarrow P + \pi^0 & \Lambda \rightarrow P + \pi^- \end{array}$$

## 1.1 Selection Rules in Weak Interaction

### (a) Leptonic Interaction

Two neutrino experiments:  $\nu$  from  $\beta$ -decay and  $\nu$  from  $\pi$  decay are different  
If they were the same then the following chain of reactions should be possible,

$$n \longrightarrow p + e + \nu$$

$$\nu + p \longrightarrow \mu^+ + n$$

However, only  $e^+$  is observed in the final product and no  $\mu^+$  has been seen. A simple explanation that is the neutrino from  $\beta$ -decay called  $\nu_e$  is different from neutrino from  $\pi$ -decay accompanied by  $\mu$  called  $\nu_\mu$  and there is also muon number and electron number conservation. In these conservation laws we assign the electron number  $L_e$  as

$$\begin{array}{ll} e^-, \nu_e & L_e = 1 \\ e^+, \bar{\nu}_e & L_e = -1 \end{array}$$

Similarly, for the muon number  $L_\mu$

$$\begin{array}{ll} \mu^-, \nu_\mu & L_\mu = 1 \\ \mu^+, \bar{\nu}_\mu & L_\mu = -1 \end{array}$$

As a consequence of these conservation laws, the reaction  $\mu^\pm \longrightarrow e^\pm + \gamma$  are forbidden and experimentally this is indeed the case. Lepton number conservations seem to hold up very well for many years until neutrino oscillations have been observed recently.

(b) Semi-leptonic decays

- (a) The strangeness changing reactions,  $\Delta S \neq 0$  seem to be about a factor of 10 or so smaller than those which conserve strangeness,  $\Delta S = 0$ .
- (b) It has been observed that hadrons in the strangeness changing decays satisfy the selection rule

$$\Delta S = \Delta Q$$

For examples,

$$K^+ \longrightarrow \pi^0 \mu^+ \nu_\mu, \quad \text{but} \quad K^+ \nrightarrow \pi^+ \pi^+ e^- \bar{\nu}_e$$

- (c) Absence of  $\Delta S = 1$  neutral currents

For example,

$$\frac{\Gamma(K_L \rightarrow \mu^+ \mu^-)}{\Gamma(K^+ \rightarrow \mu^+ \nu)} \leq 10^{-9}$$

- (d) No  $\Delta S = 2$  transition been observed

For example,

$$\Xi^- \nrightarrow n e^- \bar{\nu}_e$$

When quark model was developed, all these properties can be accommodated by writing the hadronic weak current as

$$J_\mu^{had} = [\bar{u} \gamma_\mu (1 - \gamma_5) d \cos \theta_c + \bar{u} \gamma_\mu (1 - \gamma_5) s \sin \theta_c]$$

where  $\theta_c \approx 0.25$  is the Cabbibo angle.

- (c) Non-leptonic interactions

Here we have the  $\Delta I = 1/2$  rule,

$$\frac{\Gamma(K^+ \longrightarrow \pi^+ \pi^0)}{\Gamma(K_s \longrightarrow \pi^+ \pi^-)} \simeq 1.5 \times 10^{-3}$$

This rule is very difficult to explain because the strong interaction effect is hard to study accurately.

## 1.2 Milestones of Weak Interaction

- (a) Neutrino and Nuclear  $\beta$  decay,

The emission of electron  $e^-$  from many different nuclei,

$$(A, Z) \rightarrow (A, Z + 1) + e^-$$

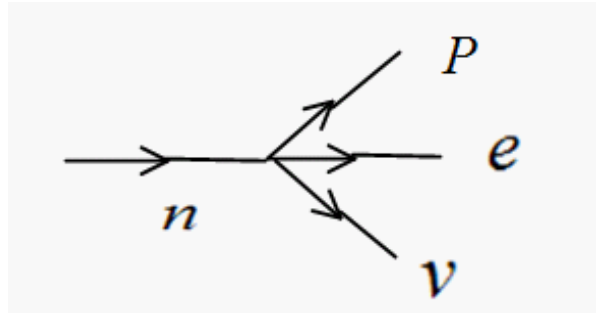
was observed at early study of radioactivity. The unusual feature is that the energy spectrum of  $e^-$  is continuous rather than a sharp line as expected in a 2 body decay. If the basic mechanism for  $e^-$  emission is

$$n \rightarrow p + e^-$$

the energy momentum conservation will require  $e^-$  to have a single energy while experimentally a continuous distribution of energy was observed. Pauli (1930) postulated the presence of **neutrino** which carries away energy and momentum in nuclear  $\beta$ -decay,

$$n \rightarrow p + e^- + \bar{\nu}_e$$

so that energy momentum conservation can be saved.



(b) **Fermi Theory**

Fermi (1934) proposed to explain the  $\beta$  decay by making analogy with QED to write down the weak interaction Lagrangian in the form,

$$\mathcal{L}_F = \frac{G_F}{\sqrt{2}} [\bar{p}(x) \gamma_\mu n(x)] [\bar{e}(x) \gamma^\mu \nu_e(x)] + h.c. \quad G_F : \text{Fermi coupling constant}$$

Fitting nuclear  $\beta$  decay rates give

$$G_F \simeq \frac{10^{-5}}{M_p^2}, \quad M_p \text{ is the proton mass}$$

This theory works very well for  $\Delta J = 0$ ,  $\beta$  decays of many nuclei.  
interaction was added

Later Gamow-Teller

$$\mathcal{L}_{GT} = \frac{-G_F}{\sqrt{2}} [\bar{p}(x) \gamma_\mu \gamma_5 n(x)] [\bar{e}(x) \gamma^\mu \gamma_5 \nu_e(x)] + h.c.$$

to account for  $\Delta J = 1$  nuclear  $\beta$  decays.

(c) Parity violation and V - A theory

$\theta - \tau$  puzzle

In 1950's, it was observed that there are two decays

$$\theta \rightarrow \pi^+ + \pi^-, \quad (\text{even parity})$$

$$\tau \rightarrow \pi^+ + \pi^- + \pi^0, \quad (\text{odd parity})$$

while  $\theta$  and  $\tau$  have the same mass, charge and spin. It is very difficult to understand these features if the parity is a good symmetry.

