

# Quantum Field Theory

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## Group Theory

The tool for studying symmetry is the group theory. Will give a simple discussion

### Elements of group theory

group  $G$  : collection of elements  $(a, b, c, \dots)$  with a multiplication laws satisfies;

- 1 Closure. If  $a, b \in G$ ,  $c = ab \in G$
- 2 Associative  $a(bc) = (ab)c$
- 3 Identity  $\exists e \in G \ni a = ea = ae \quad \forall a \in G$
- 4 Inverse For every  $a \in G$ ,  $\exists a^{-1} \ni aa^{-1} = e = a^{-1}a$

### Examples

- 1 **Abelian group** — group multiplication commutes, i.e.  $ab = ba \quad \forall a, b \in G$   
e.g. cyclic group of order  $n$ ,  $Z_n$ , consists of  $a, a^2, a^3, \dots, a^n = E$
- 2 **Orthogonal group** —  $n \times n$  orthogonal matrices,  $RR^T = R^T R = 1$ ,  $R : n \times n$  matrix  
e. g. the matrices representing rotations in 2-dimensions,

$$R(\theta) = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

- 3 **Unitary group** —  $n \times n$  unitary matrices,

Built larger groups from smaller ones by direct product:

**Direct product group** — Given two groups ,  $G = \{g_1, g_2 \dots\}$ ,  $H = \{h_1, h_2 \dots\}$  define a direct product group is defined as  $G \times H = \{g_i h_j\}$  with multiplication law

$$(g_i h_j)(g_m h_n) = (g_i g_m)(h_j h_n)$$

## Theory of Representation

group  $G = \{g_1 \cdots g_n \cdots\}$ . If for each group element  $g_i \rightarrow D(g_i)$ ,  $n \times n$  matrix such that

$$D(g_1)D(g_2) = D(g_1g_2) \quad \forall \quad g_1, g_2 \in G$$

then  $D$ 's a representation of the group  $G$  ( $n$ -dimensional representation). If a non-singular matrix  $M$  such that matrices can be transformed into block diagonal form,

$$MD(a)M^{-1} = \begin{pmatrix} D_1(a) & 0 & 0 \\ 0 & D_2(a) & 0 \\ 0 & 0 & \ddots \end{pmatrix} \quad \text{for all } a \in G.$$

$D(a)$  is called reducible representation. Otherwise it is irreducible representation (irrep)

**Continuous group:** groups parametrized by continuous parameters

Example: Rotations in 2-dimensions can be parametrized by  $0 \leq \theta < 2\pi$

## SU(2) group

Set of  $2 \times 2$  unitary matrices with determinant 1 is called  $SU(2)$  group.

In general,  $n \times n$  unitary matrix  $U$  can be written as

$$U = e^{iH} \quad H : n \times n \text{ hermitian matrix}$$

From

$$\det U = e^{i \text{Tr} H}$$

$$\text{Tr} H = 0 \quad \text{if} \quad \det U = 1$$

Thus  $n \times n$  unitary matrices  $U$  can be written in terms of  $n \times n$  traceless Hermitian matrices.

Note that Pauli matrices:

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

is a complete set of  $2 \times 2$  hermitian traceless matrices.

Define  $J_i = \frac{\sigma_i}{2}$  then

$$[J_1, J_2] = iJ_3, \quad [J_2, J_3] = iJ_1, \quad [J_3, J_1] = iJ_2$$

Lie algebra of  $SU(2)$  symmetry. same as commutators of angular momentum.

To construct the irrep of  $SU(2)$  algebra, define

$$J^2 = J_1^2 + J_2^2 + J_3^2, \quad \text{with property} \quad [J^2, J_i] = 0, \quad i = 1, 2, 3$$

Also define

$$J_{\pm} \equiv J_1 \pm iJ_2 \quad \text{then} \quad J^2 = \frac{1}{2}(J_+J_- + J_-J_+) + J_3^2 \quad \text{and} \quad [J_+, J_-] = 2J_3$$

choose simultaneous eigenstates of  $J^2, J_3$ ,

$$J^2|\lambda, m\rangle = \lambda|\lambda, m\rangle \quad , \quad J_3|\lambda, m\rangle = m|\lambda, m\rangle$$

From

$$[J_+, J_3] = -J_+$$

we get

$$(J_+J_3 - J_3J_+)|\lambda, m\rangle = -J_+|\lambda, m\rangle$$

Or

$$J_3(J_+|\lambda, m\rangle) = (m+1)(J_+|\lambda, m\rangle)$$

Thus  $J_+$  is called *raising operator*. Similarly,  $J_-$  lowers  $m$  to  $m-1$ ,

$$J_3(J_-|\lambda, m\rangle) = (m-1)(J_-|\lambda, m\rangle)$$

Since

$$J^2 \geq J_3^2 \quad , \quad \lambda - m^2 \geq 0$$

$m$  is bounded above and below. Let  $j$  be the largest value of  $m$ , then

$$J_+|\lambda, j\rangle = 0$$

Then

$$0 = J_- J_+ |\lambda, j\rangle = (J^2 - J_3^2 - J_3) |\lambda, j\rangle = (\lambda - j^2 - j) |\lambda, j\rangle$$

