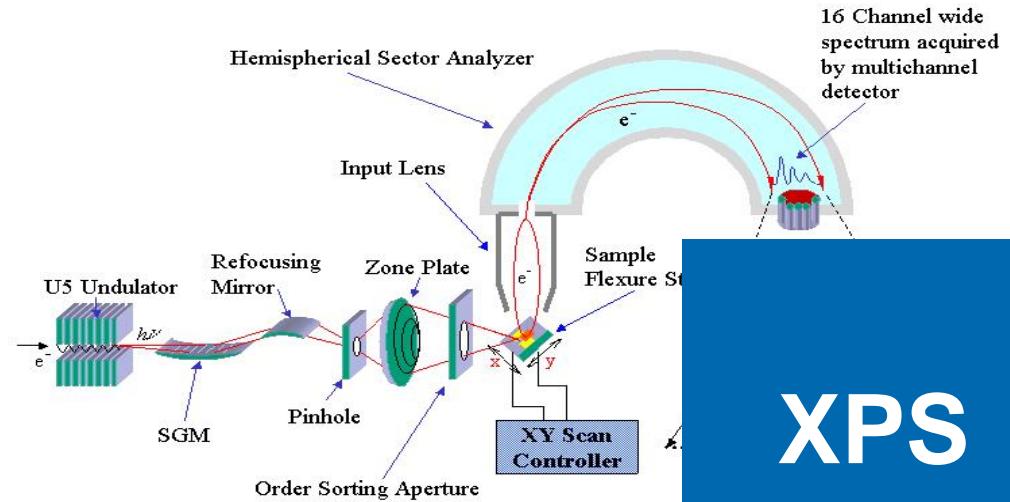


# Understanding fundamental surface science issues from atomic images

林 登 松、馮 世 鑑、謝 明 峰、…

# Instruments

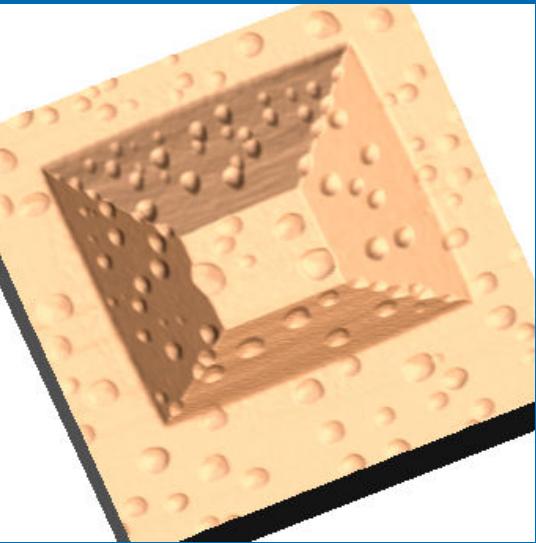
# SPM



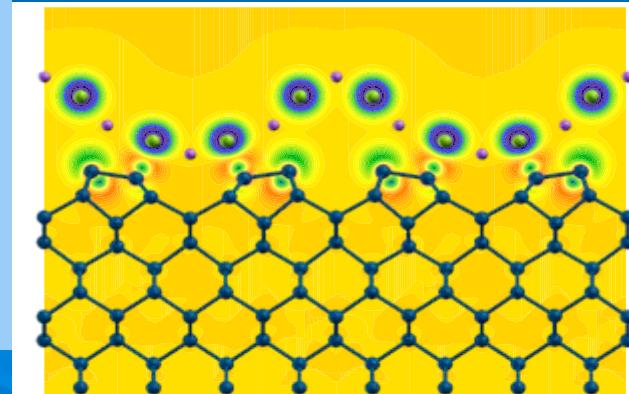
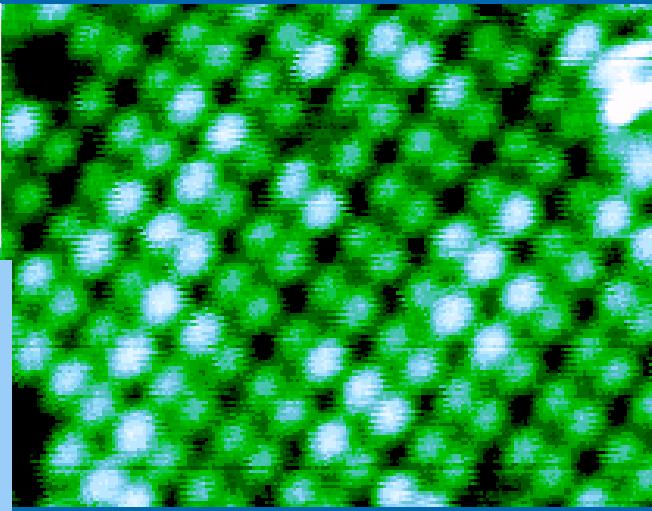
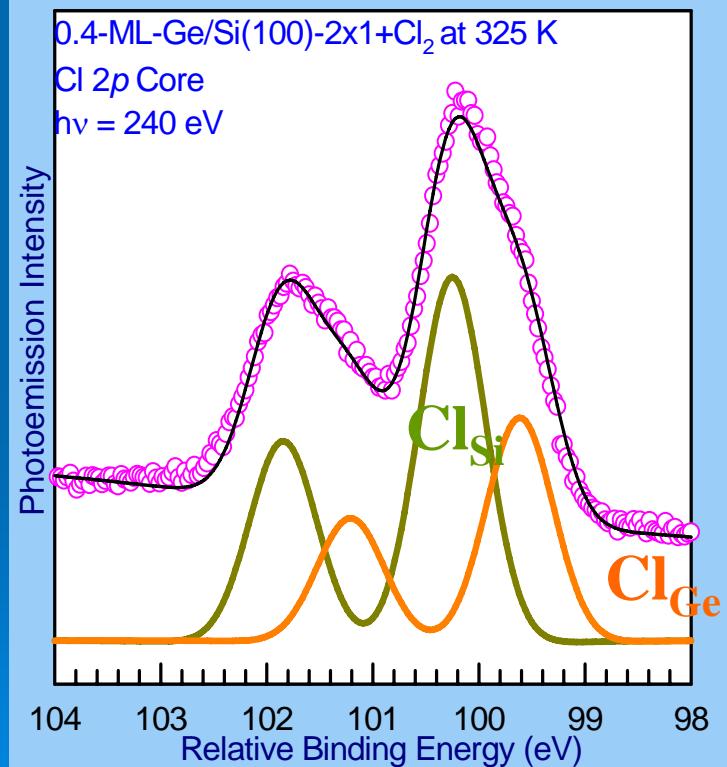
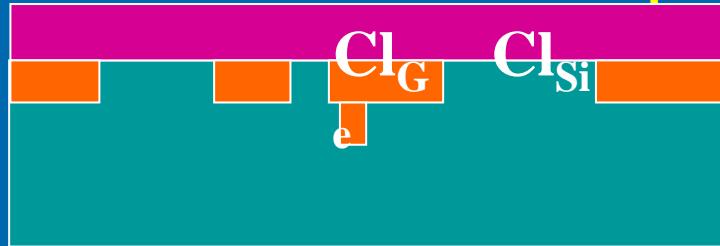
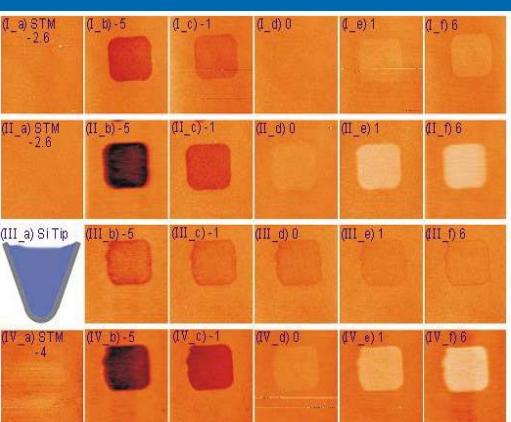
**XPS**

# Research Topics

## Atomic processes



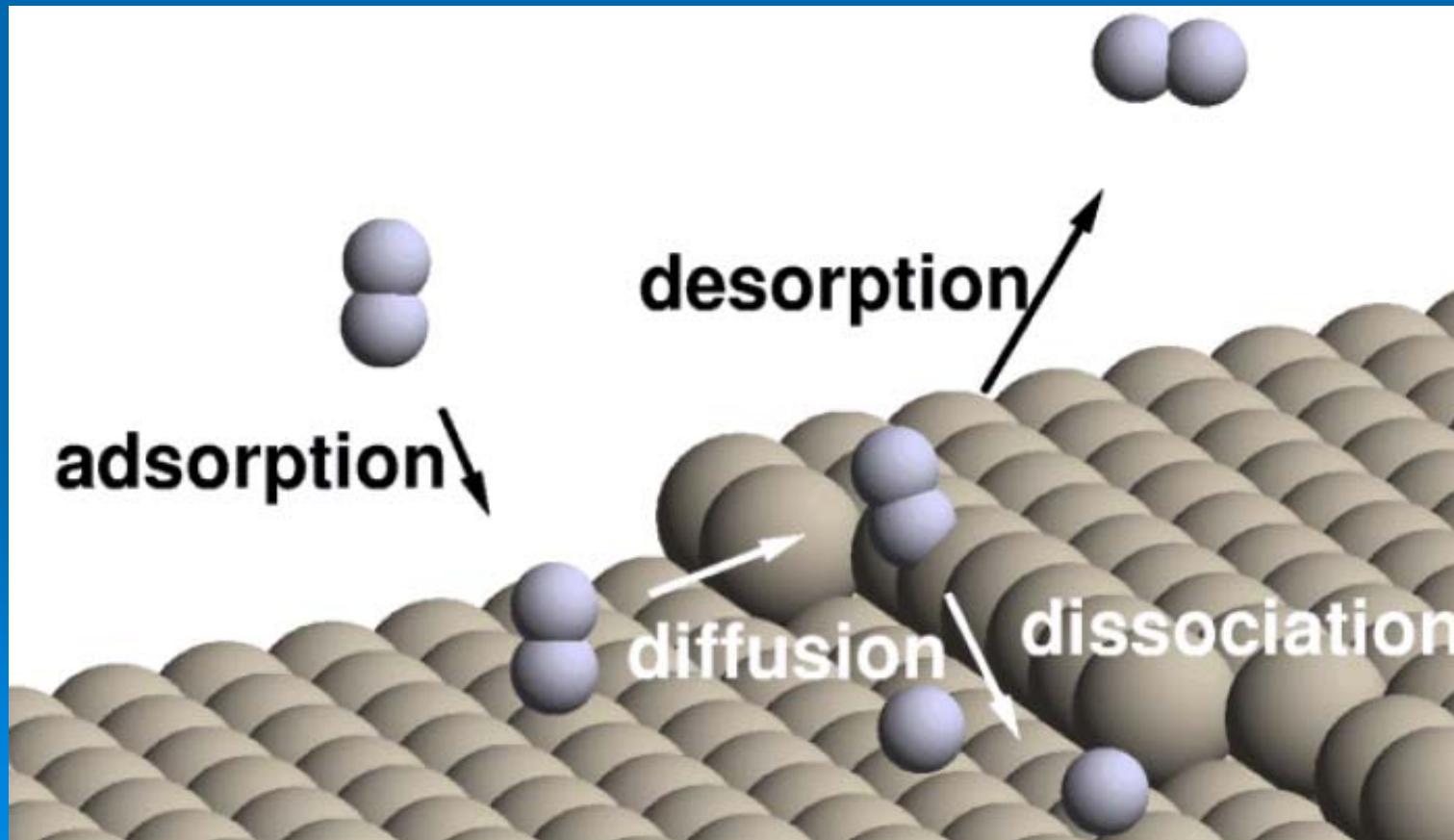
## Semiconductor Growth



## AFM physics

# major goal in surface science

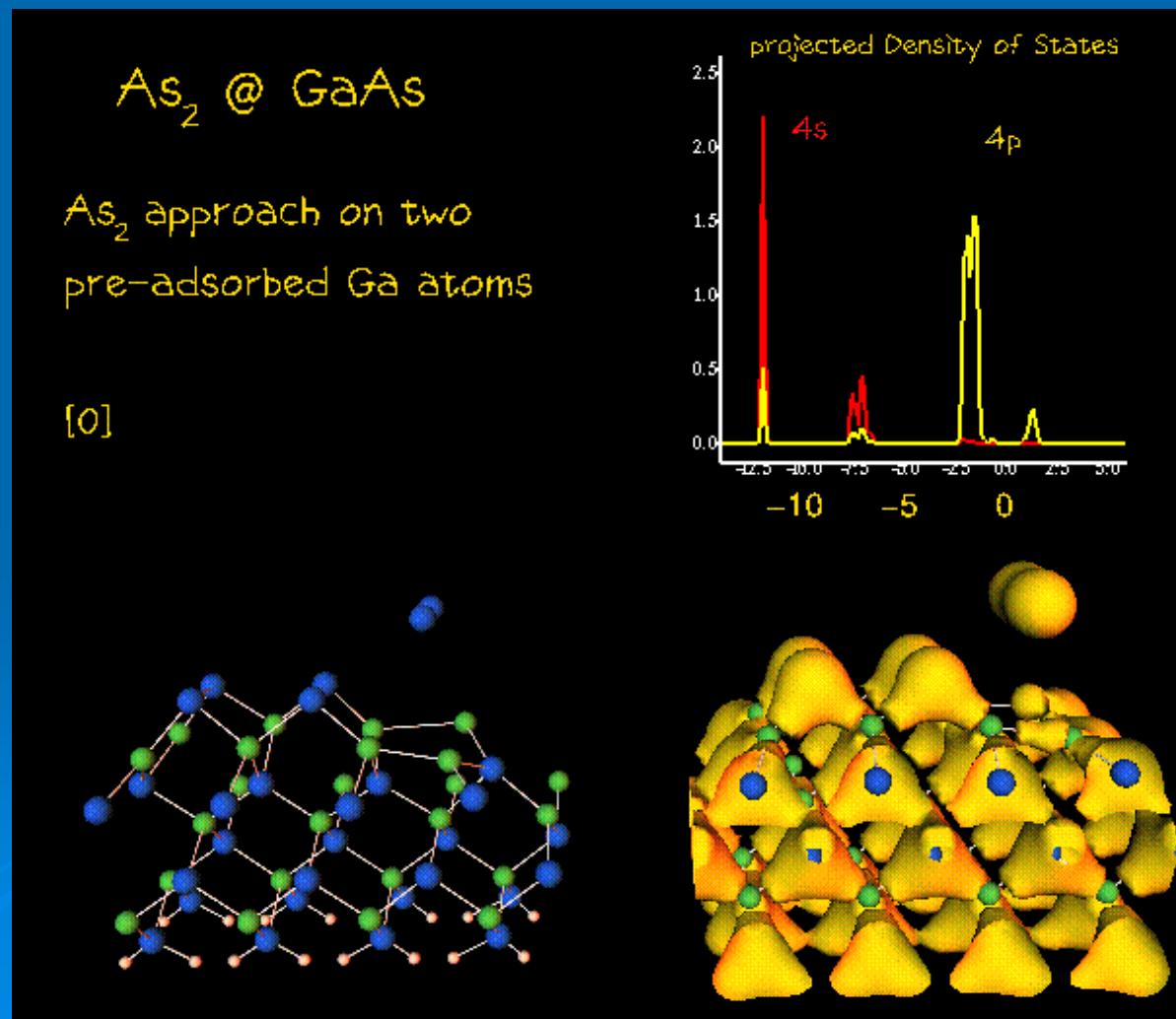
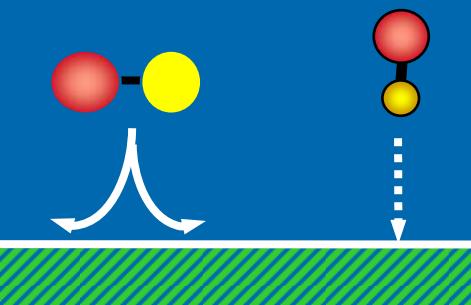
to make movies of molecules on surfaces, on a **fs** time scale, with **10 pm** resolution.



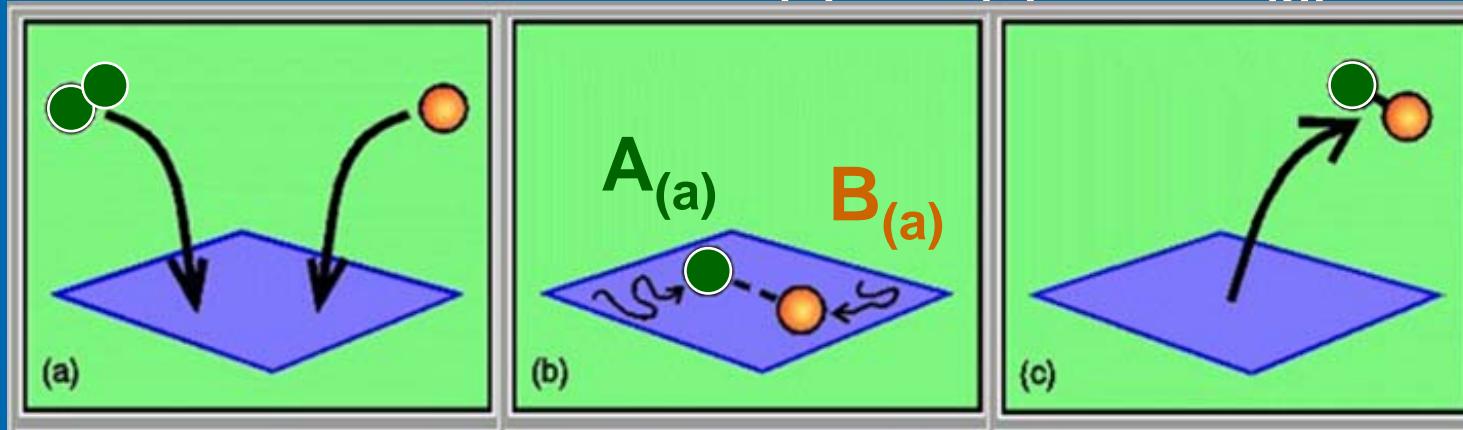
# Example" As<sub>2</sub> Adsorption on GaAs

Dissociative

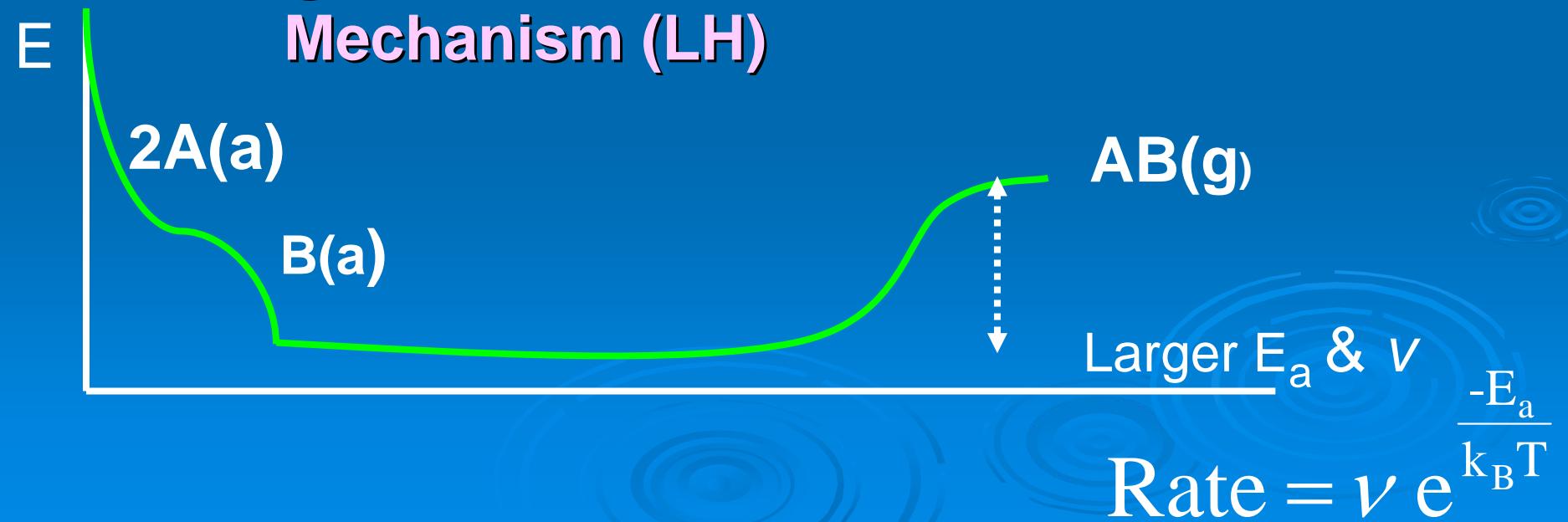
Molecular



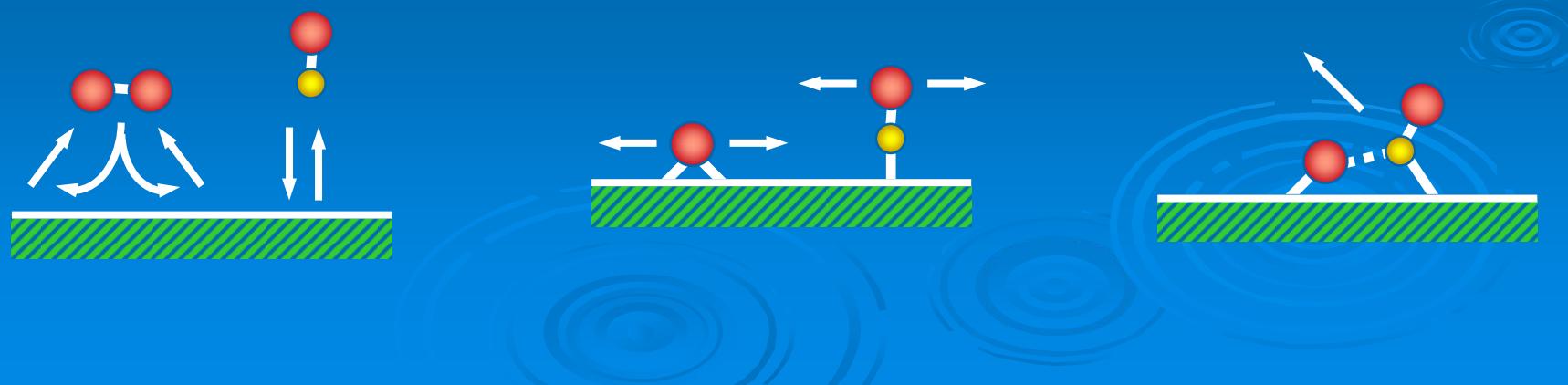
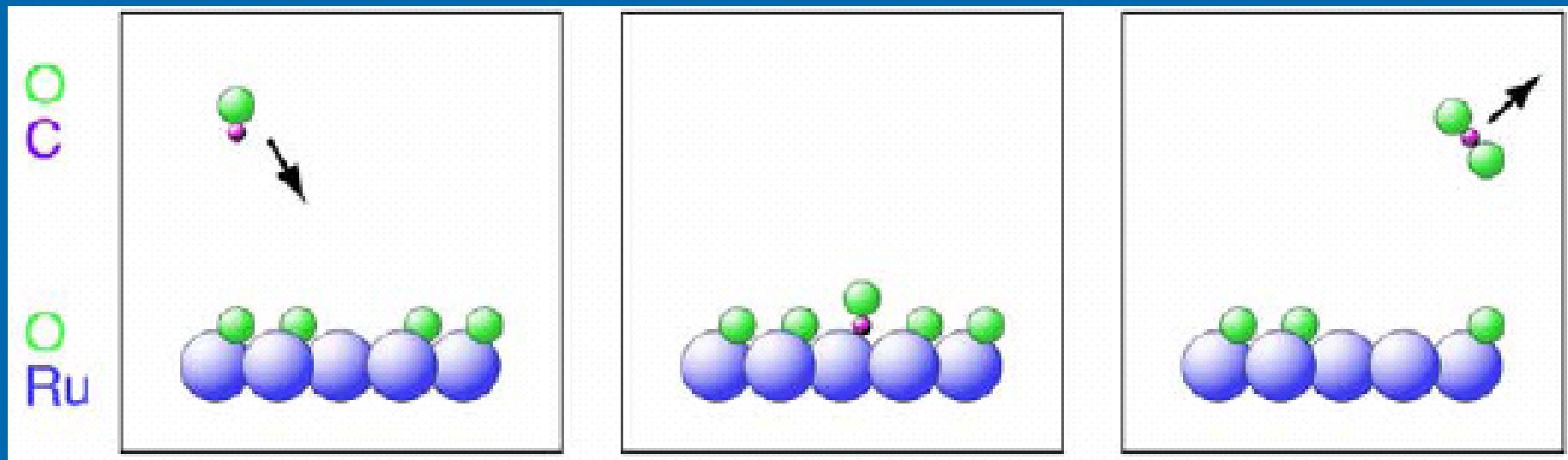
# textbook surface reaction



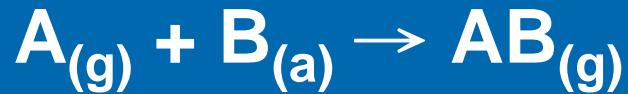
## Langmuir-Hinshelwood Mechanism (LH)



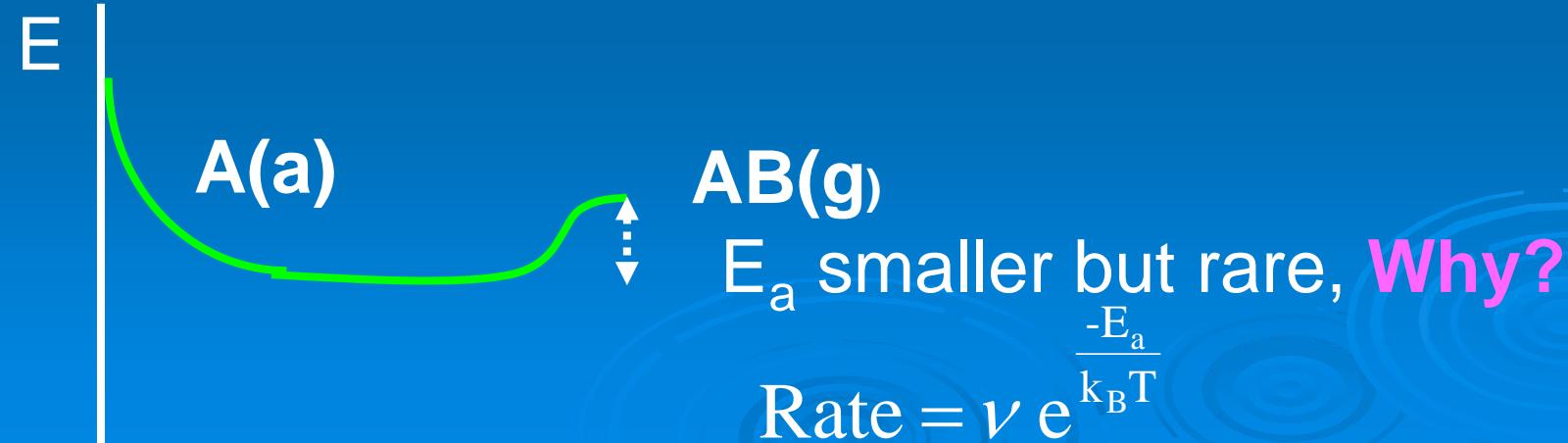
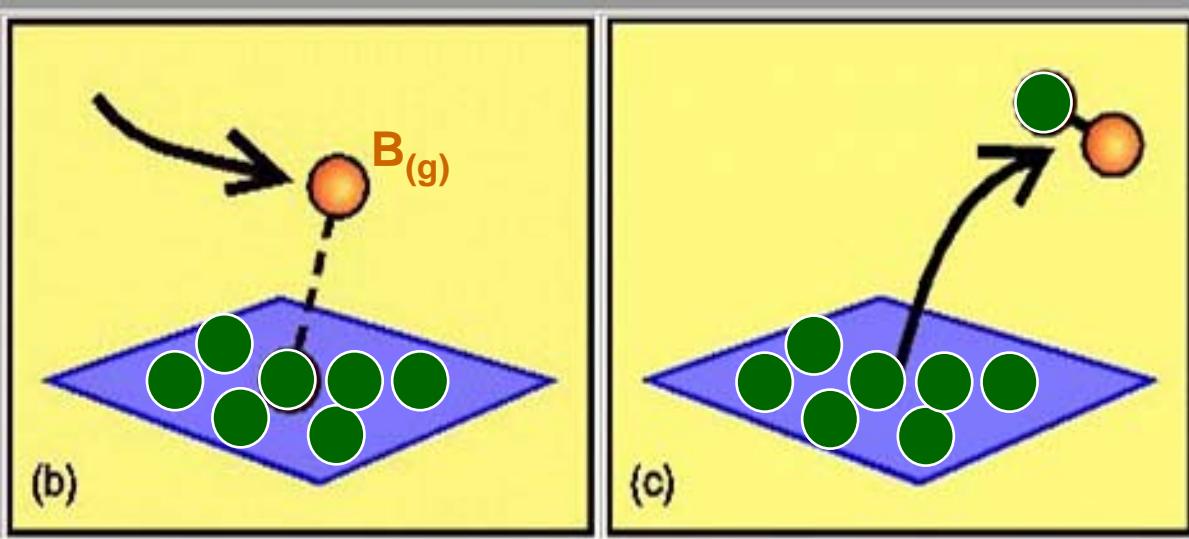
# LH example



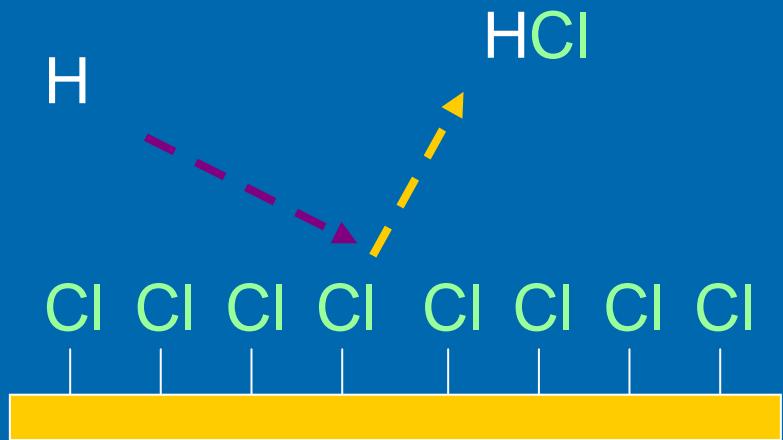
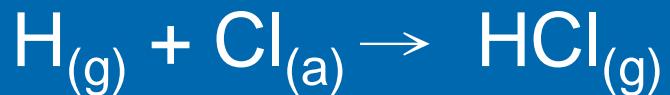
# Catalytic reaction of the 2nd kind :