1956 : Lee and Yang proposed that parity is not conserved in weak decays and suggested many experiments to test this hypothesis.

1957 : C. S. Wu showed that  $e^-$  in  $^{60}\text{Co}$  decay has the property,

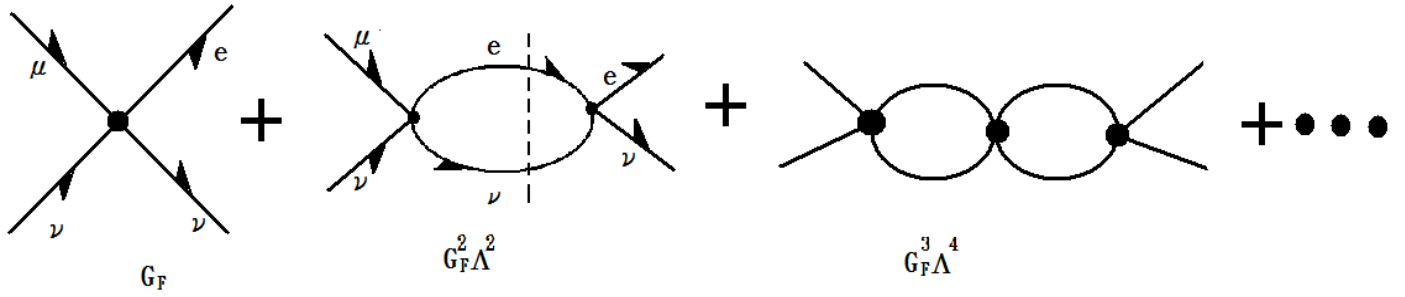
$$\langle \vec{\sigma} \cdot \vec{p} \rangle \neq 0, \quad \vec{\sigma}, \vec{p} \text{ spin and momentum of } e^-$$

This implies that the parity symmetry is violated in this decay.

(d) V-A theory (1958 Feynman and Gell-Mann, Sudarshan and Marshak, Sakurai)

As a result of parity violation, the effective weak interaction was casted in the form with V - A currents,

$$L_{eff} = \frac{G_F}{\sqrt{2}} J_\mu^\dagger J^\mu + h.c.$$



where

$$J_\lambda(x) = J_{l\lambda}(x) + J_{h\lambda}(x)$$

$$J_l^\lambda(x) = \bar{\nu}_e \gamma^\lambda (1 - \gamma_5) e + \bar{\nu}_\mu \gamma^\lambda (1 - \gamma_5) \mu, \quad \text{leptonic current} \quad (1)$$

and

$$J_h^\lambda(x) = \bar{u} \gamma^\lambda (1 - \gamma_5) (\cos \theta_c d + \sin \theta_c s) \quad \text{hadronic current}$$

$\theta_c$  : Cabibbo angle

Note that in V-A form the fermion fields are all left-handed.

Define

$$\psi_L \equiv \frac{1}{2}(1 - \gamma_5)\psi$$

Then we can simplify the form of weak currents,

$$J_l^\lambda(x) = 2\bar{\nu}_{eL} \gamma^\lambda e_L + 2\bar{\nu}_{\mu L} \gamma^\lambda \mu_L + \dots$$

Phenomenologically, the V-A theory has been quite successful in most of the weak interactions phenomena.

Difficulties:

(1) **Not renormalizable**

In the Fermi theory, 4 fermions interaction operator has dimension 6 and is not renormalizable. In other words, the higher order graphs are more and more divergent. For example, in  $\mu$  decay we have

(2) **Violate unitarity**

The tree amplitude for  $\nu_\mu + e \rightarrow \mu + \nu_e$  has only  $J = 1$  partial wave at high energies and cross section has the form,

$$\sigma(\nu_\mu e) \approx G_F^2 S \quad S = 2m_e E$$

On the other hand, unitarity for  $J=1$  cross section is

$$\sigma(J = 1) < \frac{1}{S}$$

Thus  $\sigma(\nu_\mu e)$  violates unitarity for  $E \geq 300 \text{ GeV}$ . Since unitarity is a consequence of conservation of probability, this violation is unacceptable.

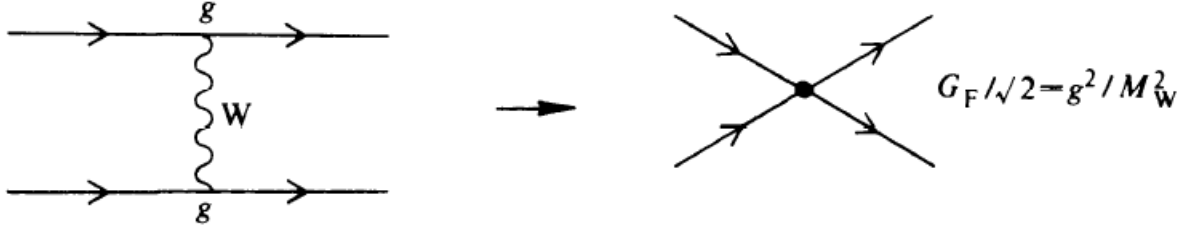
### Intermediate Boson Theory (IVB)

In analogy with QED, we can introduce vector boson  $W$  to couple to the V-A current to mediate the weak interaction

$$\mathcal{L}_W = g(J_\mu W^\mu + h.c.)$$

For example, the  $\mu$  decay is now mediated by  $W$ -exchange.

Since weak interaction is short range, we need  $W$ -boson to be massive  $M_W \neq 0$ . Use the massive  $W$ -boson



propagator in the form

$$\frac{-g^{\mu\nu} + \frac{k^\mu k^\nu}{M_W^2}}{k^2 - M_W^2} \rightarrow \frac{g^{\mu\nu}}{M_W^2} \quad \text{when } |k_\mu| \ll M_W$$

We see that this reproduces 4-fermion interaction with  $\frac{g^2}{M_W^2} = \frac{G_F}{\sqrt{2}}$

In this theory, the scattering  $\nu_\mu + e \rightarrow \mu + \nu_e$  no longer violates unitarity. But the violation of unitarity shows up in other processes like

$$\nu + \bar{\nu} \rightarrow W^+ + W^-$$

and the theory is still non-renormalizable.