and

$$\lambda = j(j+1)$$

Similarly, let  $j'$  be the smallest value of  $m$ , then

$$J_- |\lambda, j'\rangle = 0 \quad \lambda = j'(j' - 1)$$

Combining these 2,

$$j(j+1) = j'(j' - 1) \Rightarrow j' = -j \text{ and } j - j' = 2j = \text{integer}$$

use  $j, m$  to label the states. Assume the states are normalized,

$$\langle jm | jm' \rangle = \delta_{mm'}$$

Write

$$J_{\pm} |jm\rangle = C_{\pm}(jm) |j, m \pm 1\rangle$$

Then

$$\langle jm | J_- J_+ | jm \rangle = |C_+(j, m)|^2 \rightarrow$$

$$LHS = \langle j, m | (J^2 - J_3^2 - J_3) | jm \rangle = j(j+1) - m^2 - m$$

Then

$$C_+(j, m) = \sqrt{(j-m)(j+m+1)}$$

Similarly

$$C_-(j, m) = \sqrt{(j+m)(j-m+1)}$$



Summary: eigenstates  $|jm\rangle$  have the properties

$$J_3|j, m\rangle = m|j, m\rangle \quad J_{\pm}|j, m\rangle = \sqrt{(j \mp m)(j \pm m + 1)}|jm \pm 1\rangle \quad , \quad J^2|j, m\rangle = j(j+1)|jm\rangle$$

$|j, m\rangle$ ,  $m = -j, -j+1, \dots, j$  are the basis for irreducible representation of SU(2) group. From these we can construct the representation matrices.

Example:  $j = \frac{1}{2}$  ,  $m = \pm \frac{1}{2}$

$$J_3 = \left| \frac{1}{2}, \pm \frac{1}{2} \right\rangle = \pm \frac{1}{2} \left| \frac{1}{2}, \pm \frac{1}{2} \right\rangle$$

$$J_+ \left| \frac{1}{2}, \frac{1}{2} \right\rangle = 0 \quad , \quad J_+ \left| \frac{1}{2}, -\frac{1}{2} \right\rangle = \left| \frac{1}{2}, \frac{1}{2} \right\rangle \quad , \quad J_- \left| \frac{1}{2}, \frac{1}{2} \right\rangle = \left| \frac{1}{2}, -\frac{1}{2} \right\rangle \quad , \quad J_- \left| \frac{1}{2}, -\frac{1}{2} \right\rangle = 0$$

If we write

$$\left| \frac{1}{2}, \frac{1}{2} \right\rangle = \alpha = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \left| \frac{1}{2}, -\frac{1}{2} \right\rangle = \beta = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

Then we can represent  $J'$ s by matrices,

$$J_3 = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad J_+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad J_- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

Taking linear combinations,

$$J_1 = \frac{1}{2}(J_+ + J_-) = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad J_2 = \frac{1}{2i}(J_+ - J_-) = \frac{1}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

these are just Pauli matrices.

## Product representation

Let  $\alpha$  be the spin-up and  $\beta$  the spin-down states. For 2 spin  $\frac{1}{2}$  particles, the total wavefunction is  $\alpha_1\alpha_2, \alpha_1\beta_2, \dots$

Define  $\vec{J}^{(1)}$  acts only on particle 1 and  $\vec{J}^{(2)}$  on particle 2.

$$\vec{J} = \vec{J}^{(1)} + \vec{J}^{(2)}$$

Use

$$J_3 = J_3^{(1)} + J_3^{(2)} \quad , \quad J_3(\alpha_1\alpha_2) = (J_3^{(1)} + J_3^{(2)})(\alpha_1\alpha_2) = (\alpha_1\alpha_2)$$

From

$$\vec{J}^2 = (\vec{J}^{(1)} + \vec{J}^{(2)})^2 = (\vec{J}^{(1)})^2 + (\vec{J}^{(2)})^2 + 2\left[\frac{1}{2}(J_+^{(1)}J_-^{(2)} + J_-^{(1)}J_+^{(2)}) + J_3^{(1)}J_3^{(2)}\right]$$

$$\vec{J}^2(\alpha_1\alpha_2) = \left(\frac{3}{4} + \frac{3}{4} + \frac{2}{4}\right)|\alpha_1\alpha_2\rangle = 2|\alpha_1\alpha_2\rangle$$

$|1, 1\rangle = \alpha_1\alpha_2$  These means that  $|\alpha_1\alpha_2\rangle$  is a  $j = 1$  state. Use lowering operator to get other  $j = 1$  states

$$J_- (\alpha_1\alpha_2) = (J_-^{(1)} + J_-^{(2)})(\alpha_1\alpha_2) = (\beta_1\alpha_2 + \alpha_1\beta_2)$$