Eley-Rideal Mechanism (ER)

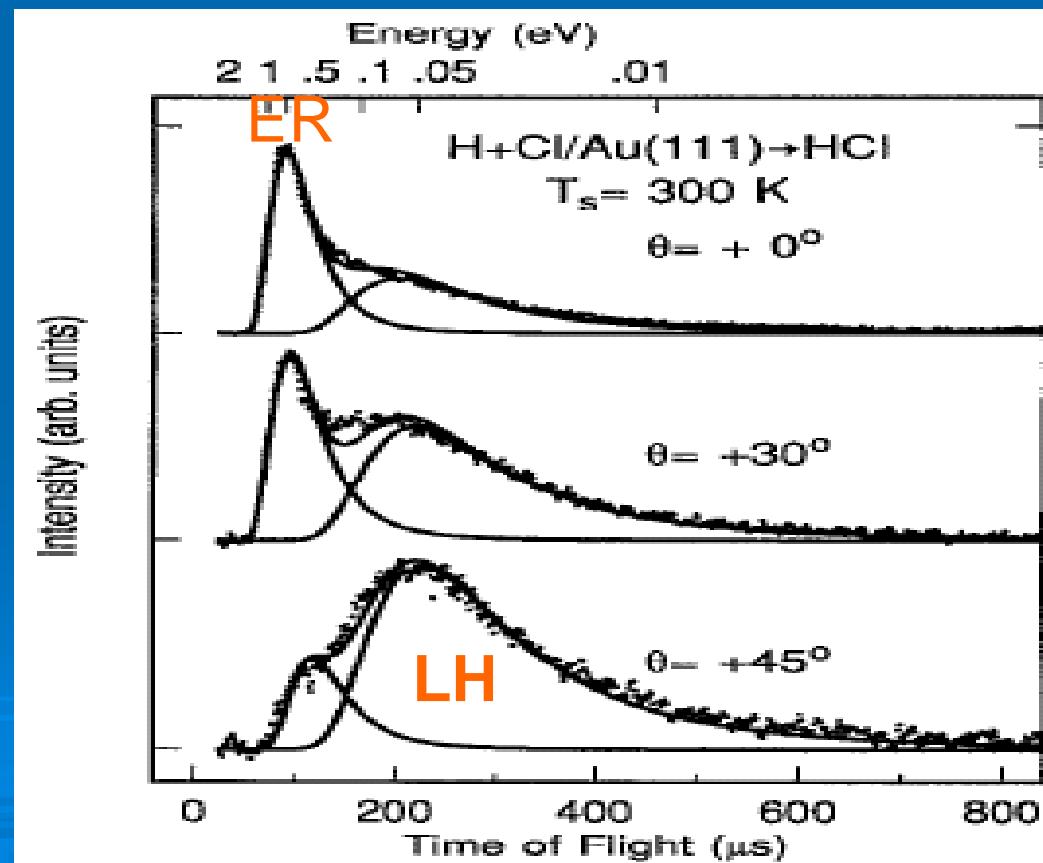
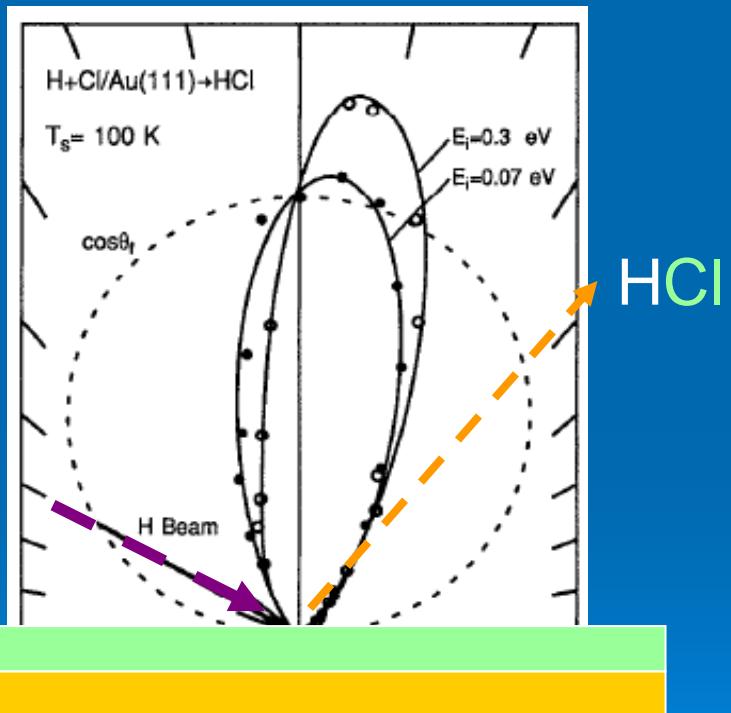


# Cl extraction by H on Au(111)

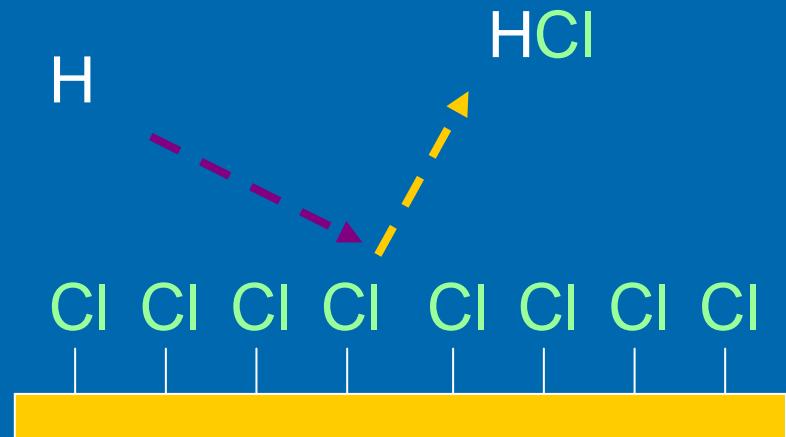


How to tell if this reaction is  
ER or not ER?

# Rettner's approach: Probing the gas product



# Our approach: examine the surface



Are reaction sites **random** or **not random**?

# Raindrops on the windshield

ER

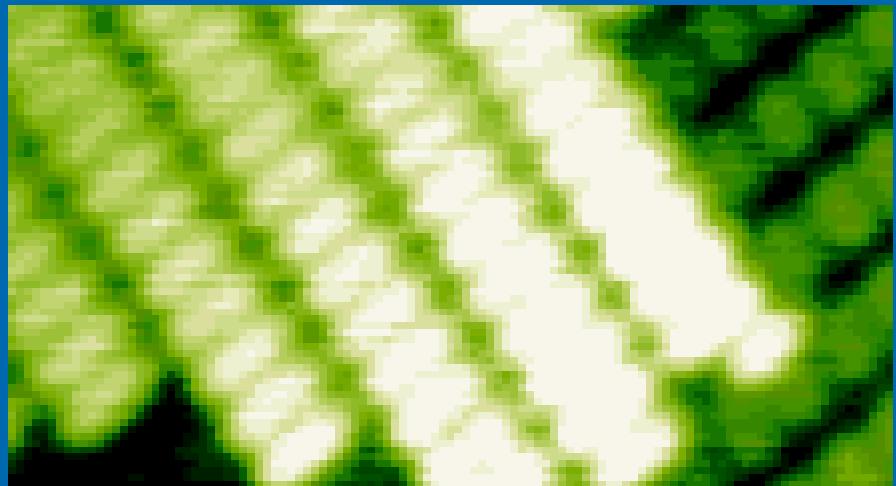
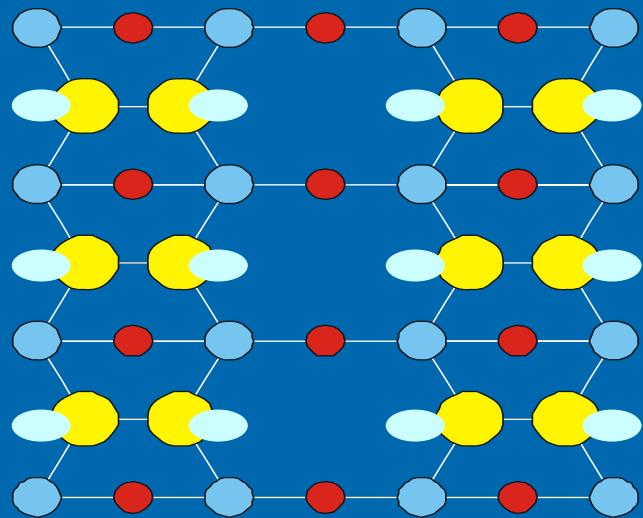
or

not ER ?

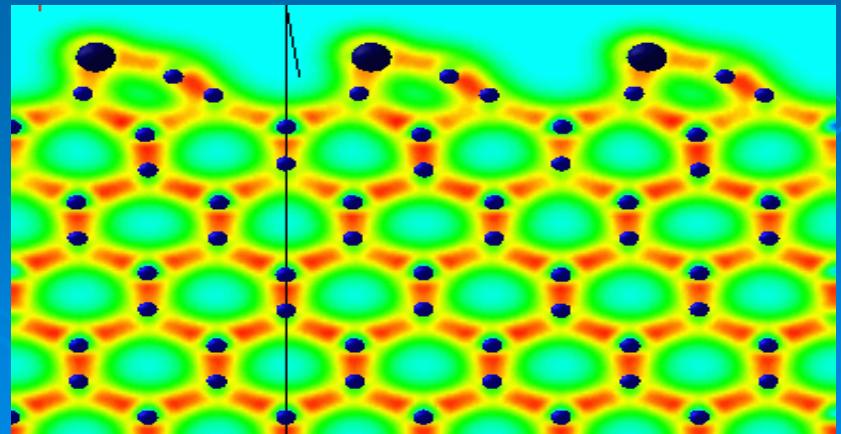
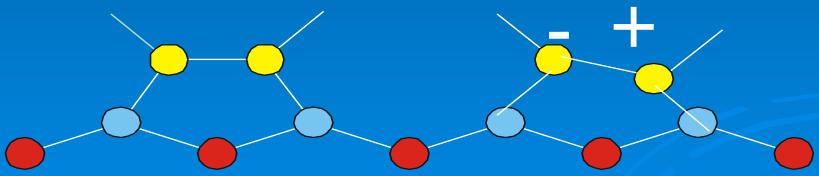


# Atomic structure of Si(100)-2×1

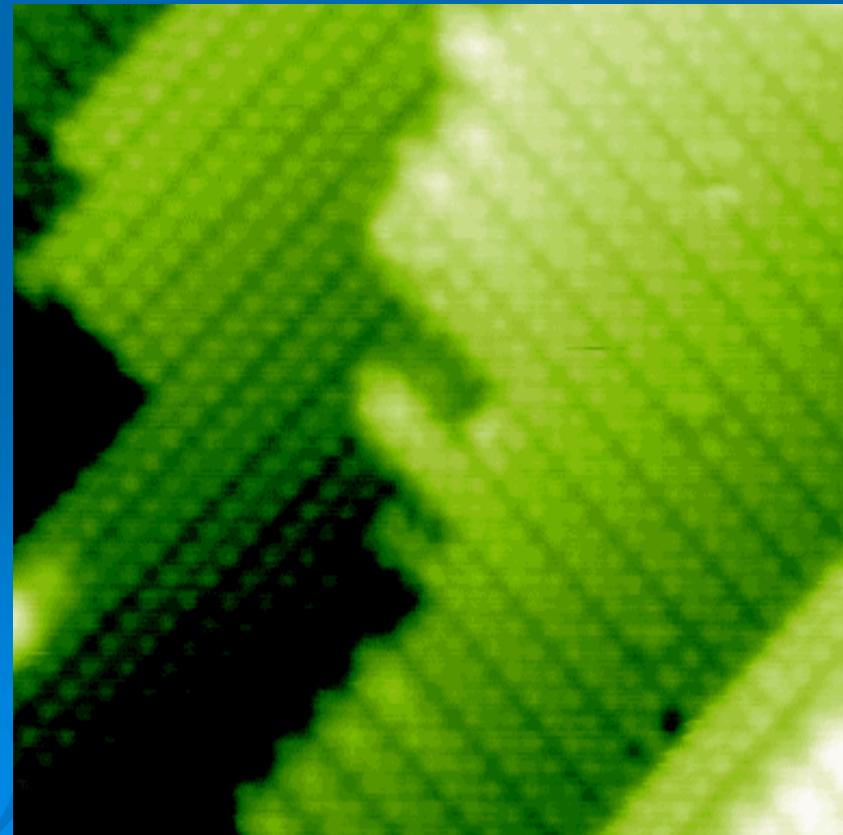
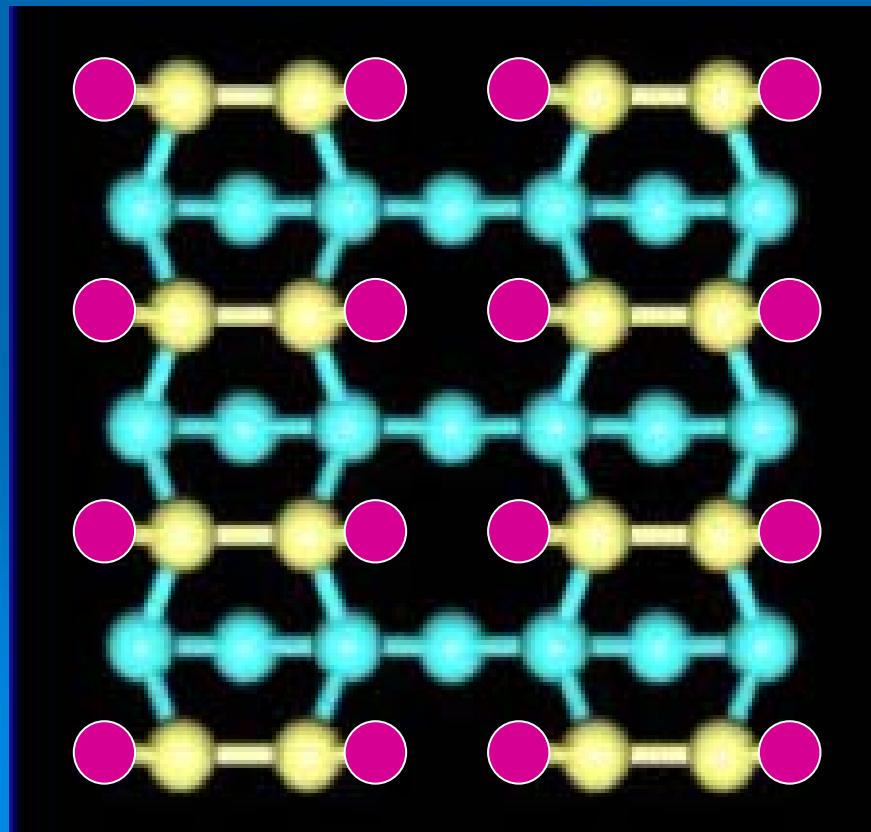
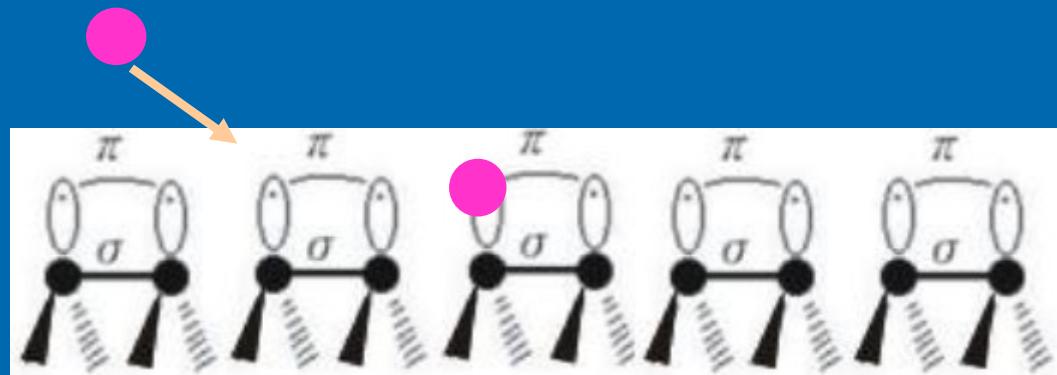
Top



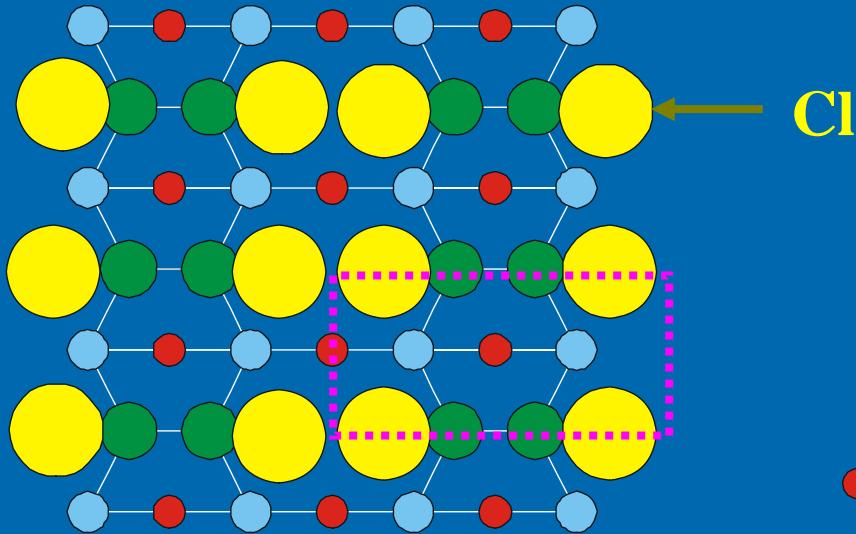
Side      Buckled



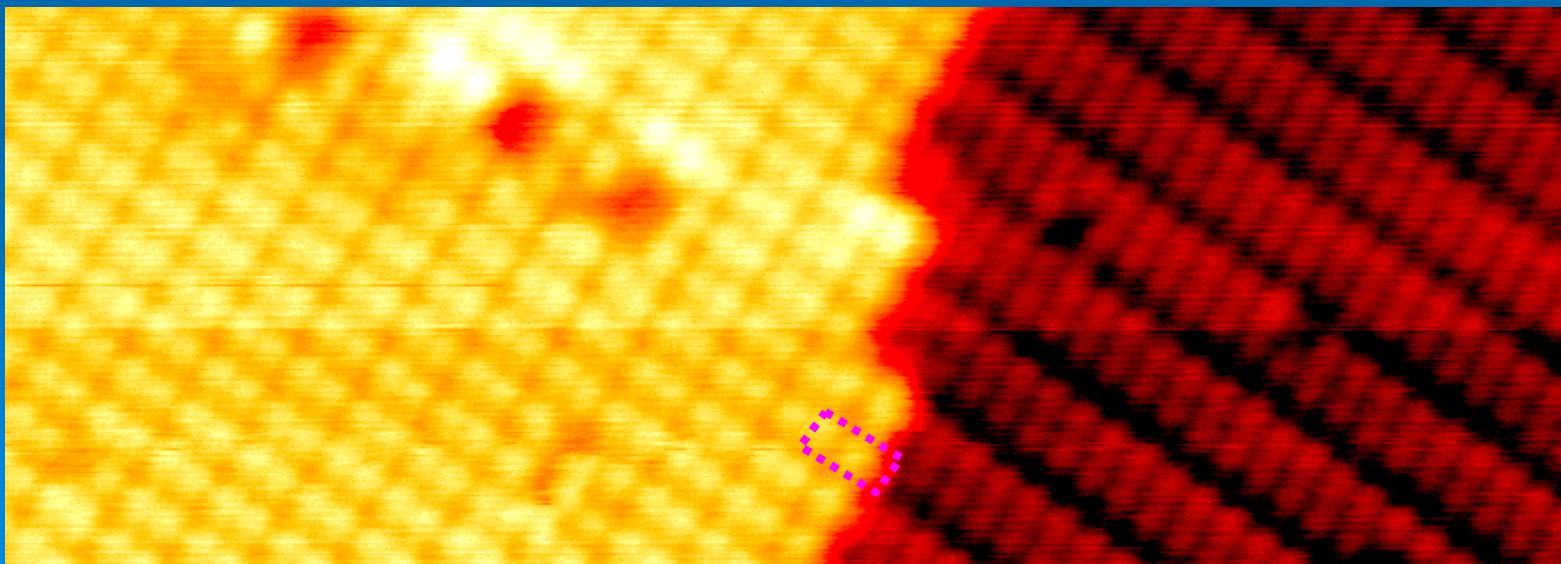
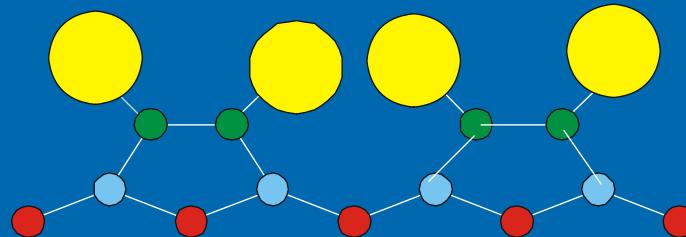
# Atomic Hydrogen adsorption on Si(100)



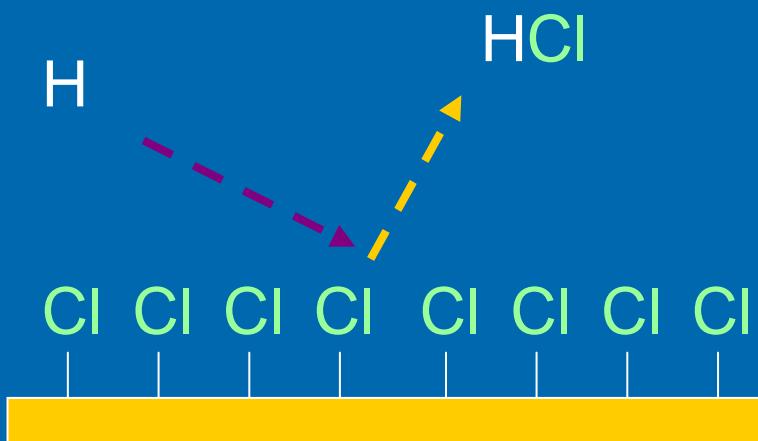
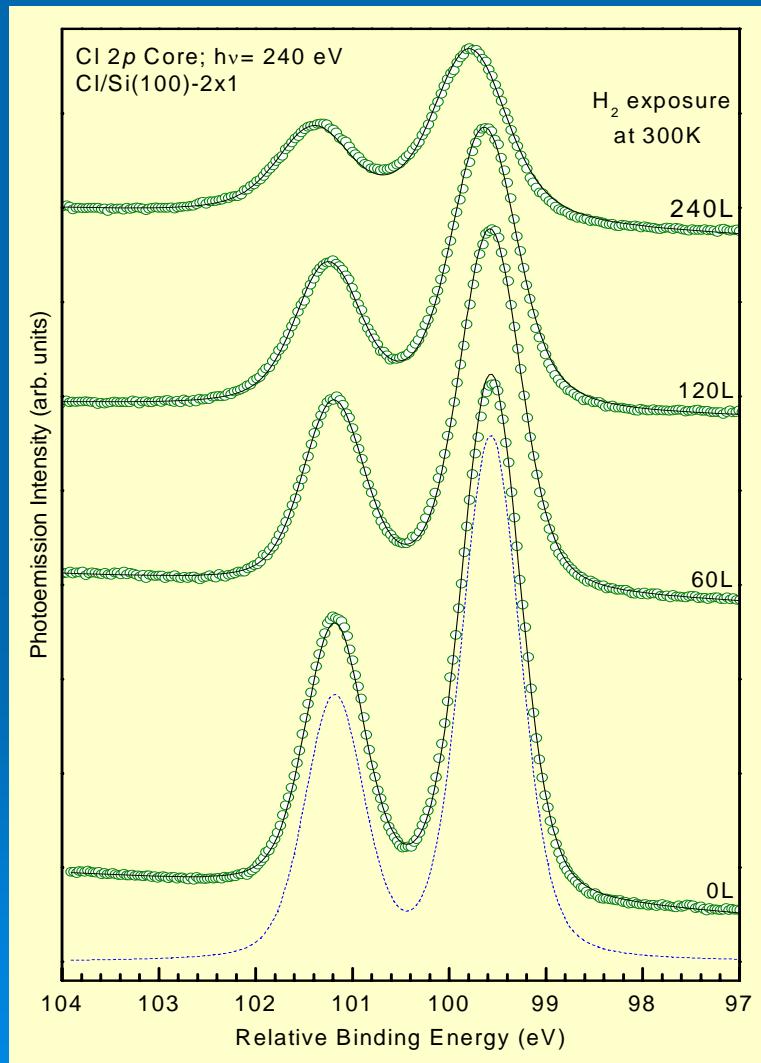
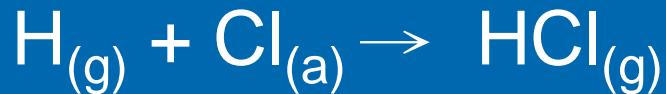
# Cl on Si(100)



Side view



# Cl extraction by H on Si(100)-2x1



# H bombardment on Cl/Si(100)-2x1

0 L

72 L

36 L

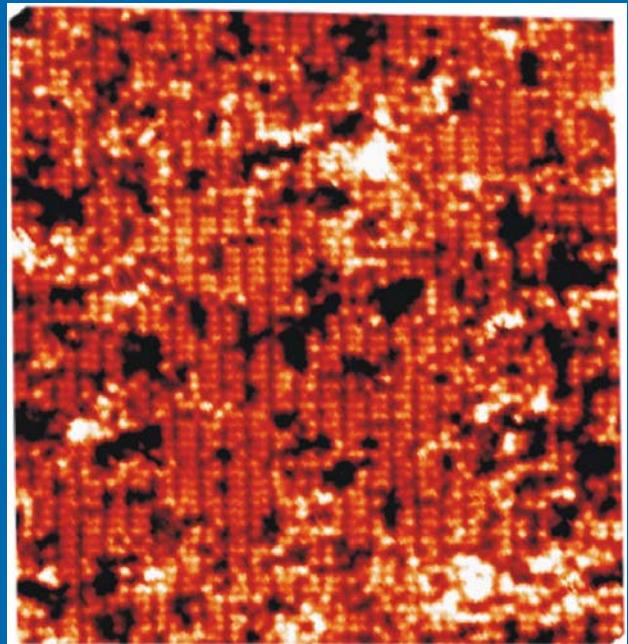
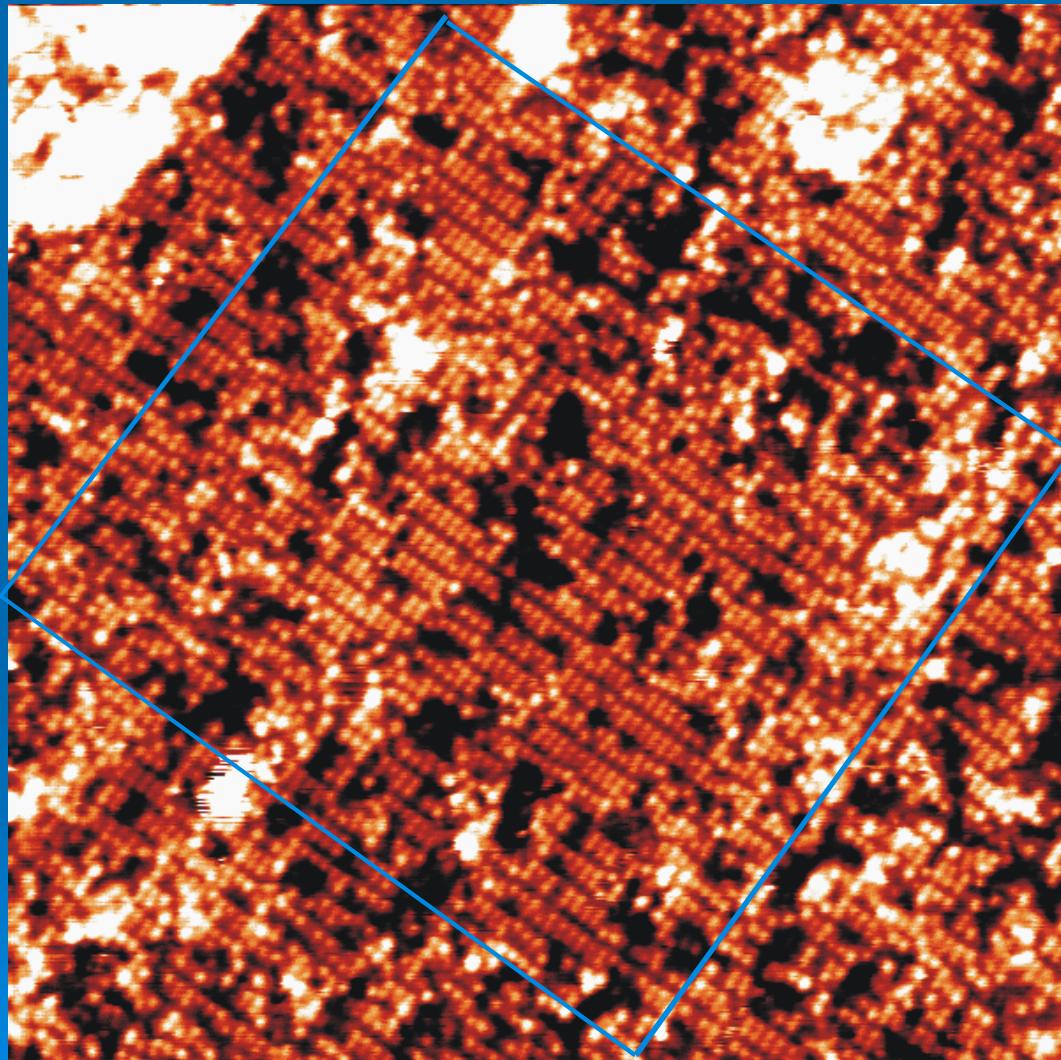
90 L

