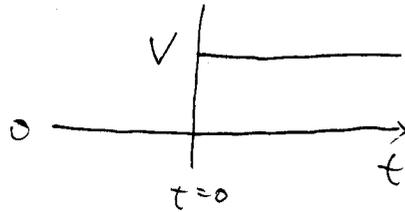


## Fermi Golden Rule

Consider the perturbation



The lowest order term in the transition amplitude for  $i \neq f$  is

$$\begin{aligned} \frac{-i}{\hbar} \int_0^t dt' \langle f | V | i \rangle &= \frac{-i}{\hbar} \int_0^t dt' \langle f | e^{\frac{iH_0 t'}{\hbar}} V e^{-\frac{iH_0 t'}{\hbar}} | i \rangle \\ &= \frac{-i}{\hbar} \int_0^t dt' e^{\frac{-i(E_i - E_f)t'}{\hbar}} \underbrace{\langle f | V | i \rangle}_{V_{fi}} \\ &= \frac{e^{\frac{-i(E_i - E_f)t}{\hbar}} - 1}{E_i - E_f} V_{fi} \end{aligned}$$

Denoting  $\Delta E = E_i - E_f$ , then the amplitude is

$$A = \frac{e^{-\frac{i\Delta E t}{\hbar}} - 1}{\Delta E} V_{fi} = e^{-\frac{i\Delta E t}{2\hbar}} \frac{(e^{-\frac{i\Delta E t}{2\hbar}} - e^{+\frac{i\Delta E t}{2\hbar}})}{\Delta E} V_{fi} = \frac{-2i}{\Delta E} e^{-\frac{i\Delta E t}{2\hbar}} \sin \frac{\Delta E t}{2\hbar}$$

$$\Rightarrow P(i \rightarrow f, t) = |A|^2 = 4 \frac{\sin^2 \frac{\Delta E t}{2\hbar}}{(\Delta E)^2} |V_{fi}|^2$$

• The probability is more and more peaked at  $\Delta E = 0$  as the time goes on. (see the plots)

• The range in  $\Delta E$  in size decreases as  $\Delta E \sim \frac{\hbar}{t}$

$\Rightarrow$  the so called "Energy - Time Uncertainty" principle.

But this is not as fundamental as the X-P uncertainty principle

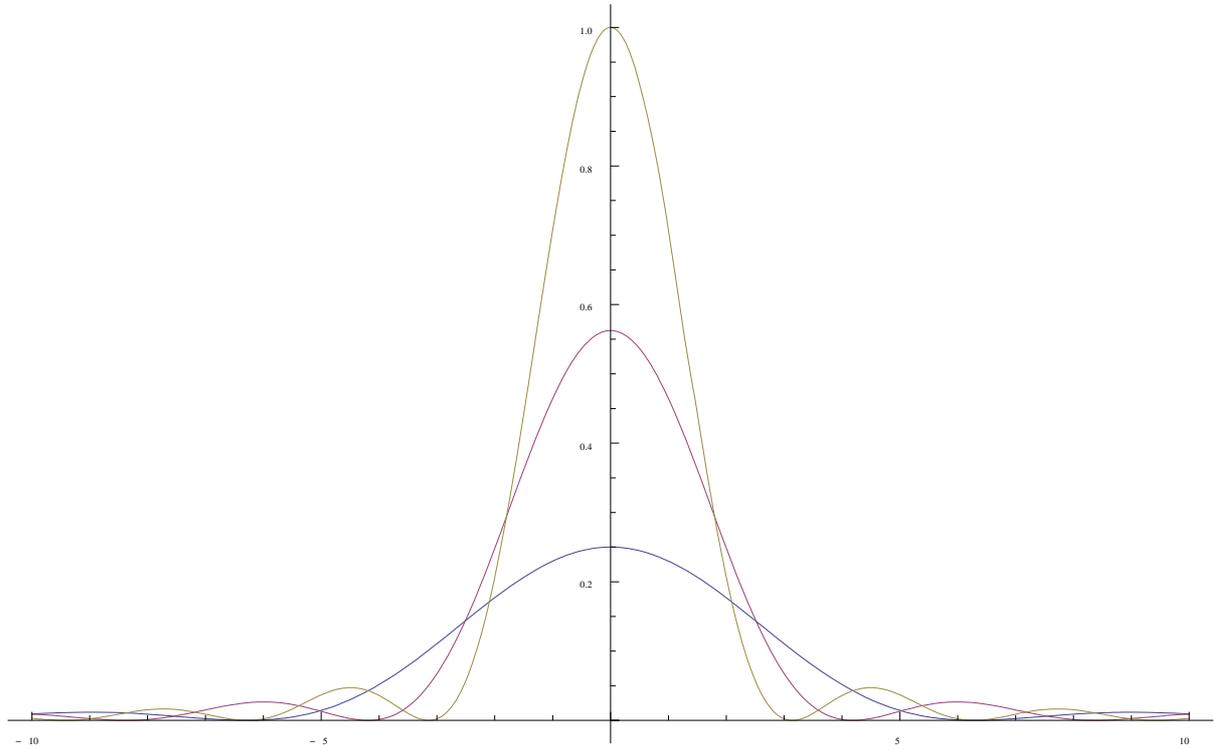
$t$  is not even an operator in QM!