On the other hand

$$J_- (\alpha_1\alpha_2) = J_- |11\rangle = \sqrt{(1+1)(1-1+1)}|1, 0\rangle = \sqrt{2}|1, 0\rangle$$

Thus

$$|1, 0\rangle = \frac{1}{\sqrt{2}}(\beta_1\alpha_2 + \alpha_1\beta_2)$$

Clearly  $|1, 0\rangle = \beta_1\beta_2$  The only state left-over is

$$\frac{1}{\sqrt{2}}(\alpha_1\beta_2 - \beta_1\alpha_2)$$

This is a  $|0, 0\rangle$  state

Summary:

- 1 Among the generator only  $J_3$  is diagonal, —  $SU(2)$  is a rank-1 group
- 2 Irreducible representation is labeled by  $j$  and the dimension is  $2j + 1$
- 3 Basis states  $|j, m\rangle$   $m = j, j - 1 \cdots (-j)$  representation matrices can be obtained from

$$J_3|j, m\rangle = m|j, m\rangle \quad J_{\pm}|j, m\rangle = \sqrt{(j \mp m)(j \pm m + 1)}|j, m \pm 1\rangle$$

## SU(2) and rotation group

The generators of  $SU(2)$  group are Pauli matrices

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Let  $\vec{r} = (x, y, z)$  be arbitrary vector in  $R_3$  (3 dimensional coordinate space). Define a  $2 \times 2$  matrix  $h$  by

$$h = \vec{\sigma} \cdot \vec{r} = \begin{pmatrix} z & x - iy \\ x + iy & -z \end{pmatrix}$$

$h$  has the following properties

- ①  $h^\dagger = h$
- ②  $\text{Tr} h = 0$
- ③  $\det h = -(x^2 + y^2 + z^2)$

Let  $U$  be a  $2 \times 2$  unitary matrix with  $\det U = 1$ . Consider the transformation

$$h \rightarrow h' = U h U^\dagger$$

Then we have

- ①  $h'^\dagger = h'$
- ②  $\text{Tr} h' = 0$
- ③  $\det h' = \det h$

Properties (1)&(2) imply that  $h'$  can also be expanded in terms of Pauli matrices

$$h' = \vec{r}' \cdot \vec{\sigma} \vec{r} = (x', y', z')$$

$$\det h' = \det h \Rightarrow x'^2 + y'^2 + z'^2 = x^2 + y^2 + z^2$$

Thus relation between  $\vec{r}$  and  $\vec{r}'$  is a rotation.

An arbitrary  $2 \times 2$   $U$  induces a rotation in  $R_3$ . This is a connection between  $SU(2)$  and  $O(3)$  groups. Note that  $U$  and  $-U$  correspond to the same rotation.

## Rotation group & QM

Rotation in  $R_3$  can be represented as

$$\vec{r} = (x, y, z) = (r_1, r_2, r_3) \quad , \quad r_i \rightarrow r'_i = R_{ij} r_j \quad RR^T = 1 = R^T R$$

Consider an arbitrary function of coordinates,  $f(\vec{r}) = f(x, y, z)$ . Under the rotation, the change in  $f$

$$f(r_i) \rightarrow f(R_{ij} r_j) = f'(r_i)$$

If  $f = f'$  we say  $f$  is invariant under rotation, e.g.  $f(\vec{r}) = f(r)$ ,  $r = \sqrt{x^2 + y^2 + z^2}$

In QM, implement the rotation by

$$|\psi\rangle \rightarrow |\psi'\rangle = U|\psi\rangle, \quad O \rightarrow O' = UOU^\dagger$$

so that

$$\langle\psi'|O'|\psi'\rangle = \langle\psi|O|\psi\rangle$$

If  $O'^\dagger = O$ , we say the operator  $O$  is invariant under rotation

$$UO = OU \quad [O, U] = 0$$

In terms of infinitesimal generators

$$U = e^{-i\theta\vec{n}\cdot\vec{J}/\hbar}$$

this implies  $[J_i, O] = 0$ ,  $i = 1, 2, 3$ . If  $O$  is the Hamiltonian  $H$ , this gives  $[J_i, H] = 0$ .

Let  $|\psi\rangle$  be an eigenstate of  $H$  with eigenvalue  $E$ ,

$$H|\psi\rangle = E|\psi\rangle$$

then

$$(J_i H - H J_i)|\psi\rangle = 0 \Rightarrow H(J_i|\psi\rangle) = E(J_i|\psi\rangle)$$

i.e.  $|\psi\rangle$  &  $J_i|\psi\rangle$  are degenerate. For example, let  $|\psi\rangle = |j, m\rangle$  the eigenstates of angular momentum, then  $J_{\pm}|j, m\rangle$  are also eigenstates if  $|\psi\rangle$  is eigenstate of  $H$ . This means for a given  $j$ , the degeneracy is  $(2j + 1)$ .

