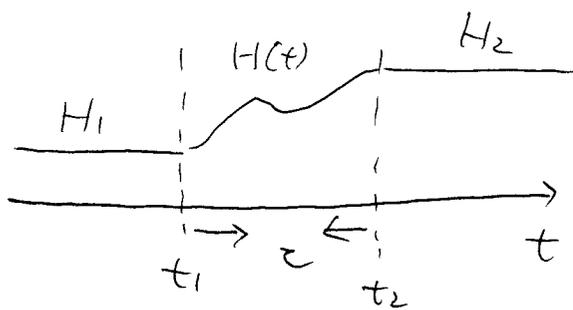


## Sudden and Adiabatic Approximations

Sudden :  $\tau$  is small ( $\ll \frac{\hbar}{\Delta E}$ )Slow :  $\tau$  is large ( $\gg \frac{\hbar}{\Delta E}$ ) $\Delta E$  : the typical energy diff among the energy levels

\* Sudden change, the states cannot catch up with the change

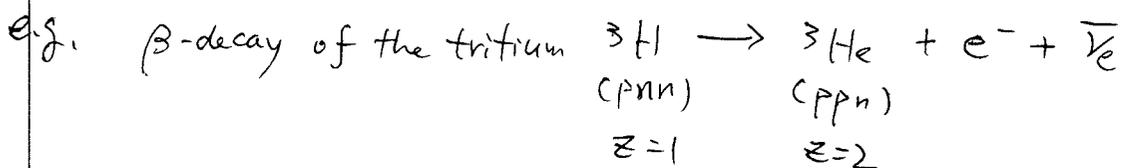
Sudden approximation :  $H_1 |\lambda_i\rangle = E_i |\lambda_i\rangle$  at  $t = t_1$ is used unchanged at  $t = t_2$ ,  $|\psi(t_2)\rangle = |\lambda_i\rangle$ After  $t_2$ , it evolves according to  $H_2$ .

$$|\psi(t)\rangle = e^{-\frac{iH_2(t-t_2)}{\hbar}} |\lambda_i\rangle = \sum_f e^{-\frac{iE_f(t-t_2)}{\hbar}} |f\rangle \langle f|\lambda_i\rangle$$

$$e^{-\frac{iH_2 t}{\hbar}} \sum_n c_n |n\rangle = e^{-\frac{iE_i t}{\hbar}} \sum_n c_n |n\rangle e^{-\frac{i(E_n - E_i)t}{\hbar}}$$

 $\Rightarrow t \gtrsim \frac{\hbar}{E_n - E_i}$  then diff states acquire substantially diff phases.

$$\Delta t \sim \frac{\hbar}{\Delta E}, \quad \& \quad \tau \ll \Delta t$$



$$\langle T_\beta \rangle = \langle \frac{1}{2} m_e v_e^2 \rangle \simeq 5.7 \text{ keV} \Rightarrow \langle v_\beta \rangle \sim 0.15c$$

$$\tau \simeq \frac{a_0}{0.15c} = \frac{0.5 \text{ \AA}}{0.15c} = 1.2 \times 10^{-18} \text{ sec}$$

$$\Delta E \simeq E_{n=2} - E_{n=1} \simeq 10 \text{ eV}, \Rightarrow \frac{\hbar}{\Delta E} \sim 6.4 \times 10^{-17} \text{ sec} \gg \tau$$

For heavier N, the better the sudden approximation

### ★ Adiabatic Approximation

Slow change, the states can adjust themselves to adopt the instant  $H(t)$ , solve the Schrödinger eq for any  $t$ .

$$\Rightarrow H(t) |i\rangle_t = E_i(t) |i\rangle_t \text{ for each } t$$

Instantaneous eigenstates  $|i\rangle_t$ , and

$$|i(t)\rangle = \exp\left[-i \int_{t_1}^t dt' E_i(t')\right] \underbrace{e^{i\phi(t)}} |i\rangle_t$$

This additional phase is called geometrical phase (Berry's phase)

For this approximation to work, it is crucial that  $\tau \ll \Delta t \sim \frac{\hbar}{\Delta E}$

A good example is the  $t$ -dep B field.

Consider  $\vec{B} = (B_x, B_y, B_z) = B (\sin\theta \cos\omega t, \sin\theta \sin\omega t, \cos\theta)$

$\vec{B}$  rotates about  $\hat{z}$  with angular velocity  $\omega$ .