### 1.3 Construction of $SU(2) \times U(1)$ model

#### Choice of group

In IVB theory, the interaction is described by

$$\mathcal{L}_W = g(J_\mu W^\mu + h.c)$$

For simplicity we neglect all other fermions excepts  $\nu, e$  and write the current as

$$J_\mu = \bar{\nu} \gamma_\mu (1 - \gamma_5) e$$

Recall that in the electromagnetic interaction, we have

$$\mathcal{L}_{em} = e J_\mu^{em} A^\mu, \quad \text{where } J_\mu^{em} = \bar{e} \gamma_\mu e$$

Define the electromagnetic and weak charges as the integrals of the time-component of the currents

$$T_+ = \frac{1}{2} \int d^3x J_0(x) = \frac{1}{2} \int d^3x \nu^\dagger (1 - \gamma_5) e \quad T_- = (T_+)^\dagger$$

$$Q = \int d^3x J_0^{em}(x) = - \int d^3x e^\dagger e$$

We can compute the commutator  $[T_+, T_-] = 2T_3$

$$T_3 = \frac{1}{4} \int d^3x [\nu^\dagger (1 - \gamma_5) \nu - e^\dagger (1 - \gamma_5) e] \neq Q$$

This means that these 3 charges,  $T_+, T_-$  and  $Q$  don't form a  $SU(2)$  algebra. The reason is that in order for the electric charge operator  $Q$  to be a generator of  $SU(2)$  it has to be traceless. In our case here it is not the case. Furthermore the weak charges  $T_\pm$  have the  $V - A$  form while the em charge  $Q$  is pure vector.

At this point, there are 2 alternatives:

- (a) Introduce another gauge boson coupled to  $T_3$ . The generators corresponding to these 4 gauge bosons can then form the group  $SU(2) \times U(1)$ . This will be the choice we will adapt eventually.
- (b) We can add new fermions to modify the currents such that  $T_+, T_-$  and  $Q$  do form a  $SU(2)$  algebra (Georgi and Glashow 1972). Here we introduce new fermions to extend the multiplet into triplets

$$\frac{1}{2}(1 - \gamma_5) \begin{pmatrix} E^+ \\ \nu_e \cos \alpha + N \sin \alpha \\ e^- \end{pmatrix}$$

$$\frac{1}{2}(1 + \gamma_5) \begin{pmatrix} E^+ \\ N \\ e^- \end{pmatrix}$$

and a singlet

$$\frac{1}{2}(1 + \gamma_5)(N \cos \alpha - \nu_e \sin \alpha)$$

The weak charge is then

$$T_+ = \frac{1}{2} \int d^3x [E^+ (1 - \gamma_5)(\nu_e \cos \alpha + N \sin \alpha) + (\nu_e \cos \alpha + N \sin \alpha)(1 - \gamma_5)e + E^+ (1 + \gamma_5)N + N^\dagger (1 + \gamma_5)e]$$

It is then straightforward to verify that

$$[T_+, T_-] = 2Q$$

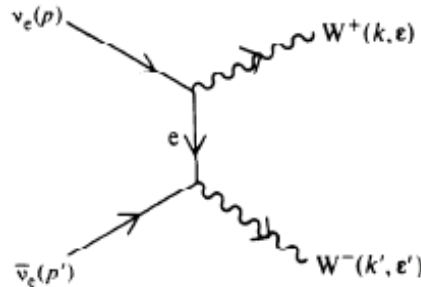
with

$$Q = \int d^3x [E^\dagger E - e^\dagger e]$$

Clearly, in this model only electromagnetic current is neutral and others all carry charges. When neutral weak current reactions were discovered in 1973, this model is ruled out.

#### Unitarity argument

Equivalently we can argue from unitarity that it is necessary to introduce either new leptons or a new gauge boson. Consider in IVB theory the reaction  $\nu + \bar{\nu} \rightarrow W^+ + W^-$  where both  $W$ 's are longitudinally polarized. The lowest order amplitude corresponding to the following graph is



$$T(\nu \bar{\nu} \rightarrow W^+ W^-) = -i \bar{v}(p') (-ig \not{\epsilon}') (1 - \gamma_5) \frac{i}{\not{p} - \not{k} - m_e} (-ig \not{\epsilon}) (1 - \gamma_5) u(p) \quad (2)$$

$$= -2g^2 \bar{v}(p') \frac{\not{\epsilon}' (\not{p} - \not{k}) \not{\epsilon} (1 - \gamma_5)}{(p - k)^2 - m_e^2} u(p)$$

The polarization vectors

$$\varepsilon_\mu^{(i)}(k) \quad \text{with} \quad \varepsilon^{(i)} \cdot \varepsilon^{(j)} = -\delta_{ij} \quad \text{and} \quad k \cdot \varepsilon^{(i)} = 0$$

maybe chosen in the rest frame of  $W$  boson as

$$\varepsilon_0^{(i)} = 0, \quad \varepsilon_j^{(i)} = \delta_{ij}$$

For a moving  $W$  boson with  $k_\mu = (E, 0, 0, k)$  with  $k = \sqrt{E^2 - M_W^2}$ , we can make a Lorentz transformation along the  $z$ -axis. The transverse polarizations do not change while the longitudinal polarization becomes  $\varepsilon_\mu^{(3)} = \frac{1}{M_W}(k, 0, 0, E)$ . In the high energy limit with  $k = E - \frac{M_W^2}{2E} + \dots$ , we see that

$$\varepsilon_\mu^{(3)} = \frac{k_\mu}{M_W} + O\left(\frac{M_W}{E}\right)$$

Then for longitudinally polarized  $W$  bosons, the scattering amplitude in Eq(2) becomes,

$$\begin{aligned} T &\approx -\frac{2g^2}{k^2 - 2p \cdot k} \bar{v}(p') \frac{\not{k}'}{M_W} (\not{p}' - \not{k}) \frac{\not{k}'}{M_W} (1 - \gamma_5) u(p) \\ &\approx \frac{2g^2}{M_W^2} \bar{v}(p') \not{k}' (1 - \gamma_5) u(p) \end{aligned} \quad (3)$$

