



More on the density operator,

* any Hermitian, non-negative definite, with unit trace operator can be viewed as a density operator.

* Assume R has all three features -

$$R|n\rangle = r_n|n\rangle \quad , \quad r_n : \text{eigenvalue}, \quad |n\rangle : \text{eigenstate of } R$$

Since R is Hermitian, r_n is real.

$$\langle n|R|n\rangle = r_n \geq 0 \quad \text{because it's nonnegative definite}$$

Also, the unit trace says
$$\sum_n r_n = 1$$

$\Rightarrow r_n$ can be interpreted as probabilities.

R can be expanded in terms of its projection operators and eigenvalues

$$R = \sum_n r_n |n\rangle\langle n|$$

$\Rightarrow$ This is ~~really~~ exactly the same definition of ρ in ^{the} discrete case.

* An arbitrary ρ can be represented in terms of an orthonormal set of pure states; BUT in the general form $|\psi_n\rangle$ need not to be orthogonal at all!!

$$\rho = \sum_n f_n |\psi_n\rangle\langle\psi_n|$$

* $\text{tr}(\rho^2) \leq 1$, with equality iff ρ represents a pure state

$$\begin{aligned} \text{tr}(\rho^2) &= \sum_k \langle k | \left(\sum_n f_n |n\rangle\langle n| \right) \left(\sum_m f_m |m\rangle\langle m| \right) | k \rangle \\ &= \sum_n \langle k | \left(\sum_n f_n^2 |n\rangle\langle n| \right) | k \rangle = \sum_n f_n^2 \leq 1 \end{aligned}$$

only pure state can be 1.

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(7)

★ Another test is that $\rho^2 = \rho$ if pure state

By pure state, $\rho = |n\rangle\langle n|$ (no sum)

$$\rho^2 = (|n\rangle\langle n|)(|n\rangle\langle n|) = |n\rangle\langle n| = \rho$$

★ Or by checking the entropy. —

In statistical mechanics, $S = -k_B \sum_i p_i \ln p_i$

So, in QM,

k_B : Boltzmann const

$$S = -k_B \text{tr}(\rho \ln \rho)$$

$$= -k_B \sum_k \langle k | \left(\sum_n p_n |n\rangle\langle n| \right) \left(\sum_n \ln(p_n) |n\rangle\langle n| \right) | k \rangle$$

$$= -k_B \sum_k \langle k | \left(\sum_n p_n \ln(p_n) |n\rangle\langle n| \right) | k \rangle$$

$$= -k_B \sum_i p_i \ln p_i$$

For a pure state $p_i = 1$, and no other $\Rightarrow \underline{S=0}$

for a mixed state $\underline{S > 0}$

★ An interesting case, if we maximize S with constraint $\text{tr} \rho = 1$ with a fixed expected energy $\text{tr}(\rho H)$ (see next page)

$\Rightarrow$ we obtain the density operator for a system at thermal equilibrium at a given temperature.

$$\boxed{\rho = \frac{1}{Z} e^{-\beta H}}$$

$$\beta = \frac{1}{k_B T}$$

Z : the partition function

$$\boxed{Z(\beta) = \text{tr}(e^{-\beta H})}$$

such that $\text{tr}(\rho) = 1$

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maximize S

$$\delta S = -k_B \sum_i \delta f_i (\ln f_i + 1) = 0$$

fixed H , $\text{tr} \rho = 1$

$$\delta(H) = \sum_i \delta f_i E_i = 0$$

$$\delta(\text{tr} \rho) = \sum_i \delta f_i = 0$$

therefore

$$k_B(1 + \ln f_i) + \alpha E_i + \gamma = 0, \quad \alpha, \gamma: \text{Lagrange multipliers.}$$

$$\Rightarrow f_i = \exp\left(-\frac{\alpha E_i + \gamma}{k_B} - 1\right)$$

① Since one requires the argument to be dimensionless. $\alpha = \frac{\pm 1}{T}$
such that $[k_B T] = [E]$

② The sign must be (+), so the system will be bounded.
energy of

③ normalization fixes the rest.

$$\Rightarrow \rho = \frac{1}{Z} e^{-\beta H}, \quad \beta = \frac{1}{k_B T}$$

★ The physics of the above ρ is that of a system which has been prepared by allowing it to equilibrate with a heat bath at temperature T .
then detach from the heat bath and be delived to a measurement.

e.g. Silver atoms $\Leftrightarrow$ hot wall.

silver atoms $\longrightarrow$ leave the oven, no more interaction.
do not all have the same energy, but a distribution of energy.

All information is contained in the density operator.

★ If $H|n\rangle = E_n|n\rangle$

$$\rho = \frac{1}{Z} \sum_n e^{-\beta E_n} |n\rangle\langle n|, \quad Z(\beta) = \sum_n e^{-\beta E_n}$$

The partition function is essentially important in statistical mechanics.

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The difference between
 $\star$ density operator $\iff$ coherent superposition
 (incoherent superposition)

$$|\psi\rangle = \sum_n c_n |n\rangle$$

c_n are presumed known.

$\star$ If you like, you can imagine a ket vector $\hat{\psi}$ represents the state of a system at a given T , but the c_n are only known in a statistical sense.

$$c_n = a_n e^{i\theta_n}$$

then, according to the Boltzmann distribution,

$$\langle a_n^2 \rangle = \frac{e^{-\beta E_n}}{\mathcal{Z}} \quad , \quad \text{and } \theta_n \in [0, 2\pi] \text{ totally random}$$

$$\text{So } |\psi\rangle\langle\psi| = \sum_{n,m} c_n c_m^* |n\rangle\langle m| = \sum_{n,m} a_n a_m e^{i(\theta_n - \theta_m)} |n\rangle\langle m|$$

Due to the random phase,

$$\langle e^{i(\theta_n - \theta_m)} \rangle_{\text{phase}} = \delta_{nm}$$

$$\text{if } n \neq m \quad \langle e^{i\theta_n} \rangle = \langle e^{i\theta_m} \rangle = 0$$

therefore

$$\langle |\psi\rangle\langle\psi| \rangle_{\text{phase}} = \sum_n a_n^2 |n\rangle\langle n| \quad , \quad \text{and we recover the canonical density operator.}$$

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Now we can revise the postulates of QM to incorporate the density operator.

- ① Every physical system is associated with a Hilbert space $\mathcal{E}$
- ② Every state of a physical system is associated with a density operator ρ acting on $\mathcal{E}$. (And ρ is Hermitian, nonnegative definite operator of unit trace, $\text{tr} \rho = 1$)
- ③ Every measurement which can be carried out on the system corresponds to a complete Hermitian operator A ,
- ④ The possible outcomes are the eigenvalues of A
(discrete: $a_1, a_2, \dots$ or continuous $a(\lambda)$)
- ⑤ The average value of measurement A is $\text{tr}(\rho A)$
 discrete: $\text{Prob}(A = a_n) = \text{tr}(\rho P_n)$
 P_n is the projection operator onto the eigenspace $\mathcal{E}_n$ corresponding to eigenvalue a_n .
 Continuous: $\text{Prob}(a_0 \leq A \leq a_1) = \text{tr}(\rho P_I)$, $I = [a_0, a_1]$
- ⑥ After measuring a eigenvalue a_n ,

$$\rho \Rightarrow \frac{P_n \rho P_n}{\text{tr}(\rho P_n)}$$

$$\Rightarrow \frac{P_I \rho P_I}{\text{tr}(\rho P_I)}$$

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