For spin- $\frac{1}{2}$  particle,

$$H = -\vec{\mu} \cdot \vec{B} = -\mu B \begin{pmatrix} \cos\theta & \sin\theta e^{-i\omega t} \\ \sin\theta e^{i\omega t} & -\cos\theta \end{pmatrix} \rightarrow \text{the } e^{\pm i\omega t} \text{ has no eff}$$

$$= \mu B_{||} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, -\mu B_{\perp} \begin{pmatrix} 0 & e^{-i\omega t} \\ e^{i\omega t} & 0 \end{pmatrix} \quad \begin{aligned} B_{||} &= B \cos\theta \\ B_{\perp} &= B \sin\theta \end{aligned}$$

Comparing to the previous 2-state system, we can identify

$$\begin{aligned} E_1 &\Leftrightarrow -\mu B_{||} & \delta &\Leftrightarrow -\mu B_{\perp} & \Rightarrow \omega_{21} &= \frac{2}{\hbar} \mu B_{||} \\ E_2 &\Leftrightarrow +\mu B_{||} & \omega &\Leftrightarrow -\omega \end{aligned}$$

So, immediately, we know

$$\begin{aligned} \beta_{\pm} &= \frac{1}{2} \left[ +\omega + \frac{2\mu B_{||}}{\hbar} \pm \sqrt{\frac{1}{\hbar^2} (2\mu B_{||} + \omega\hbar)^2 + \frac{4\mu^2 B_{\perp}^2}{\hbar^2}} \right] \\ &= \frac{1}{2\hbar} \left[ (2\mu B_{||} + \omega\hbar) \pm \sqrt{(2\mu B_{||} + \omega\hbar)^2 + 4\mu^2 B_{\perp}^2} \right] \\ \alpha_{\pm} &= \frac{1}{2\hbar} \left[ -(2\mu B_{||} + \omega\hbar) \pm \sqrt{\dots} \right] \end{aligned}$$

Consider a solution

$$c_2(t) = b e^{i\beta_+ t}$$

from  $i\hbar \dot{c}_2 e^{-\frac{iE_2 t}{\hbar}} = \gamma c_1 e^{-\frac{i}{\hbar}(E_1 + \hbar\omega)t}$

$$\Rightarrow c_1 = b \frac{\hbar\beta_+}{M B_{\perp}} e^{-\frac{i}{\hbar}(2M B_{\parallel} + \hbar\omega)t} e^{i\beta_+ t}$$

$$\Rightarrow \psi(t) = b \begin{pmatrix} \frac{\hbar\beta_+}{M B_{\perp}} e^{\frac{i}{\hbar} M B_{\parallel} t} e^{-\frac{i}{\hbar}(2M B_{\parallel} + \hbar\omega)t} e^{i\beta_+ t} \\ e^{i\beta_+ t} e^{-\frac{i}{\hbar} M B_{\parallel} t} \end{pmatrix}$$

$$= b \begin{pmatrix} \frac{\hbar\beta_+}{M B_{\perp}} e^{-i\omega t} \\ 1 \end{pmatrix} e^{\frac{i}{\hbar}(\beta_+ \hbar - M B_{\parallel})t}$$

The normalization const  $b = \frac{1}{\sqrt{1 + (\frac{\hbar\beta_+}{M B_{\perp}})^2}}$

First, we consider the fast change of the Hamiltonian,  $\omega \gg \omega_2 = \frac{2M B_{\parallel}}{\hbar}$

In this case,  $\tau \sim \frac{1}{\omega}$  and

$$\beta_+ \approx \frac{1}{2\hbar} [\omega\hbar + \omega\hbar] = \omega, \sqrt{\dots} \sim \omega, b \approx \frac{M B_{\perp}}{\hbar\omega} \ll 1$$

$$\Rightarrow \psi(t) \approx \begin{pmatrix} e^{-i\omega t} \\ 0 \end{pmatrix} e^{i\omega t} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

namely, the state doesn't move over the time interval  $\tau \sim \frac{1}{\omega}$

