

# RS model as a Framework for Flavor Physics

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# Outline

- Brief introduction of Extra Dimensional (XD) Models and the RS1 model
  - (A) XD with flat internal space
    - (a) Scalar fields in higher dimensional theories
    - (b) Kaluza-Klein (KK) decomposition
    - (c) Boundary conditions
  - (B) Warped internal space
    - (a) Scalar fields
    - (b) Fermions in RS **location ,location, location**
    - (c) Gauge Bosons
    - (d) The need for custodial  $SU(2)_R$ . Bulk symmetry is  $SU(2)_L \times SU(2)_R \times U(1)_{B-L}$
    - (e) Quark Mass Matrices : symmetrical or asymmetrical
    - (f) KK Fermions mixing
- Some Phenomenology
- Conclusions

## *Intro to XD*

- Compactification of internal dimensions always involve a scale  $R$  or 'volume',  $V$
- Two equivalent descriptions
  - (A) At distances large compare to  $R$   
4D language is more more appropriate  $\longrightarrow$  KK modes
  - (B) At distances smaller than  $R$   
Higher dim language is better  $\longrightarrow$  Takes into account of all KK modes
- Use the KK language since it is more relevant to phenomenology.

# The KK decomposition

Quantum fields in  $4 + n$  dimensions

$\Phi(x^\mu, y^i)$  ( $\mu = 0, 1, 2, 3$ ;  $y^i$  parametrize the compact space  $i = 1, \dots, n$ )

We can always expand any function in any complete set of functions  $f_n(y^i)$  via

$$\Phi(x^\mu, y^i) = \frac{1}{\sqrt{V}} \sum_n \phi^n(x^\mu) f_n(y^i)$$

$\phi^n \longrightarrow n^{\text{th}}$  KK mode

Choose  $f_n$  to be orthonormal (basis) functions

$$\langle f_n | f_m \rangle = \delta_{nm}$$

We allow us to think of  $\phi^n$  as independent d.o.f

## *KK decomposition II*

How to choose the basis is model dependent.

In general assume perturbation philosophy

- Understand the 'free' part of the XD Lagrangian
- Add interactions later

# Scalar Fields

The action of a free scalar field is

$$S = \int d^4x d^n y \frac{1}{2} (\partial_N \Phi \partial^N \Phi - M^2 \Phi^2) = - \int d^4x d^n y \frac{1}{2} \Phi (\square + M^2) \Phi$$

and

$$\square + M^2 = \partial_\mu \partial^\mu + \partial_i \partial^i + M^2$$

Use the eigenfunctions of the XD part

$$(\partial_i \partial^i + M^2) f_n = m_n^2 f_n$$

- This is linear partial differential eqn we can solve
- Choose appropriate boundary conditions which is part of the definition of the theory

## Scalar fields II

Use the KK expansion of  $\Phi$  insert into S

$$-\frac{1}{V} \int d^4x d^n y \sum_{m,m'} \frac{1}{2} \phi^m(x^\mu) f_m(y^i) \left[ f_{m'}(y^i) \partial_\mu \partial^\mu \phi^{m'}(x^\mu) + \phi^{m'}(x^\mu) (\partial_i \partial^i + M^2) f_{m'}(y^i) \right]$$

We get

$$-\frac{1}{V} \sum_{m,m'} \left( \int d^n y f_m(y^i) f_{m'}(y^i) \right) \int d^4x \frac{1}{2} \phi^m(x^\mu) (\partial_\mu \partial^\mu + m_{m'}^2) \phi^{m'}(x^\mu)$$

Implies

$$\frac{1}{V} \int d^n y f_m f_{m'} = \delta_{m,m'}$$

# Physics of KK decomposition

The theory can be written as

$$-\sum_n \int d^4x \frac{1}{2} \phi^n (\partial_\mu \partial^\mu + m_n^2) \phi^n$$

A free XD scalar is equivalent to an **infinite** tower of 4D scalars with masses  $m_n$

- If  $m_0 = 0$  we have a massless 4D field
- For 1 XD the eigenfunctions  $f_n$  are circular functions
- Need to impose boundary conditions to specify these functions.



# Boundary Conditions

Illustrate by considering 5D or 1XD examples.