54 L

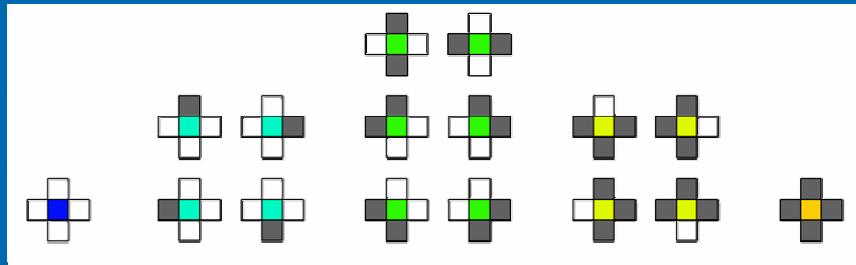
40 nm x 20 nm, +2 V, 0.2 nA

# Analysis is not trivial

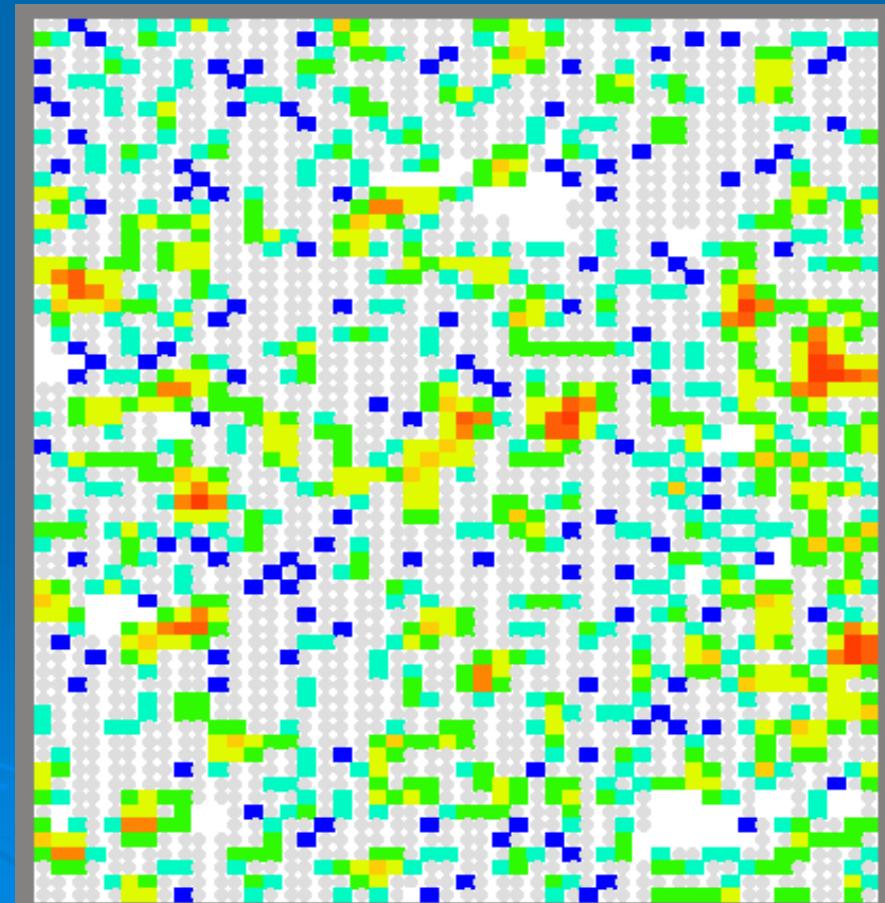
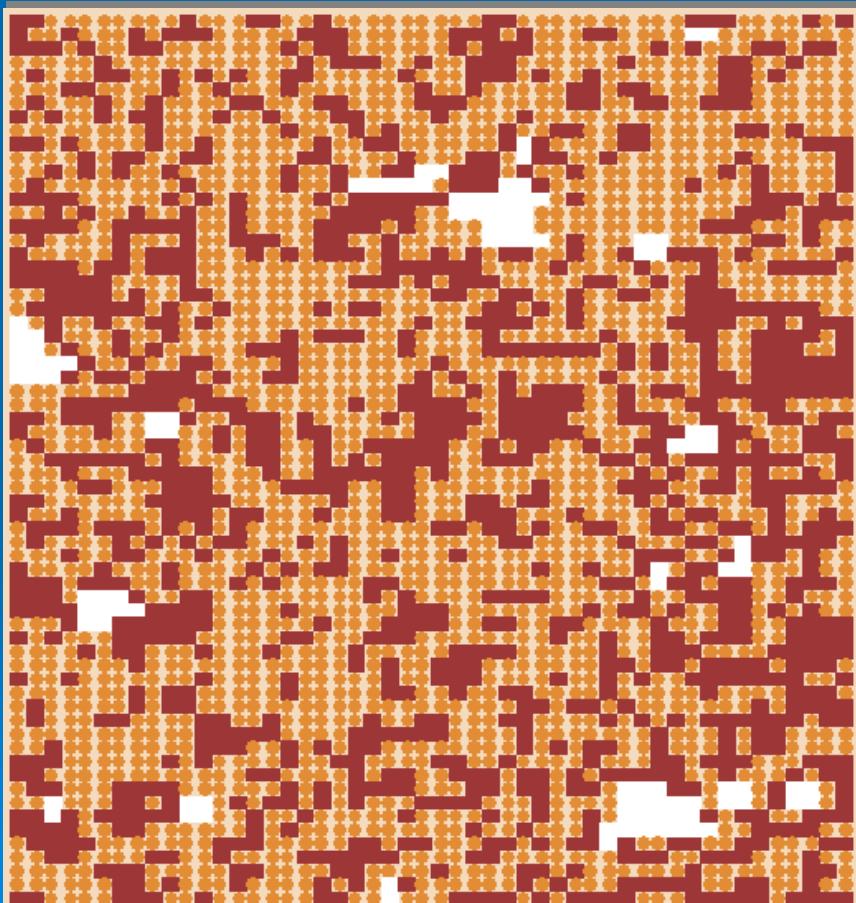
54 L H-atom dosage



# Classify Reaction Sites



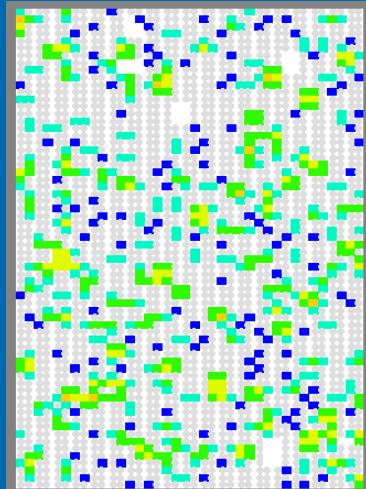
Cluster0 Cluster1 Cluster2 Cluster3 Cluster4 Cluster5 Cluster6 Cluster7 Cluster8



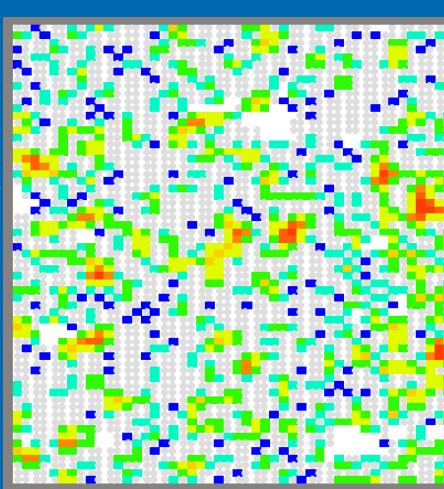
# Comparison

## STM Data Analysis

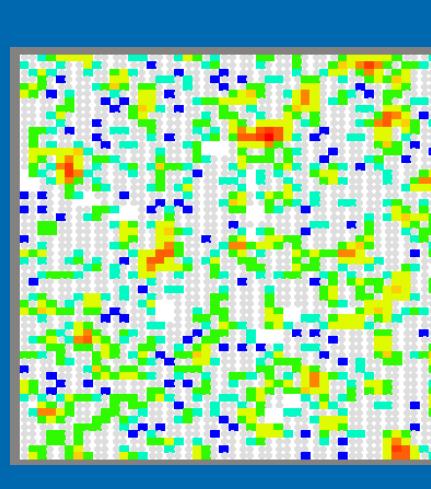
36 L



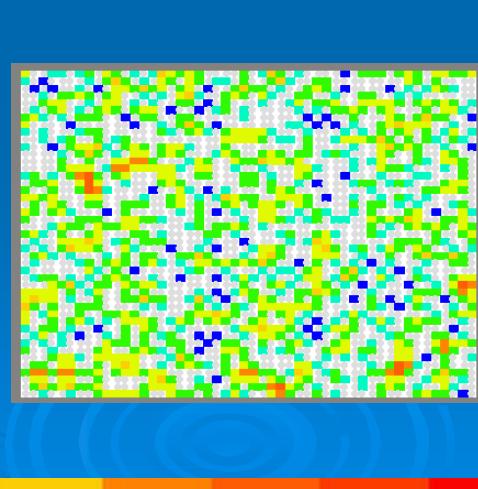
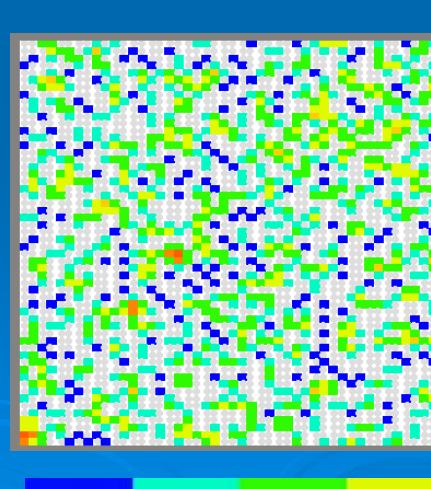
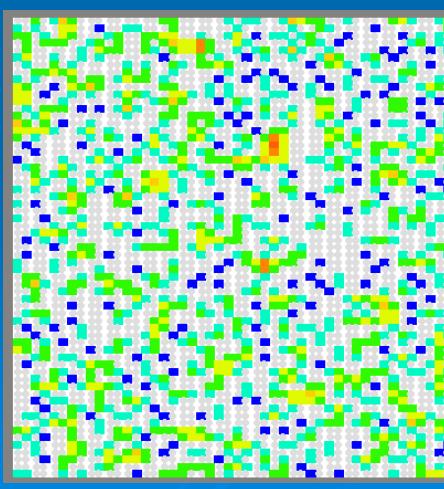
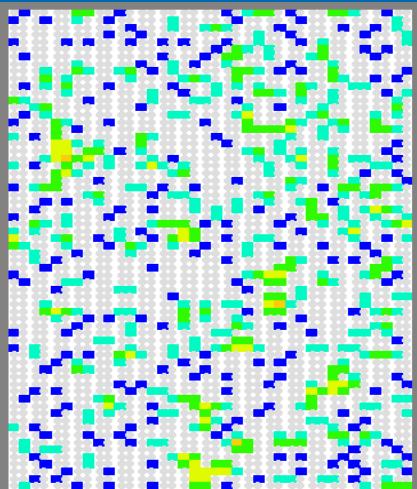
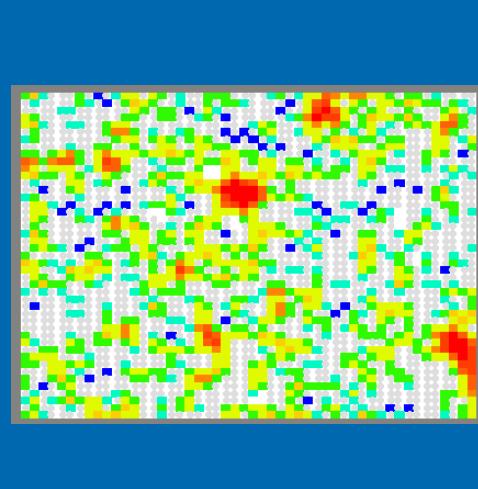
54 L



72 L



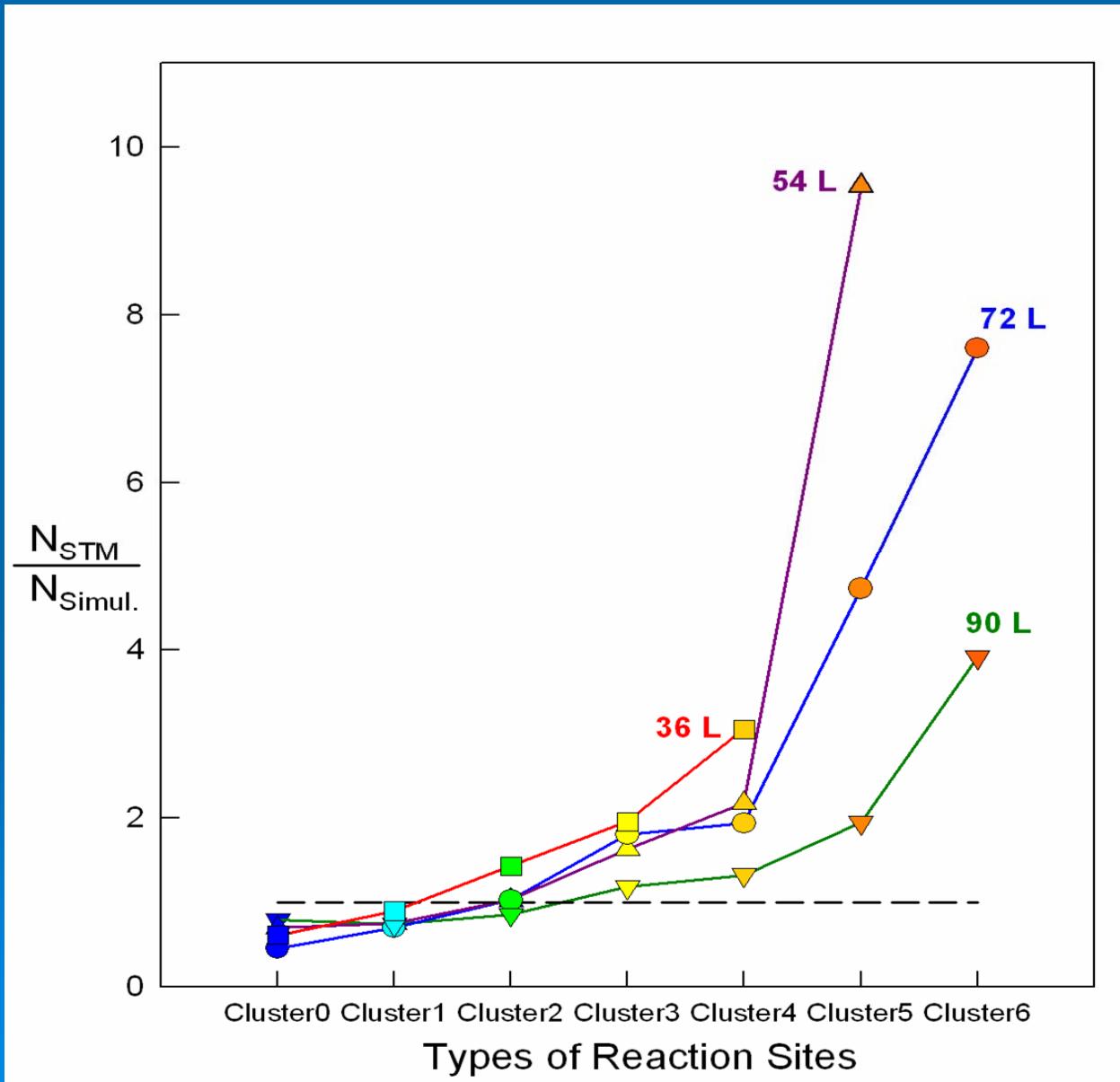
90 L



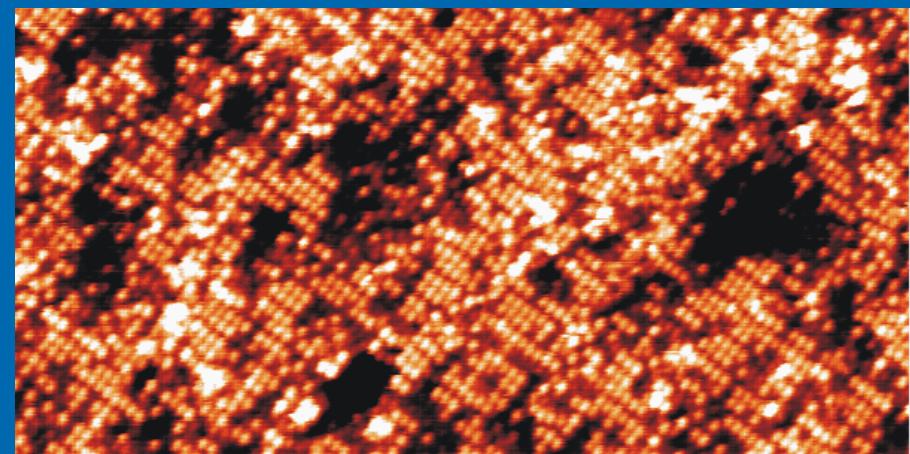
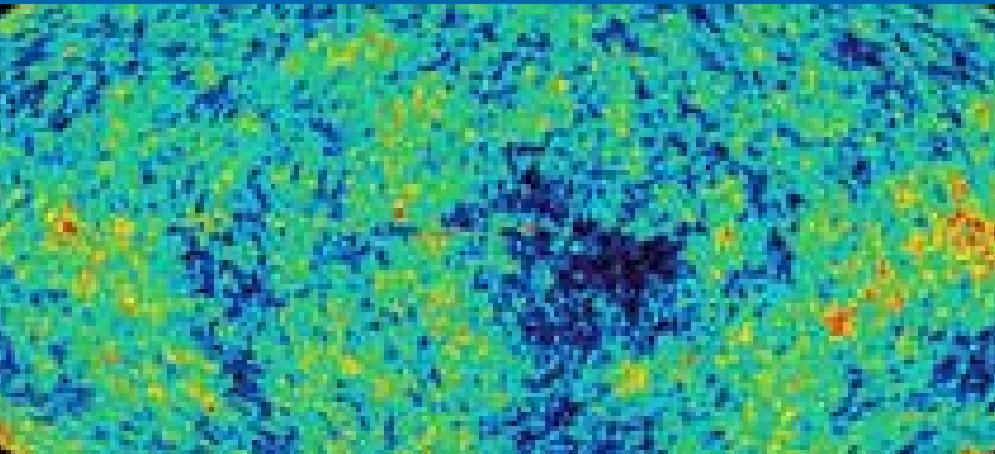
Random Simulation



# Comparison



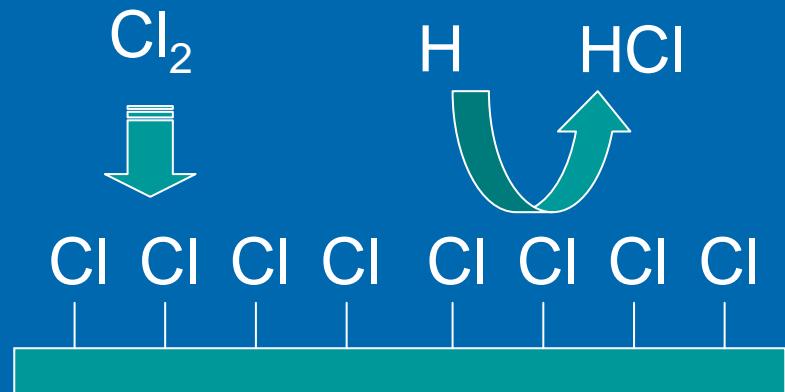
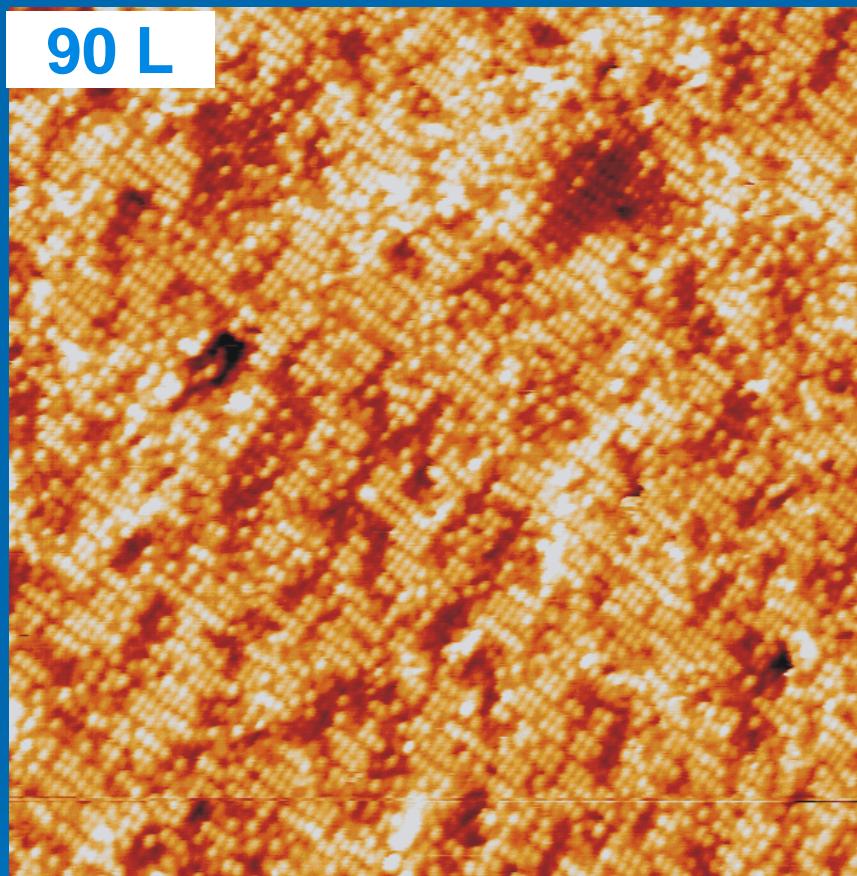
# Cosmology vs. Surface Sci.



Time scale:  $10^{18} : 10^{-12}$

Size scale:  $10^{26} : 10^{-8}$

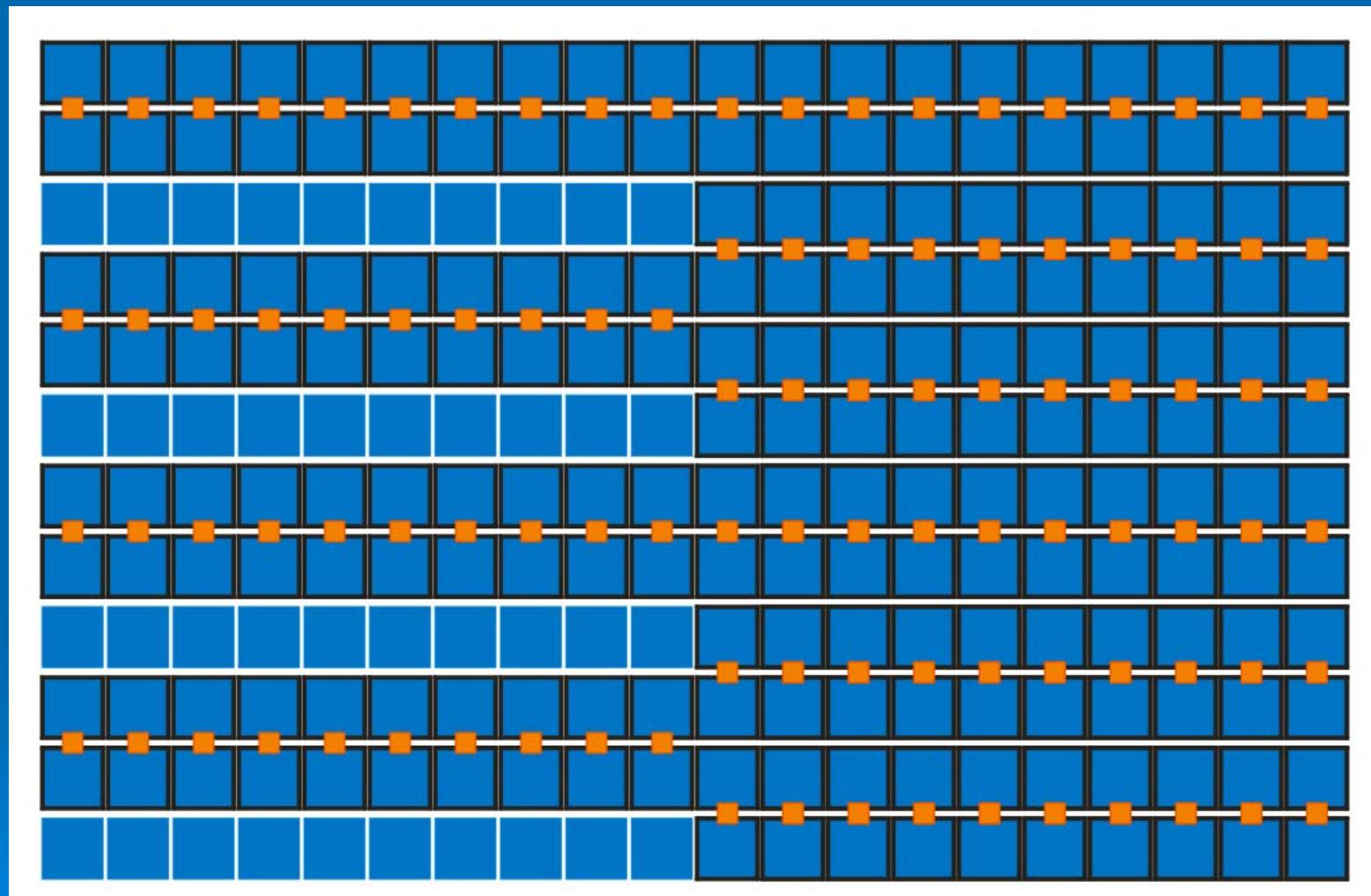
# Summary



ER or not ER ?

- Hot atom mechanism plays an important role.
- STM might not be perfect for this kind of study, still very useful.

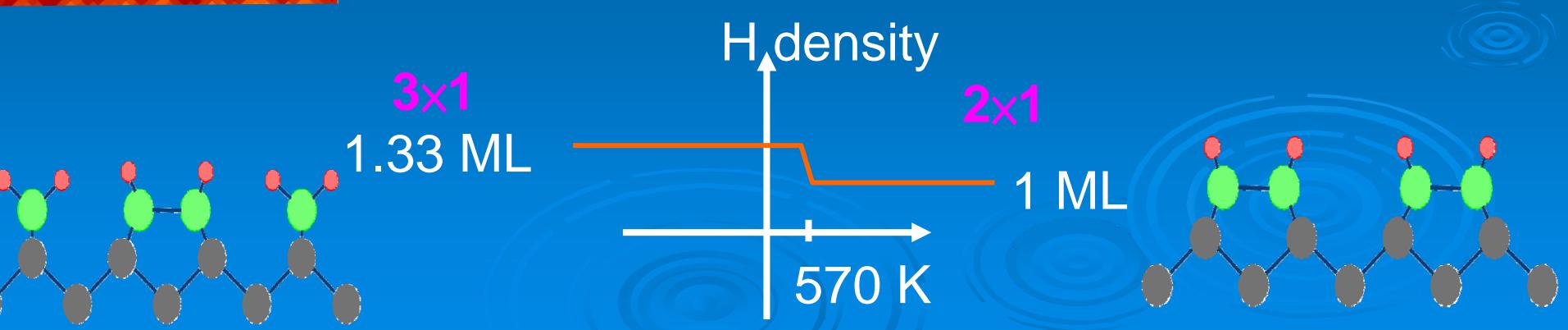
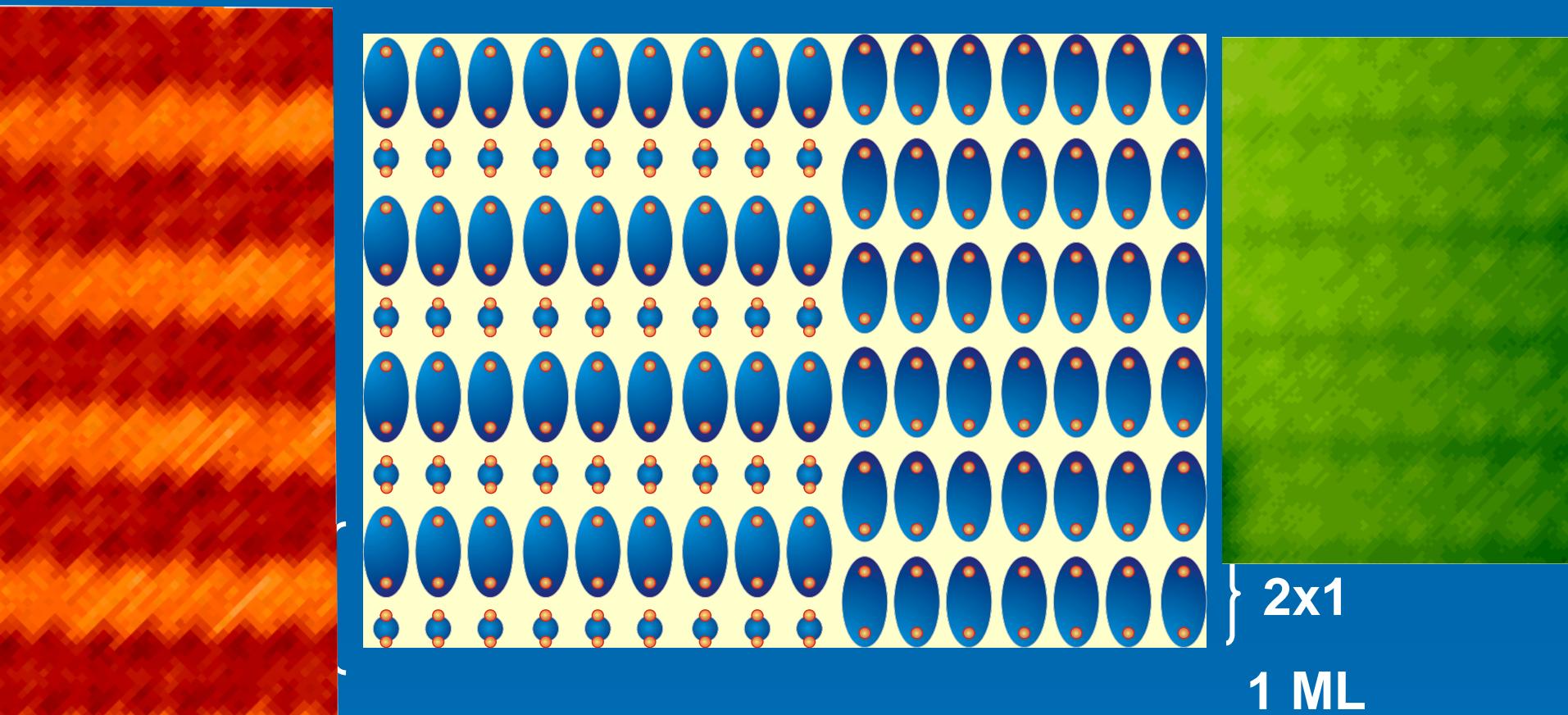
# How 2D structure Phase Transition occurs