## Gauge Theory

Abelian gauge theory(QED)

Maxwell Equation

$$\vec{\nabla} \cdot \vec{E} = \frac{\rho}{\epsilon_0} \quad , \quad \vec{\nabla} \cdot \vec{B} = 0$$

$$\vec{\nabla} \times \vec{E} + \frac{\partial \vec{B}}{\partial t} = 0 \quad , \quad \frac{1}{\mu_0} \vec{\nabla} \times \vec{B} = \epsilon_0 \frac{\partial \vec{E}}{\partial t} + \vec{J}$$

Source free equations can be solved by

$$\vec{B} = \nabla \times \vec{A} \quad , \quad \vec{E} = -\nabla \phi - \frac{\partial \vec{A}}{\partial t}$$

In Minkowski space

$$\partial^\mu A^\nu - \partial^\nu A^\mu = F^{\mu\nu} \quad F^{ij} \sim \epsilon^{ijk} B_k \quad F^{0i} \sim E^i$$

$\vec{E}$  and  $\vec{B}$  are unchanged under the transformation

$$\phi \rightarrow \phi - \frac{\partial \alpha}{\partial t}, \quad \vec{A} \rightarrow \vec{A} + \vec{\nabla} \alpha$$

Or

$$A^\mu \rightarrow A^\mu - \partial^\mu \alpha, \quad \text{where} \quad A^\mu = \left( \frac{\phi}{c}, \vec{A} \right)$$



This is called **gauge invariance**.

Schrodinger Equation for a charged particle

$$\left[ \frac{1}{2m} \left( \frac{\hbar}{i} \vec{\nabla} - e\vec{A} \right)^2 - e\phi \right] \psi = i\hbar \frac{\partial \psi}{\partial t}$$

To get same physics, need to transform  $\psi$

$$\psi \rightarrow e^{ie\alpha/\hbar} \psi \quad \alpha = \alpha(x)$$

This provides a **connection between gauge transformation with symmetry transformation**.

More general construction, start with a free electron field Lagrangian,

$$\mathcal{L}_0 = \bar{\psi}(x)(i\gamma^\mu\partial_\mu - m)\psi(x)$$

This has global U(1) symmetry,

$$\psi(x) \rightarrow \psi = e^{-i\alpha}\psi(x) \quad \alpha : \text{constant}$$

$$\bar{\psi}(x) \rightarrow \bar{\psi}'(x) = \bar{\psi}(x)e^{i\alpha}$$

Suppose

$$\alpha = \alpha(x) \quad \psi' = e^{-i\alpha(x)}\psi(x) \quad , \quad \bar{\psi}'(x) = \bar{\psi}(x)e^{i\alpha(x)}$$

transformation of derivative

$$\bar{\psi}(x)\partial_\mu\psi(x) \rightarrow \bar{\psi}'(x)\partial_\mu\psi'(x) = \bar{\psi}(x)\partial_\mu\psi(x) - i(\partial_\mu\alpha)(\bar{\psi}\psi) \quad \text{not invariant}$$

Introduce gauge field  $A_\mu(x)$  to form **covariant derivative**

$$D_\mu\psi \equiv (\partial_\mu + igA_\mu)\psi(x)$$

So that  $D_\mu\psi$  transforms same way as  $\psi$ ,

$$(D_\mu\psi)' = e^{-i\alpha(x)}(D_\mu\psi)$$

This requires that

$$(\partial_\mu + igA'_\mu)\psi' = e^{-i\alpha}(\partial_\mu + igA_\mu)\psi$$

and

$$A'_\mu = A_\mu - \frac{1}{g}\partial_\mu\alpha$$

Then

$$\mathcal{L} = \bar{\psi}i\gamma^\mu(\partial_\mu + igA_\mu)\psi - m\bar{\psi}\psi$$

is invariant under local symmetry transformation (local symmetry)  
Lagrangian for gauge field is ,

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

This is invariant under gauge transformation.

We can write  $F_{\mu\nu}$  in terms of covariant derivative,

$$\begin{aligned} D_\mu D_\nu \psi &= (\partial_\mu + igA_\mu)(\partial_\nu + igA_\nu)\psi \\ &= \partial_\mu \partial_\nu \psi - g^2 A_\mu A_\nu \psi + ig(A_\mu \partial_\nu + A_\nu \partial_\mu)\psi + ig(\partial_\mu A_\nu)\psi \end{aligned}$$

The antisymmetric combination is

$$(D_\mu D_\nu - D_\nu D_\mu)\psi = ig(\partial_\mu A_\nu - \partial_\nu A_\mu)\psi = ig(F_{\mu\nu})\psi$$

From

$$[(D_\mu D_\nu - D_\nu D_\mu)\psi]' = e^{-i\alpha}(D_\mu D_\nu - D_\nu D_\mu)\psi$$

we see

$$F'_{\mu\nu} = F_{\mu\nu}$$

is gauge invariant.