- Compactification on a circle  $S^1$ 
  - (A) Impose periodic b.c  $\Phi(y) = \Phi(y + 2\pi R)$
  - (B) If  $M^2 = 0$  the KK masses are
$$m_n = \frac{n}{R}$$
- Compactify on an interval i.e  $y$  extends from  $y = 0$  to  $y = R$ . They are called fixed points where branes are situated.
  - (A) Dirichlet b.c

$$f_n = 0$$

- (B) Neumann b.c.

$$\partial f = 0$$

- (C) or a mixture

# Bulk Gauge Fields

Use 5D QED compactify on a circle as an example to bring out the physics

- The action is

$$S = \int d^4x \int_0^{2\pi R} -\frac{1}{4} F_{MN} F^{MN} + \text{gauge fixing}; \quad F_{MN} = \partial_M A_N - \partial_N A_M$$

- KK expand the gauge field as in the scalar case. Look at the  $A_\mu$  term

$$A_\mu \sim \sum_n A_\mu^n(x) f_n(y)$$

- Because of bulk gauge invariance the zero mode is by

$$\partial_y f_0(y) = 0$$

- The zero mode has a constant profile  $\longrightarrow$  4D gauge invariance.
- Other KK modes similar to scalars

# Bulk Fermions

Again consider a minimal 5D Lagrangian for a fermion  $\Psi$ . The action is

$$S = \frac{i}{2} \int d^5x \left( \bar{\Psi} \Gamma^M \partial_M \Psi - \partial_M \bar{\Psi} \Gamma^M \Psi - m \bar{\Psi} \Psi \right)$$

Notice a bulk mass term is included and sign of  $m$  is not determine.

- The bulk field  $\Psi$  is a 4-component Dirac spinor.
- Decompose under 4D Lorents subgroup into a pair of Weyl spinors

$$\Psi = \begin{pmatrix} \chi_\alpha \\ \bar{\psi}^{\dot{\alpha}} \end{pmatrix}$$

- Bulk equation of motion from  $\delta S$  gives

$$-i\bar{\sigma}^\mu \partial_\mu \chi - \partial_y \bar{\psi} + m\bar{\psi} = 0$$

$$-i\sigma^\mu \partial_\mu \bar{\psi} + \partial_y \chi + m\chi = 0$$

# Bulk Fermions II

- KK expand the 5D wavefunctions

$$\chi = \sum_n g_n(y) \chi_n(x)$$

$$\bar{\psi} = \sum_n f_n(y) \bar{\psi}_n(x)$$

- These fermions obey the 4D Dirac equations

$$-i\bar{\sigma}^\mu \partial_\mu \chi_n + m_n \bar{\psi}_n = 0$$

$$-i\sigma^\mu \partial_\mu \bar{\psi}_n + m_n \chi_n = 0$$

- Substituting back into 5D EOM we get a set of coupled eigenvalue equations

$$\partial_y g_n + m g_n - m_n f_n = 0$$

$$\partial_y f_n - m f_n + m_n g_n = 0$$

# Bulk Fermions Boundary Conditions

- Consider the fix point  $y = 0$  and the field takes the b.c. **Dirichlet**

$$\chi = 0|_0$$

- The other component must satisfy

$$(\partial_y + m)\chi = 0$$

i.e. the **Neumann** condition

- For the zero mode the eigen equations decouple:

$$\partial_y g_0 + m g_0 = 0$$

$$\partial_y f_0 - m f_0 = 0$$

- If we choose  $\psi|_0 = 0 \rightarrow f_0 = 0$  then

$$g_0 = \sqrt{\frac{1 - e^{-2mL}}{2mL}} e^{-my} \quad (L = 2\pi R)$$

# Introduction to the Randall-Sundrum Model

- There are more than 4 dim. Indeed RS assumes 1 + 4 dim with a warp or conformal metric. AdS
- 5D interval is given by

$$ds^2 = G_{AB} dx^A dx^B = e^{-2kr_c|\phi|} \eta_{\mu\nu} dx^\mu dx^\nu - r_c^2 d\phi^2$$