3x1

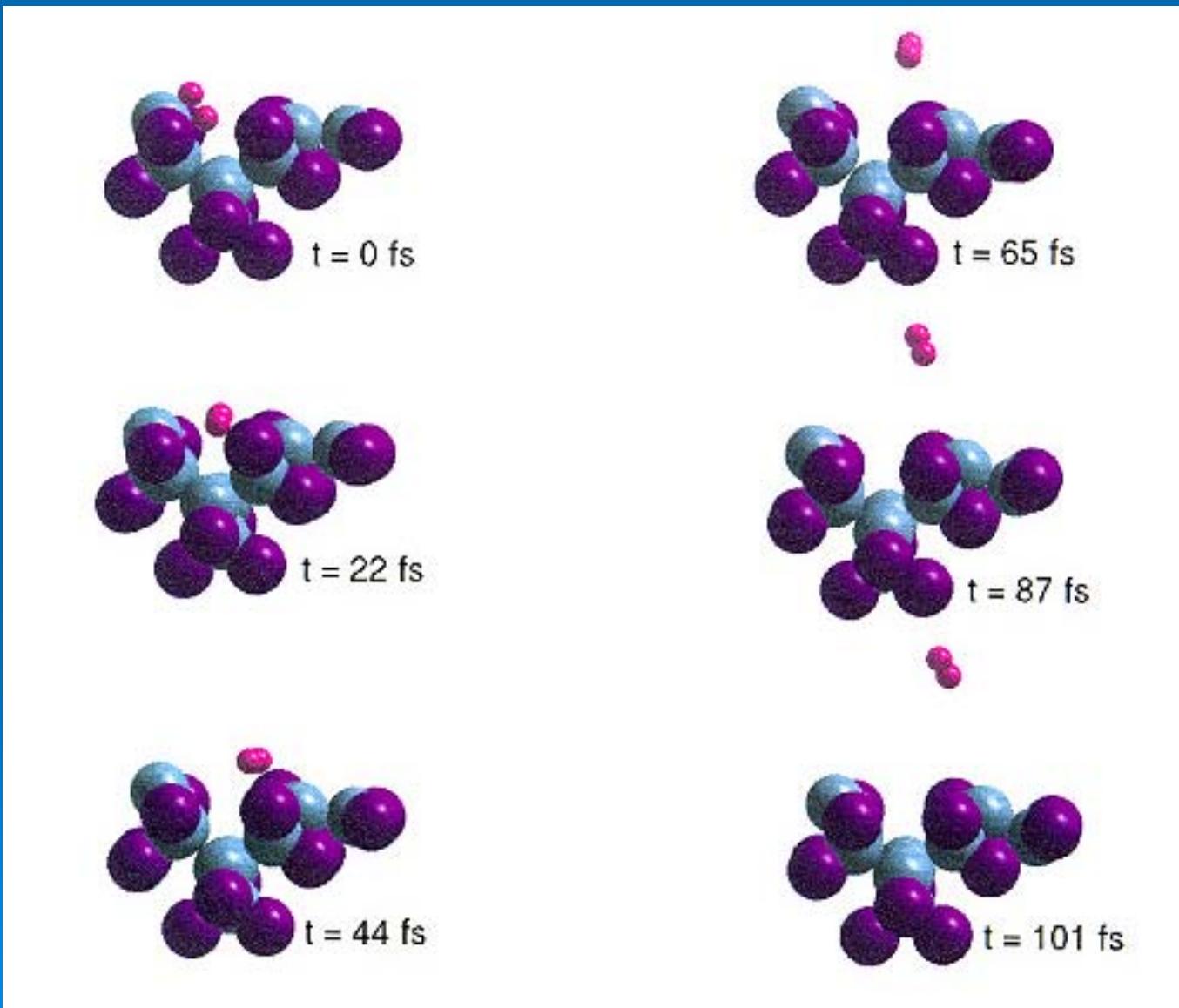
2x1

# $2\times 1 \rightarrow 3\times 1$ transition $\equiv$ H reduction process



# Hydrogen desorption from Si (100)

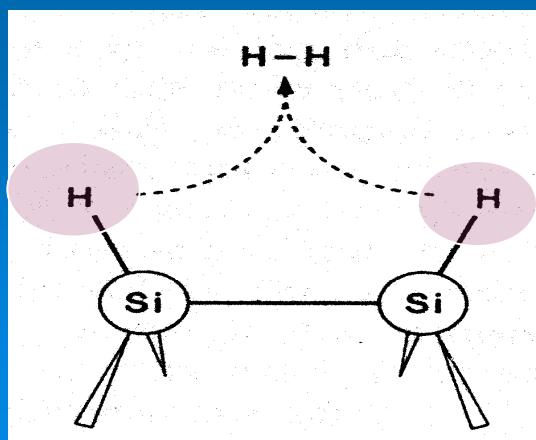
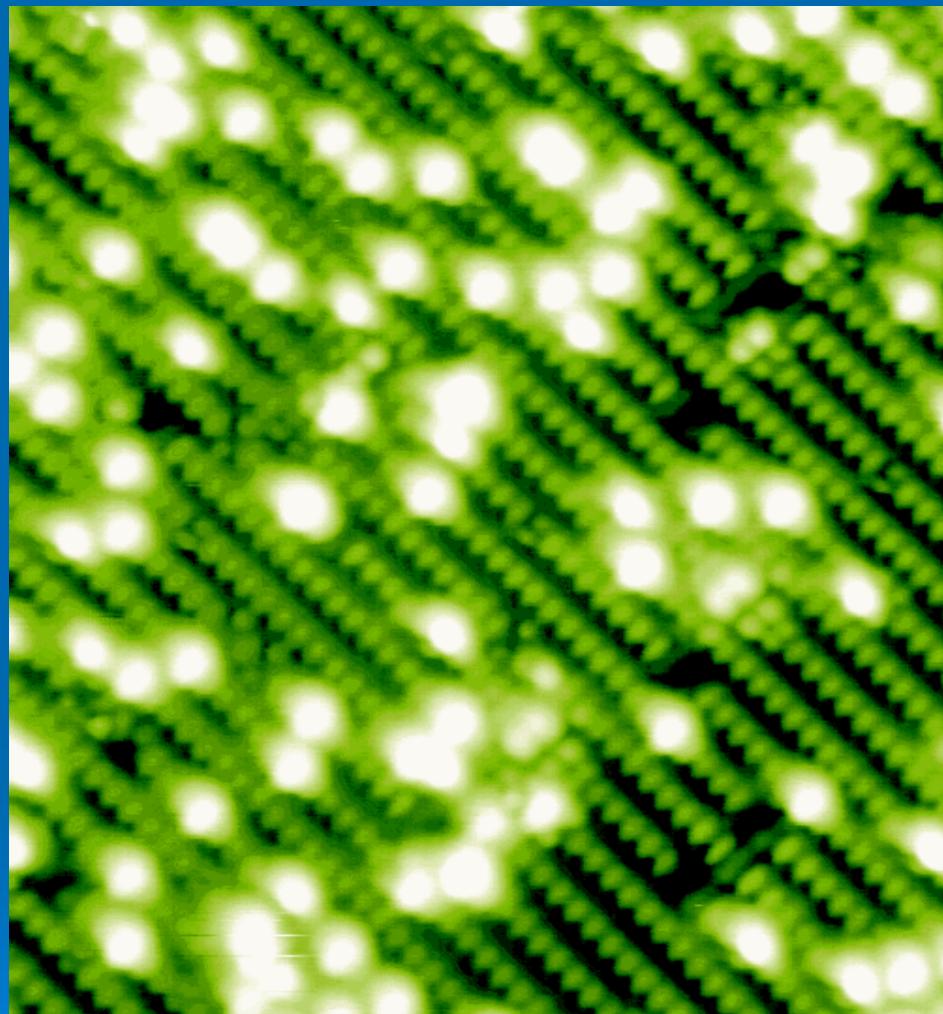
via *Ab Initio* Molecular Dynamics Emily A. Carter



# $H_2$ thermal desorption from $Si_2H_2$

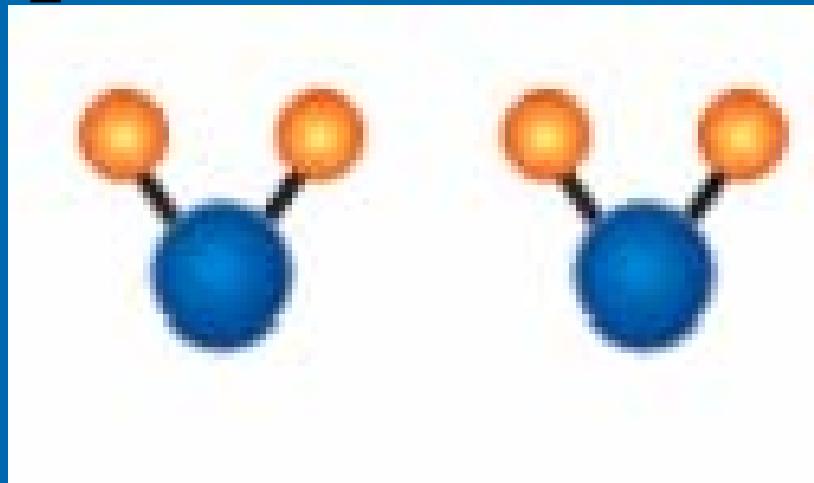


725 K  
for 1 min

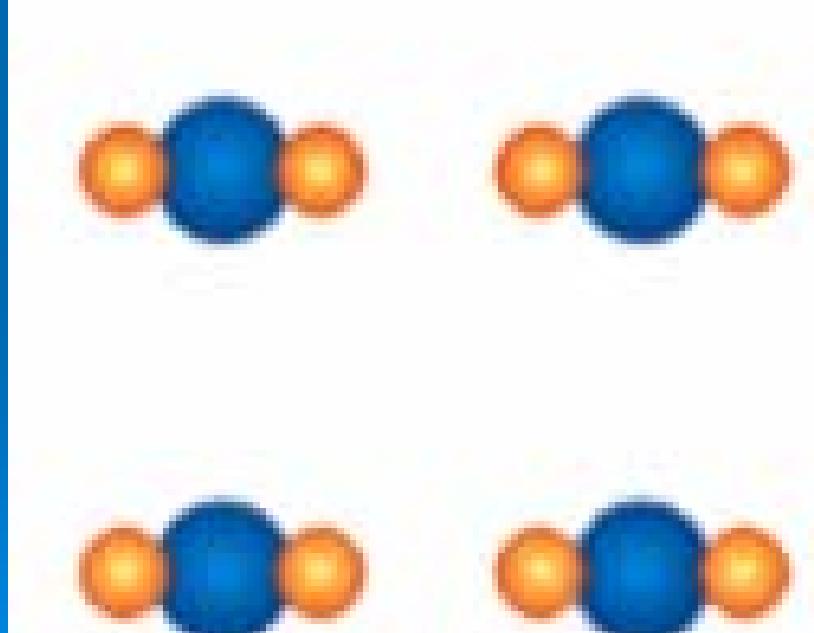


# $\text{H}_2$ desorption from $\text{SiH}_2$ 570 K

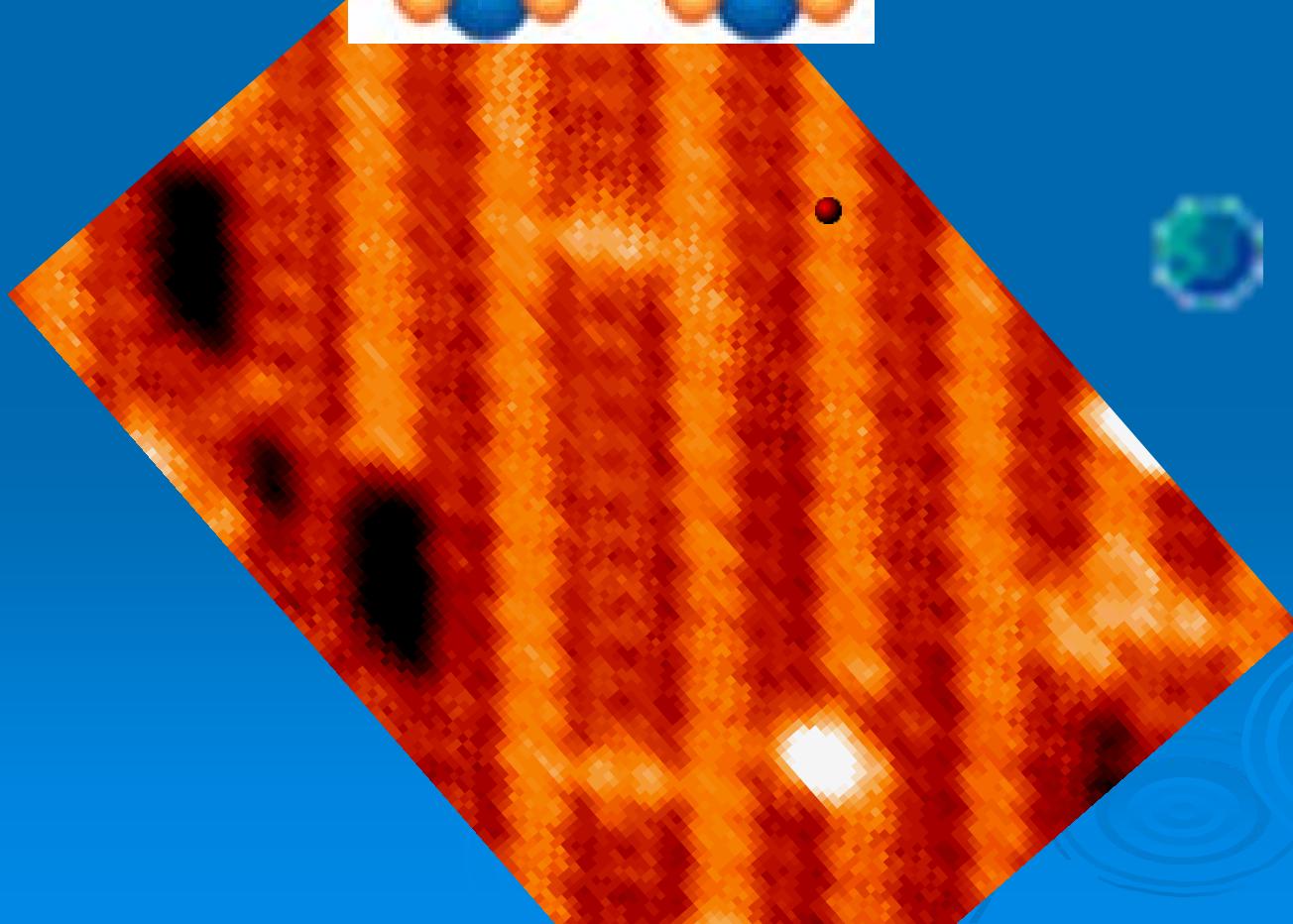
Side view



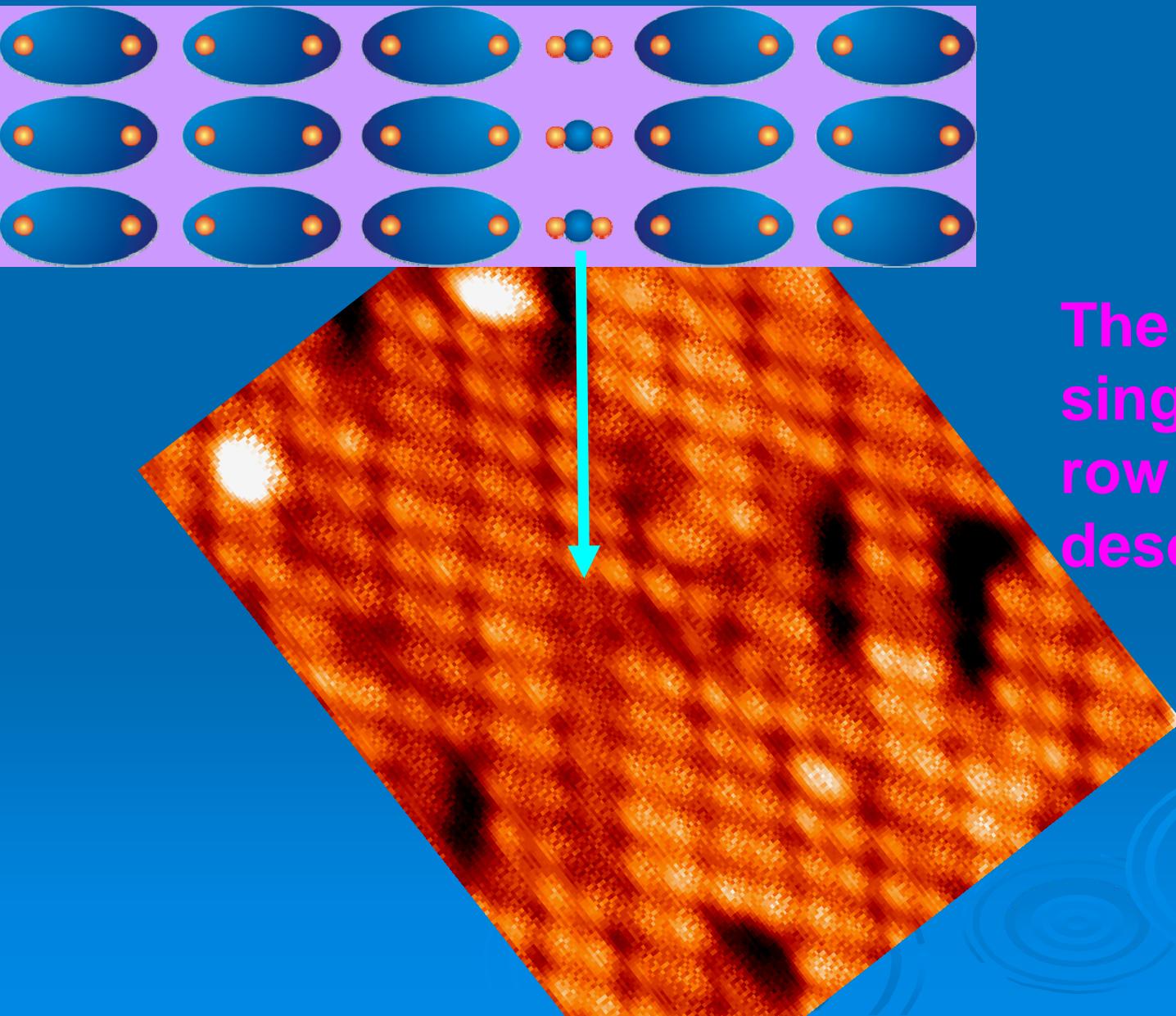
Top view



# $H_2$ desorption from $SiH_2$

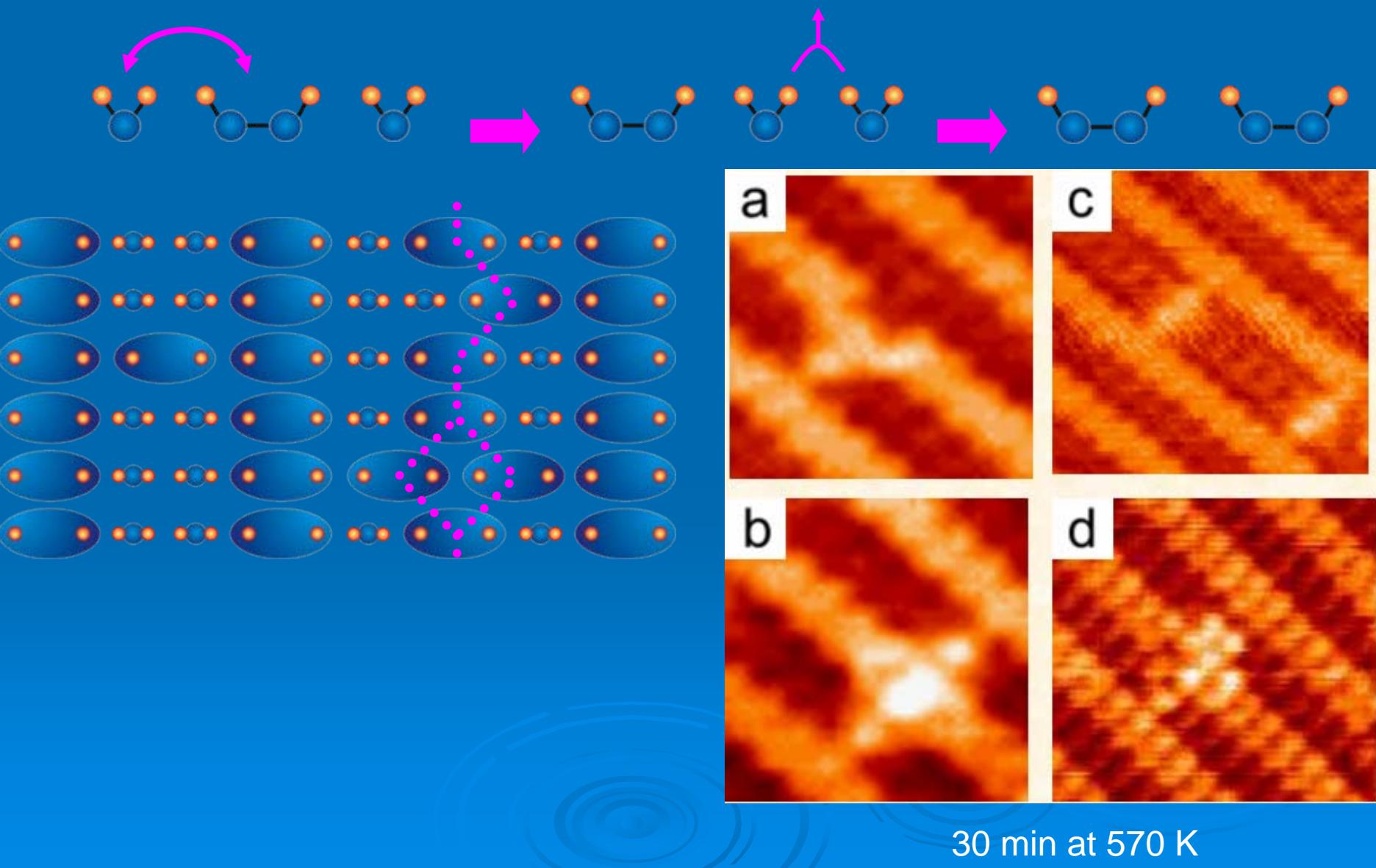


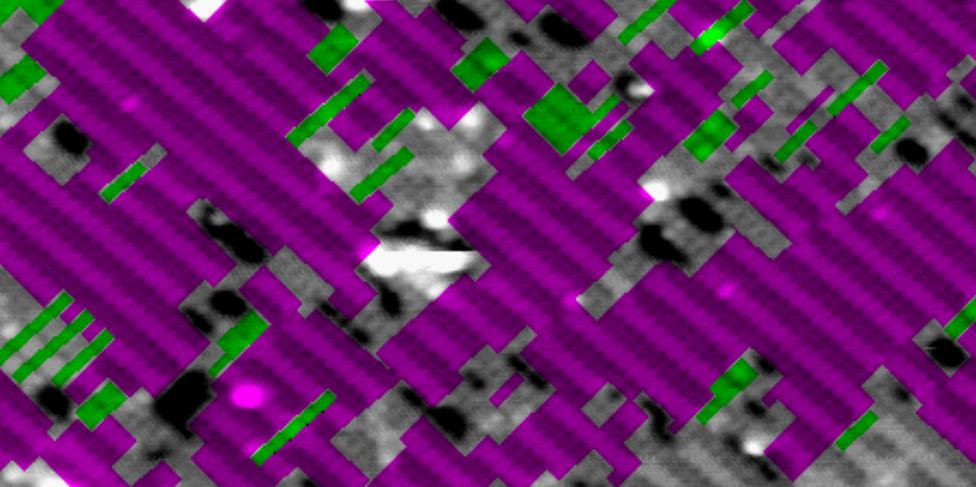
# $H_2$ desorption from $SiH_2$



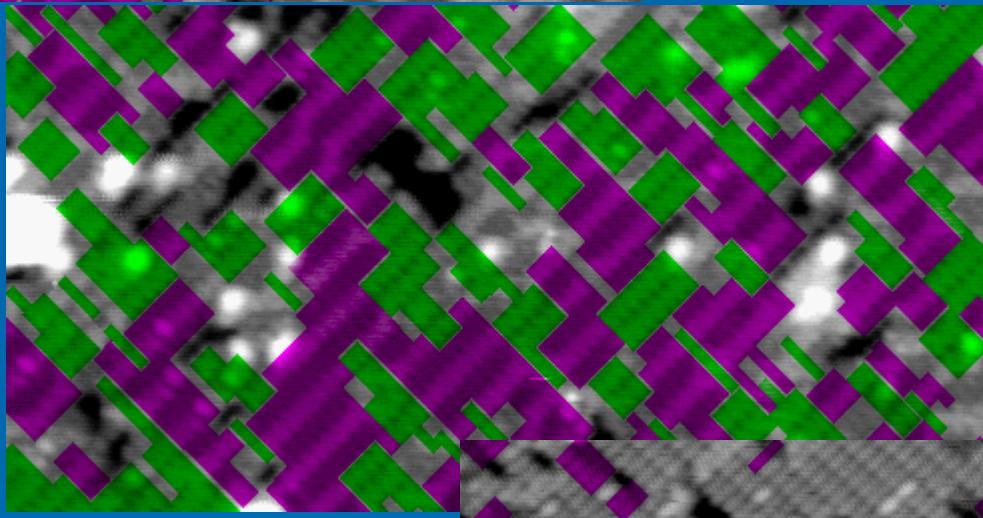
The trapped  
single dihydride  
row does not  
desorb  $H_2$

# $H_2$ thermal desorption from dihydrides

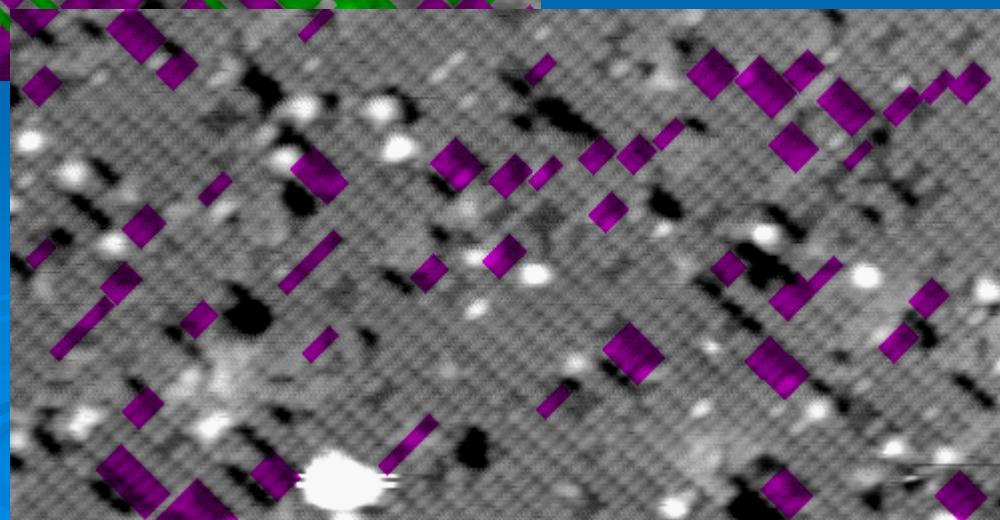




0.5 hrs



4.5 hrs



33 hrs

# The answer

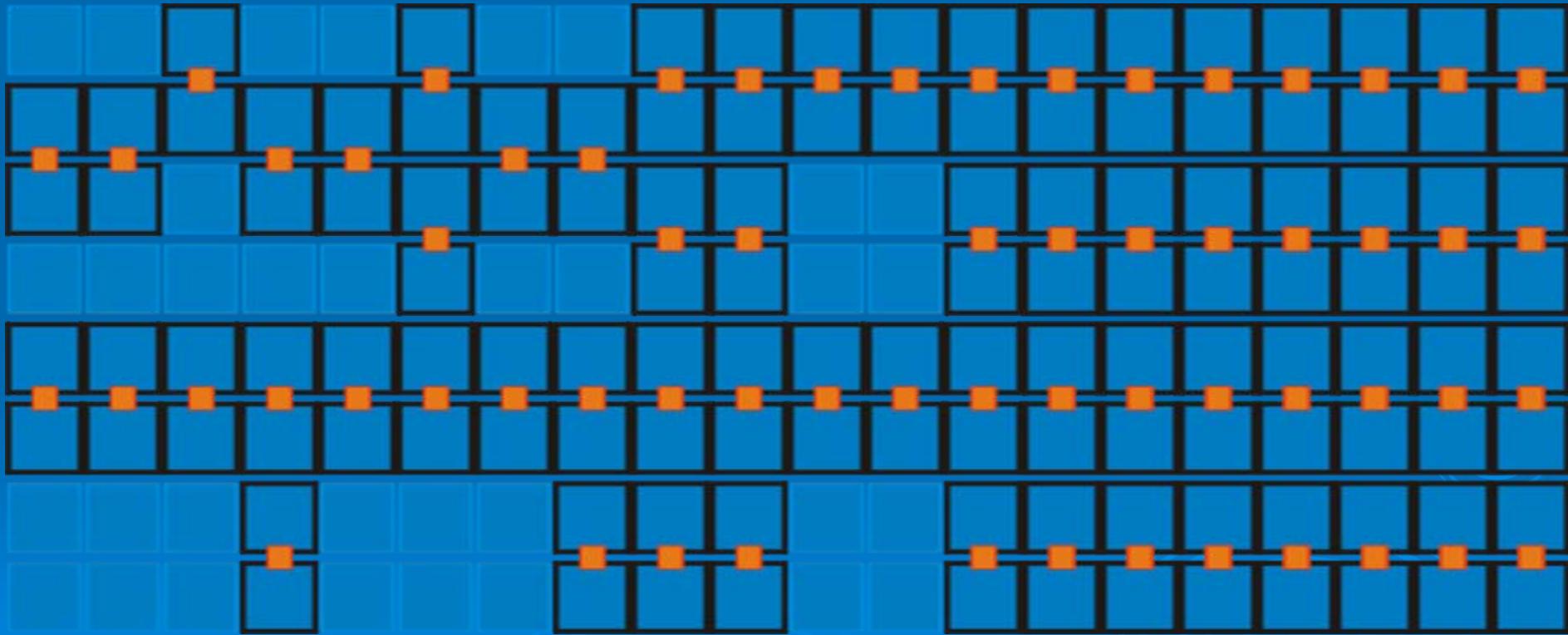
Two step processes

Step 1

Switch

Step 2

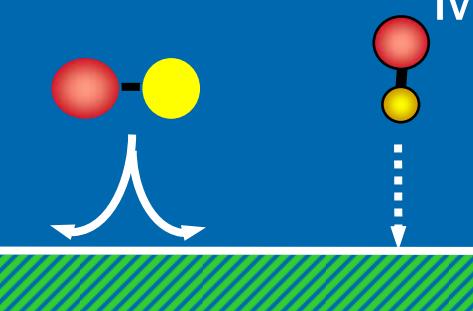
Desorb Grow



# Adsorption of diatomic molecules

Dissociative

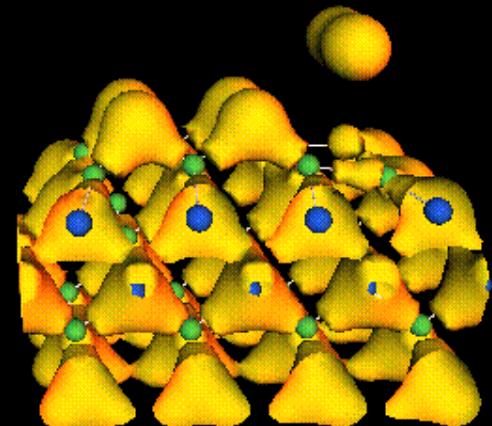
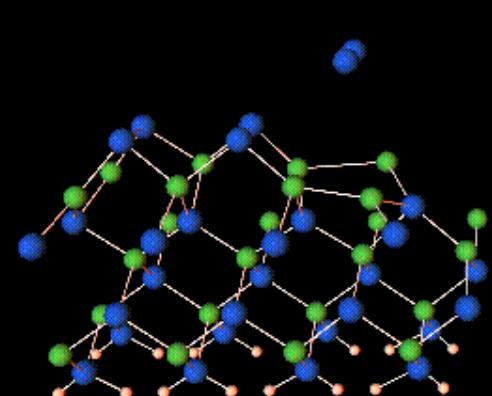
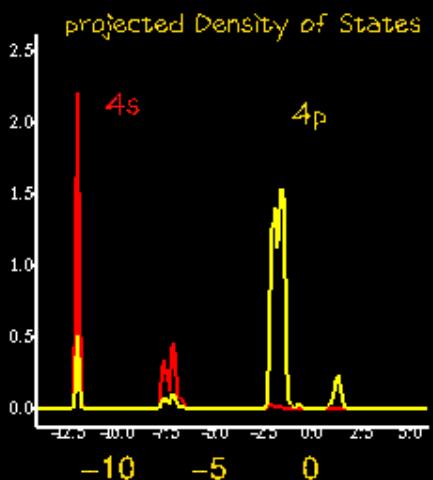
Molecular