On the other hand, <sup>case of</sup> the slowly change,  $\omega \ll \omega_2$

$$\sqrt{\dots} = \frac{1}{\hbar} \sqrt{4M^2 B^2 \cos^2 \theta + 4M B \cos \theta \omega \hbar + \omega^2 \hbar^2 + 4M^2 B^2 \sin^2 \theta}$$

$$= \frac{1}{\hbar} \sqrt{4(M B + \frac{\omega \hbar}{2} \cos \theta)^2 + \omega^2 \hbar^2 (1 - \cos^2 \theta)} \sim \frac{2M B}{\hbar} + \omega \cos \theta$$

$$\Rightarrow \beta_+ \approx \frac{1}{2\hbar} [2M B (1 + \cos \theta) + \omega \hbar (1 + \cos \theta)] = \frac{1}{\hbar} (2M B + \omega \hbar) \cos^2 \frac{\theta}{2}$$

$$\frac{1}{\hbar} (\beta_+ \hbar - M B_{\parallel}) = \frac{1}{\hbar} (M B) + \frac{\omega \hbar}{2\hbar} (1 + \cos \theta)$$

$$b \approx \frac{1}{\sqrt{1 + \left[ \left(1 + \frac{\omega \hbar}{2\mu B}\right) \frac{\cos \frac{\theta}{2}}{\sin \frac{\theta}{2}} \right]^2}} \approx \sin \frac{\theta}{2}, \quad \frac{\hbar \beta +}{\mu B L} \approx \frac{(2\mu B + \omega \hbar) \cos \frac{\theta}{2}}{2\mu B \sin \frac{\theta}{2} \cos \frac{\theta}{2}} \approx \frac{\cos \frac{\theta}{2}}{\sin \frac{\theta}{2}}$$

$$\Rightarrow \psi(t) \approx \sin \frac{\theta}{2} \begin{pmatrix} \frac{\cos \frac{\theta}{2}}{\sin \frac{\theta}{2}} e^{-i\omega t} \\ 1 \end{pmatrix} e^{\frac{i\mu B t}{\hbar}} e^{i\frac{\omega}{2}(1+\cos\theta)t}$$

$$= \begin{pmatrix} \cos \frac{\theta}{2} e^{-i\omega t} \\ \sin \frac{\theta}{2} \end{pmatrix} e^{\frac{i\mu B t}{\hbar}} e^{i\frac{\omega}{2}(1+\cos\theta)t}$$

$\Rightarrow$  eigenvalue  $E = -\mu B$

$$\vec{\sigma} \cdot \vec{n} \begin{pmatrix} a \\ b \end{pmatrix} = + \begin{pmatrix} a \\ b \end{pmatrix} \Rightarrow \frac{b}{a} = \frac{\sin \frac{\theta}{2}}{\cos \frac{\theta}{2}} e^{i\phi} \Rightarrow \begin{matrix} \phi = \omega t \\ \theta = \theta \end{matrix}$$

\* The state is always the instantaneous eigenstate of the  $H$  with  $E = -\mu B$

\* The last phase factor is the Berry's phase.

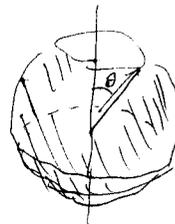
\* When the  $\vec{B}$  rotates about the  $\hat{z}$  once,  $\omega t = 2\pi$

the phase is  $e^{i\pi(1+\cos\theta)} = e^{im\Omega}$

$m = \frac{1}{2}$  is the magnetic quantum number  $S_z = m\hbar$

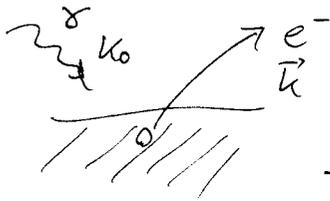
$\Omega$  is the area swept by the  $\vec{B}$  field.

$$\Omega = \int_{-1}^{\cos\theta} d(\cos\theta') \int_0^{2\pi} d\phi = 2\pi(1+\cos\theta)$$



See Supplement I in Sakurai for more detail.

# Photoelectric Effect & EM field



$E_g$ : ground state energy ( $< 0$ )

$$\hbar\omega_0 > |E_g|$$

In order to treat the outgoing  $e^-$  as free particle, namely ignoring the long range Coulomb int, we take  $\hbar\omega_0 \gg |E_g|$

$$\hbar\omega_0 + E_g = \bar{E}, \quad \underbrace{|E_g| \ll E}_{\text{plane wave}} \ll \underbrace{mc^2}_{\text{such that N.R. QM}}, \quad \text{or } (Z\alpha)^2 \ll \frac{E}{mc^2} \ll 1$$

Then the photon energy lies in  $\sim$  far UV  $\rightarrow$  X-ray  
100eV 100keV