- Two branes are located at  $\phi = 0$  (UV) and  $\phi = \pi$  (IR).
- Metric is

$$-\pi \leq \phi \leq \pi, \quad \sigma \equiv kr_c|\phi|,$$
$$G_{AB} = \begin{pmatrix} e^{-2\sigma} \eta_{\mu\nu} & 0 \\ 0 & -r_c^2 \end{pmatrix}, \quad G^{AB} = \begin{pmatrix} e^{+2\sigma} \eta^{\mu\nu} & 0 \\ 0 & -\frac{1}{r_c^2} \end{pmatrix}$$

# RS model as 5D field theory

- The action is generalized to 5D e.g. the bulk scalar field we have

$$\begin{aligned} S_5 &= \frac{1}{2} \int d^4x \int_{-\pi}^{\pi} d\phi \sqrt{G} (G^{MN} \partial_M \Phi \partial_N \Phi - m^2 \Phi^2) \\ &= \frac{1}{2} \int d^4x \int_{-\pi}^{\pi} r_c d\phi \left[ e^{-2\sigma} \eta^{\mu\nu} \partial_\mu \Phi \partial_\nu \Phi + \frac{1}{r_c^2} \Phi \partial_\phi (e^{-4\sigma} \partial_\phi \Phi) - m^2 e^{-4\sigma} \Phi^2 \right] \end{aligned} \quad (1)$$

- Integrate over  $\phi$  to give a 4D effective theory
- Do KK decomposition.

$$\Phi(x, \phi) = \frac{e^\sigma}{\sqrt{r_c}} \sum_n \Phi_n(x) y_n(\phi)$$

One recovers the canonical 4D scalar field  $[\Phi_n] = 1$  and the KK eigen-mode  $[y_n] = 0$ .  $y_n$  is normalized by

$$\int_{-\pi}^{\pi} d\phi y_n(\phi) y_m(\phi) = \delta_{mn}$$

# RS: Scalars

- The  $y_n(\phi)$  satisfies the eigenvalue eqn

$$-\frac{e^\sigma}{r_c^2} \partial_\phi (e^{-4\sigma} \partial_\phi (e^\sigma y_n)) + m^2 e^{-2\sigma} y_n = m_n^2 y_n$$

- The 4D effective action becomes

$$S = \frac{1}{2} \sum_n \int d^4x [\eta^{\mu\nu} \partial_\mu \Phi_n \partial_\nu \Phi_n - m_n^2 \Phi_n^2]$$

- $m_n = 0$  are the zero modes. Identify them as SM fields.
- The solutions are exponentials

$$y_0 = \frac{e^{kr_c\phi}}{N_0} \left[ e^{-\nu kr_c\phi} + b_0 e^{+\nu kr_c\phi} \right], \quad (\nu \equiv \sqrt{4 + m^2/k^2})$$



## More RS

- After integrating out the extra the dimension the 4D effective action is

$$S = \frac{1}{2} \sum_n \int d^4x [\eta^{\mu\nu} \partial_\mu \Phi_n \partial_\nu \Phi_n - m_n^2 \Phi_n^2]$$

- For  $m_n \neq 0$  the solutions are given by Bessel functions of order  $\nu = \sqrt{4} + \frac{m^2}{k^2}$

$$y_n(\phi) = \frac{e^\sigma}{N_n} [J_\nu(z_n) + b_n Y_\nu(z_n)]$$

- $m_n$  and  $b_n$  are determined by boundary conditions at  $\phi = 0, \pi$ . The derivatives are continuous.

# Gauge Fields in RS

- Take QED as the toy model. The action is

$$S_5 = -\frac{1}{4} \int d^4x \int_{-\pi}^{\pi} d\phi \sqrt{G} F^{MN} F_{MN}$$

- Choose the unitarity gauge  $A_4 = 0$  and KK decompose the gauge field

$$A_\mu(x, \phi) = \frac{1}{\sqrt{r_c}} \sum_n A_\mu^n(x) \chi_n(\phi)$$

with a normalization

$$\int_{-\pi}^{\pi} d\phi \chi_n \chi_m = \delta_{mn}$$

- The 4D Lagrangian is

$$\mathcal{L}_4 \supset +\frac{1}{2} \eta^{\mu\nu} \sum_{m,n} A_\mu^n A_\nu^m \int_{-\pi}^{\pi} d\phi \chi_m \partial_\phi \left( \frac{e^{-2\sigma}}{r_c^2} \partial_\phi \chi_n \right)$$