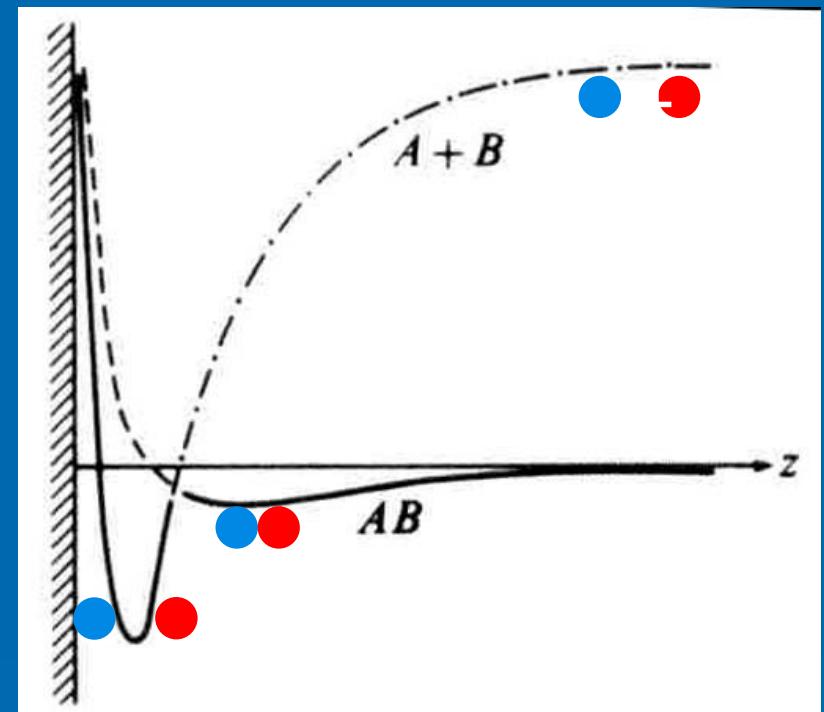
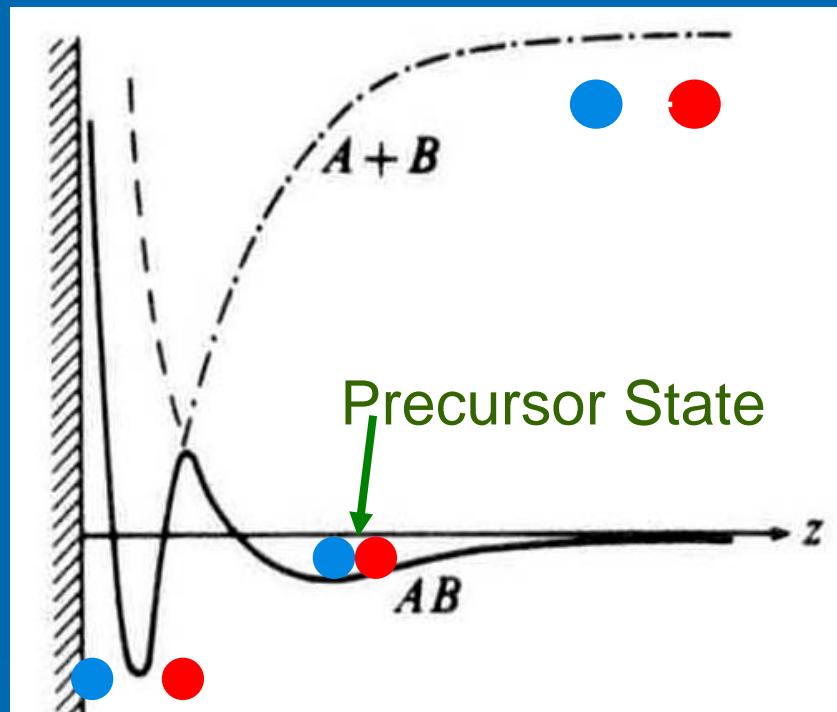
As<sub>2</sub> @ GaAs

As<sub>2</sub> approach on two  
pre-adsorbed Ga atoms

[0]



# Adsorption Energy Diagrams: Activated vs. nonactivated

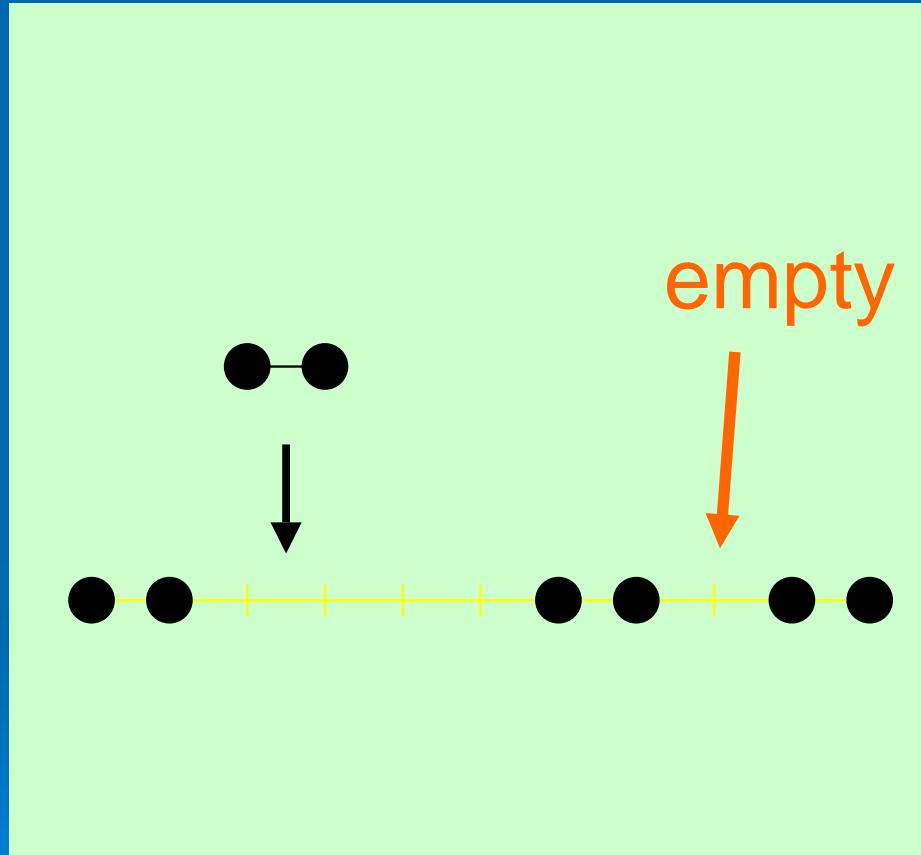


Questions:

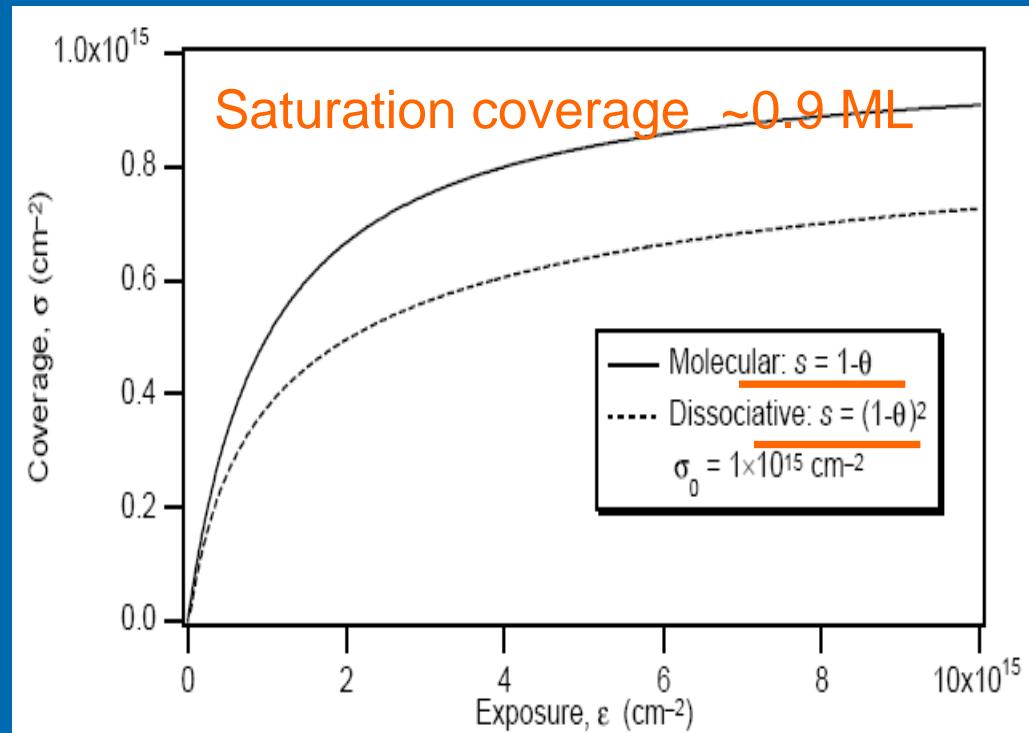
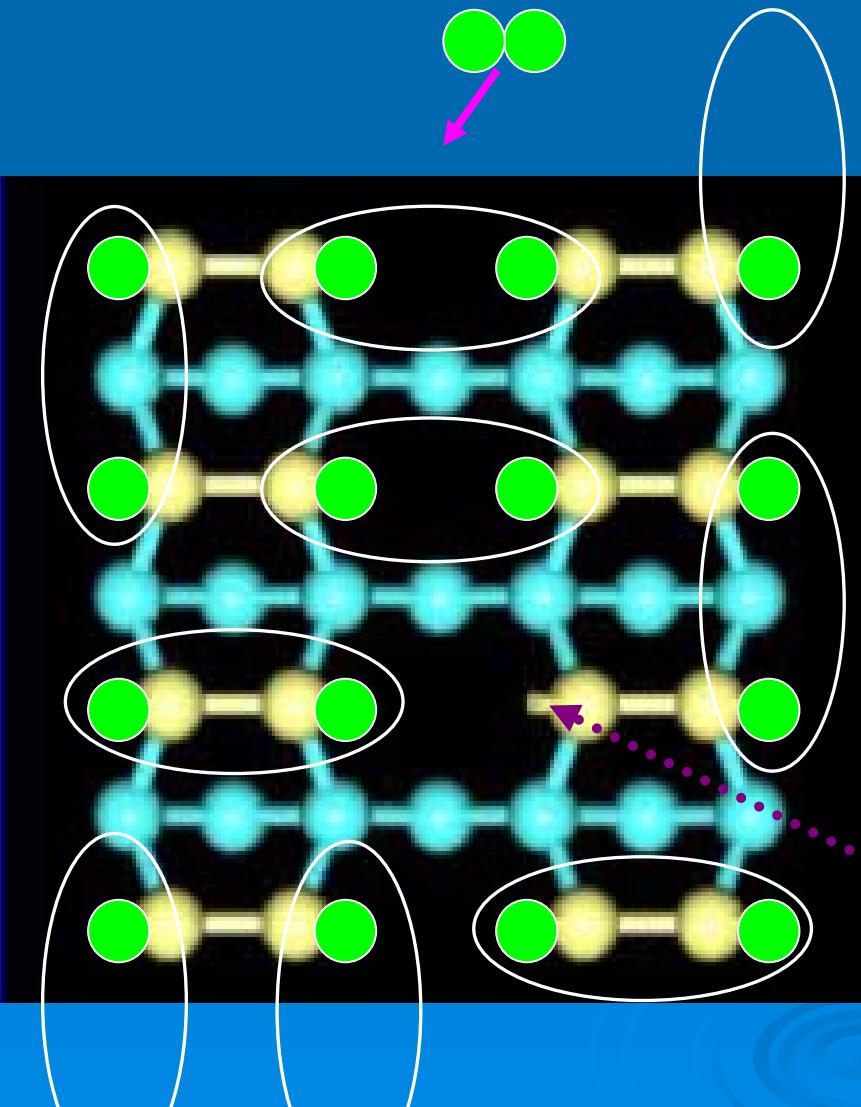
can we predict if adsorption of AB is activated or nonactivated?

Activation energy same for adsorption and desorption?

# Random Sequential Adsorption



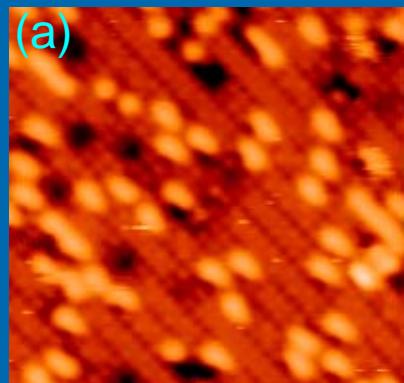
# Immobile diatomic Dissociative Adsorption on a square surface



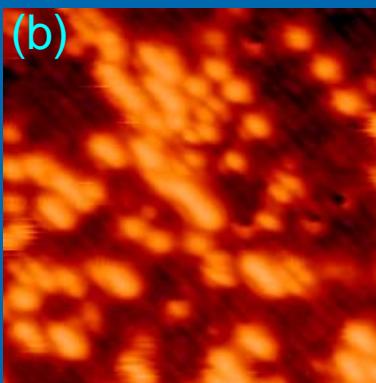
Vette *et al*, JCP 1974

Empty site

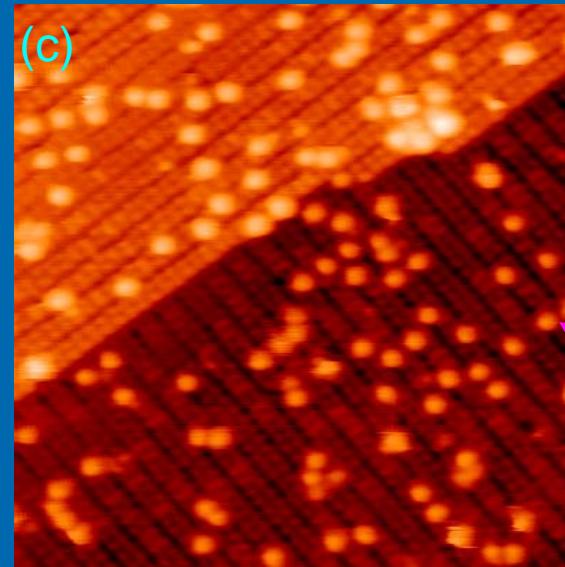
# I<sub>2</sub> adsorption on Si(100)



0.06 L



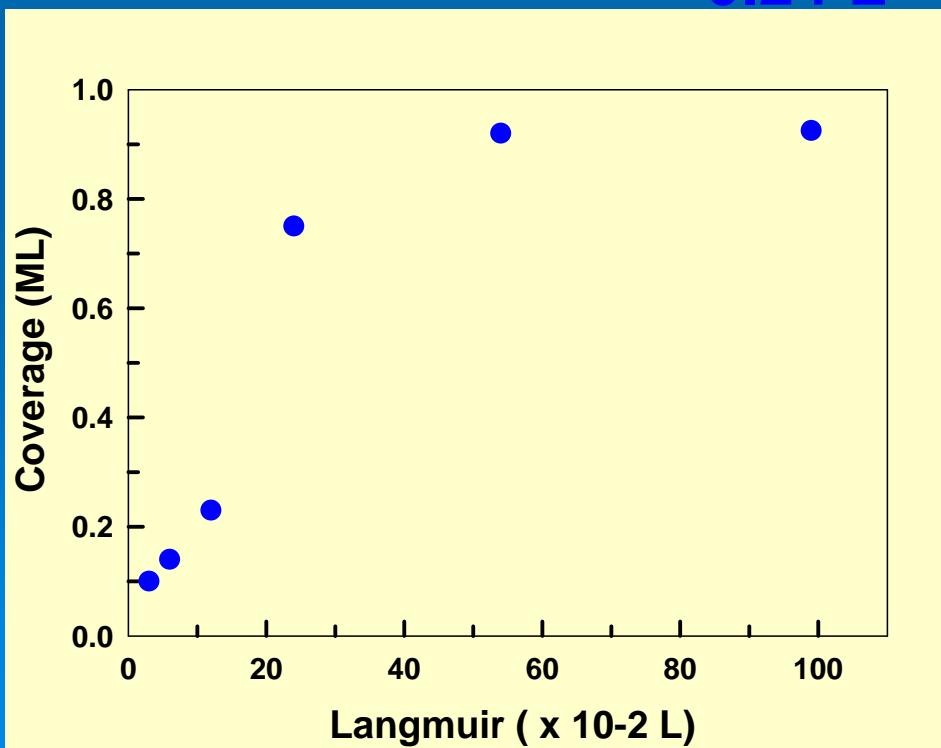
V<sub>s</sub>=1.82 V  
0.24 L



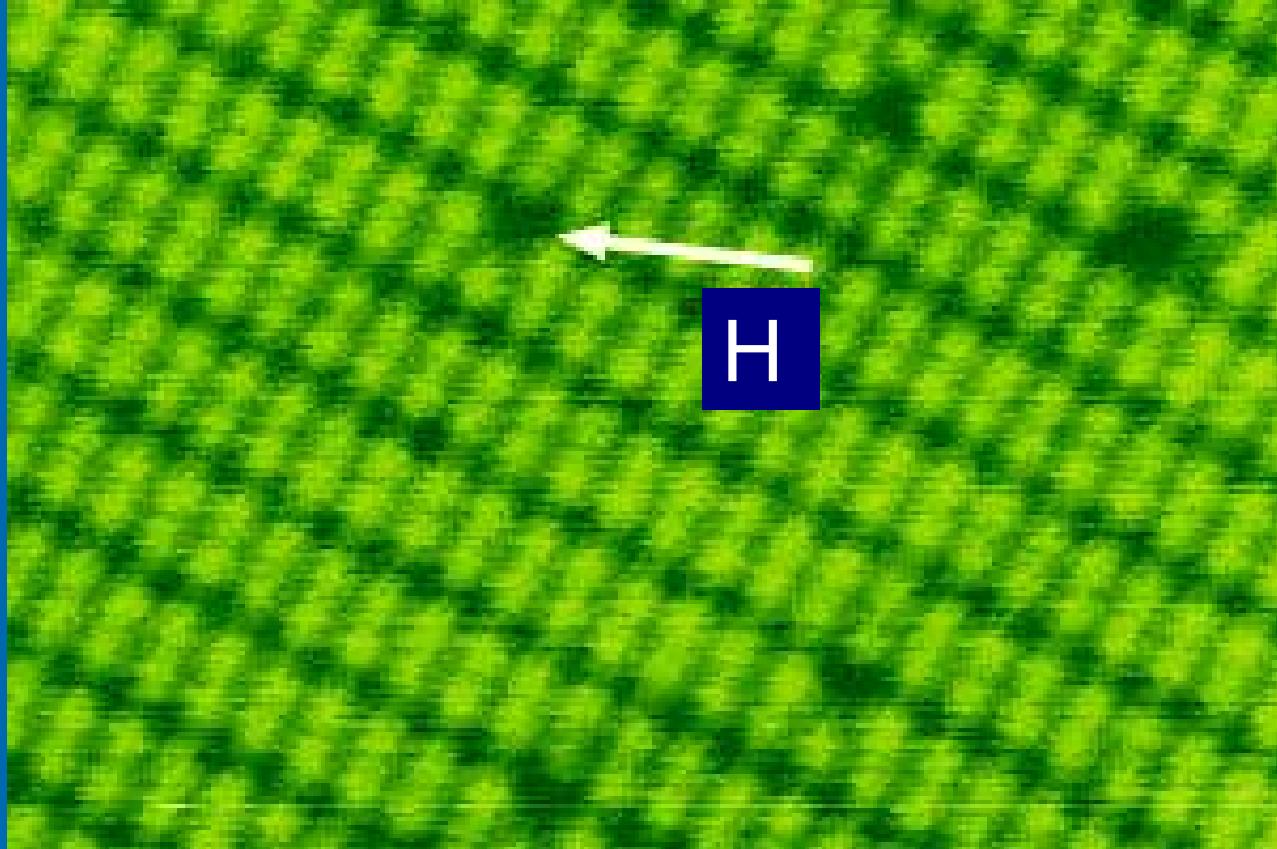
Dangling  
bonds

V<sub>s</sub>=-2.3 V, dosing= 1.14 L

I / Si(100)-2x1 1.14 L

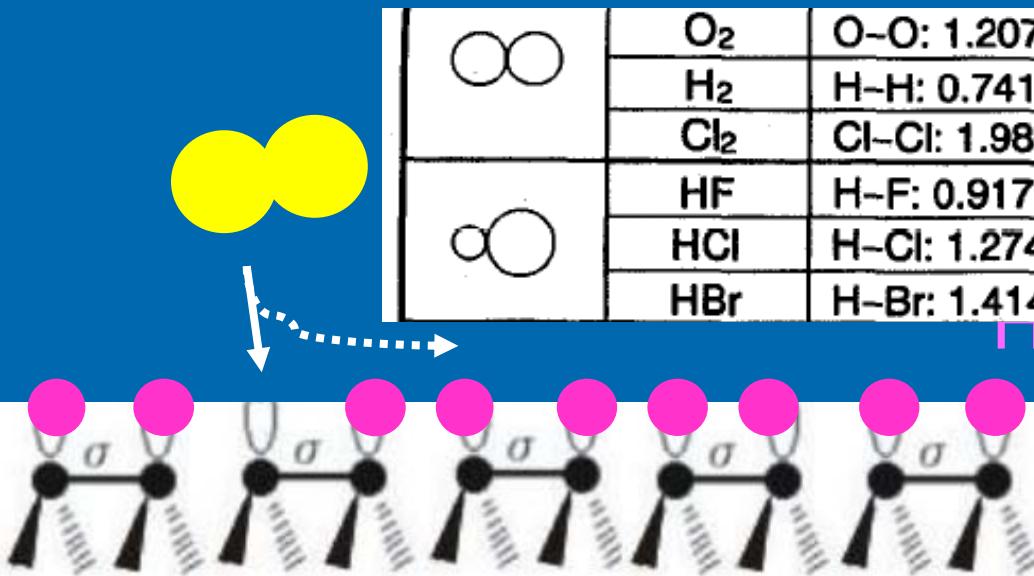


# Cl saturated Si(100)-2×1 surface



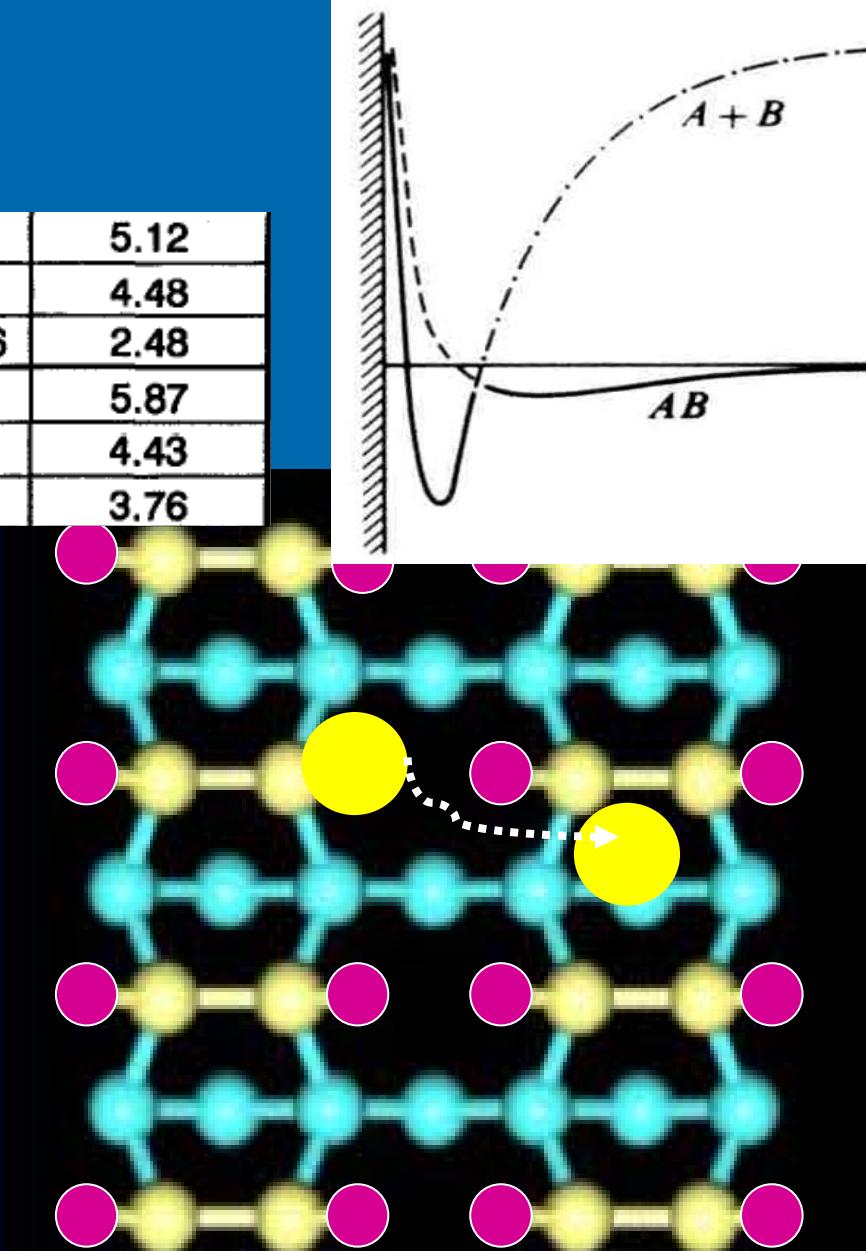
Saturation coverage  $>0.97$  ML  
⇒ Usual models for Immobile  
diatomic dissociative not correct

# To be adsorbed or not to be on a single dangling bond

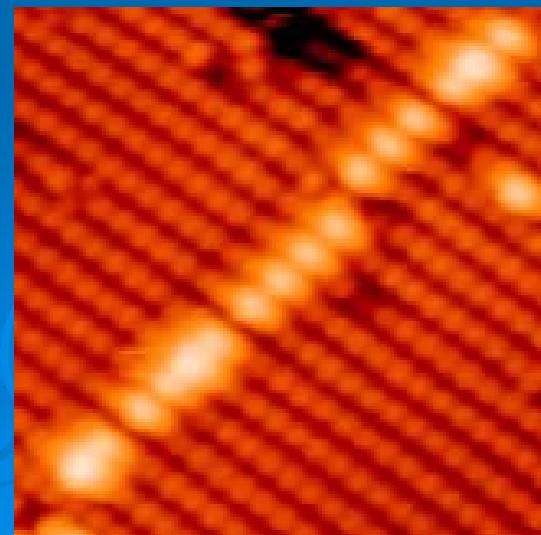
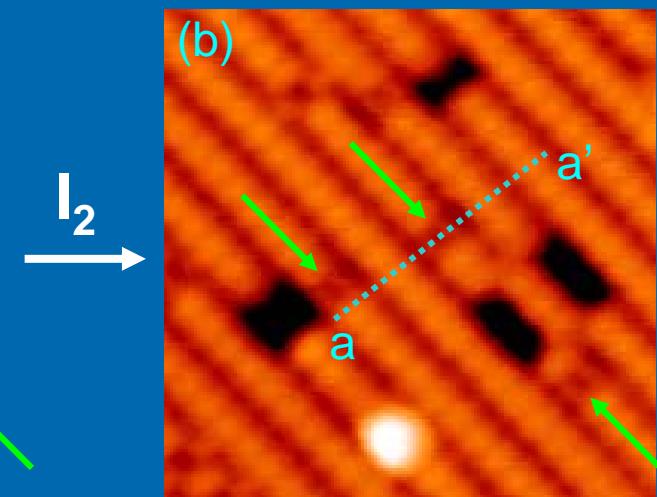
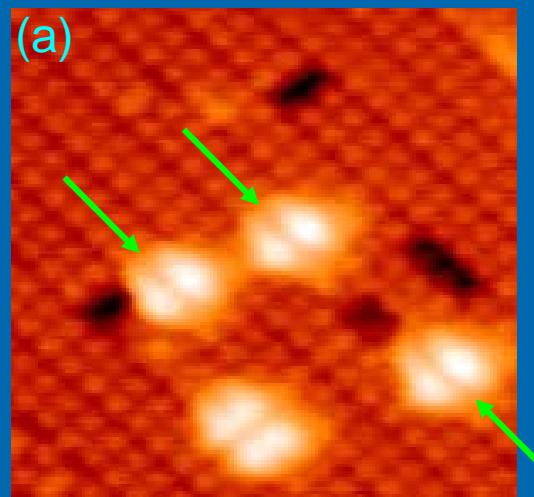
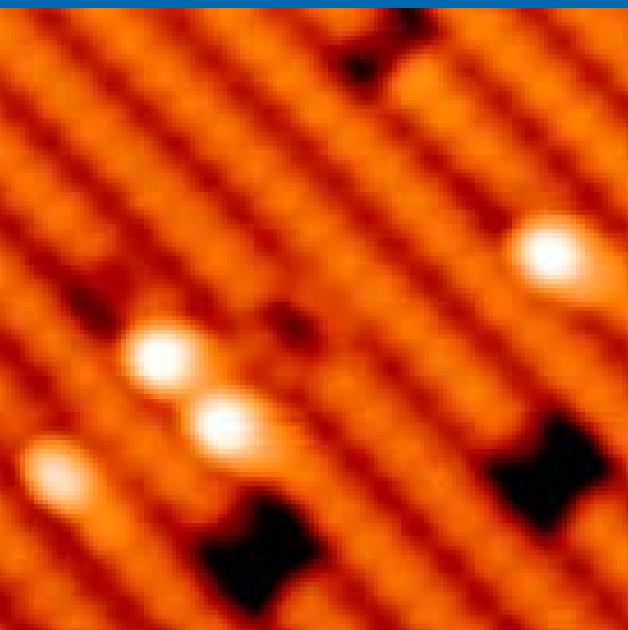