(Energy is not conserved when you turn on the perturbation)

In[1]= Prob [ { e\_ , t\_ } ] := Sin [ e \* t / 2 ] ^ 2 / e ^ 2

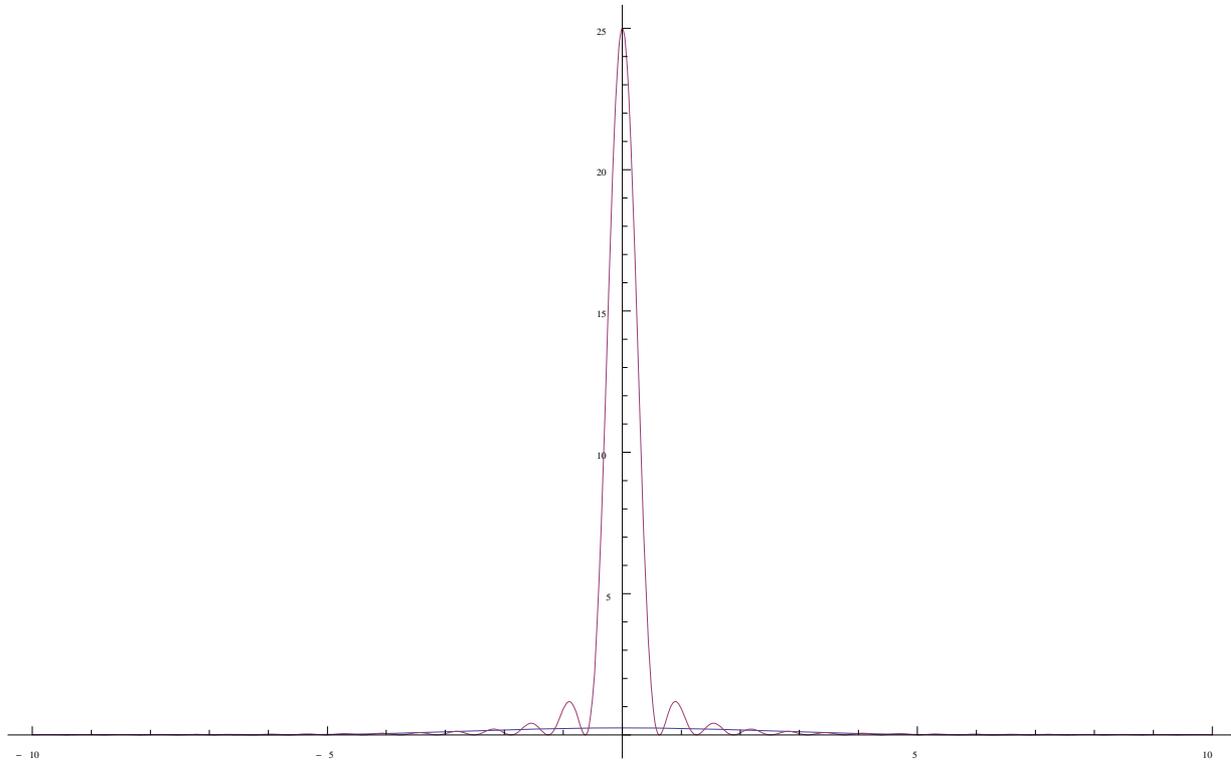
In[7]= Plot [ { Prob [ { x , 1.0 } ] , Prob [ { x , 1.5 } ] , Prob [ { x , 2.0 } ] } , { x , - 10 , 10 } , PlotRange -> All ]

Out[7]=



In[9]= Plot [ { Prob [ { x , 1.0 } ] , Prob [ { x , 10.0 } ] } , { x , - 10 , 10 } , PlotRange -> All ]

Out[9]=



We are often interested in the behavior when  $t$  is large.