## RS Gage Fields II

- The zero mode has a flat profile

$$\chi_0 = \frac{1}{\sqrt{2\pi}}$$

This preserves charge universality

- The solutions for KK excitations are Bessel functions of order unity

$$\chi_n = \frac{m_n e^\sigma}{k N_n} [J_1(z_n) + a_n Y_1(z_n)]$$

- $a_n$  and  $m_n$  are determined by b.c.c at the fixed points  $\phi = 0, \pi$

# Fermions in 5D Bulk

- 5D fermions are 4-component spinors i.e. vector-like fermions

$$\Psi(x^\mu, y) = \begin{pmatrix} \psi_R(x^\mu, y) \\ \psi_L(x^\mu, y) \end{pmatrix}$$

- The Dirac matrices in 5D are  $\gamma^M = (\gamma^\mu, i\gamma^5)$
- Project out the L,R chiral states by boundary conditions or orbifold parities ,i.e. how the field transforms under  $Z_2 : y \rightarrow -y$
- 

$$\begin{pmatrix} \psi_R(x, y) \\ \psi_L(x, y) \end{pmatrix} \rightarrow \pm \begin{pmatrix} \psi_R(x, -y) \\ -\psi_L(x, -y) \end{pmatrix}$$

# Fermions in Warp Space

- 5D action for fermions is

$$\int d^4x d\phi \sqrt{G} E_a^A \bar{\Psi} \gamma^a D_A \Psi$$

where  $E_a^A = \text{diag} \left( e^\sigma, e^\sigma, e^\sigma, e^\sigma, \frac{1}{r_c} \right)$

- Do the usual KK decomposition:

$$\Psi_{L,R}(x, \phi) = \frac{e^{\frac{3}{2}\sigma}}{\sqrt{r_c}} \sum_n \Psi_n^{L,R}(x) \hat{\phi}_n^{L,R}(\phi) \quad (2)$$

$$\int_{-\pi}^{\pi} d\phi \hat{\phi}_n^{L*}(\phi) \hat{\phi}_m^L(\phi) = \int_{-\pi}^{\pi} d\phi \hat{\phi}_n^{R*}(\phi) \hat{\phi}_m^R(\phi) = \delta_{mn}$$

- The profile of the wavefunction is controlled by  $m = ck$ . Enters into the order of Bessel fn.