To show more explicitly this amplitude is a pure  $J = 1$  partial wave, we take

$$\begin{aligned} p_\mu &= (E, 0, 0, E), \quad p'_\mu = (E, 0, 0, -E) \\ k_\mu &= (E, k\vec{e}), \quad k'_\mu = (E, -k\vec{e}), \quad \text{with } \vec{e} = (\sin\theta, 0, \cos\theta) \end{aligned}$$

Since  $\nu$  and  $\bar{\nu}$  have opposite helicities, we have

$$\begin{aligned} u(p) &= \sqrt{E} \begin{pmatrix} 1 \\ \frac{\vec{\sigma} \cdot \vec{p}}{E} \end{pmatrix} \chi_{-1/2} = \sqrt{E} \begin{pmatrix} 1 \\ \sigma_z \end{pmatrix} \chi_{-1/2} \\ \bar{v}(p') &= \sqrt{E} \chi_{1/2}^\dagger \begin{pmatrix} \frac{\vec{\sigma} \cdot \vec{p}'}{E}, -1 \end{pmatrix} = \sqrt{E} \chi_{1/2}^\dagger (-\sigma_z, -1) \end{aligned}$$

where

$$\chi_{1/2} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \chi_{-1/2} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

Then the combination in Eq(3) becomes,

$$\begin{aligned} \bar{v}(p') \not{k}' (1 - \gamma_5) u(p) &= E \chi_{1/2}^\dagger (-1, -1) \begin{pmatrix} E & k\vec{\sigma} \cdot \vec{e} \\ -k\vec{\sigma} \cdot \vec{e} & -E \end{pmatrix} \\ &\quad \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \chi_{-1/2} \\ &= -4E \chi_{1/2}^\dagger (E - k\vec{\sigma} \cdot \vec{e}) \chi_{-1/2} = 4Ek \sin\theta \end{aligned}$$

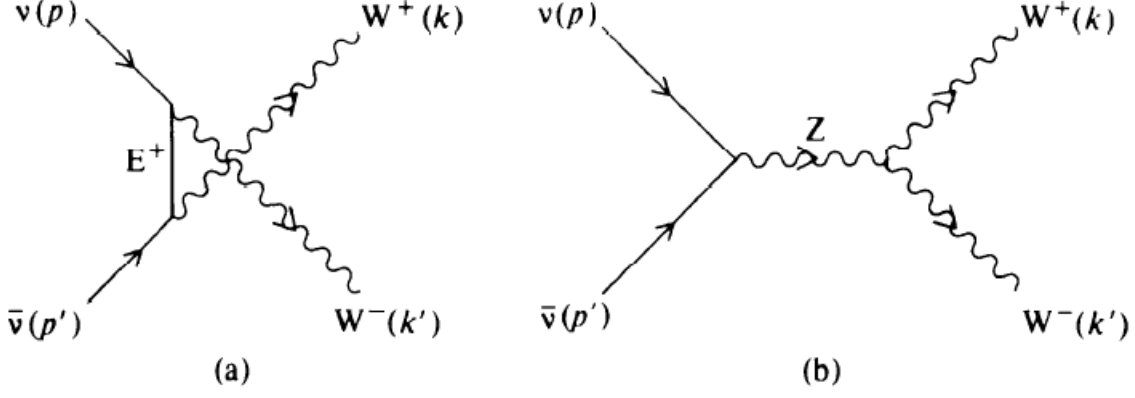
We have then

$$T \approx G_F E^2 \sin\theta \quad \text{as} \quad E \rightarrow \infty \quad (4)$$

The partial wave expansin for the helicity amplitude is of the form,

$$T_{\lambda_3 \lambda_4, \lambda_1 \lambda_2}(E, \theta) = \sum_{J=M}^{\infty} (2J+1) T_{\lambda_3 \lambda_4, \lambda_1 \lambda_2}^J(E) d_{\mu\lambda}^J(\theta)$$

where  $\lambda_1 = -\lambda_2 = 1/2$  and  $\lambda_3 = \lambda_4 = 0$  are the helicities of the initial and final particles with  $\lambda = \lambda_1 - \lambda_2 = 1$ ,  $\mu = \lambda_3 - \lambda_4$  and  $M = \max(\lambda, \mu) = 1$ .  $d_{\mu\lambda}^J(\theta)$  is the usual rotation matrix with  $d_{10}^1(\theta) = \sin\theta$ . It is clear that  $T$  in Eq(4) corresponds to a pure  $J = 1$  partial wave and violates the unitarity bound of  $T^{J=1}(E) \leq \text{constant}$  at high energies. To cancel this bad high energy behavior we need other diagrams for this reaction. There are 2 possibilities:  $s$ -channel or  $u$ -channel exchange diagrams.



- (a) The heavy lepton alternative; the u-channel exchange in the following diagram(a) yields the amplitude,

$$\begin{aligned}
 T_u(\nu\bar{\nu} \longrightarrow W^+W^-) &= -2g'^2 \bar{v}(p') \frac{\not{\epsilon}(p' - k') \not{\epsilon}(1 - \gamma_5)}{(p - k')^2 - m_E^2} u(p) \\
 &= \frac{-2g'^2}{M_W^2} \bar{v}(p') \not{k}' (1 - \gamma_5) u(p)
 \end{aligned}$$

If  $g^2 = g'^2$ , this will cancel the bad behavior given in Eq(3)

- (b) The neutral vector boson alternative; the s-channel exchange in the diagram (b) given above gives the amplitude

$$\begin{aligned}
 T_s(\nu\bar{\nu} \longrightarrow W^+W^-) &= -i\bar{v}(p') (-if\gamma_\beta) (1 - \gamma_5) u(p) L_{\alpha\mu\nu} \varepsilon'^\mu(k') \varepsilon^\nu(k) \\
 &\times i \left[ -g^{\alpha\beta} + \frac{(k+k')^\alpha (k+k')^\beta}{M_Z^2} \right] \left[ \frac{1}{(k+k')^2 - M_Z^2} \right]
 \end{aligned}$$