Rate of the transition (the probability per unit time)

$$\Gamma(i \rightarrow f) = \lim_{t \rightarrow \infty} \frac{P(i \rightarrow f, t)}{t} = \lim_{t \rightarrow \infty} 4 \frac{\sin^2 \frac{\Delta E t}{2\hbar}}{t (\Delta E)^2} |V_{fi}|^2$$

$$\Gamma(i \rightarrow f) = \frac{2\pi}{\hbar} \delta(E_f - E_i) |V_{fi}|^2 \quad (\text{Fermi's Golden rule})$$

To see it, we calculate its area

$$\begin{aligned} \frac{4}{t} \int_{-\infty}^{\infty} d(\Delta E) \frac{\sin^2 \frac{\Delta E t}{2\hbar}}{(\Delta E)^2} &= \frac{4}{t} \int_{-\infty}^{\infty} \left(\frac{2\hbar}{t}\right) dx \left(\frac{t}{2\hbar}\right)^2 \frac{\sin^2 x}{x^2} \\ &= \frac{2}{\hbar} \int_{-\infty}^{\infty} dx \frac{\sin^2 x}{x^2} \end{aligned}$$

no singularity enclosed

Let's go to the next order

$$\begin{aligned} &\langle f | \left(\frac{-i}{\hbar}\right)^2 \int_0^t dt' \int_0^{t'} dt'' V_1(t') V_2(t'') | i \rangle \\ &= \sum_m \left(\frac{-i}{\hbar}\right)^2 \int_0^t dt' \int_0^{t'} dt'' \langle f | V_1(t') | m \rangle \langle m | V_2(t'') | i \rangle \\ &= \sum_m \left(\frac{-i}{\hbar}\right)^2 \int_0^t dt' \int_0^{t'} dt'' e^{i \frac{(E_f - E_m)t'}{\hbar}} V_{fm} e^{i \frac{(E_m - E_i)t''}{\hbar}} V_{mi} \\ &= \sum_m \frac{V_{fm} V_{mi}}{E_m - E_i} \left[ \frac{e^{i \frac{t}{\hbar} (E_f - E_i)} - 1}{E_f - E_i} - \frac{e^{i \frac{t}{\hbar} (E_f - E_m)} - 1}{E_f - E_m} \right] \end{aligned}$$

The first term has the same large  $t$  behavior as in the 1st order perturbation when  $\Delta E \rightarrow 0$ , But the 2nd term doesn't grow (except  $E_m = E_i$ , which we will deal later)

$$\Rightarrow \Gamma(i \rightarrow f, t) = \frac{2\pi}{\hbar} \delta(E_i - E_f) |V_{fi}|^2 + \sum_m \frac{V_{fm} V_{mi}}{E_i - E_m} |^2$$

## Harmonic Perturbation

$$V(t) = z \tilde{V}_0 \cos \omega t$$

First order perturbation.

$$\begin{aligned} \left(\frac{-i}{\hbar}\right) \int_0^t dt' \langle f | V_1(t') | i \rangle &= \left(\frac{-i}{\hbar}\right) \int_0^t dt' \langle f | e^{\frac{iH_0 t'}{\hbar}} z \tilde{V}_0 \cos \omega t' e^{-\frac{iH_0 t'}{\hbar}} | i \rangle \\ &= \frac{-i}{\hbar} \int_0^t dt' e^{-\frac{i(E_i - E_f)t'}{\hbar}} (e^{i\omega t'} + e^{-i\omega t'}) \langle f | \tilde{V}_0 | i \rangle \end{aligned}$$

$$= \langle f | \tilde{V}_0 | i \rangle \left[ \frac{e^{-\frac{i}{\hbar}t(E_i - E_f - \hbar\omega)} - 1}{E_i - E_f - \hbar\omega} + \frac{e^{-\frac{i}{\hbar}t(E_i - E_f + \hbar\omega)} - 1}{E_i - E_f + \hbar\omega} \right]$$

$e^{i\omega t}$  emitting a quantum  $\hbar\omega$  /  $e^{-i\omega t}$  absorbing a quantum  $\hbar\omega$

Similarly, we have

$$\Gamma(i \rightarrow f) = \frac{2\pi}{\hbar} \left[ \delta(E_i - E_f - \hbar\omega) + \delta(E_i - E_f + \hbar\omega) \right] |V_{fi}|^2$$

There is no time translation inv. but a discrete time translation inv.  $t \rightarrow t + \frac{2\pi}{\omega}$  (just like  $x \rightarrow x + a$  in a periodic lattice with lattice spacing  $a$ , which conserves the momentum modulo  $\frac{2\pi\hbar}{a}$ ), the energy is conserved modulo  $\hbar\omega$