3.91-4.18 eV for Si-Cl  
2.48 eV for Cl-Cl

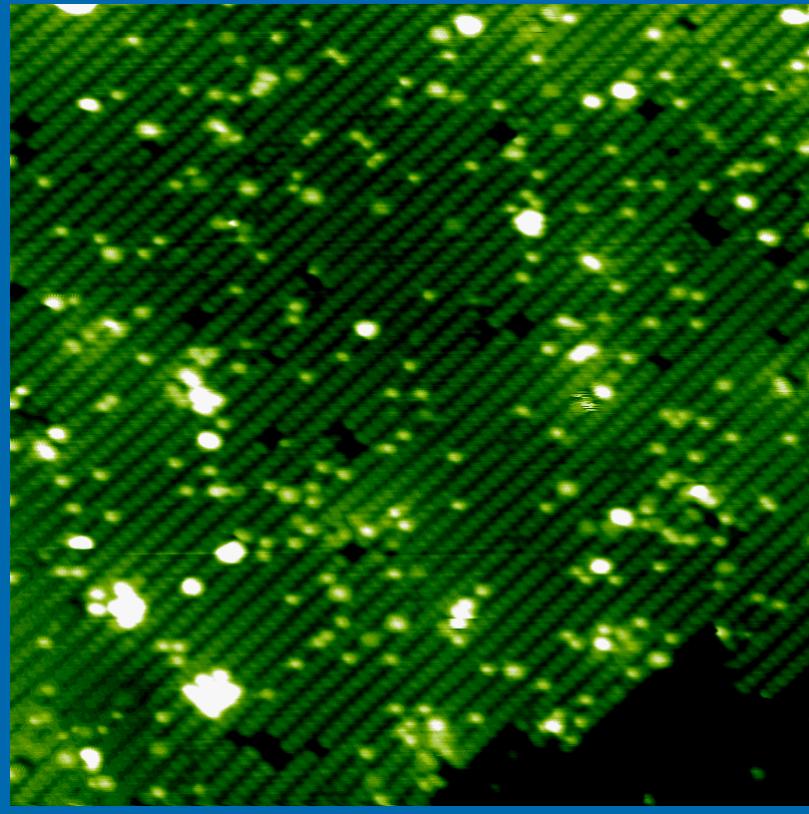
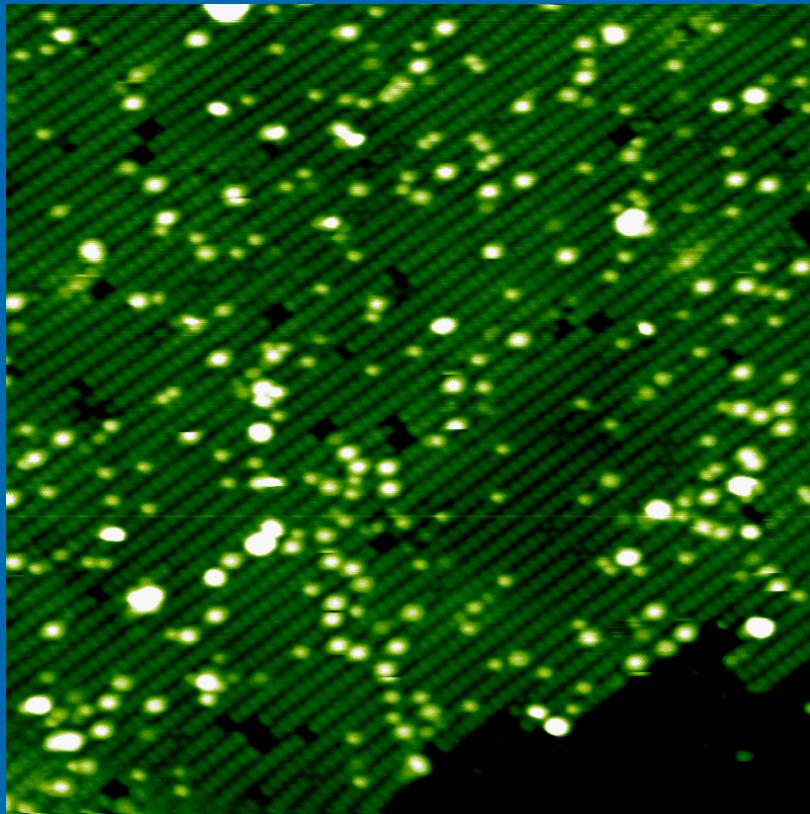
3.26 eV for Si-H  
4.48 eV for H-H



# $I_2$ adsorption on isolated single dangling bonds and dangling bond chains



# Unsaturated H/Si(100) surface



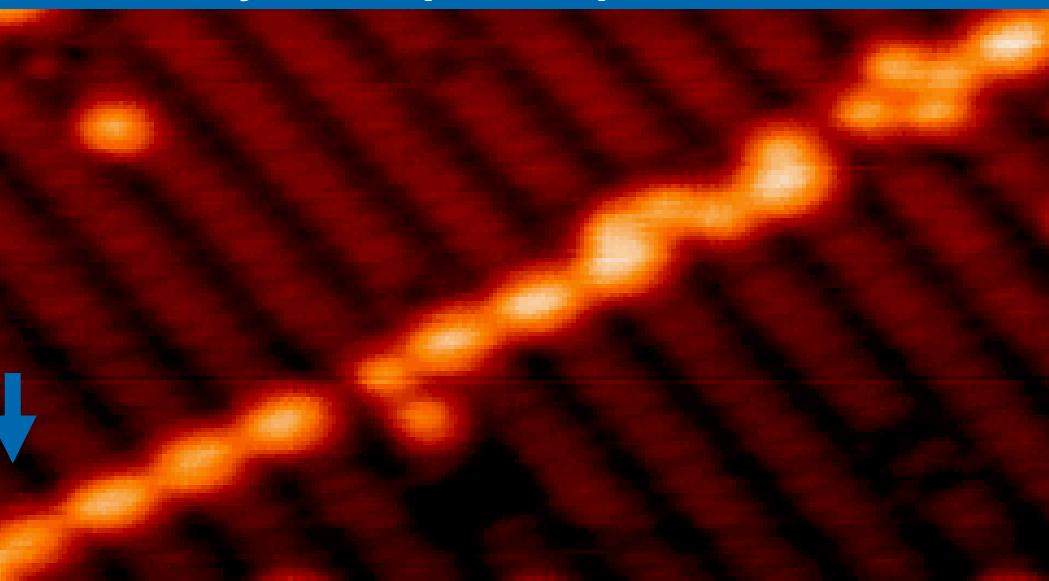
-2.2 V

-2.2 V

	個數
Single DB → Cl	171
Single DB → H	26
H → Cl	19
同 row 和不 row 置換	9
Total DB	197
Single DB 變成氯的機率	$180/197 = 91\%$

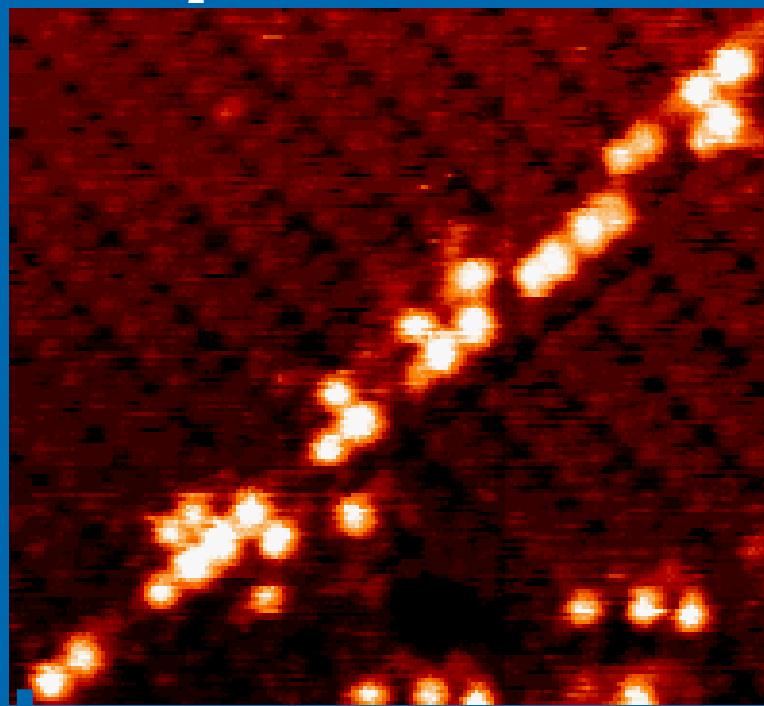
# Adsorption on a paired DB wire

DB wire by STM tip desorption

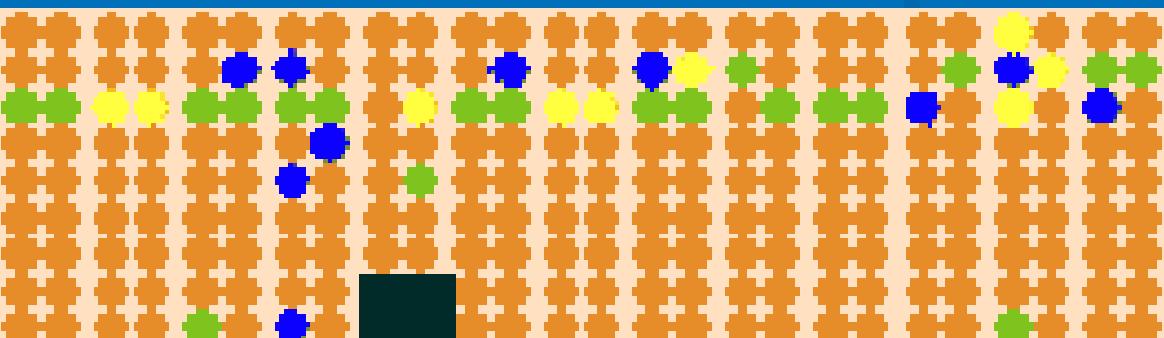


$V_s = -1.82V$

After  $\text{Cl}_2$  exposure



$V_s = +1.82V$

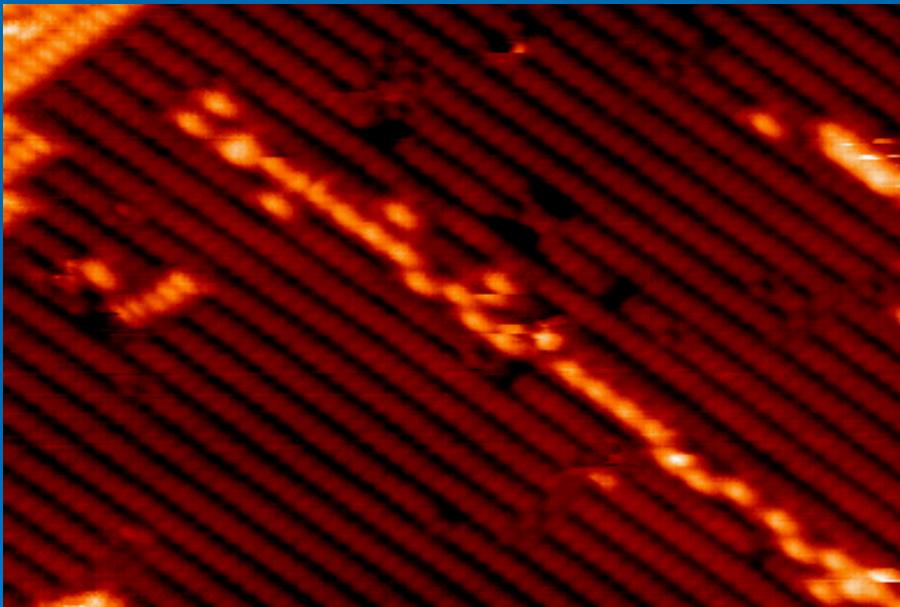


Paired DB 9  
Paired Cl 7  
→ 77%

# Adsorption on a single DB wire

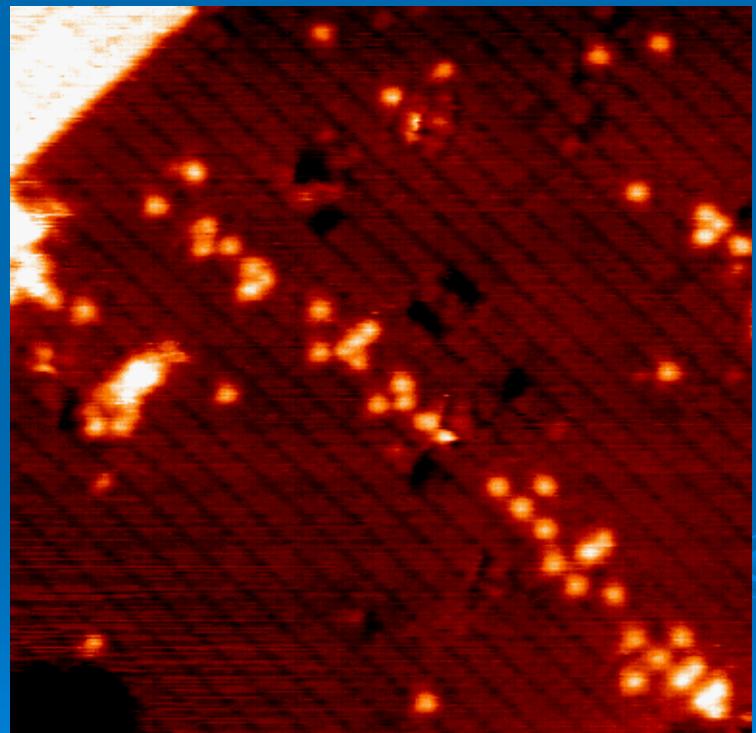
## *Tip desorption : II*

DB wire by STM tip desorption



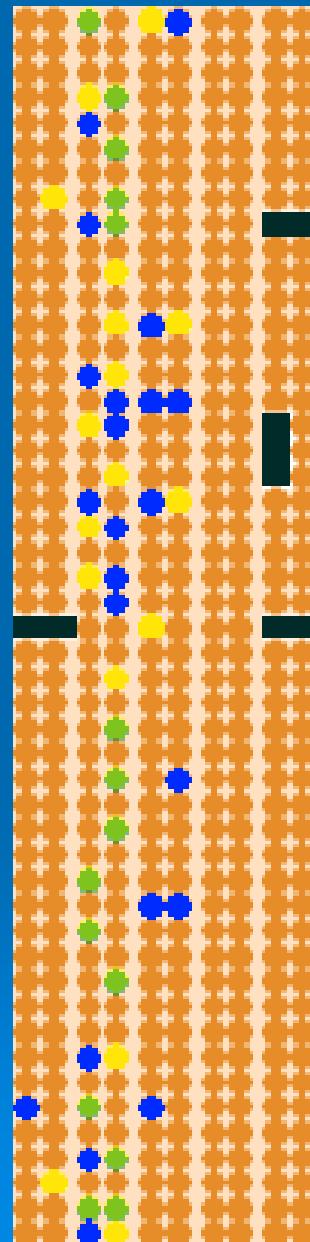
$U_s = -1.82V$

After  $Cl_2$  exposure



$U_s = +2V$

## Tip desorption : II



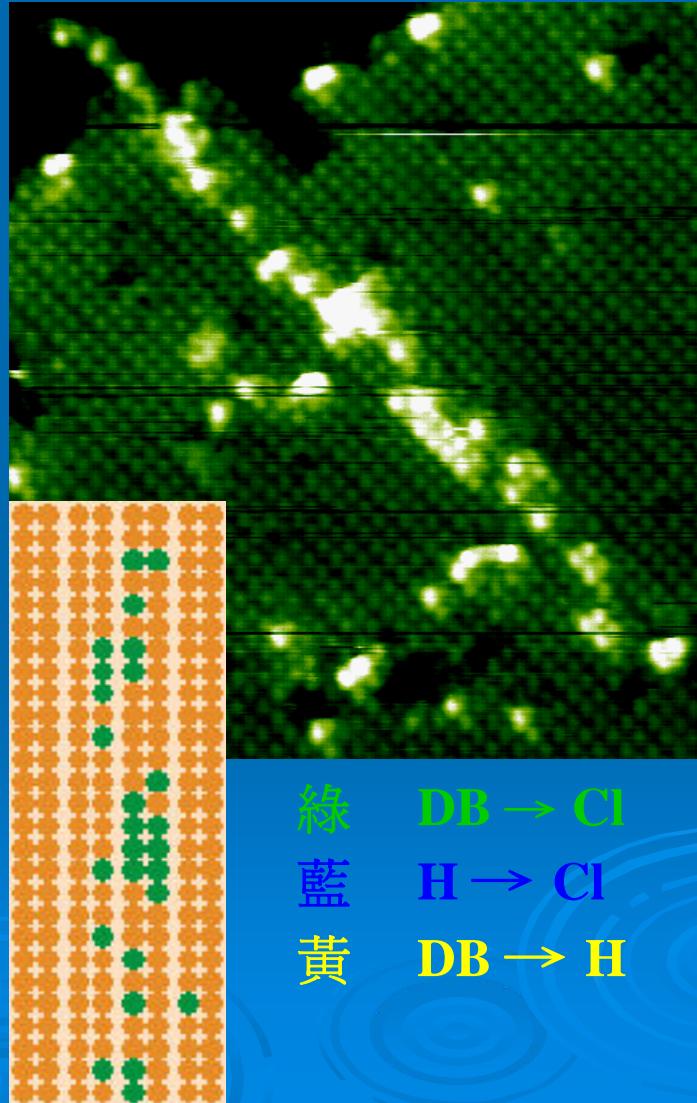
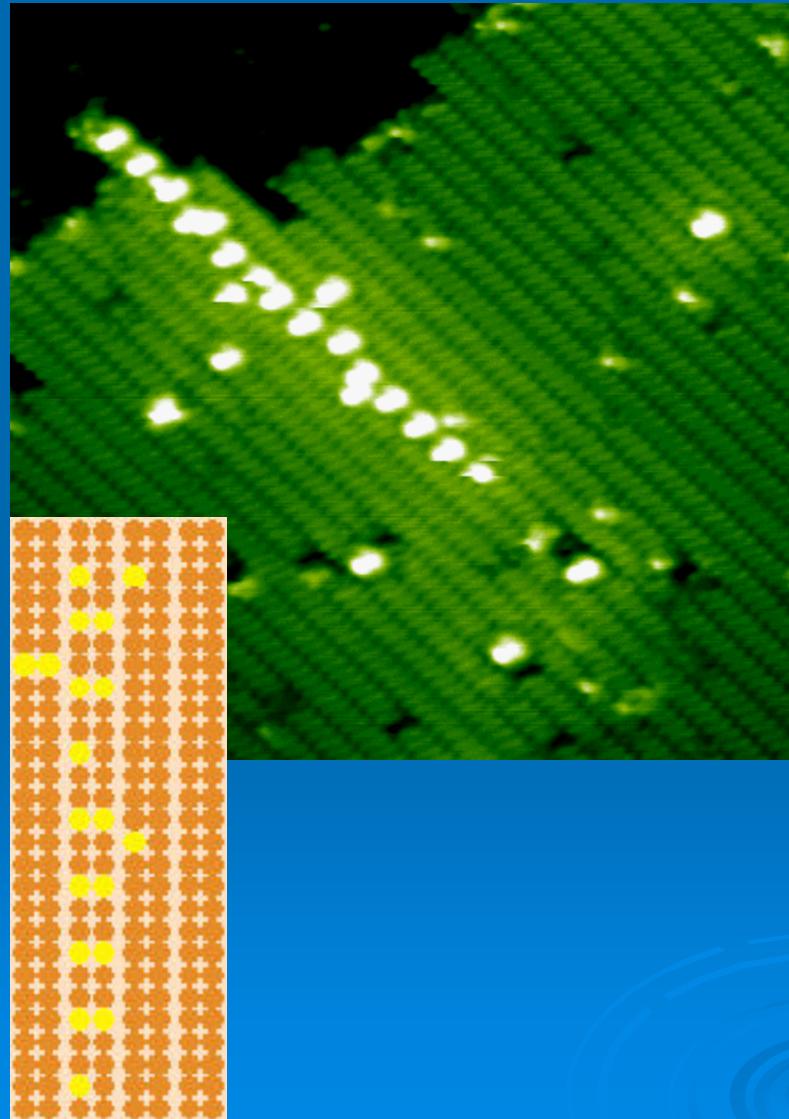
綠  
藍  
黃

DB → Cl  
H → Cl  
DB → H

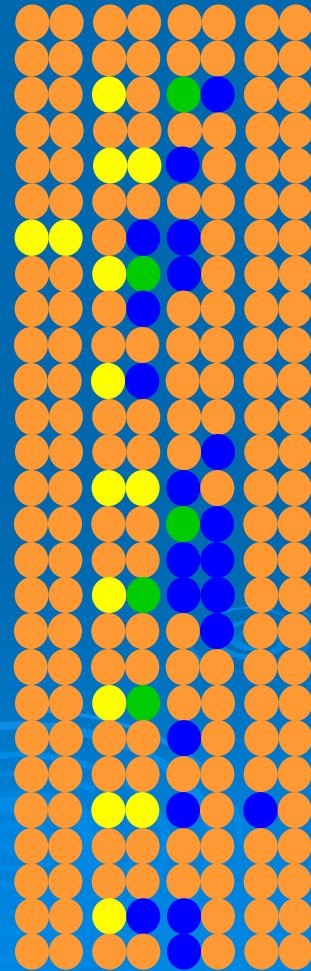
- Single DB → Cl 19
- Single DB → H 20
- H → Cl 31

# Adsorption on isolated paired DBs

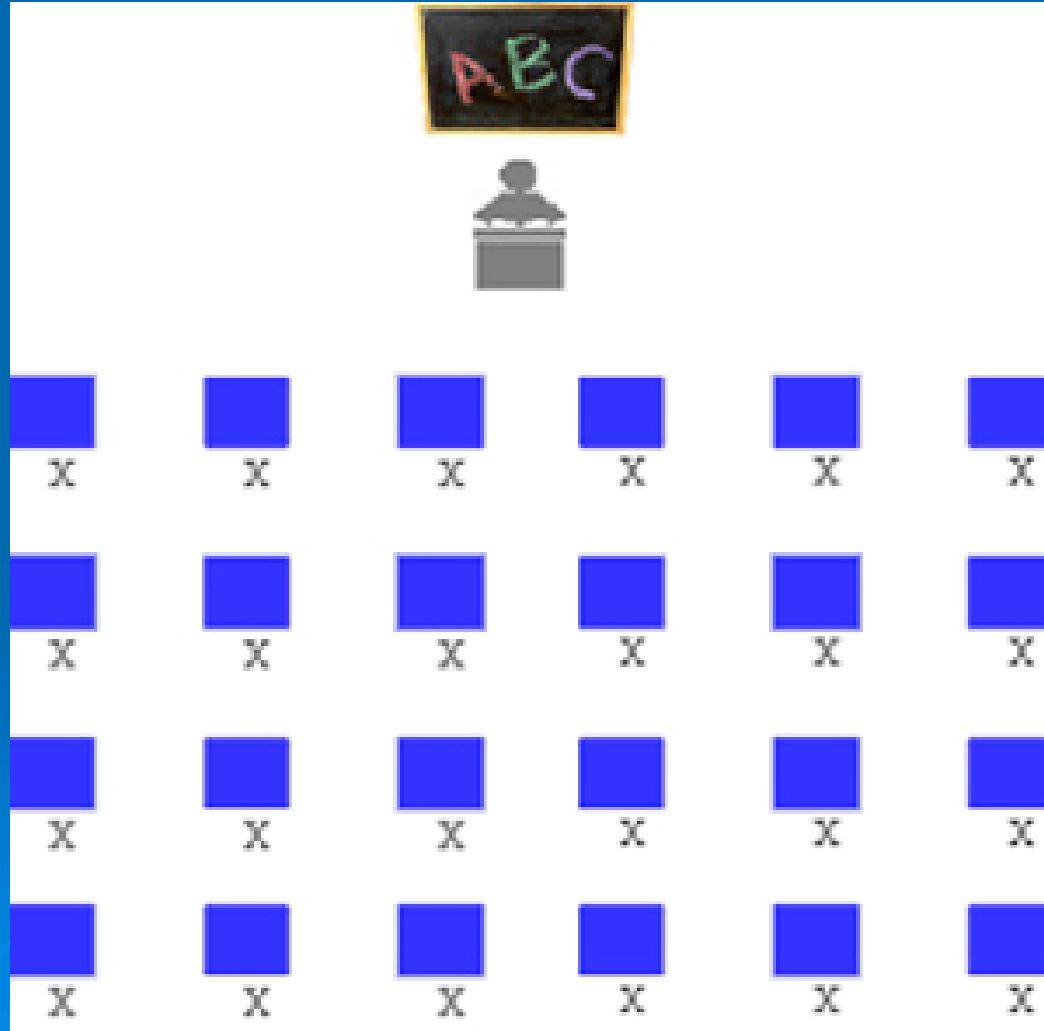
*Tip desorption : III*



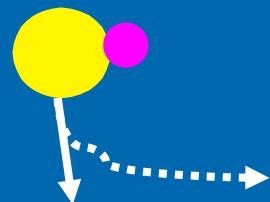
綠 DB → Cl  
藍 H → Cl  
黃 DB → H



# Order structure as a result of random adsorption?

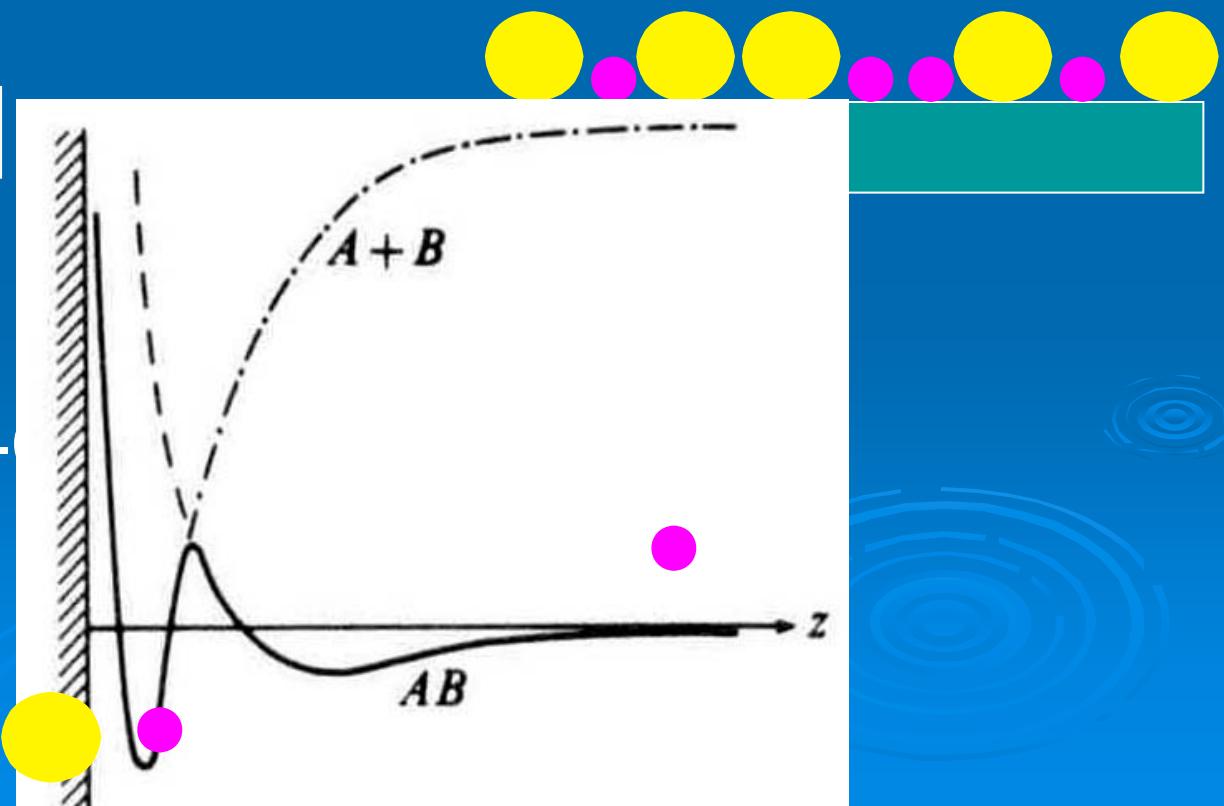


# HCl + Si(100)-2x1

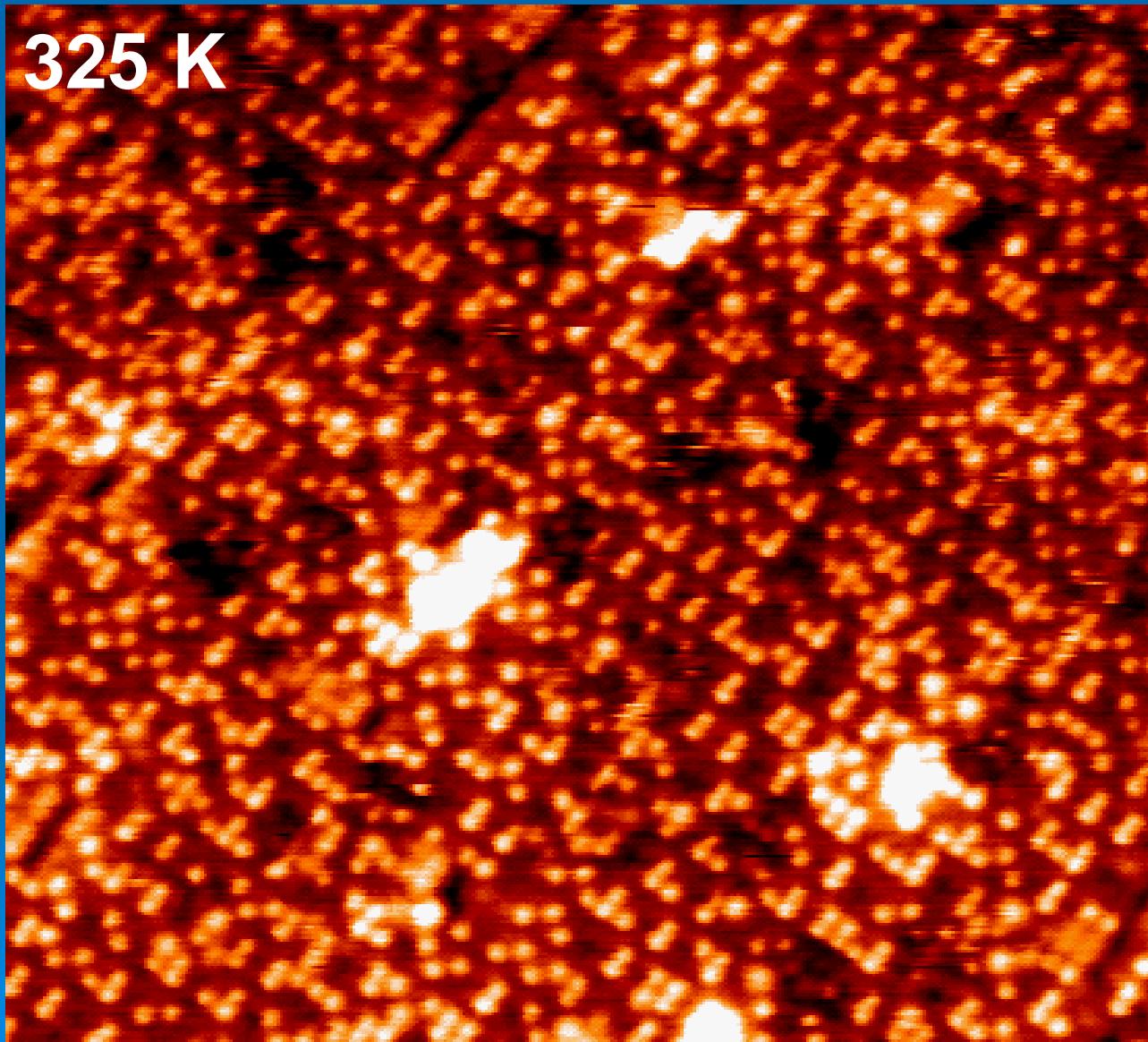


Random adsorption?