The complete Lagrangian

$$\mathcal{L} = \bar{\psi} i \gamma^\mu (\partial_\mu + ig A_\mu) \psi - m \bar{\psi} \psi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

is invariant under gauge transformation

$$\psi(x) \rightarrow \psi'^{i\alpha(x)} \psi(x)$$

$$A_\mu(x) \rightarrow A'_\mu(x) = A_\mu(x) - \frac{1}{g} \partial_\mu \alpha(x)$$

Remarks:

- ①  $A_\mu A^\mu$  term is not gauge invariant  $\Rightarrow$  field massless.
- ②  $D_\mu \psi = (\partial_\mu + ig A_\mu) \psi$  the coupling is universal
- ③ no gauge self coupling

### Recipe for the construction of theory with local symmetry

- ① Write down a Lagrangian with local symmetry

- 2 Replace  $\partial_\mu \phi$  by covariant derivative  $D_\mu \phi \sim \left( \partial_\mu - ig A_\mu^a t^a \right) \phi$  where gauge fields  $A_\mu^a$  have been introduced.
- 3 Use  $(D_\mu D_\nu - D_\nu D_\mu) \phi \sim F_{\mu\nu}^a \phi$  to construct the field tensor  $F_{\mu\nu}^a$  and add  $-\frac{1}{4} F_{\mu\nu}^a F^{a\mu\nu}$  to the Lagrangian density

## Non-Abelian symmetry-Yang Mills fields

1954: Yang-Mills generalized  $U(1)$  local symmetry to  $SU(2)$  local symm.

Consider isospin doublet

$$\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$$

Under  $SU(2)$  transformation

$$\psi(x) \rightarrow \psi'(x) = \exp\left\{-\frac{i\vec{\tau} \cdot \vec{\theta}}{2}\right\} \psi(x),$$

where  $\vec{\tau} = (\tau_1, \tau_2, \tau_3)$  are Pauli matrices, with

$$\left[\frac{\tau_i}{2}, \frac{\tau_j}{2}\right] = i\epsilon_{ijk} \left(\frac{\tau_k}{2}\right)$$

Start with free Lagrangian which is invariant under  $SU(2)$  symm,

$$\mathcal{L}_0 = \bar{\psi}(x)(i\gamma^\mu \partial_\mu - m)\psi$$

Under local symmetry transformation,

$$\psi(x) \rightarrow \psi'(x) = U(\theta)\psi(x) \quad \text{with} \quad U(\theta) = \exp\left\{-\frac{i\vec{\tau}\theta(x)}{2}\right\}$$

As usual for local symmetry, the derivative term does not transform linearly,

$$\partial_\mu \psi(x) \rightarrow \partial_\mu \psi'(x) = U \partial_\mu \psi + (\partial_\mu U) \psi$$

Introduce gauge fields  $\vec{A}_\mu$  to form covariant derivative,

$$D_\mu \psi(x) \equiv (\partial_\mu - ig \frac{\vec{\tau} \cdot \vec{A}_\mu}{2}) \psi$$

Require that  $D_\mu \psi$  transforms as  $\psi(x)$

$$[D_\mu \psi]' = U [D_\mu \psi]$$

which requires

$$(\partial_\mu - ig \frac{\vec{\tau} \cdot \vec{A}_\mu'}{2})(U\psi) = U(\partial_\mu - ig \frac{\vec{\tau} \cdot \vec{A}_\mu}{2})\psi$$

Or

$$-ig(\frac{\vec{\tau} \cdot \vec{A}_\mu'}{2})U + \partial_\mu U = U(-ig \frac{\vec{\tau} \cdot \vec{A}_\mu}{2})$$

$$\frac{\vec{\tau} \cdot \vec{A}_\mu'}{2} = U(\frac{\vec{\tau} \cdot \vec{A}_\mu}{2})U^{-1} - \frac{i}{g}(\partial_\mu U)U^{-1}$$

Use covariant derivatives to construct field tensor

$$D_\mu D_\nu \psi = (\partial_\mu - ig \frac{\vec{\tau} \cdot \vec{A}_\mu}{2})(\partial_\nu - ig \frac{\vec{\tau} \cdot \vec{A}_\nu}{2})\psi = \partial_\mu \partial_\nu \psi - ig(\frac{\vec{\tau} \cdot \vec{A}_\mu}{2} \partial_\nu \psi + \frac{\vec{\tau} \cdot \vec{A}_\nu}{2} \partial_\mu \psi) \\ - ig \partial_\mu (\frac{\vec{\tau} \cdot \vec{A}_\nu}{2})\psi + (-ig)^2 (\frac{\vec{\tau} \cdot \vec{A}_\mu}{2})(\frac{\vec{\tau} \cdot \vec{A}_\nu}{2})\psi$$

Antisymmetrization

$$(D_\mu D_\nu - D_\nu D_\mu)\psi \equiv ig(\frac{\vec{\tau} \cdot \vec{F}_{\mu\nu}}{2})\psi \quad \frac{\vec{\tau} \cdot \vec{F}_{\mu\nu}}{2} = \frac{\vec{\tau}}{2} \cdot (\partial_\mu \vec{A}_\nu - \partial_\nu \vec{A}_\mu) - ig[\frac{\vec{\tau} \cdot \vec{A}_\mu}{2}, \frac{\vec{\tau} \cdot \vec{A}_\nu}{2}]$$

Or

$$F_{\mu\nu}^i = \partial_\mu A_\nu^i - \partial_\nu A_\mu^i + g\epsilon^{ijk} A_\mu^j A_\nu^k$$

The the term quadratic in  $A$  is new in Non-Abelian symmetry.