2nd perturbation:  $E_f = E_i \pm 2\hbar\omega$ ,  $E_i$  -

3rd perturbation:  $E_f = E_i \pm 3\hbar\omega$ , - - -

Relationship to the time independent perturbation theory

Consider the case  $f=i$

$$\langle \underbrace{i^{(0)}}_{\text{unperturbed state}} | U_I(t) | \underbrace{i^{(0)}}_{\text{unperturbed state}} \rangle = \langle i^{(0)} | e^{\frac{iH_0 t}{\hbar}} \underbrace{U(t)}_{\text{full time evolution by } H} | i^{(0)} \rangle = \sum_m \langle i^{(0)} | e^{\frac{iH_0 t}{\hbar}} | m \rangle \langle m | \underbrace{U(t)}_{\text{full eigenstate by } H} | i^{(0)} \rangle$$

$$= e^{\frac{iE_i^{(0)} t}{\hbar}} \sum_m |\langle i^{(0)} | m \rangle|^2 e^{-i \frac{E_m t}{\hbar}} \quad \text{full eigen energy of } H$$

We separate  $m=i$  and  $m \neq i$ , and denote the WF normalization  $\sqrt{Z_i} \equiv \langle i^{(0)} | i \rangle$

$$= Z_i e^{\frac{iE_i^{(0)} t}{\hbar}} + \sum_{m \neq i} |\langle i^{(0)} | m \rangle|^2 e^{-i \frac{(E_m - E_i^{(0)}) t}{\hbar}}$$

since  $E_i = E_i^{(0)} + \underbrace{\Delta_i^{(1)}}_{O(V)} + \underbrace{\Delta_i^{(2)}}_{O(V^2)} + \dots$ ,  $\langle i^{(0)} | m \rangle \sim O(V)$

$$= Z_i \left[ 1 - \frac{i}{\hbar} (\Delta_i^{(1)} + \Delta_i^{(2)}) t + \frac{1}{2!} \left(\frac{-i}{\hbar}\right)^2 (\Delta_i^{(1)} t)^2 \right]$$

$$+ \sum_{m \neq i} |\langle i^{(0)} | m \rangle|^2 e^{-\frac{i}{\hbar} (E_m^{(0)} - E_i^{(0)}) t} + O(V^3)$$

since  $\underbrace{O(V^2)}$ , we only need unperturbed one

Now from the Dyson series,

$$\langle i^{(0)} | U_I(t) | i^{(0)} \rangle = 1 - \frac{i}{\hbar} \int_0^t \langle i^{(0)} | \overbrace{V}^{V_{ii}} | i^{(0)} \rangle dt'$$

$$+ \left(\frac{-i}{\hbar}\right)^2 \int_0^t dt' \int_0^{t'} dt'' \left[ \langle i^{(0)} | V_I(t') | i^{(0)} \rangle \langle i^{(0)} | V_I(t'') | i^{(0)} \rangle \right.$$

$$\left. + \sum_{m \neq i} \langle i^{(0)} | e^{\frac{iH_0 t'}{\hbar}} V e^{-\frac{iH_0 t'}{\hbar}} | m^{(0)} \rangle \langle m^{(0)} | e^{\frac{iH_0 t''}{\hbar}} V e^{-\frac{iH_0 t''}{\hbar}} | i^{(0)} \rangle \right]$$

$$= 1 - \frac{i}{\hbar} V_{ii} t + \frac{1}{2!} \left(\frac{-i}{\hbar}\right)^2 V_{ii}^2 t^2 + \left(\frac{-i}{\hbar}\right) \sum_{m \neq i} \frac{|V_{im}|^2}{E_i^{(0)} - E_m^{(0)}} t$$

$$- \sum_{m \neq i} \frac{|V_{im}|^2}{(E_i^{(0)} - E_m^{(0)})^2} + \sum_{m \neq i} \frac{|V_{im}|^2}{(E_i^{(0)} - E_m^{(0)})^2} e^{-\frac{i}{\hbar} t (E_m^{(0)} - E_i^{(0)})}$$

By comparing these two expressions, we can identify that,

$$\Rightarrow Z_i = 1 - \sum_{m \neq i} \frac{|V_{im}|^2}{(E_i^{(0)} - E_m^{(0)})^2}$$

$$\Delta_i^{(1)} = V_{ii}$$

$$\Delta_i^{(2)} = \sum_{m \neq i} \frac{|V_{im}|^2}{E_i^{(0)} - E_m^{(0)}}$$

also  $|i\rangle = |i^{(0)}\rangle + \sum_{m \neq i} \frac{V_{mi}}{E_i^{(0)} - E_m^{(0)}} |m^0\rangle$

⇒ All agree with the time-independent result.