Choose the  $ZWW$  coupling to have Yang-Mills structure

$$L_{\alpha\mu\nu} = -if' \left[ (k' - k)_\alpha g_{\mu\nu} - (2k' + k)_\nu g_{\mu\alpha} + (k' + 2k)_\mu g_{\alpha\nu} \right]$$

we get

$$\begin{aligned}
 L_{\alpha\mu\nu} \varepsilon'^\mu(k') \varepsilon^\nu(k) &= -if' \left[ (k' - k)_\alpha \varepsilon \cdot \varepsilon' - (2k' \cdot \varepsilon) \varepsilon'_\alpha + (2k \cdot \varepsilon') \varepsilon_\alpha \right] \\
 &\approx \frac{if'}{M_W^2} \left[ (k' - k)_\alpha (k \cdot k') \right]
 \end{aligned}$$

and

$$T_s \simeq -\frac{ff'}{M_W^2} \bar{v}(p') \not{k}' (1 - \gamma_5) u(p)$$

Thus if we choose  $ff' = 2g^2$ , this will also cancel the amplitude in Eq(3). This corresponds to the case of adding an additional  $U(1)$  symmetry discussed before.

In fact if one demands that all the amplitudes which violate unitarity be cancelled out, one ends up with a renormalizable Lagrangian which is the same as the one derived from the algebraic approach.

We now choose the gauge group to be  $SU(2) \times U(1)$ . The Lagrangian for the gauge fields is then

$$L = -\frac{1}{4} F^{i\mu\nu} F_{\mu\nu}^i - \frac{1}{4} G^{\mu\nu} G_{\mu\nu}$$



where

$$\begin{aligned} F_{\mu\nu}^i &= \partial_\mu A_\nu^i - \partial_\nu A_\mu^i + g\epsilon^{ijk} A_\mu^j A_\nu^k & SU(2) \quad \text{gauge fields} \\ G_{\mu\nu} &= \partial_\mu B_\nu - \partial_\nu B_\mu & U(1) \quad \text{gauge field} \end{aligned}$$

### Fermions

Clearly, from the structure of the weak charge current given in Eq(1)  $\nu, e$  form a doublet under  $SU(2)$ ,

$$l_L = \frac{1}{2}(1 - \gamma_5) \begin{pmatrix} \nu \\ e \end{pmatrix}$$

For convenience, we introduced left-handed and right-handed fields

$$\psi_L \equiv \frac{1}{2}(1 - \gamma_5)\psi, \quad \psi_R \equiv \frac{1}{2}(1 + \gamma_5)\psi, \quad \psi = \psi_L + \psi_R$$

Then

$$T_+ = \int (\nu_L^\dagger e_L) d^3x, \quad T_- = \int (e_L^\dagger \nu_L) d^3x, \quad Q = \int (e_L^\dagger e_L + e_R^\dagger e_R)$$

Note that

$$Q - T_3 = \int [-\frac{1}{2}(\nu_L^\dagger \nu_L + e_L^\dagger e_L) - e_R^\dagger e_R] d^3x$$

It is straightforward to show that

$$[Q - T_3, T_i] = 0, \quad i = 1, 2, 3$$

Thus we can take  $Q - T_3$  to be  $U(1)$  charge  $Y \equiv 2(Q - T_3)$ , sometime called **weak hypercharge**. The  $Y$  charges for fermions are

$$l_L = \begin{pmatrix} \nu_L \\ e_L \end{pmatrix} \quad Y = -1, \quad e_R \quad Y = -2$$

With these quantum numbers, the Lagrangian for gauge coupling is

$$\mathcal{L}_2 = \bar{l}_L i\gamma^\nu D_\nu l_L + \bar{l}_R i\gamma^\nu D_\nu l_R \quad (5)$$

where

$$D_\nu \psi = (\partial_\nu - ig \frac{\vec{\tau} \cdot \vec{A}_\nu}{2} - ig' \frac{Y}{2} B_\nu) \psi$$

For example,

$$D_\nu l_L = (\partial_\nu - ig \frac{\vec{\tau} \cdot \vec{A}_\nu}{2} - ig' \frac{Y}{2} B_\nu) l_L$$

### Spontaneous Symmetry Breaking

The symmetry breaking pattern we want is  $SU(2) \times U(1) \rightarrow U(1)_{em}$ . Choose scalar fields in  $SU(2)$  doublet with hypercharge  $Y = 1$ ,

$$\phi = \begin{pmatrix} \phi^\dagger \\ \phi^0 \end{pmatrix}, \quad Y = 1$$

The Lagrangian containing  $\phi$  is of the form,

$$\mathcal{L}_3 = (D_\mu \phi)^\dagger (D^\mu \phi) - V(\phi)$$

where

$$D_\mu \phi = (\partial_\mu - \frac{ig}{2} \vec{\tau} \cdot \vec{A}_\mu - \frac{ig'}{2} B_\mu) \phi$$

and

$$V(\phi) = -\mu^2 \phi^\dagger \phi + \lambda (\phi^\dagger \phi)^2$$

In addition there is a coupling between leptons and scalar field  $\phi$ ,

$$\mathcal{L}_4 = f \bar{L}_L \phi e_R + h.c.$$

As we have seen before, the spontaneous symmetry breaking is generated by the vacuum expectation value

$$\langle \phi \rangle_0 = \langle 0 | \phi | 0 \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix} \quad \text{with} \quad v = \sqrt{\frac{\mu^2}{\lambda}}$$

Write the scalar field in the form

$$\phi(x) = U^{-1}(\vec{\xi}) \begin{pmatrix} 0 \\ \frac{v + \eta(x)}{\sqrt{2}} \end{pmatrix} \quad \text{where} \quad U(\vec{\xi}) = \exp\left[\frac{i\vec{\xi}(x) \cdot \vec{\tau}}{v}\right] \quad (6)$$

### Gauge Transformation

The scalar field in Eq(6) is in the form of gauge transformation. We can then simplify the form by a gauge transformation

$$\begin{aligned} \phi' &= U(\vec{\xi})\phi = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + \eta(x) \end{pmatrix} \\ \frac{\vec{\tau} \cdot \vec{A}'_\mu}{2} &= U(\vec{\xi}) \frac{\vec{\tau} \cdot \vec{A}_\mu}{2} U^{-1}(\vec{\xi}) - \frac{i}{g} (\partial_\mu U) U^{-1} \end{aligned}$$