Incident photon (classically)

$$\vec{A}(\vec{r}, t) = A_0 \underbrace{\vec{e}}_{\substack{\text{polarization} \\ \text{vector}}} e^{i(\vec{k}_0 \cdot \vec{r} - \omega_0 t)} + c.c. = 2A_0 \vec{e} \cos(\vec{k}_0 \cdot \vec{r} - \omega_0 t)$$

Coulomb gauge,  $\nabla \cdot \vec{A} = 0$ , and  $\vec{e} \cdot \vec{k}_0 = 0$

$$\vec{E} = -\frac{1}{c} \frac{\partial \vec{A}}{\partial t} = -\frac{2\omega_0}{c} A_0 \vec{e} \sin(\vec{k}_0 \cdot \vec{r} - \omega_0 t)$$

$$\vec{B} = \nabla \times \vec{A}$$

start from an example  $\vec{k}_0 = k_0 \hat{z}$ , then  $\vec{e} = (e_x, e_y, 0)$ ,  $e_x^2 + e_y^2 = 1$

$$\vec{B} = \nabla \times \vec{A} = 2A_0 \begin{vmatrix} \hat{i} & \hat{j} & \hat{k} \\ \partial_x & \partial_y & \partial_z \\ e_x \cos(k_0 z - \omega_0 t), e_y \cos(k_0 z - \omega_0 t), 0 \end{vmatrix} = -2A_0 k_0 \sin(k_0 z - \omega_0 t) (-e_y, e_x, 0)$$

on a general expression  $\vec{B} = (\vec{e} \times \vec{k}_0) A_0 \sin(\vec{k}_0 \cdot \vec{r} - \omega_0 t)$

\* For 1-electron atom

$$H_0 = \frac{p^2}{2m} + V(r), \quad (\text{in latter calculation, we take } V(r) = -\frac{ze^2}{r})$$

and  $|H_0|g\rangle = E_g|g\rangle$

And the unbounded  $e^-$  in the final state is plane wave (box normalization)

$$\psi_{\vec{k}}(r) = \langle \vec{r} | \vec{k} \rangle = \frac{1}{\sqrt{V}} e^{i\vec{k}\cdot\vec{r}}, \quad E = \frac{\hbar^2 k^2}{2m}$$

\* The perturbing Hamiltonian is

$$H' = \frac{e}{mc} \vec{p} \cdot \vec{A}$$

which comes from

$$\frac{1}{2m} (\vec{p} + \frac{e\vec{A}}{c})^2 \rightarrow \frac{p^2}{2m} + \frac{e}{2mc} (\vec{p} \cdot \vec{A} + \vec{A} \cdot \vec{p}) + \frac{e^2}{2mc} \vec{A}^2$$

ignored

$\vec{p} \cdot \vec{A} = \vec{A} \cdot \vec{p}$  in the Coulomb gauge.

$$H' = \frac{e}{mc} A_0 \vec{e} \cdot \vec{p} e^{i(\vec{k}_0 \cdot \vec{r} - \omega_0 t)} + c.c.$$

$$\equiv \frac{eA_0}{mc} \underbrace{\vec{e} \cdot \vec{p}}_{\substack{\hat{O} \\ \text{for absorption}}} e^{i\vec{k}_0 \cdot \vec{r}} \underbrace{e^{-i\omega_0 t}}_{t\text{-depend}} + c.c. = \hat{O}^+$$

for emission

\* Transition rate (0<sup>+</sup> has no contribution)

$$W = \frac{2\pi}{\hbar} \sum_{\vec{k}} |\langle \vec{k} | \hat{O} | g \rangle|^2 \delta(\frac{\hbar^2 k^2}{2m} - \hbar\omega_0 - E_g)$$

$\vec{k}$   
box points

Again, we are interested in the differential cross section,

$\Rightarrow$  need to calculate  $\frac{dW}{d\Omega}$  and the flux of incident photon.