4.43 eV for H-Cl  
3.91-4.18 eV for Si-  
3.26 eV for Si-H



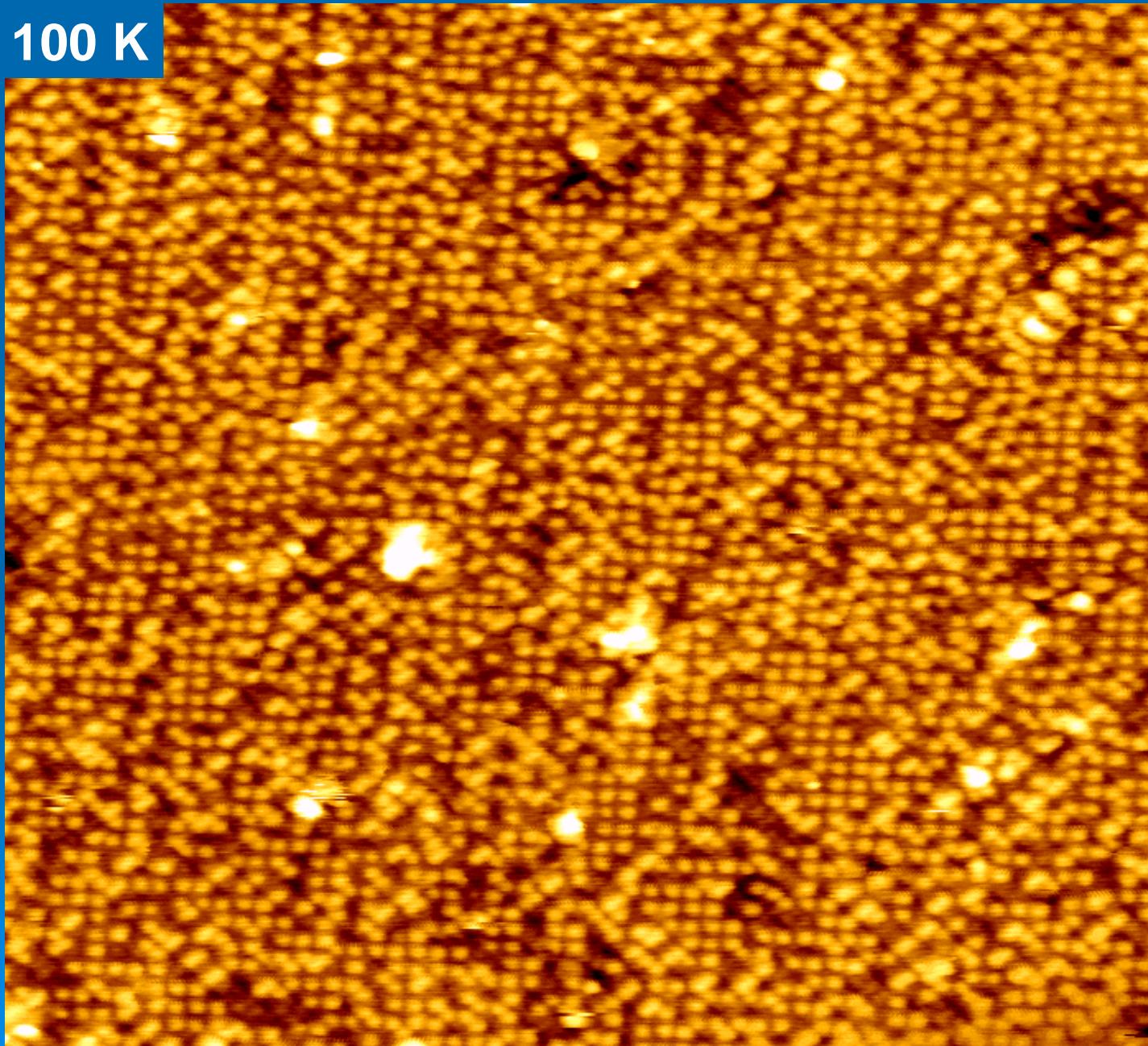
# Adsorption of HCl on Si(100)-2×1



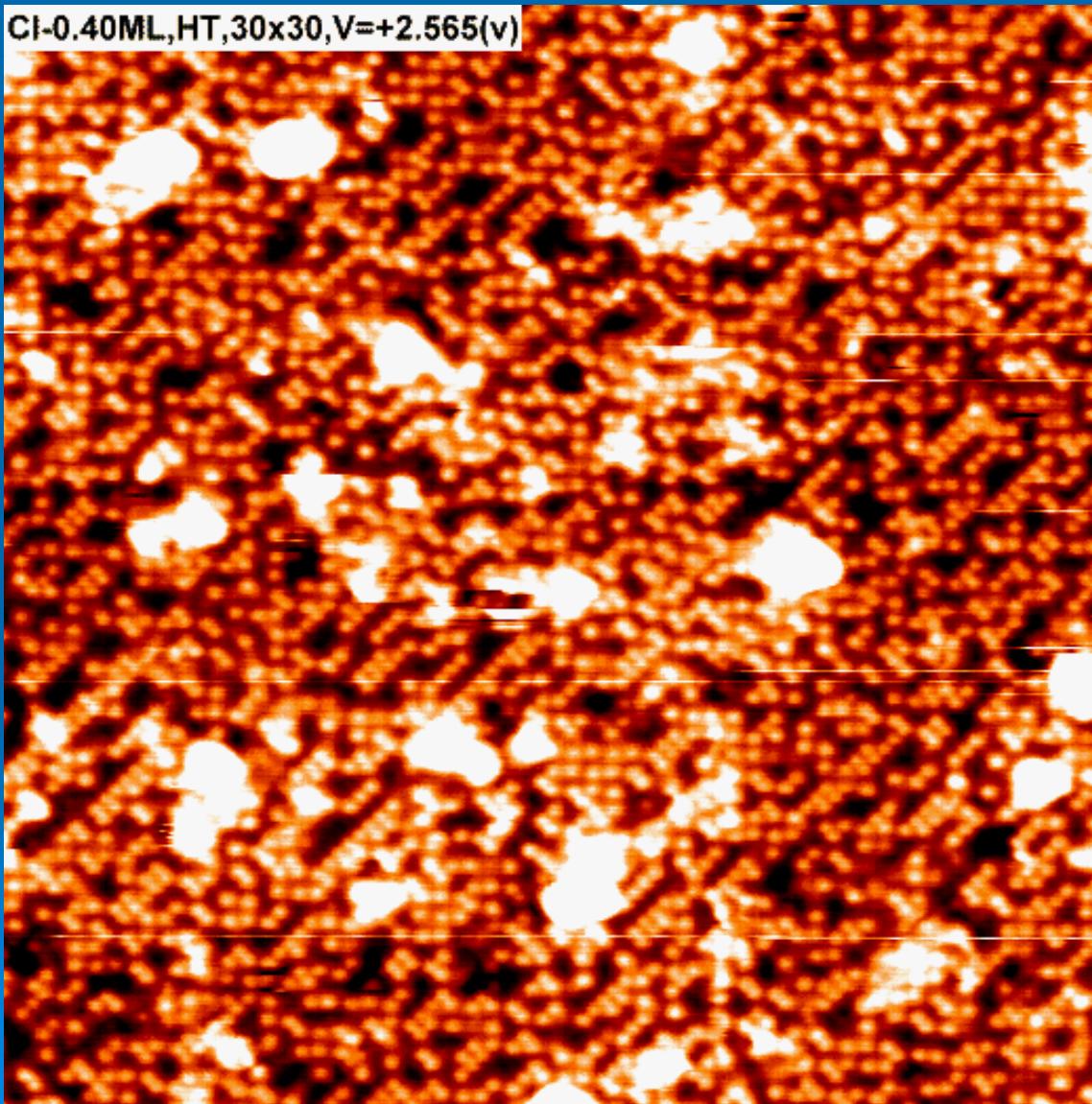
, V=+2.28 V,  $\theta_{\text{bright}} = 0.45 \text{ ML}$

# HCl + Si(100)-2x1

100 K

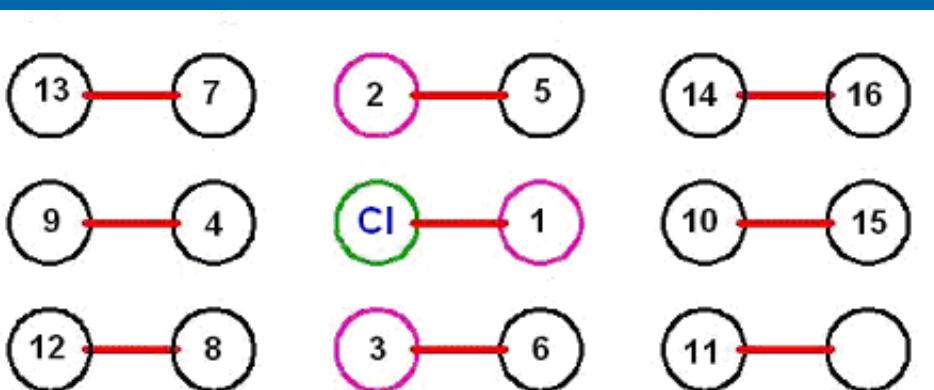
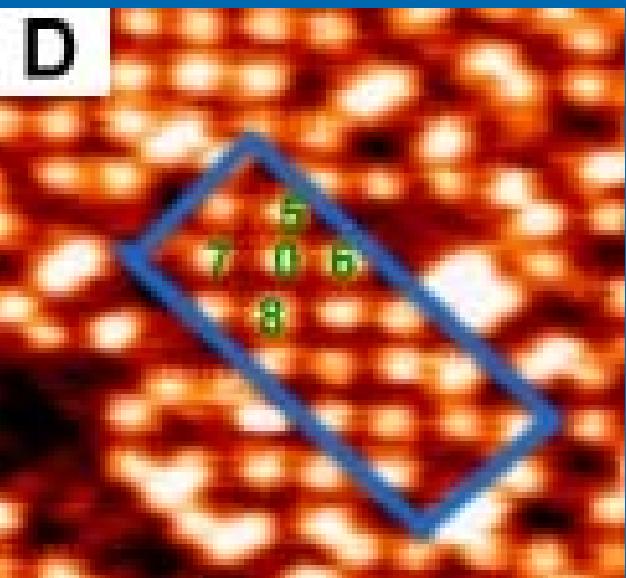


# HCl + Si(100)-2×1 at 450K (HT)

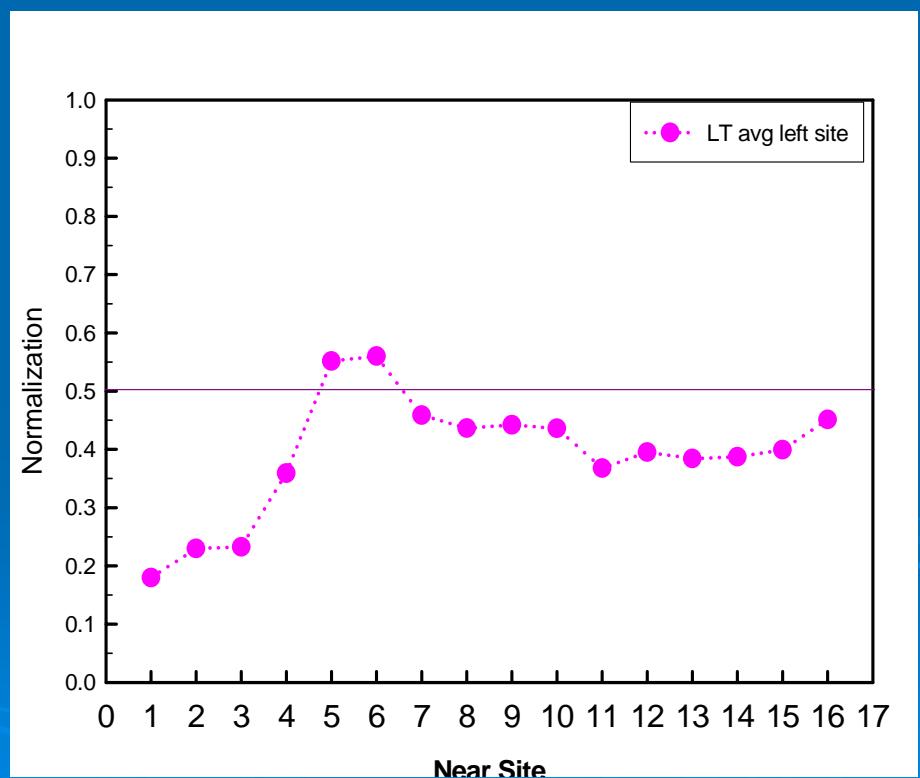


# Site correlation analysis

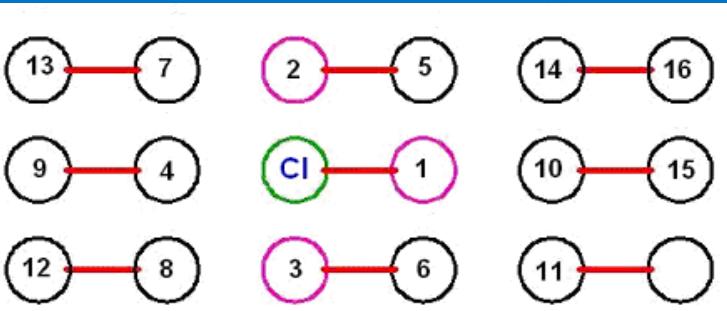
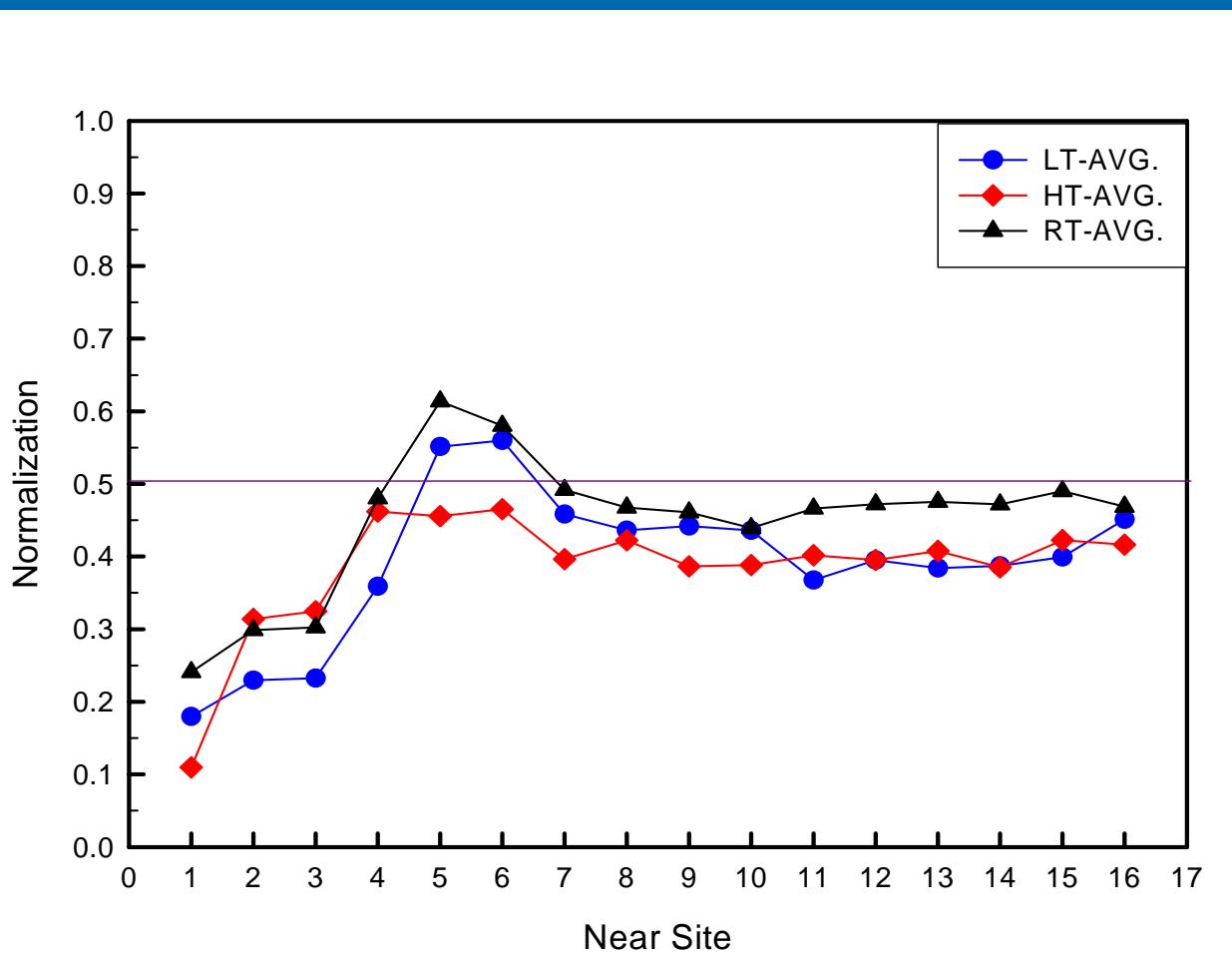
2



$$g(j) = N^{-1} \sum_{i=1}^N \frac{n_i(j)}{M(j)}$$



# Result of Correlation analysis



Issues: How neighbors effect  
“random” adsorption?

# **Direct adatom-adatom swap diffusion of H on the Cl/Si(100)-2x1 Surface**

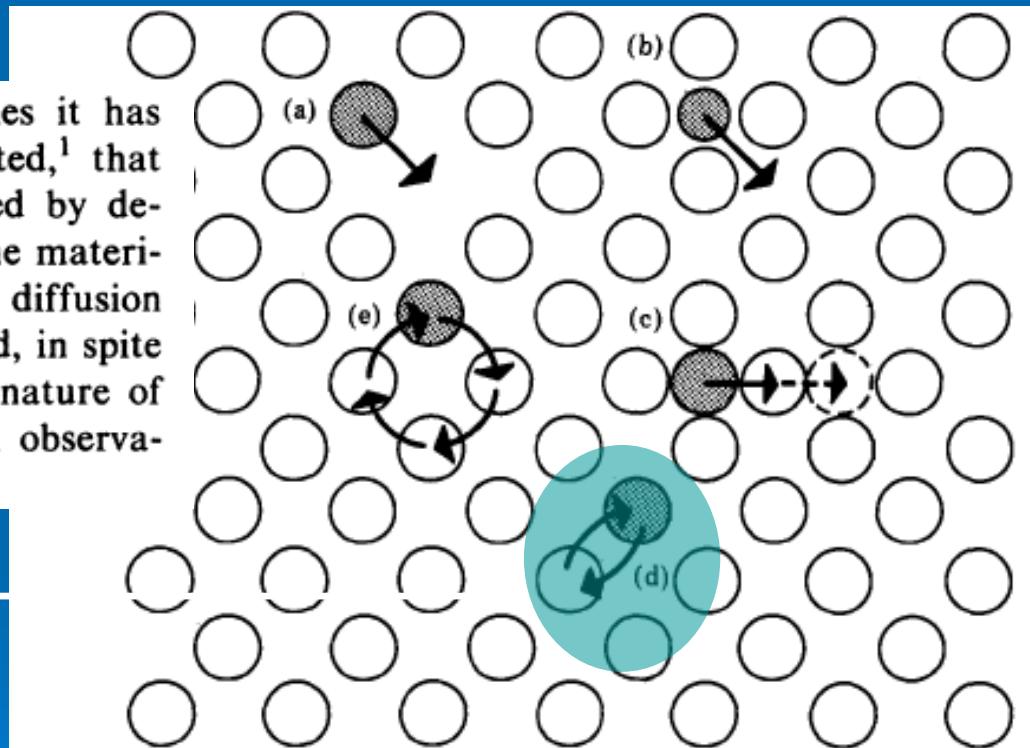
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# Basic 2D diffusion mechanism in textbook

Phys. Rev. Lett. 57, 2287 - 2290 (1986)

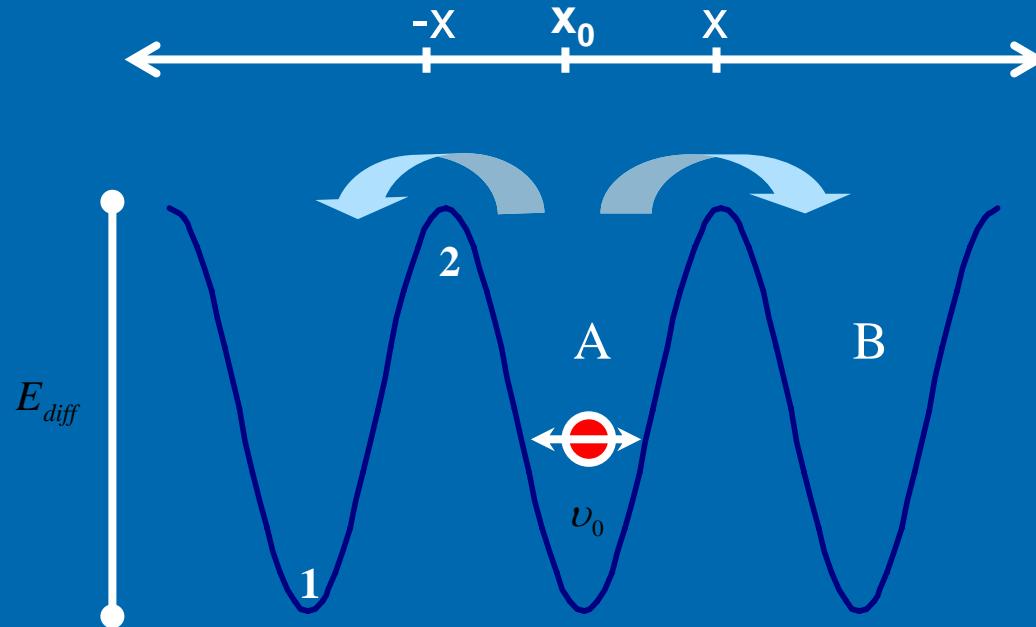
From the very beginning of diffusion studies it has been assumed, and today is universally accepted,<sup>1</sup> that atomic diffusion in semiconductors is mediated by defects (vacancies or interstitials, depending on the material). In Si, all recent theoretical studies<sup>2,3</sup> of diffusion have been limited to the defect mechanisms and, in spite of years of effort, no clear agreement on the nature of diffusion has emerged and many experimental observations remain unexplained.

(d) Never  
observed before?



**FIGURE 3.1** Sketch of atomic diffusion mechanisms in a two-dimensional square lattice. (a) An atom diffuses by jumping into a neighboring vacant lattice site. (b) An interstitial goes to a neighboring interstitial site. (c) An interstitial pushes an atom from its lattice site to an interstitial site. (d) Two neighboring atoms swap position directly. (e) Ring rotation of four atoms.

# Hopping via atom-vacancy exchange



*Arrhenius  
relation :*

$$R_{A \rightarrow B} = P \times e^{-\frac{E_a}{kT}}$$

$R \Rightarrow$  hopping rate

$P \Rightarrow$  frequency prefactor

$E \Rightarrow$  activation energy (or “diffusion barrier”) for the event that causes the system to move from A to B.

# H-vacancy exchange diffusion on the Si(001)

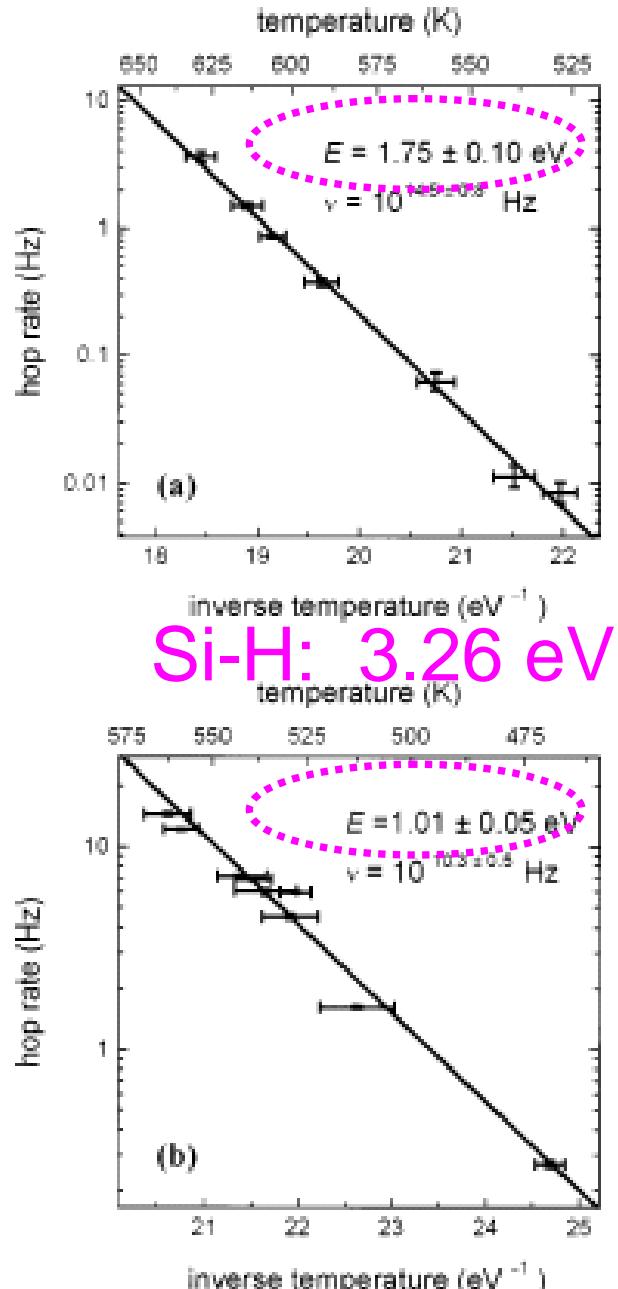
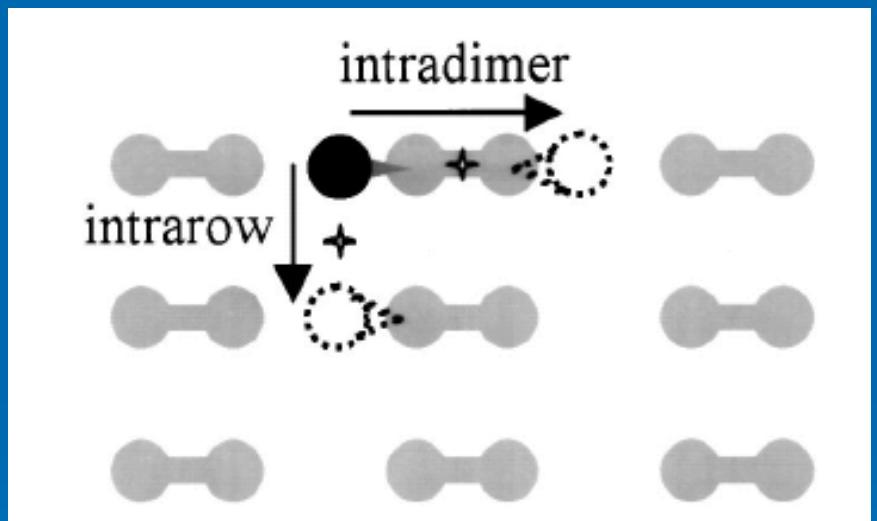


FIG. 4. Arrhenius plots for (a) intrarow and (b) intradimer H diffusion. The solid lines are weighted fits. From the slopes of these lines, we find the activation energies,  $E$ , and from the intercepts we find the attempt frequencies,  $\nu$ .

# Observed 2D diffusion

**3: Small clusters exchange position vacant sites:** The diffusion of Ge dimers on the Ge(001) surface

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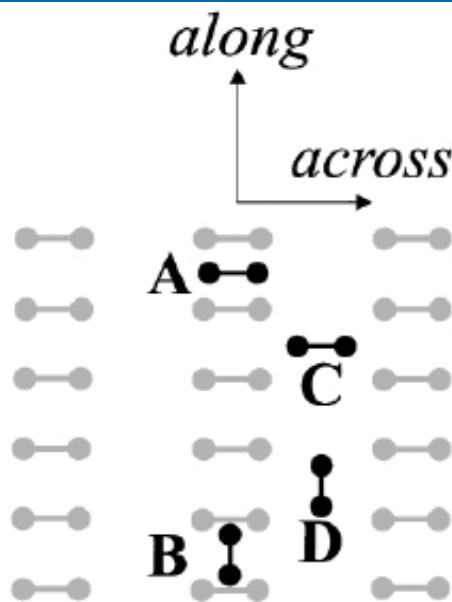


FIG. 1. Schematic diagram of the configurations of adsorbed Ge dimers on Ge(001). Gray dumbbells represent substrate dimers and black dumbbells represent the Ge dimers.