Now the field  $\vec{\xi}(x)$  disappears from the Lagrangian as a consequence of gauge invariance. From  $\mathcal{L}_4$  (Yukawa coupling), VEV of the scalar field gives

$$\mathcal{L}_4 = f \frac{1}{\sqrt{2}} (\bar{L}_L \langle \phi \rangle e_R + h.c.) + f \frac{\eta(x)}{\sqrt{2}} (\bar{e}_L e_R + h.c.)$$

as consequence the electron is now massive with electron mass

$$m_e = \frac{f}{\sqrt{2}} v$$

### Mass spectrum

We now list the mass spectrum of the theory after the spontaneous symmetry breaking:

(a) Fermion mass

$$m_e = \frac{f v}{\sqrt{2}}$$

(b) Scalar mass(Higgs)

$$V(\phi') = \mu^2 \eta^2 + \lambda v \eta^3 + \frac{\lambda}{4} \eta^4 \quad \rightarrow \quad m_\eta = \sqrt{2} \mu$$

(c) Gauge boson masses

From the covariant derivative in  $\mathcal{L}_3$

$$\mathcal{L}_3 = \frac{v^2}{2} \chi^\dagger \left( g \frac{\vec{\tau} \cdot \vec{A}'_\mu}{2} + \frac{g' B'_\mu}{2} \right) \left( g \frac{\vec{\tau} \cdot \vec{A}^\mu}{2} + \frac{g' B^\mu}{2} \right) \chi + \dots, \quad \chi = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

we get the mass terms for the gauge bosons,

$$\begin{aligned} \mathcal{L}_3 &= \frac{v^2}{8} \{ g^2 [(A_\mu^1)^2 + (A_\mu^2)^2] + (g A_\mu^3 - g' B_\mu)^2 \} + \dots \\ &= M_W^2 W^{+\mu} W_\mu^- + \frac{1}{2} M_Z^2 Z^\mu Z_\mu + \dots \end{aligned}$$

where

$$W_\mu^+ = \frac{1}{\sqrt{2}}(A_\mu^1 - iA_\mu^2), \quad M_W^2 = \frac{g^2 v^2}{4}$$

$$Z_\mu = \frac{1}{\sqrt{g^2 + g'^2}}(g' A_\mu^3 - g B_\mu), \quad M_Z^2 = \frac{g^2 + g'^2}{4} v^2$$

The field  $A_\mu = \frac{1}{\sqrt{g^2 + g'^2}}(g' A_\mu^3 + g B_\mu)$  massless photon does not appear in  $\mathcal{L}_3$  and is massless. This clearly corresponds to photon field. For convenience we define

$$\tan \theta_W = \frac{g'}{g} \quad \theta_W : \text{Weinberg angle or weak mixing angle}$$

Then we can write

$$Z_\mu = \cos \theta_W A_\mu^3 - \sin \theta_W B_\mu \quad M_Z^2 = \frac{g^2 v^2}{4} \sec^2 \theta_W$$

$$A_\mu = \sin \theta_W A_\mu^3 - \cos \theta_W B_\mu$$

Note that there is a relation between  $M_W, M_Z$  and  $\theta_W$  of the form,

$$\rho = \frac{M_W^2}{M_Z^2 \cos^2 \theta_W} = 1$$

which is a consequence of the doublet nature of the scalar fields.

The weak interactions mediated by  $W$  and  $Z$  bosons can be read out from Eq(5)

(a) Charged current

$$\mathcal{L}_{cc} = \frac{g}{\sqrt{2}}(J_\mu^\dagger W^{\dagger\mu} + h.c.) \quad J_\mu^\dagger = J_\mu^1 + iJ_\mu^2 = \frac{1}{2}\bar{\nu}\gamma_\mu(1 - \gamma_5)e$$

Again to get 4-fermion interaction as low energy limit, we require

$$\frac{g^2}{8M_W^2} = \frac{G_F}{\sqrt{2}}$$

which implies that

$$v = \sqrt{\frac{\sqrt{2}}{G_F}} \approx 246 \text{ GeV}$$

This is usually referred to as the **weak scale**.

(b) Neutral Current

The Lagrangian for the neutral currents is

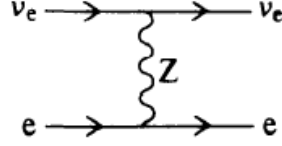
$$\mathcal{L}_{NC} = gJ_\mu^3 A^{3\mu} + \frac{g'}{2}J_\mu^Y B^\mu = eJ_\mu^{em} A^\mu + \frac{g}{\cos \theta_W}J_\mu^Z Z^\mu$$

where

$$e = g \sin \theta_W,$$

and

$$J_\mu^Z = J_\mu^3 - \sin^2 \theta_W J_\mu^{em}$$



is the weak neutral current. We can define the weak neutral charge as

$$Q^Z = \int J_0^Z d^3x = (T_3 - \sin^2 \theta_W Q)$$

This means that the coupling strength of fermions to Z-boson is proportional to the quantum number  $T_3 - \sin^2 \theta_W Q$ .

In particular, Z boson can contribute to the scattering

$$\nu_e + e \rightarrow \nu_e + e$$

The measurement of this cross section in the 1970's give  $\sin^2 \theta_W \approx 0.22$ . This yields  $M_W \approx 80$  GeV and  $M_Z \approx 90$  GeV.