# Bulk Fermions II

- The equations are

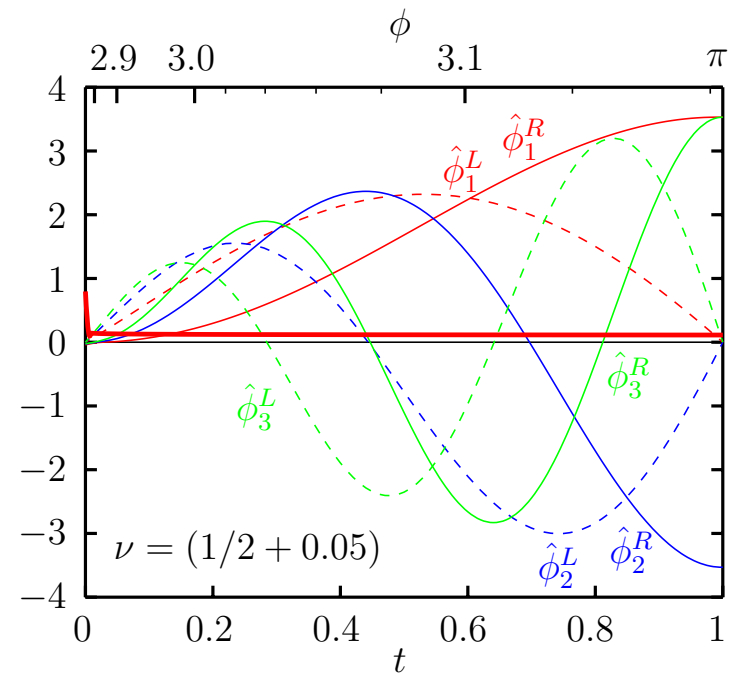
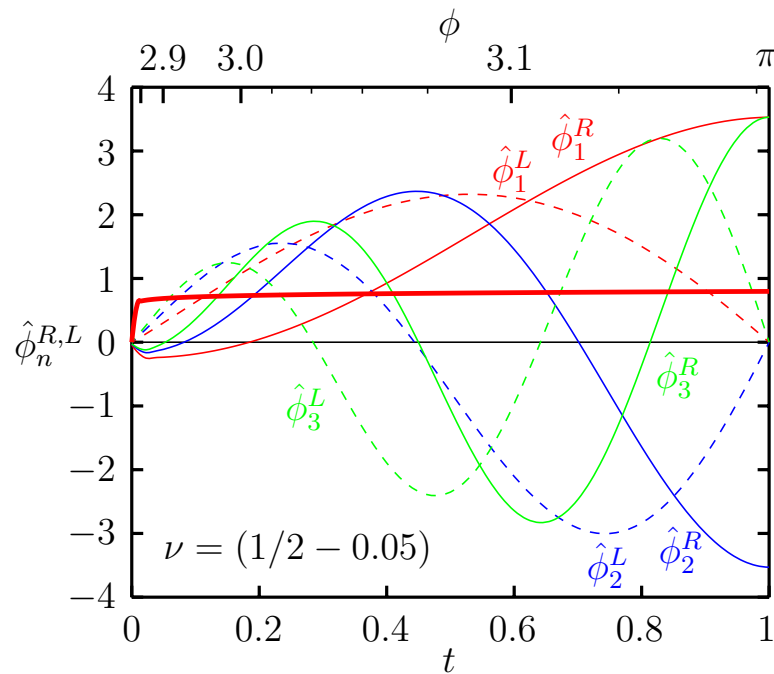
$$\begin{aligned} \left( m - \frac{k}{2} + \frac{1}{r_c} \partial_\phi \right) \hat{\phi}_n^R &= m_n e^\sigma \hat{\phi}_n^L \\ \left( m + \frac{k}{2} - \frac{1}{r_c} \partial_\phi \right) \hat{\phi}_n^L &= m_n e^\sigma \hat{\phi}_n^R \end{aligned} \quad (3)$$

- The zero modes which we identify as SM fermions  $c = \nu \equiv \frac{m}{k}$

$$\begin{aligned} \hat{\phi}_0^L &= N_L^0 e^{kr_c \phi (1/2 + \nu)}, \quad N_L^0 = \sqrt{\frac{kr_c (\nu + 1/2)}{e^{2kr_c \pi (\nu + 1/2)} - 1}} \\ \hat{\phi}_0^R &= N_R^0 e^{kr_c \phi (1/2 - \nu)}, \quad N_R^0 = \sqrt{\frac{kr_c (1/2 - \nu)}{e^{2kr_c \pi (1/2 - \nu)} - 1}} \end{aligned} \quad (4)$$

- Since both solutions are  $Z_2$  even at  $\phi = 0$ , only one of the two is allowed by the  $Z_2$ .
- The RH chiral zero mode lives near the UV (IR) brane if  $\nu > 1/2$  ( $\nu < 1/2$ ).
- LH zero mode resides close to UV (IR) brane for  $\nu < -1/2$  ( $\nu > -1/2$ )

# Profiles of bulk fermions



The thick red lines are the zero mode wave function of RH chiral fermion.

$$t \equiv e^{-kr_c(\pi-\phi)}$$

# Fermion Masses in RS

- The coefficients  $c_{L,R}$  control the zero modes i.e peaks at UV or IR
- Localize the Higgs at the IR brane
- Have the zero modes i.e. the SM chiral fermions localize near UV brane
- The overlap after SSB will be very small
- No need to fine tune Yukawa's.
- Quark masses are naturally small.
- If all the fermions both LH doublet and RH singlets are localized near UV then t-quark comes out too light
- t-quark or  $(t_L, b_L)$  must not be too far from IR brane



# Quark Masses in RS

- The quark masses are given by

$$(M_f^{RS})_{ij} = v_W \frac{\lambda_{5,ij}^f}{kr_c \pi} f_L^0(\pi, c_{f_i}^L) f_R^0(\pi, c_{f_j}^R) \equiv v_W \frac{\lambda_{5,ij}^f}{kr_c \pi} F_L(c_{f_i}^L) F_R(c_{f_j}^R), \quad f = u, d,$$

where the label  $f$  denotes up-type or down-type quark species.  $v_w = 174$  GeV.