Under gauge transformation.

$$\vec{\tau} \cdot \vec{F}_{\mu\nu}' = U(\vec{\tau} \cdot \vec{F}_{\mu\nu})U^{-1}$$

Infinitesimal transformation  $\theta(x) \ll 1$

$$A^{i/\mu} = A^\mu + \epsilon^{ijk} \theta^j A_\mu^k - \frac{1}{g} \partial_\mu \theta^i$$

$$F_{\mu\nu}^{i/} = F_{\mu\nu}^i + \epsilon^{ijk} \theta^j F_{\mu\nu}^k$$

Remarks



- 1 Again  $A_\mu^a A^{a\mu}$  is not gauge invariant  $\Rightarrow$  gauge boson massless  $\Rightarrow$  long range force
- 2  $A_\mu^a$  carries that symmetry charge (e.g. color —)
- 3  $F^{a\mu\nu} \sim \partial A - \partial A + gAA \rightarrow$  term responsible for Asymptotic freedom.

## **Spontaneous symmetry breaking**

Spontaneous symmetry breaking—symm of ground state  $\neq$  symmetry of the Hamiltonian  
 $\Rightarrow$  If symmetry is continuous,  $\Rightarrow$  massless scalar fields—Goldstone boson

Example: ferromagnetism

$T > T_c$  (Curie temp) all dipoles are randomly oriented—rotational invariant

$T < T_c$  all dipoles are oriented in some direction

## **Ginzburg-Landau theory**

Free energy as function of magnetization  $\vec{M}$  (averaged)

$$\mu(\vec{M}) = (\partial_t \vec{M})^2 + \alpha_1(T) \vec{M} \cdot \vec{M} + \alpha_2(\vec{M} \cdot \vec{M})^2$$

take  $\alpha_2 > 0$  so that free energy is positive for large  $M$  and  $\alpha_1(T) = \alpha(T - T_c)$   $\alpha > 0$  so that there is a transition going through  $T_c$ . Ground state is governed by

$$\vec{M}(\alpha_1 + 2\alpha_2 \vec{M} \cdot \vec{M}) = 0$$

For  $T > T_c$  only solution is  $\vec{M} = 0$  and  $T < T_c$  non-trivial sol  $|\vec{M}| = +\sqrt{\frac{\alpha_1}{2\alpha_2}} \neq 0$

$\Rightarrow$  ground state with  $\vec{M}$  in some direction is no longer rotational invariant.

## **Nambu-Goldstone theorem**

Noether's theorem: a continuous symmetry  $\Rightarrow$  conserved charge  $Q$ . Suppose 2 local operators  $A, B$  with property

$$[Q, B] = A \quad Q = \int d^3x j_0(x) \quad \text{indep of time}$$

Suppose  $\langle 0|A|0\rangle = v \neq 0$  (symmetry breaking condition)

$$\begin{aligned}
 0 \neq \langle 0|[Q, B]|0\rangle &= \int d^3x \langle 0|[j_0(x), B]|0\rangle \\
 &= \sum_n (2\pi)^3 \delta^3(\vec{P}_n) \{ \langle 0|j_0(0)|n\rangle \langle n|B|0\rangle e^{-iE_n t} - \langle n|B|0\rangle \langle 0|j_0(0)|n\rangle e^{-iE_n t} \} = v
 \end{aligned}$$

Since  $V \neq 0$  and time-independent, we need to a state such that

$$E_n \rightarrow 0 \quad \text{for } \vec{P}_n = 0$$

massless excitation. For relativistic particle  $E = \sqrt{\vec{P}^2 + m^2}$ , this implies massless particle—Goldstone boson.

## Discrete symmetry case

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi)^2 - \frac{\mu^2}{2}\phi^2 - \frac{\lambda}{4}\phi^4, \quad \phi \rightarrow -\phi \text{ symmetry}$$

The Hamiltonian density

$$H = \frac{1}{2}(\partial_0 \phi)^2 + \frac{1}{2}(\vec{\nabla} \phi)^2 + \frac{\mu^2}{2}\phi^2 + \frac{\lambda}{4}\phi^4$$

Effective energy

$$\mu(\phi) = \frac{1}{2}(\vec{\nabla} \phi)^2 + V(\phi), \quad V(\phi) = \frac{\mu^2}{2}\phi^2 + \frac{\lambda}{4}\phi^4$$

For  $\mu^2 < 0$  the ground state has  $\phi = \pm \sqrt{\frac{-\mu^2}{\lambda}}$  classically.