### 1.3.1 Generalization to more than one family.

From 4-fermion and IVB theory, the form of weak currents of leptons and hadrons gives the following multiplets structure,

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L, \quad \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L, \quad e_R, \mu_R, \quad \begin{pmatrix} u \\ d_\theta \end{pmatrix}_L, \quad u_R, d_R, s_R$$

where

$$d_\theta = \cos \theta_C d + \sin \theta_C s$$

The neutral current in the down quark sector is of the form

$$\begin{aligned} \mathcal{L}_{NC} &= [\bar{d}_\theta \gamma_\mu (-\frac{1}{2} + \sin^2 \theta_C \frac{1}{3}) d_\theta - \sin^2 \theta_W \frac{1}{3} (\bar{d}_R \gamma_\mu d_R + \bar{s}_R \gamma_\mu s_R)] Z^\mu \\ &= (-\frac{1}{2} + \sin^2 \theta_W \frac{1}{3}) [(\bar{d}_L \gamma_\mu d_L + \bar{s}_L \gamma_\mu s_L) + \sin \theta_W \cos \theta_W (\bar{d}_L \gamma_\mu s_L + \bar{s}_L \gamma_\mu d_L) + \dots \end{aligned}$$

The term  $(\bar{d}_L \gamma_\mu s_L + \bar{s}_L \gamma_\mu d_L)$  gives rise to  $\Delta S = 1$  neutral current processes, e.g.  $K_L \rightarrow \mu^+ + \mu^-$  with same order of magnitude as charged current interaction. But experimentally,

$$R = \frac{\Gamma(K_L \rightarrow \mu^+ + \mu^-)}{\Gamma(K^+ \rightarrow \mu + \nu)} \leq 10^{-8}$$

Thus we can not have  $\Delta S = 1$  neutral current process at the same order of magnitude as the charged current process.

#### GIM mechanism

Glashow, Iliopoulos and Maiani (1970) suggested that there is a 4-th quark, the charm quark  $c$ , which couples to the orthogonal combination  $s_\theta = -\sin \theta_c d + \cos \theta_c s$  so that the multiplets look like

$$\begin{pmatrix} u \\ d_\theta \end{pmatrix}_L, \quad \begin{pmatrix} c \\ s_\theta \end{pmatrix}_L$$

As a result, the  $\Delta S = 1$ , neutral current is canceled out. The new current is of the form

$$\bar{d}_\theta \left(-\frac{1}{2} + \frac{1}{3} \sin^2 \theta_W\right) \gamma_\mu d_\theta + \bar{s}_\theta \left(-\frac{1}{2} + \frac{1}{3} \sin^2 \theta_W\right) \gamma_\mu s_\theta = \left(-\frac{1}{2} + \frac{1}{3} \sin^2 \theta_W\right) (\bar{d} \gamma_\mu d + \bar{s} \gamma_\mu s)$$

which conserves the strangeness.

### Quark mixing

Before the spontaneous symmetry breaking, fermions are all massless because  $\psi_L$  and  $\psi_R$  have different quantum numbers under  $SU(2) \times U(1)$ . So the mass term  $(\bar{\psi}_L \psi_R + h.c.)$  is not invariant under  $SU(2) \times U(1)$  groups and can not appear in the Lagrangian. When we have more than one doublets,  $\psi_{iR}, \psi_{iL}$  all have the same quantum numbers with respect to  $SU(2) \times U(1)$  group we call them "weak eigenstates". Here the index  $i$  labels the different families of weak eigenstates. When spontaneous symmetry breaking takes place, fermions obtain their masses through Yukawa coupling.

$$\mathcal{L}_Y = (f_{ij} \bar{g}_{iL} u_{Rj} + f'_{ij} \bar{g}_{iL} d_{Rj}) \phi + h.c.$$

Note that from the requirement of renormalizability we need to write down all possible terms consistent with  $SU(2) \times U(1)$  symmetry. Since Yukawa coupling constants  $f_{ij}, f'_{ij}$  are arbitrary, the fermion mass matrices are in general not diagonal. When mass matrices are diagonalized the mass matrix we obtain the mass eigenstates which are not the same as the weak eigenstates. The mass matrices in the up and down sectors are given by

$$m_{ij}^{(u)} = f_{ij} \frac{v}{\sqrt{2}} \quad m_{ij}^{(d)} = f'_{ij} \frac{v}{\sqrt{2}}$$

These matrices which are sandwiched between left and right handed fields can be diagonalized by bi-unitary transformations, i.e. given a mass matrix  $m_{ij}$ , there exists unitary matrices  $S$  and  $T$  such that

$$S^\dagger m T = m_d$$

is diagonal. Basically,  $S$  is the unitary matrix which diagonalizes the hermitian combination  $mm^\dagger$ , i. e.

$$S^\dagger (mm^\dagger) S = m_d^2$$

### Biunitary transformation

Write

$$m_d^2 = \begin{pmatrix} m_1^2 & & \\ & m_2^2 & \\ & & m_3^2 \end{pmatrix}$$

Define

$$m_d = \begin{pmatrix} m_1 & & \\ & m_2 & \\ & & m_3 \end{pmatrix}$$

and

$$H = S m_d S^\dagger \quad \text{hermitian}$$

Define a matrix  $V$  by

$$V \equiv H^{-1} m$$

Then

$$V V^\dagger = H^{-1} m m^\dagger H^{-1} = H^{-1} S m_d^2 S^\dagger H^{-1} = H^{-1} H^2 H^{-1} = 1$$

So  $V$  is unitary and we have

$$S^\dagger H S = m_d, \quad \implies \quad S^\dagger m V^\dagger S = m_d$$

Or

$$S^\dagger m T = m_d, \quad \text{with} \quad T = V^\dagger S$$

If we write the left-handed doublets, (weak eigenstates) as

$$q_{1L} = \begin{pmatrix} u' \\ d' \end{pmatrix}_L \quad q_{2L} = \begin{pmatrix} c' \\ s' \end{pmatrix}_L$$

These weak eigenstates are related to mass eigenstates by unitary transformations,

$$\begin{pmatrix} u' \\ c' \end{pmatrix} = S_u \begin{pmatrix} u \\ c \end{pmatrix}, \quad \begin{pmatrix} d' \\ s' \end{pmatrix} = S_d \begin{pmatrix} d \\ s \end{pmatrix}$$

Note that in the coupling to charged gauge boson  $W^\pm$ , we have

$$\mathcal{L}_W = \frac{g}{\sqrt{2}} W_\mu [\bar{q}_{1L} \gamma^\mu \tau^\dagger q_{1L} + \bar{q}_{2L} \gamma^\mu \tau^\dagger q_{2L}] + h.c.$$

and is invariant under unitary transformation in  $q_{1L}, q_{2L}$  space, i.e.