$$f_{L,R}^0(\phi, c_{L,R}) = \sqrt{\frac{kr_c \pi (1 \mp 2c_{L,R})}{e^{kr_c \pi (1 \mp 2c_{L,R})} - 1}} e^{(1/2 \mp c_{L,R}) kr_c \phi}$$

where the upper (lower) sign applies to the LH (RH) zero mode

- The Yukawa couplings  $\lambda_{ij}$  are not necessarily symmetric in  $i, j$
- $f_{L,R}$  shows that the masses are control by values of  $c_{L,R}$
- The task is to configurations that fits the CKM matrix.
- Added bonus : both LH and RH quark configurations are given for each solution
- Both **LH and RH rotations** are given for each solution
- In the SM only LH rotations are detectable.  $V_{CKM} = V_L^{u\dagger} V_L^d$ .

# General Configurations

- In general quark mass matrices are not symmetrical in RS. Several configurations found. One example:

$$\begin{aligned}
 c_Q &= \{0.634, 0.556, 0.256\}, \\
 c_U &= \{-0.664, -0.536, 0.185\}, \\
 c_D &= \{-0.641, -0.572, -0.616\}.
 \end{aligned} \tag{5}$$

- The u and d quark mass matrices (at TeV scale)

$$\langle |M_u| \rangle = \begin{pmatrix} 8.97 \times 10^{-4} & 0.049767 & 0.0044 \\ 0.010554 & 8.69 & 0.022 \\ 0.16006 & 142.19 & 0.352 \end{pmatrix} \quad \langle |M_d| \rangle = \begin{pmatrix} 0.0019 & 0.017 & 0.0044 \\ 0.022 & 0.196 & 0.050 \\ 0.352 & 3.209 & 0.813 \end{pmatrix}$$

where we have used  $ke^{-kr_c\pi} = 1.5 \text{ TeV}$ .

## *RS Quark Masses contd*

- The CKM matrix elements for the above

$$\begin{aligned} |V_{us}^L| &= 0.16(14), & |V_{ub}^L| &= 0.009(11), & |V_{cb}^L| &= 0.079(74), \\ |V_{us}^R| &= 0.42(24), & |V_{ub}^R| &= 0.12(10), & |V_{cb}^R| &= 0.89(13), \end{aligned} \quad (6)$$

- Note the RH rotations are larger than the LH ones.
- Appears to be true from the numerical searches we found
- How to test it?

# *Symmetrical Mass Matrices in RS*

- Most of the 'constructions' start from conjecture assuming that they are symmetrical
- Put zeros ( 1 to 3) in appropriate places to fit CKM and the observed mass heirarchies.
- Can RS accomodate these without fine tuning the Yukawa couplings
- Only **ONE** texture zero structures are allowed.
- By construction  $U_L = U_R$

## *All is not well*

- The main problem is that the new KK modes will modify EWPT
- The  $S, T$  parameters will receive tree level corrections
- It is known that  $\rho = 1$  is protected by a custodial  $SU(2)$  symmetry
- Promote that to a bulk gauge symmetry.
- Tree level KK gauge effects are suppressed
- The gauge symmetry is now  $SU(2)_L \times SU(2)_R \times U(1)_X$
- Take  $X = B - L$

# Custodial RS model

- Break  $SU(2)_R \rightarrow U(1)_R$  by orbifold b.c.

	<i>UV</i>	<i>IR</i>
$\tilde{W}_\mu^{1,2}$	-	+
<i>other gauge fields</i>	+	+

- $U(1)_R \times U(1)_X \rightarrow U(1)_Y$  by vev on *UV* brane. We have a  $Z'$  and  $B_\mu$

$$Z'_\mu = \frac{g_5 \tilde{W}_\mu^3 - g'_5 \tilde{B}_\mu}{\sqrt{g_5^2 + g_5'^2}}$$

and

$$B_\mu = \frac{g'_5 \tilde{W}_\mu^3 + g_5 \tilde{B}_\mu}{\sqrt{g_5^2 + g_5'^2}}$$

- $B_\mu$  is the SM hypercharge gauge boson and broken with  $SU(2)_L$  on *IR* brane by Higgs.