This means the quantum ground state  $|0\rangle$  will have the property

$$\langle 0|\phi|0\rangle = v \neq 0 \quad \text{symmetry breaking condition}$$

Define quantum field  $\phi'$  by  $\phi' = \phi - v$

$$\text{then } \mathcal{L} = \frac{1}{2}(\partial_\mu \phi')^2 - (-\mu^2)\phi'^2 - \lambda v \phi'^3 - \frac{\lambda}{4}\phi'^4$$

No Goldstone boson—discrete symmetry

## Abelian symmetry case

$$\mathcal{L} = \frac{1}{2}[(\partial_\mu \sigma)^2 + (\partial_\mu \pi)^2] - V(\sigma^2 + \pi^2)$$

with

$$V(\sigma^2 + \pi^2) = -\frac{\mu^2}{2}(\sigma^2 + \pi^2) + \frac{\lambda}{4}(\sigma^2 + \pi^2)^2$$

This system has  $O(2)$  symmetry,

$$\begin{pmatrix} \sigma \\ \pi \end{pmatrix} \rightarrow \begin{pmatrix} \sigma' \\ \pi' \end{pmatrix} = \begin{pmatrix} \cos \alpha & \sin \alpha \\ -\sin \alpha & \cos \alpha \end{pmatrix} \begin{pmatrix} \sigma \\ \pi \end{pmatrix}$$

The minimum is located at

$$\sigma^2 + \pi^2 = \frac{\mu^2}{\lambda} = v^2$$

This is a circle *in  $\sigma - \pi$  plane*. For convenience choose  $\langle 0|\sigma|0\rangle=v$   $\langle 0|\pi|0\rangle=0$ .  
New quantum field

$$\sigma' = \sigma - v, \quad \pi' = \pi$$

The new Lagrangian is

$$\mathcal{L} = \frac{1}{2}[(\partial_\mu \sigma')^2 + (\partial_\mu \pi')^2] - \mu^2 \sigma'^2 - \lambda v \sigma'(\sigma'^2 + \pi'^2) - \frac{\lambda}{4}(\sigma'^2 + \pi'^2)^2$$

Note that there is no  $\pi'^2$  term,  $\Rightarrow \pi'$  massless Goldstone boson

## Non-Abelian case

$\sigma$ -model

$$\mathcal{L} = \frac{1}{2}[(\partial_\mu \sigma^2 + (\partial_\mu \vec{\pi})^2] + \bar{N} i \gamma^\mu \partial_\mu N + g \bar{N}(\sigma + i \vec{\tau} \cdot \vec{\pi} \gamma_5) N - V(\sigma^2 + \vec{\pi}^2)$$

with

$$V(\sigma^2 + \vec{\pi}^2) = -\frac{\mu^2}{2}(\sigma^2 + \vec{\pi}^2) + \frac{\lambda}{4}(\sigma^2 + \vec{\pi}^2)^2$$

It has the following symmetries, in the infinitesimal forms,

$$\left\{ \begin{array}{l} \sigma \longrightarrow \sigma' = \sigma \\ \vec{\pi} \longrightarrow \vec{\pi}' = \vec{\pi} + i \vec{\alpha} \times \vec{\pi} \\ N \longrightarrow N' = N - i \frac{\vec{\alpha} \cdot \vec{\tau}}{2} N \end{array} \right.$$

and

$$\left\{ \begin{array}{l} \sigma \longrightarrow \sigma' = \sigma + \vec{\beta} \cdot \vec{\pi} \\ \vec{\pi} \longrightarrow \vec{\pi}' = \vec{\pi} - \vec{\beta} \sigma \\ N \longrightarrow N' = N - i \frac{\vec{\beta} \cdot \vec{\tau}}{2} \gamma_5 N \end{array} \right.$$

where  $\vec{\alpha}$  and  $\vec{\beta}$  are arbitrary parameters. The symmetry is of the form,  $SU(2) \times SU(2)$ . This Lagrangian has been used in 1960's to describe the interaction between pions and nucleons.

Note that the nucleon is massless due to the symmetry under the axial transformation. As for the spontaneous symmetry breaking, it is easy to see that the minimum is located at

$$\sigma^2 + \vec{\pi}^2 = v^2 = \frac{\mu^2}{\lambda}$$

If we choose

$$\langle \sigma \rangle = v \quad , \quad \langle \vec{\pi} \rangle = 0$$

The  $\vec{\pi}$  are Goldstone bosons. The symmetry is broken from  $SU(2) \times SU(2)$  to  $SU(2)$ . Note that the spontaneous symmetry also give mass to the nucleon,

$$M_N = gv.$$

## Higgs Phenomena

Combine spontaneous symmetry breaking with local symmetry  $\Rightarrow$  Higgs Phenomena.