$$\begin{pmatrix} q'_{1L} \\ q'_{2L} \end{pmatrix} = V \begin{pmatrix} q_{1L} \\ q_{2L} \end{pmatrix} \quad VV^\dagger = 1 = V^\dagger V$$

We can use this feature to put all mixing in the down quark sector,

$$q'_{iL} = \begin{pmatrix} u \\ d'' \end{pmatrix}_L, \begin{pmatrix} c \\ s'' \end{pmatrix}_L, \quad \text{where} \quad \begin{pmatrix} d'' \\ s'' \end{pmatrix} = U \begin{pmatrix} d \\ s \end{pmatrix}$$

Here  $U$  is a  $2 \times 2$  unitary matrix. Clearly, we can extend this to 3 generations with result

$$q_{iL} : \begin{pmatrix} u \\ d'' \end{pmatrix}, \begin{pmatrix} c \\ s'' \end{pmatrix}, \begin{pmatrix} t \\ b'' \end{pmatrix} \quad \begin{pmatrix} d'' \\ s'' \\ b'' \end{pmatrix} = U \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

Now  $U$  is a  $3 \times 3$  unitary matrix, usually called the Cabibbo-Kobayashi-Maskawa (CKM) matrix.

### **CP violation Phase**

CP violation can come from complex coupling to gauge bosons. The gauge coupling of  $W^\pm$  to quarks is governed by the  $3 \times 3$  unitary matrix  $U$  discussed above. This unitary matrix  $U$  can have many complex entries. However, in diagonalizing the mass matrices,  $S^\dagger (mm^\dagger) S = m_d^2$  There is ambiguity in the matrix  $S$ , in the form of diagonal phases. In other words, if  $S$  diagonalizes the mass matrix, so does  $S'$

$$S' = S \begin{pmatrix} e^{i\alpha_1} & \dots & \dots \\ \vdots & \ddots & \vdots \\ \vdots & \dots & e^{i\alpha_n} \end{pmatrix}$$

We can use this property to redefine the quark fields to reduce the phase in  $U$ . It turns out that for  $n \times n$  unitary matrix, number of independent physical phases left over is

$$\frac{(n-1)(n-2)}{2}$$

Thus to get CP violation we need to go to 3 generations or more (Kobayashi Maskawa). Here we give a constructive proof of this statement. Let us start with a first doublet written in the form,

$$q_{1L} = \begin{pmatrix} u \\ U_{11}d + U_{12}s + U_{13}b \end{pmatrix}$$

If  $U_{11}$  has phase  $\delta$ ,

$$U_{11} = R_{11} e^{i\delta}, \quad R_{11} \quad \text{real}$$

then this phase  $\delta$  can be absorbed in the redefinition of the  $u$ -quark field

$$u \longrightarrow u' = ue^{-i\delta}$$

and we can write

$$q_{1L} = e^{i\delta} \begin{pmatrix} u' \\ R_{11}d + U'_{12}s + U'_{13}b \end{pmatrix}$$

Similarly, we can factor out the complex phases of  $U_{21}$  and  $U_{31}$  by redefinition of  $c$  and  $t$  quark fields. These overall phases are immaterial because there are no gauge couplings between doublets with different family indices. Finally we can absorb two more phases of  $U_{12}$  and  $U_{13}$  by a redefinition of the  $s$  and  $b$  fields. The doublets now take the form

$$\begin{pmatrix} u' \\ R_{11}d + R_{12}s + R_{13}b \end{pmatrix}_L, \quad \begin{pmatrix} c' \\ R_{21}d + R_{22}e^{i\delta_1}s + R_{23}e^{i\delta_2}b \end{pmatrix}_L, \\ \begin{pmatrix} t' \\ R_{31}d + R_{32}e^{i\delta_3}s + R_{33}e^{i\delta_4}b \end{pmatrix}_L,$$

Now we have reduced the number of parameters to 13. The normalization conditions of each down-like state gives 3 real conditions and orthogonality conditions among different states give 6 real conditions on the parameters, Now we are down to 4 parameters. Since we need 3 parameters for the real orthogonal matrix, we end up with one independent phase.

### **Flavor conservation in neutral current interaction**

It turns out that the coupling of neutral  $Z$  boson to the fermions conserve flavors. This can be illustrated as follows. We first write the neutral currents in terms of quark fields which are weak eigenstates,

$$J_\mu^Z = \sum_i \bar{\psi}_i \gamma_\mu [T_3(\psi_i) - \sin^2 \theta_W Q(\psi_i)] \psi_i$$

Separate into left- and right-handed fields and distinguish the up and down components,

$$\begin{aligned} J_\mu^Z &= \sum_i (\bar{u}'_{Li} \gamma_\mu \left[ \frac{1}{2} - \sin^2 \theta_W \left( \frac{2}{3} \right) \right] u'_{Li} + \bar{d}'_{Li} \gamma_\mu \left[ -\frac{1}{2} + \sin^2 \theta_W \left( \frac{1}{3} \right) \right] d'_{Li} \\ &\quad + \bar{u}'_{Ri} \gamma_\mu \left[ -\sin^2 \theta_W \left( \frac{2}{3} \right) \right] u'_{Ri} + \bar{d}'_{Ri} \gamma_\mu \left[ \sin^2 \theta_W \left( \frac{1}{3} \right) \right] d'_{Ri} \end{aligned}$$

Since weak eigen states  $q'_{iL}$  and mass eigen states  $q_{iL}$  are related by unitary matrices,

$$u'_{Li} = U(u_L)_{ij} u_{Lj}, \quad \dots$$

We see that these unitary matrices cancel out in the combination,  $\bar{u}'_{Li} u'_{Li}$  so that the neutral current in terms of mass eigenstates has the same form as the one in terms of weak eigenstates. Thus it conserves all quark flavor. Note this feature is due to the fact that all quarks with same helicity and electric charge have the same quantum number with respect to  $SU(2) \times U(1)$  gauge group. In other words, if there are quarks in representations other than  $SU(2)$  doublets, then there will be flavor changing neutral current if the new quarks have the same electric charge as either  $u$  or  $d$  quark.