\* Dyson series contains all information we have obtained from the time-independent perturbation theory!!

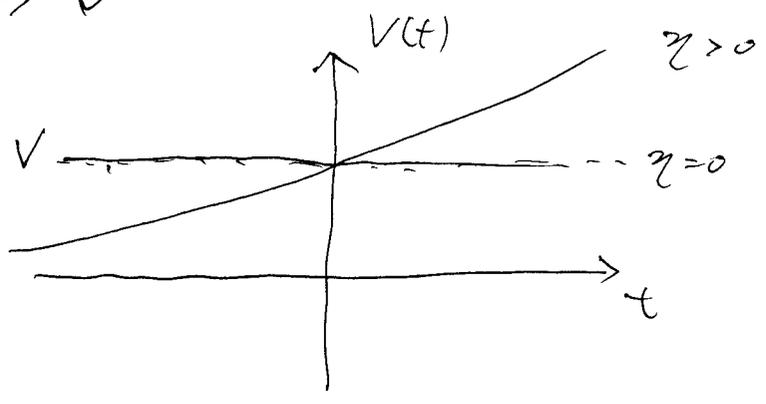
Energy shift and decay width

One way to deal with the singularity in  $\frac{1}{E_i - E_m}$  term is to gradually turn on the perturbation from  $t = -\infty$

$$V(t) = V e^{\eta t}, \text{ so when } t \rightarrow -\infty, V(t) = 0$$

At the end of the calculation, we set  $\eta \rightarrow 0$ , such that

$$V(t) \rightarrow V$$



One can repeat the previous discussion by replacing

$$V \rightarrow V e^{\gamma t} \text{ and set } \gamma \rightarrow 0 \text{ at the end.}$$

$$\text{or } e^{-\frac{i}{\hbar}(E_i - E_f)t} \rightarrow e^{-\frac{i}{\hbar}(E_i - E_f + i\hbar\gamma)t}$$

In summary, just replace the  $\frac{1}{E_i - E_f}$  by  $\frac{1}{E_i - E_f + i\hbar\gamma}$

$$\Rightarrow E_i = E_i^{(0)} + V_{ii} + \sum_{m \neq i} \frac{|V_{mi}|^2}{E_i^{(0)} - E_m^{(0)} + i\hbar\gamma}$$

$$\Rightarrow Z_i = 1 - \sum_{m \neq i} \frac{|V_{mi}|^2}{|E_i^{(0)} - E_m^{(0)} + i\hbar\gamma|^2} \quad \text{now is a complex number}$$

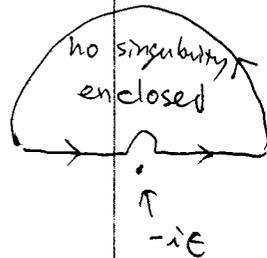
The physical meaning is more clear compared to Sakurai

As one knows

$$\lim_{\epsilon \rightarrow 0} \frac{1}{x + i\epsilon} = \underbrace{P\left(\frac{1}{x}\right)}_{\text{principle value}} - i\pi \delta(x)$$

(the real value except at the singularity)

can be seen from



Therefore

$$E_i = E_i^{(0)} + V_{ii} + P\left(\sum_{m \neq i} \frac{|V_{mi}|^2}{E_i^{(0)} - E_m^{(0)}}\right) - i\pi \sum_{m \neq i} |V_{mi}|^2 \delta(E_i^{(0)} - E_m^{(0)})$$

The <sup>full</sup> time evolution of  $|i\rangle$  (the full eigenvector) is

$$e^{-\frac{i}{\hbar}E_i t}, \quad |i(t)\rangle = e^{-\frac{i}{\hbar}E_i t} |i(0)\rangle$$

The probability, at  $t=t$ , the state stays at  $|i\rangle$  is

$$P(i \rightarrow i, t) = \exp\left[-\frac{2\pi}{\hbar} \sum_{m \neq i} |V_{mi}|^2 \delta(E_i^{(0)} - E_m^{(0)}) t\right]$$

define  $\Gamma_i = 2\pi \sum_{m \neq i} |V_{mi}|^2 \delta(E_i^{(0)} - E_m^{(0)})$

it is the decay width,

And the mean life time is

$$\tau_i \equiv \frac{\hbar}{\Gamma_i}$$

\* This  $\text{Prob}(i \rightarrow i)$  decreasing is compensated by the growth in  $m \neq i$  states,

\* Prob sum is still 1 (conserved).