Discovered in the 60's by Higgs, Englert & Brout, Guralnik, Hagen & Kibble independently

### Abelian case

Consider the Lagrangian

$$\mathcal{L} = (D_\mu \phi)^\dagger (D^\mu \phi) + \mu^2 \phi \phi^\dagger - \lambda (\phi^\dagger \phi)^2 - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

where

$$D^\mu \phi = (\partial^\mu - igA^\mu) \phi, \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

This is invariant under the local gauge transformation

$$\phi(x) \rightarrow \phi' = e^{-i\alpha(x)} \phi(x)$$

$$A_\mu(x) \rightarrow A'_\mu(x) = A_\mu(x) - \frac{1}{g} \partial_\mu \alpha(x)$$

The spontaneous symm. breaking is generated by the potential

$$V(\phi) = -\mu^2 \phi^\dagger \phi + \lambda (\phi^\dagger \phi)^2$$

minimum at

$$\phi^\dagger \phi = \frac{v^2}{2} = \frac{1}{2} \left( \frac{\mu^2}{\lambda} \right)$$



In quantum theory, choose

$$|\langle 0|\phi|0\rangle| = \frac{v}{\sqrt{2}}$$

Write

$$\phi = \frac{1}{\sqrt{2}}(\phi_1 + i\phi_2)$$

With the choice

$$\langle\phi_1\rangle = v \quad , \quad \langle\phi_2\rangle = 0$$

$\phi_2$  corresponds to Goldstone boson. Define quantum fields by

$$\phi'_1 = \phi_1 - v \quad , \quad \phi'_2 = \phi_2$$

Covariant derivative terms gives

$$(D_\mu\phi)^+(D^\mu\phi) = [(\partial_\mu + igA_\mu)\phi^+][(\partial^\mu - igA^\mu)\phi]$$

$$\frac{-1}{2}(\partial_\mu\phi'_1 + gA_\mu\phi'_2)^2 + \frac{1}{2}(\partial_\mu\phi'_2 - gA_\mu\phi'_1)^2 + \underline{\frac{g^2v^2}{2}A^\mu A_\mu} + \dots$$

Here we have mass terms for  $A^\mu$ . Write the scalar field as

$$\phi(x) = \frac{1}{\sqrt{2}}(v + \eta(x))e^{i\zeta(x)/v}$$

"Gauge" transformation:

$$\phi \longrightarrow \phi' = e^{-i\tilde{\zeta}(x)/v} \phi(x) \quad , \quad B_\mu = A_\mu(x) - \frac{1}{g\nu} \partial_\mu \tilde{\zeta}$$

$\tilde{\zeta}(x)$  disappears from the Lagrangian

Roughly speaking, massless gauge field  $A_\mu$  combine with Goldstone boson  $\tilde{\zeta}(x)$  to become massive gauge boson. As a consequence, two long range forces (from Goldstone boson  $\tilde{\zeta}(x)$  and  $A_\mu(x)$ ) disappear.

### Non-Abelian case

SU(2) group:  $\phi = \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}$  doublet

$$\mathcal{L} = (D_\mu \phi)^\dagger (D^\mu \phi) - V(\phi) - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} \quad , \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

$$V(\phi) = -\mu^2 (\phi^\dagger \phi) + \lambda (\phi^\dagger \phi)^2$$

Spontaneous symmetry breaking:

$$\langle \phi \rangle_0 = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix} \quad v = \sqrt{\frac{\mu^2}{\lambda}}$$

Define  $\phi' = \phi - \langle \phi \rangle_0$

From covariant derivative

$$(D_\mu \phi)^\dagger (D^\mu \phi) = [\partial_\mu - ig \frac{\vec{\tau} \cdot \vec{A}_\mu}{2} (\phi' + \langle \phi \rangle_0)]^\dagger [\partial^\mu - ig \frac{\vec{\tau} \cdot \vec{A}^\mu}{2} (\phi' + \langle \phi \rangle_0)]$$

$$\rightarrow \frac{1}{4} g^2 \langle \phi \rangle_0 (\vec{\tau} \cdot \vec{A}_\mu) (\vec{\tau} \cdot \vec{A}^\mu) \langle \phi \rangle_0 = \frac{1}{2} \left( \frac{gv}{2} \right)^2 \vec{A}_\mu \cdot \vec{A}^\mu$$

All gauge bosons get masses

$$M_A = \frac{1}{2} gv$$

The symmetry is completely broken. Write

$$\phi(x) = \exp\left\{\frac{i\vec{\tau} \cdot \vec{\xi}(x)}{\nu}\right\} \begin{pmatrix} 0 \\ \frac{\nu + \eta(x)}{\sqrt{2}} \end{pmatrix}$$

Use "gauge" transformation

$$\phi'(x) = U(x)\phi(x) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ \nu + \eta(x) \end{pmatrix}$$

$$\frac{\vec{\tau} \cdot \vec{B}_\mu}{2} = U \frac{\vec{\tau} \cdot \vec{A}_\mu}{2} U^{-1} - \frac{i}{g} [\partial_\mu U] U^{-1}$$

$$\text{where } U(x) = \exp\left\{\frac{\vec{\tau} \cdot \vec{\xi}}{\nu}\right\}$$

to transform away  $\vec{\xi}(x)$ .