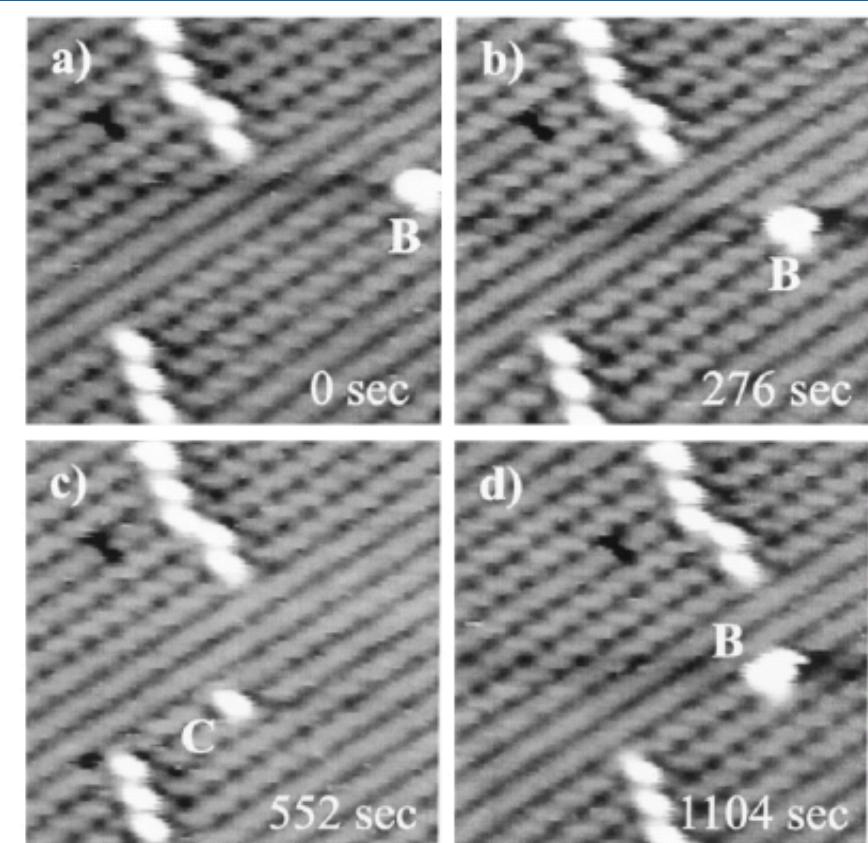
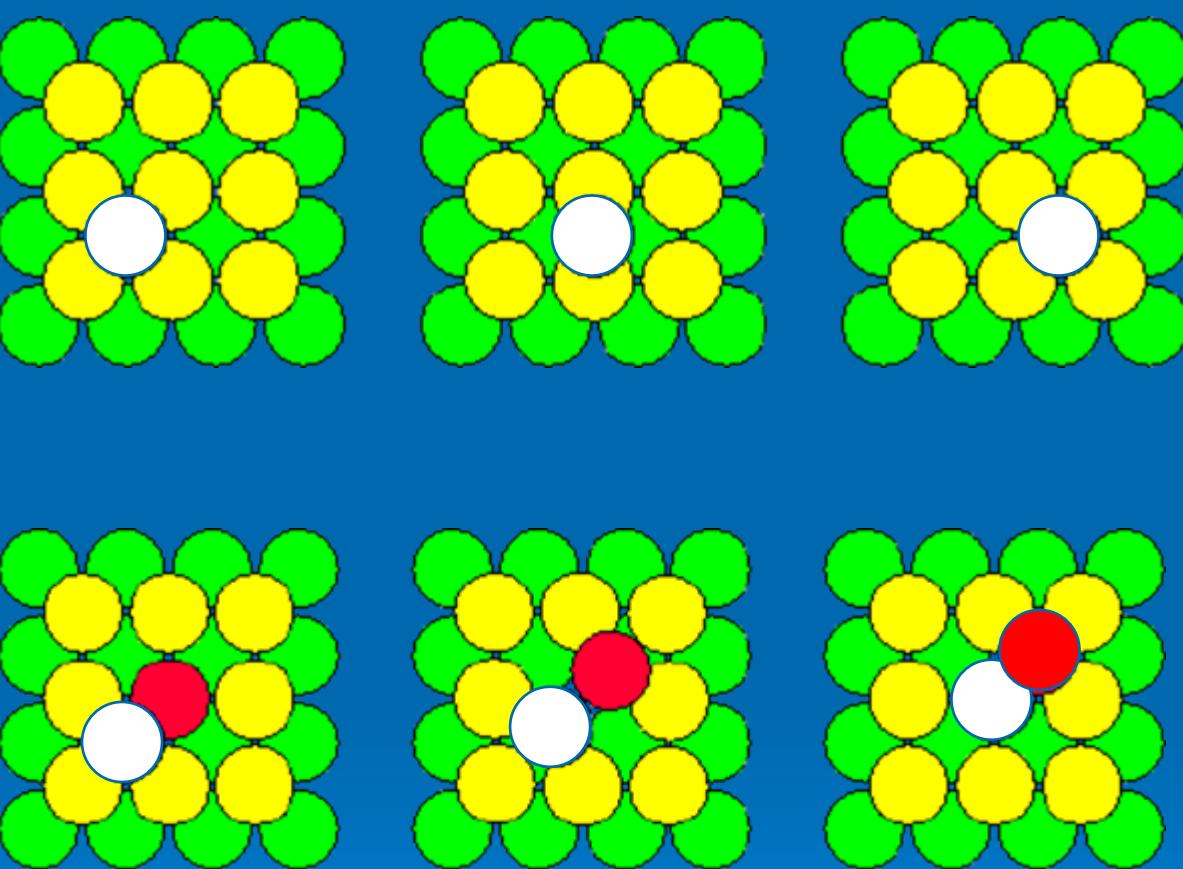


FIG. 2. Successive empty-state scans of the same area ( $100 \text{ \AA} \times 100 \text{ \AA}$ ) of a Ge(001) surface after the deposition of  $\sim 1\%$  of a monolayer Ge. The time elapsed is depicted in the images. (a),(b) Diffusion of an on-top dimer (B) along a substrate dimer row. (b),(c) The on-top dimer (B) jumps to a trough position (C). (c),(d) The trough dimer (C) jumps to an on-top position of an adjacent substrate row (B) (sample bias  $+1.6 \text{ V}$  and tunneling current  $0.7 \text{ nA}$ )

# Self Diffusion at metal surfaces: surprises...



B.D. Yu and M. Scheffler, Phys. Rev. B 56, R15569 (1997)

Hopping mechanism

Ag(100)  $\Delta E = 0.45$  eV

Au(100)  $\Delta E = 0.83$  eV

Exchange mechanism

Ag(100)  $\Delta E = 0.73$  eV

Au(100)  $\Delta E = 0.65$  eV

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25 JUNE 1990

## Surface Self-Diffusion on Pt(001) by an Atomic Exchange Mechanism

G. L. Kellogg and Peter J. Feibelman

Sandia National Laboratories, Albuquerque, New Mexico 87185

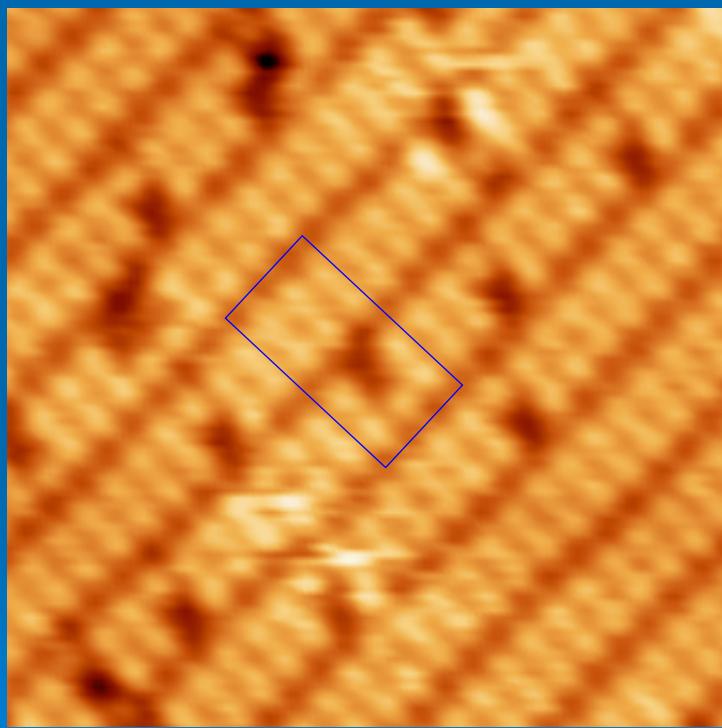
(Received 29 March 1990)

$E_a = 0.47$  eV  
so small !!

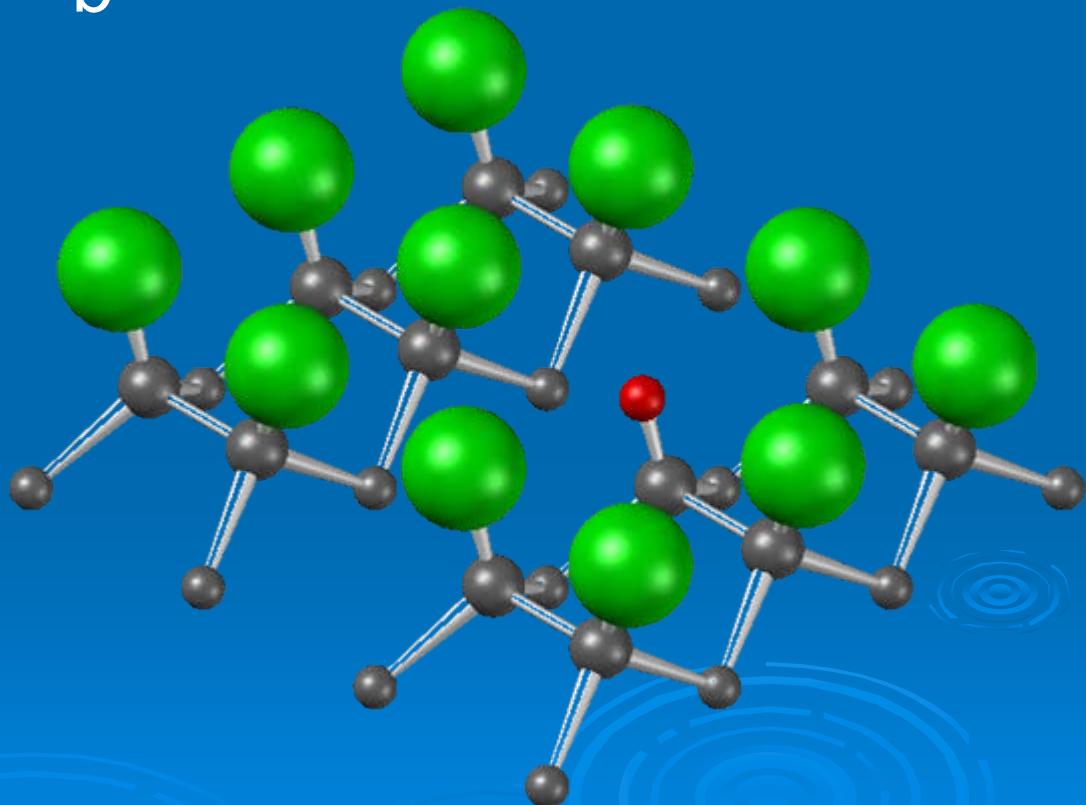
# Atomic Model

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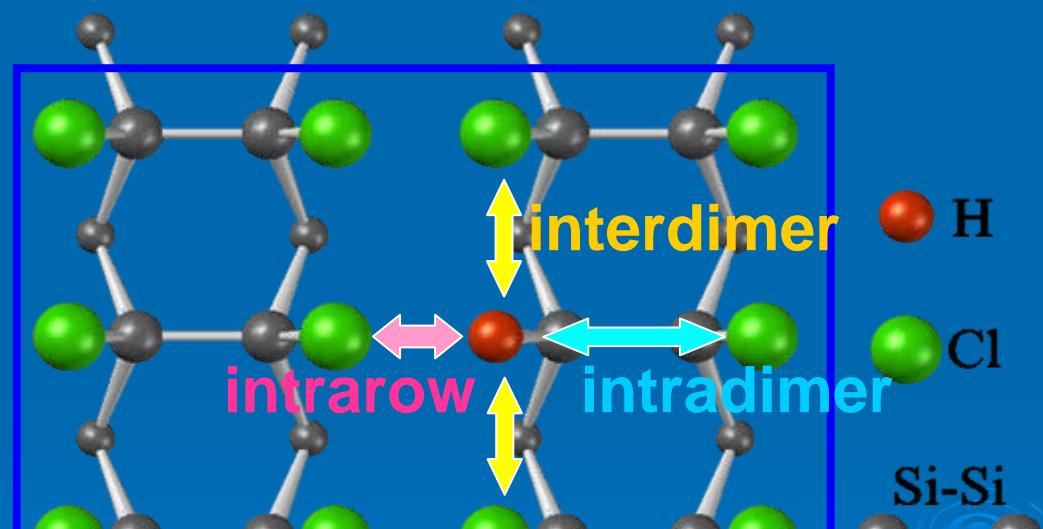
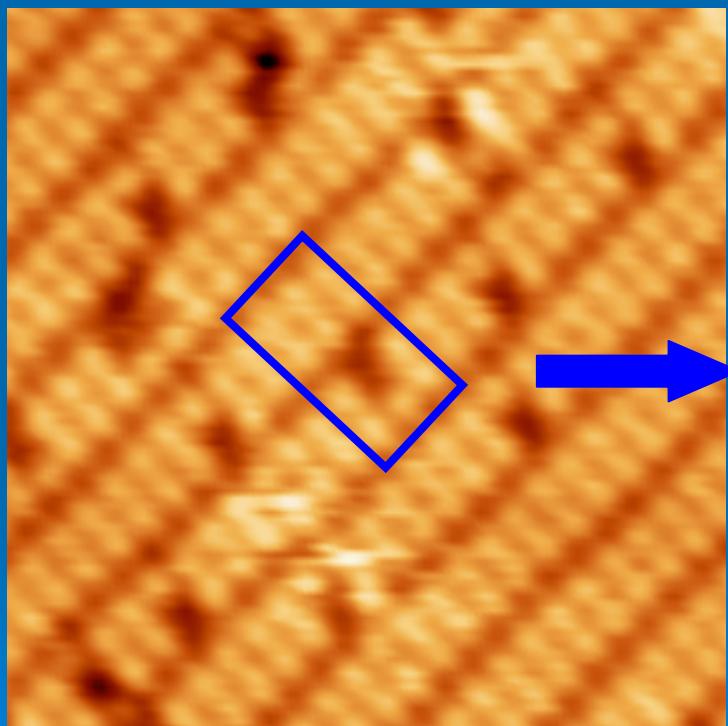
a



b

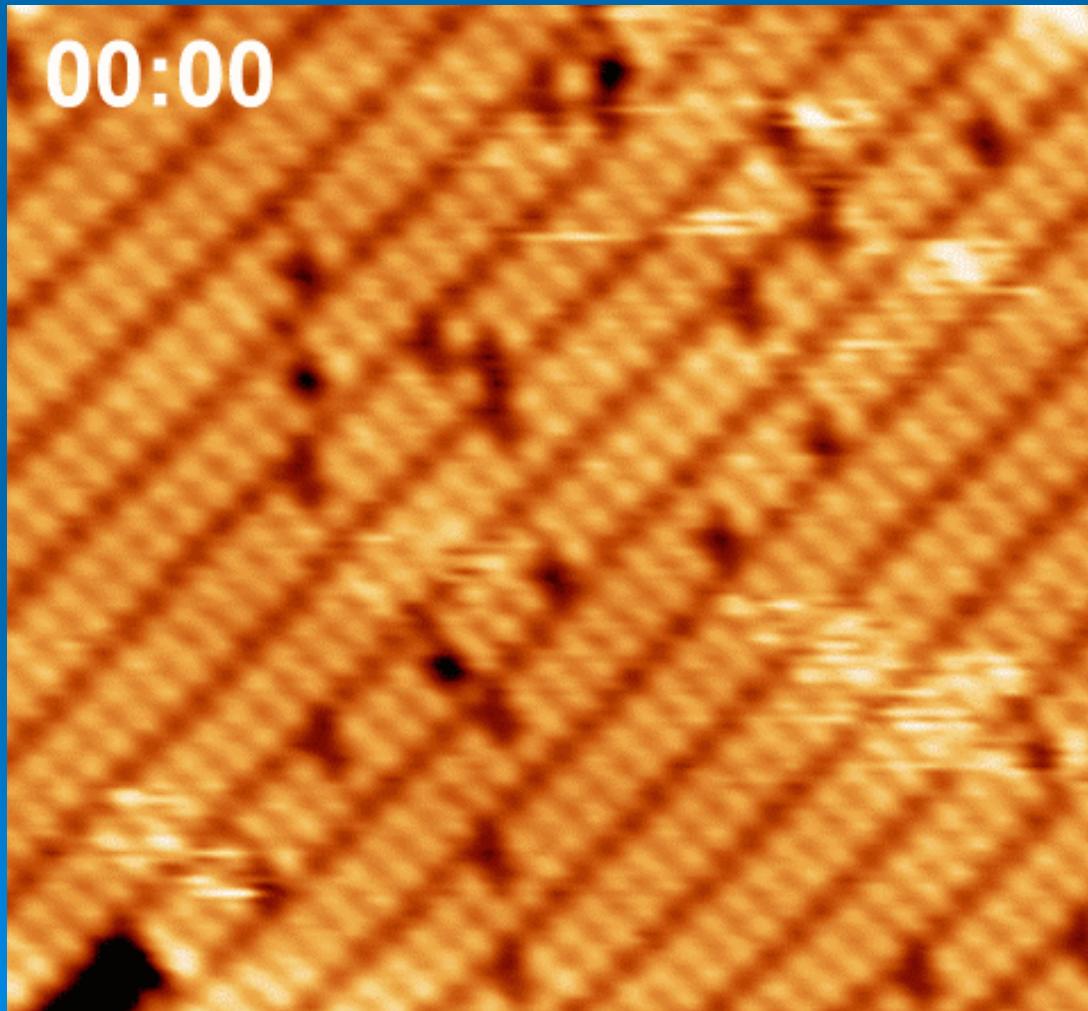


# Three Pathways for H/Cl Exchange

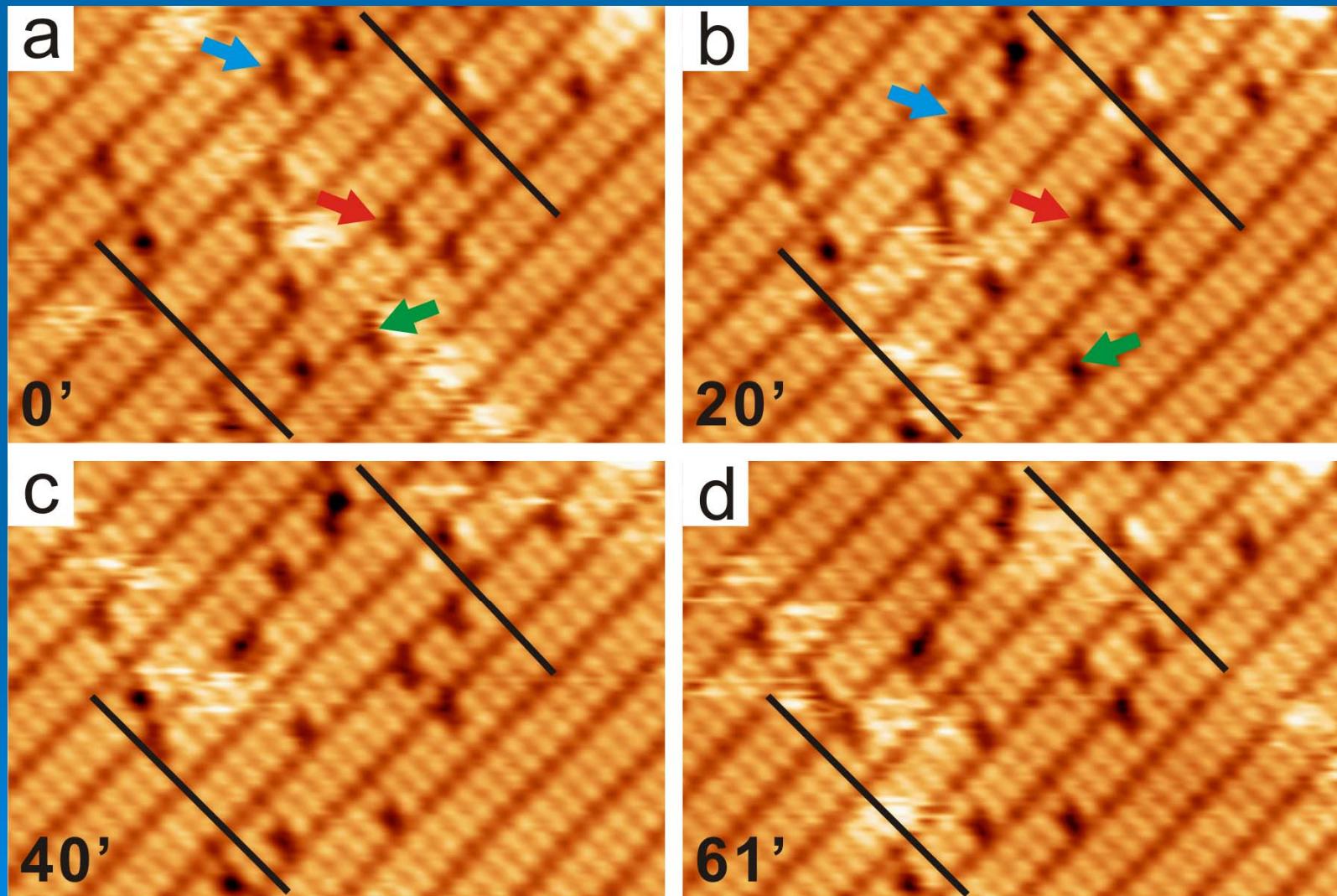


# STM Movies for H-site diffusion on the Cl/Si (100)-2x1 surface at 560K

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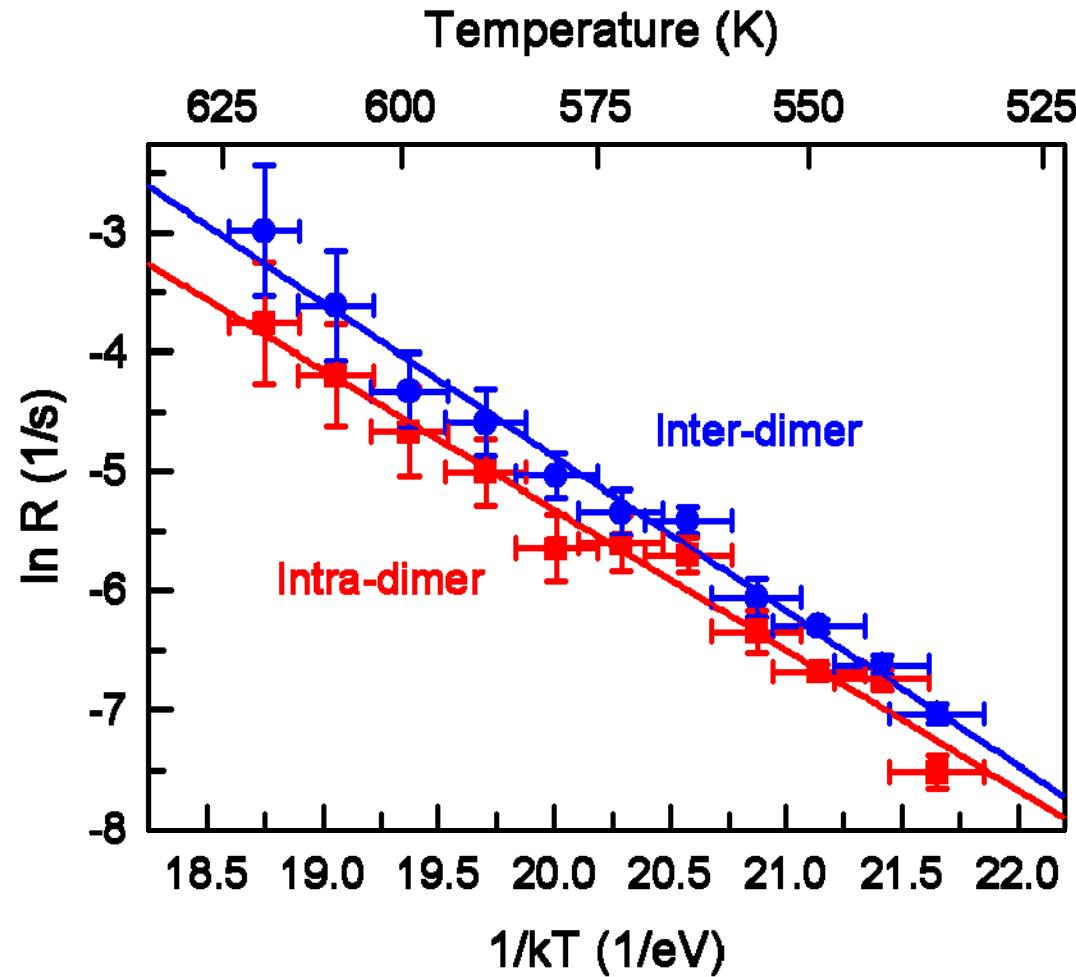


# 4 consecutive STM images (20 s/frame)



at 560K ( $V_{\text{sample}} = -2.2$  V, Setpoint= 0.23 nA,  $5\text{\AA} \times 8\text{\AA}$ ) H-coverage 0.02ML

# Arrhenius plot of H/Cl exchange



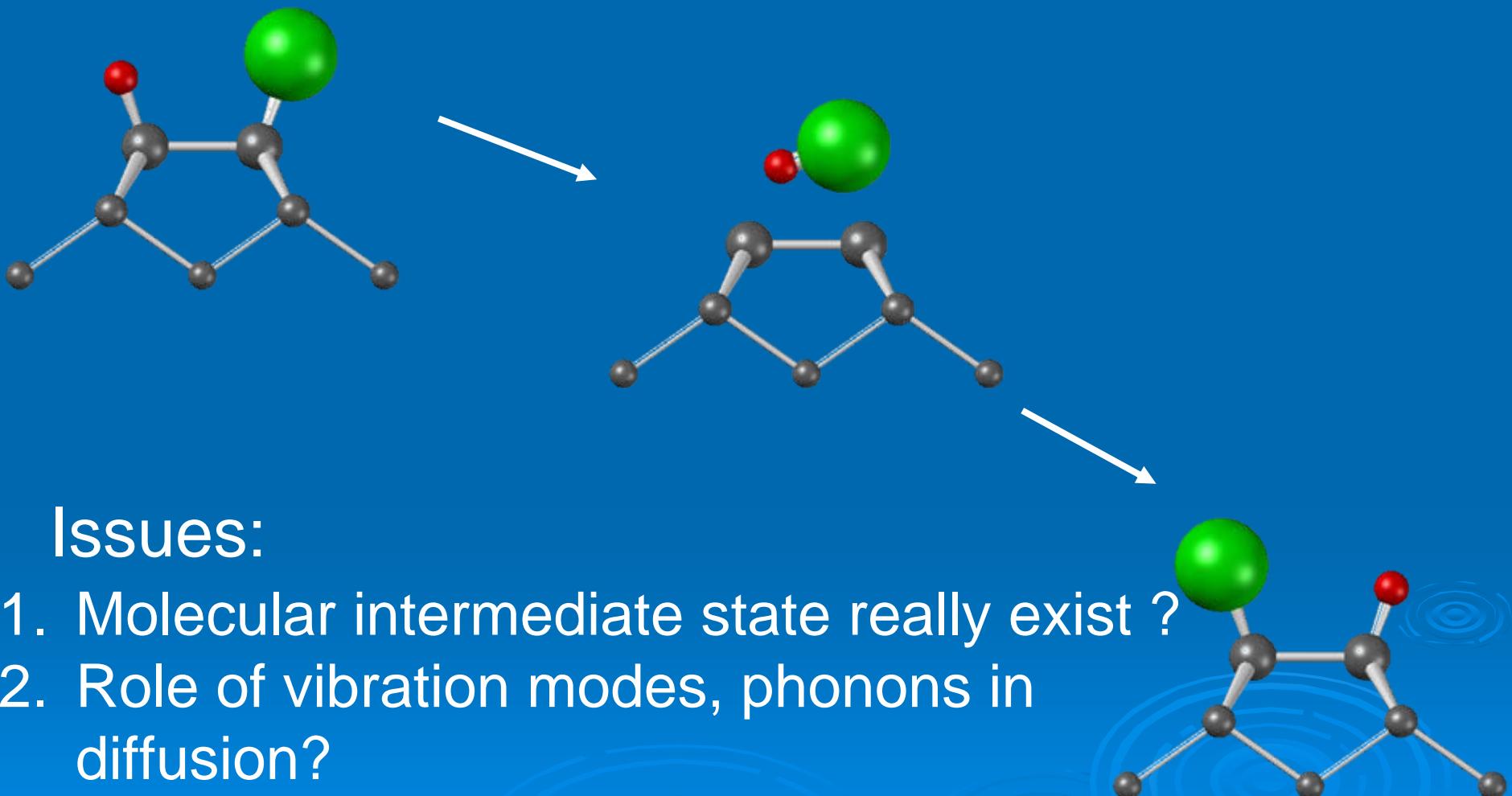
$$E_a(\text{inter-dimer}) = 1.29 \text{ eV}$$

$$E_a(\text{intra-dimer}) = 1.17 \text{ eV}$$

$$P(\text{inter-dimer}) = 1.31 \times 10^9 \text{ s}^{-1}$$

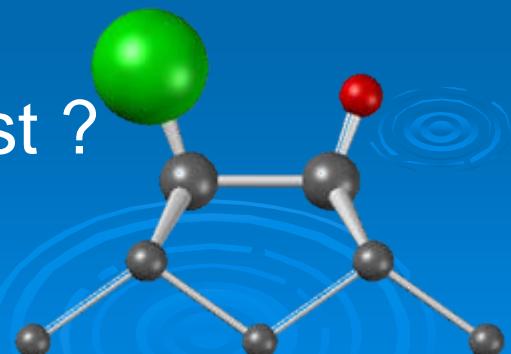
$$P(\text{intra-dimer}) = 6.64 \times 10^7 \text{ s}^{-1}$$

# Modeling H/Cl exchange



## Issues:

1. Molecular intermediate state really exist ?
2. Role of vibration modes, phonons in diffusion?



the major goal in surface science:  
to make movies of molecules on surfaces, on a **fs**  
time scale, with **10 pm** resolution.

## Conclusions:

1. Diatomic molecules like  $I_2$ ,  $Cl_2$ ,  $H_2$ ,  $HCl$  are great actors and  $Si(100)$  are a good stage for illustration of fundamental issues.
2. Current experimental approach: with **10 pm** resolution YES, on a **fs** time scale, NO.