# Quark Representations

- Zero modes have parity (++)
- Usual assignment

$$\begin{array}{cc} SU(2)_L & SU(2)_R \\ \begin{pmatrix} t_L \\ b_L \end{pmatrix} & \begin{pmatrix} t_R \\ b_R \end{pmatrix} \end{array}$$

because  $t_R$  is a zero mode and  $SU(2)_R$  is broken on UV

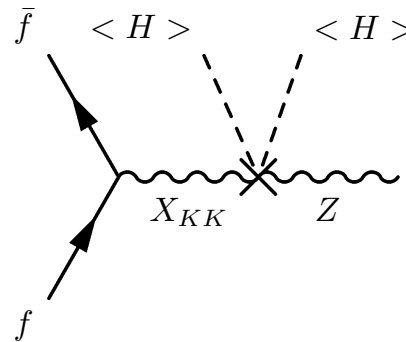
- $d_R$  and  $t_R$  must have their own (- +) partners

$$\begin{array}{ccc} SU(2)_L & \overbrace{SU(2)_R} & \\ \begin{pmatrix} t_L \\ b_L \end{pmatrix} & \begin{pmatrix} T_R \\ b_R \end{pmatrix} & \begin{pmatrix} t_R \\ B_R \end{pmatrix} \end{array}$$

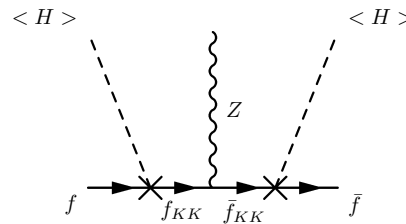
- They don't affect the quark mass matrix.

# FCNC in the Minimal Constrained RS Model

- Besides the direct production of the KK  $Z \gtrsim 2.5$  TeV is tree level FCNC
- FCNC  $Z - Z_{KK}$  and  $Z - Z'_K K$  mixing



- KK-fermion mixings



- Going to the mass basis the unitarity is broken  $\rightarrow$  FCNC



$$t \rightarrow Z + jets$$

- The BR is

$$\begin{aligned} Br(t \rightarrow c(u)Z) &= \frac{2}{\cos^2 \theta_W} \left( |Q_Z(t_L) \hat{\kappa}_{tc(u)}^L|^2 + |Q_Z(t_R) \hat{\kappa}_{tc(u)}^R|^2 \right) \left( \frac{1-x_t}{1-y_t} \right)^2 \left( \frac{1+2y_t}{1+2x_t} \right) \\ &= 1.8677 \times \left( |Q_Z(t_L) \hat{\kappa}_{tc(u)}^L|^2 + |Q_Z(t_R) \hat{\kappa}_{tc(u)}^R|^2 \right) \end{aligned}$$

where  $x_t = \frac{m_Z^2}{m_t^2}$  and  $y_t = \frac{m_W^2}{m_t^2}$  and  $Q_Z(f) = T_L^3(f) - Q \sin^2 \theta_w Q_f$

- LH and RH decays are different because  $\kappa^R > \kappa^L$  in the config we found

$$\hat{\kappa}_{ab}^u = (U^u)^\dagger_{a3} (\kappa_{Q_3^u}^g + \kappa_{Q_3^u}^f) U_{3b}^u, \quad Q^u = \{u, c, t\}$$

- $BR(t_R \rightarrow Z + c(u)_R) > BR(t_L \rightarrow Z + c(u)_L)$  by  $\sim 20$ .
- The BR is  $\sim 10^{-5}$  c.f SM  $\sim 10^{-13}$ .
- Compare the decays in  $t\bar{t}$  vs single  $tW$  channels.

# Conclusions

- We have found that the RS model can have good quark mass matrices without fine tuning Yukawas
- It can accommodate symmetrically mass matrices if there is only one texture zero and not more
- For asymmetrical conf  $U_R > U_L$
- Tree level FCNC best probe in  $t \rightarrow Z + jets$
- BR is  $\sim 10^{-5}$  makes it very exciting at the LHC
- Predicts RH decays are dominant.