The  $\delta$ -function can be replaced by

$$\frac{\delta(k - k_f)}{\left| \frac{2\hbar^2 k}{2m} \right|}, \quad \text{with } k_f = \sqrt{\frac{2m}{\hbar} (\hbar\omega_0 + E_g)}$$

$$\vec{k} = \frac{2\pi}{L} \vec{n}, \quad d\vec{n} = \frac{V}{(2\pi)^3} dk^3$$

So,

$$\begin{aligned} \frac{dW}{d\Omega} \Delta\Omega &= \sum_{k \in \Delta\Omega} \frac{2\pi}{\hbar} \left( \delta(k - k_f) \times \frac{m}{\hbar^2 k} \right) |\langle \vec{k} | \hat{O} | g \rangle|^2 \\ &= \frac{2\pi m}{\hbar^3} \Delta\Omega \int_0^\infty \frac{V}{(2\pi)^3} \frac{k^2 dk}{k} \delta(k - k_f) |\langle \vec{k} | \hat{O} | g \rangle|^2 \\ &= \frac{mV}{\hbar^3 (2\pi)^2} \Delta\Omega k_f |\langle k_f | \hat{O} | g \rangle|^2 \end{aligned}$$

The matrix element

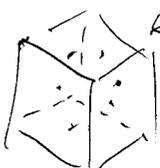
$$\begin{aligned} \langle \vec{k} | \hat{O} | g \rangle &= \frac{eA_0}{mc} \vec{\epsilon} \cdot \int d\vec{r} \frac{1}{\sqrt{V}} (e^{i\vec{k} \cdot \vec{r}})^* \hat{P} e^{i\vec{k}_0 \cdot \vec{r}} \psi_g(\vec{r}) \\ &= \frac{eA_0 \hbar (\vec{\epsilon} \cdot \vec{k})}{mc \sqrt{V}} \int d\vec{r} e^{-i(\vec{k} - \vec{k}_0) \cdot \vec{r}} \psi_g(\vec{r}) \\ &= \frac{e\hbar A_0}{mc} (\vec{\epsilon} \cdot \vec{k}) \frac{(2\pi)^{\frac{3}{2}}}{\sqrt{V}} \tilde{\psi}_g(\vec{\delta}), \quad \vec{\delta} \equiv \vec{k} - \vec{k}_0 \\ &\quad \text{Fourier transformation of } \psi_g(\vec{r}). \end{aligned}$$

$$\begin{aligned} \Rightarrow \frac{dW}{d\Omega} &= \frac{mV}{\hbar^3 (2\pi)^2} k_f \left( \frac{e\hbar A_0}{mc} \right)^2 (\vec{\epsilon} \cdot \vec{k})^2 \frac{(2\pi)^3}{V} |\tilde{\psi}_g(\vec{\delta})|^2 \\ &= \frac{2\pi}{\hbar} \frac{e^2 A_0^2}{mc^2} k_f (\vec{\epsilon} \cdot \vec{k}_f)^2 |\tilde{\psi}_g(\vec{\delta}_f)|^2, \quad \vec{\delta}_f \equiv \vec{k}_f - \vec{k}_0 \end{aligned}$$

flux of incident photon

$$\vec{j}_r = n_r \vec{v}, \quad \vec{v} = c \hat{k}_0$$

To compute  $n_r$ , we must mix classical and quantum concepts.

 unit volume,  $n_r$  monochrom photons.

$$\mathcal{U} (\text{energy per unit volume}) = n_r \hbar \omega_0$$

On the other hand, by classical E&M,

$$\mathcal{U} = \frac{1}{8\pi} (\vec{E}^2 + \vec{B}^2) = \frac{\omega_0^2 A_0^2}{2\pi c^2} \rightarrow n_r = \frac{\omega_0 A_0^2}{2\pi \hbar c^2}$$

$$\Rightarrow \boxed{\vec{j}_r = \frac{\omega_0 A_0^2}{2\pi \hbar c}} \quad \downarrow$$

Therefore  $\frac{d\sigma}{d\Omega} = \left( \frac{2\pi\hbar c}{\omega_0 A_0^2} \right) \frac{2\pi}{\hbar} \frac{e^2 A_0^2}{mc^2} k_f (\vec{e} \cdot \vec{k}_f)^2 |\psi_g(\vec{q}_f)|^2$   
 $= \frac{(2\pi)^2 e^2}{mc\omega_0} k_f (\vec{e} \cdot \vec{k}_f)^2 |\psi_g(\vec{q}_f)|^2$  (note  $A_0^2$  disappear as it should ~)

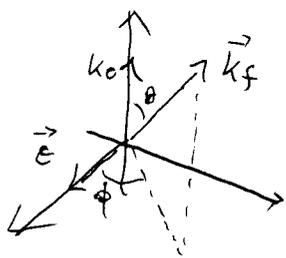
$C = \frac{\omega_0}{k_0}$ , Take hydrogen atom w.f.:  $\psi_g(r) = \frac{1}{\sqrt{\pi a^3}} e^{-r/a}$   
 as a model,  $\Rightarrow \psi_g(\vec{q}_f) = \frac{1}{\pi} \frac{(2a)^3}{(1+a^2 q_f^2)^2}$

$\Rightarrow \boxed{\frac{d\sigma}{d\Omega} = 32 \frac{e^2}{mc^2} \frac{k_f}{k_0} |\vec{e} \cdot \vec{k}_f|^2 \frac{a^3}{(1+a^2 q_f^2)^4}}$

\* Since  $\hbar\omega_0 \gg |E_g| \Rightarrow \hbar k_0 c = \hbar\omega_0 \approx \frac{\hbar^2 k_f^2}{2m}$

or  $k_0 \approx \frac{\hbar k_f^2}{2mc}$ ,  $\frac{k_f}{k_0} \approx \frac{2mc}{\hbar k_f} = \frac{2mc}{p_f} = \frac{2c}{v} \gg 1$

\*  $\vec{e} = (1, 0, 0)$



$q_f^2 = (\vec{k}_f - \vec{k}_0)^2 = k_f^2 + k_0^2 - 2k_f k_0 \cos\theta$   
 $\approx k_f (1 - \frac{2k_0}{k_f} \cos\theta) = k_f (1 - \frac{v_f}{c} \cos\theta)$

&  $\vec{e} \cdot \vec{k}_f = k_f \sin\theta \cos\phi$

$a^2 q_f^2 \approx a^2 k_f^2 (1 - \frac{v_f}{c} \cos\theta)$

$a^2 k_f^2 = \left( \frac{2ma^2}{\hbar^2} \right) \left( \frac{\hbar^2 k_f^2}{2m} \right) \sim \frac{E}{|E_g|} \gg 1$ ,  $\because p_e \sim \frac{\hbar}{a}$ ,  $|E_g| \sim \frac{p_e^2}{2m}$

$\Rightarrow 1 + a^2 q_f^2 \approx a^2 q_f^2$

$\Rightarrow \frac{d\sigma}{d\Omega} = \frac{32e^2}{mc^2 k_0} \frac{\sin^2\theta \cos^2\phi}{(a k_f)^5 (1 - \frac{v_f}{c} \cos\theta)^4}$

for  $\vec{e} = (0, 1, 0)$ ,  $\cos\phi \rightarrow \sin\phi$

Unpolarized diff cross section

$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{unpol}} = \frac{1}{2} \sum_{\epsilon} \frac{d\sigma}{d\Omega} \approx \frac{16e^2}{mc^2 k_0} \frac{\sin^2\theta}{(ak_f)^5} \left(1 + \frac{4V}{c} \cos\theta\right)$$

and total cross section

$$\begin{aligned} \sigma_{\text{total}} &= \int_0^{2\pi} d\phi \int_{-1}^1 d(\cos\theta) \left(\frac{d\sigma}{d\Omega}\right)_{\text{unpol}} \quad , \quad \text{let } x = \cos\theta \\ &= (2\pi) \frac{16e^2}{mc^2 k_0} \frac{1}{(ak_f)^5} \int_{-1}^1 dx \left[ \underbrace{(1-x^2)}_{\frac{4}{3}} + \frac{4V}{c} \underbrace{(1-x^2)x}_{=0, \text{ odd in } x} \right] \end{aligned}$$

$$\sigma_{\text{total}} = \frac{128}{3} \frac{e^2}{mc^2 k_0} \frac{1}{(ak_f)^5}$$

Expressed in terms of the incident photon energy  $E_0 = \hbar\omega_0$  and the Bohr radius  $a_0$  of hydrogen like atom:

$$\sigma_{\text{total}} = \frac{16\pi\sqrt{2}}{3} a^8 Z^5 a_0^2 \left(\frac{mc^2}{E_0}\right)^{\frac{7}{2}}$$

It is clear now it is an area.

Pretty good for describing the K-shell  $e^-$  ejection by X-ray ~  
Strong  $Z$  dependence  $\Rightarrow$  heavier atoms are more effective in stopping X-rays.

strong inverse  $E_0$ -dependence  $\Rightarrow$  hard X-rays are more penetrating than soft ones,

Q: (1) Synchrotron radiation exp ~



- (2) Angular distribution, why?
- (3) X-ray emission?