# 33-780 Nuclear and Particle Physics II Note 4 Group Algebra

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# Algerbric Approach

For groups larger than SU(2), it is more efficient to take the algebric approach. This is the study of the structure of group in terms of commutation relation of the generators, similar to the study of rotaion group in terms of the angular momentum algebra. In fact, the angular momentum algebra forms the basis for the more general groups.

#### 0.1 Lie Algebra

It is usually convenient to paremetrize the group elements in the exponential form,

$$q = e^{i\alpha_a X_a}$$

where  $\alpha_1, \alpha_2, \cdots$  are group parameters and  $X_1, X_2, \cdots$  are generators of the group. We can write the group multiplication as

$$e^{i\alpha_a X_a} e^{i\beta_b X_b} = e^{i\delta_a X_a}$$

Clearly  $\delta'_a s$  are functions of  $\alpha$  and  $\beta$ . To explore these relations we write

$$i\delta_a X_a = \ln\left(e^{i\alpha_a X_a}e^{i\beta_b X_b} + 1 - 1\right) = \ln\left(1 + K\right)$$

where

$$K = e^{i\alpha_a X_a} e^{i\beta_b X_b} - 1$$

Consider the case where  $\alpha$  and  $\beta$  are small and expand K to second order terms,

$$K = \left[1 + i\alpha_{a}X_{a} - \frac{1}{2}(\alpha_{a}X_{a})^{2} + \cdots\right] \times \left[1 + i\beta_{b}X_{b} - \frac{1}{2}(\beta_{b}X_{b})^{2} + \cdots\right] - 1$$
  
=  $i\alpha_{a}X_{a} + i\beta_{b}X_{b} - \alpha_{a}X_{a}\beta_{b}X_{b} - \frac{1}{2}(\alpha_{a}X_{a})^{2} - \frac{1}{2}(\beta_{b}X_{b})^{2} + \cdots$ 

This gives

$$i\delta_a X_a = K - \frac{1}{2}K^2 + \cdots$$
  
=  $i\alpha_a X_a + i\beta_b X_b - \alpha_a X_a \beta_b X_b - \frac{1}{2}(\alpha_a X_a)^2 - \frac{1}{2}(\beta_b X_b)^2 + \frac{1}{2}(\alpha_a X_a + \beta_b X_b)^2 + \cdots$   
=  $i\alpha_a X_a + i\beta_b X_b - \frac{1}{2}[\alpha_a X_a, \beta_b X_b] + \cdots$ 

and

$$\left[\alpha_a X_a, \beta_b X_b\right] = -2i\left(\delta_c - \alpha_c - \beta_c\right) X_c + \dots \equiv i\gamma_c X_c$$

Since this must be true for all  $\alpha$  and  $\beta$ , we must have

$$\gamma_c = \alpha_a \beta_b f_{abc}, \qquad f_{abc} \quad \text{some constant}$$

and

 $[X_a, X_b] = i f_{abc} X_c$ 

Thus generators form an algebra under commutation.

One simply way to see that the structure of the group is controlled by the commutation between generators is to note that in general,

$$e^{i\alpha_a X_a} e^{i\beta_b X_b} \neq e^{i\alpha_a X_a + i\beta_b X_b}$$

The equality holds only for Abelian group where all generators commute with each other. So for non-Abelian group the commutators of generators are non zero and responsible for non-commuting property of group multiplication. Furthermore, from the Baker-Campell-Hausdroff formula

$$\exp(A)\exp(B) = \exp\left[A + B + \frac{1}{2}[A, B] + \frac{1}{12}[A, [A, B]] - \frac{1}{12}[B, [A, B]]\right] + \cdots$$

we see that if the commutator gives back a linear combination of generators then the result of multiplication of 2 group elements in the exponential form produce another element in the exponential form.

## 1 SU(n) Algebra

We will now study the SU(2) in terms of their generators. More generally SU(n) is the group formed by  $n \times n$  unitary matrices with unit determinant. We now find independent real group parameters needed to represent the group elements. The unitary condition  $UU^{\dagger} = 1$ , implies

$$\sum_{k} U_{ik} U_{jk}^* = \delta_{ij}$$

Define n-dimensional vectors in n-dimensional complex vector space by

$$\vec{U}_i = (U_{i1}, U_{i2}, \dots U_{in}), \qquad i = 1, 2, 3, \dots, n$$

Then unitary conditions can be written as

$$\left(\vec{U_i}, \vec{U_j}\right) = \sum_k U_{ik} U_{jk}^* = \delta_{ij}$$

This means  $\stackrel{\rightarrow}{U_i}'s$  form an orthonomal set and

$$\left( \overrightarrow{U_{i}}, \overrightarrow{U_{i}} \right) = 1$$

gives n conditions on the real components of matrix elements and

$$\left(\vec{U}_i, \vec{U}_j\right) = 0$$
 for  $i \neq j$  give  $\frac{n(n-1)}{2} \times 2 = n^2 - n$  conditions

 $\det U = 1$  gives one condition

Hence, the number of independent real parameters in SU(n) is

$$2n^{2} - (n^{2} - n) - n - 1 = n^{2} - 1$$

Another way is to explore the relation between unitaty matrix U hermitian matrix H by writing,

 $U = e^{iH}$ 

Using the identity

$$\det\left(e^A\right) = e^{TrA}$$

we can see that

$$\det U = 1, \qquad T_r H = 0$$

It is easy to see that in terms of  $n^2 - 1$  traceless hermitian matrices, SU(n) has  $n^2 - 1$  parameters.

#### 2 SU(2) Algebra

We now study the case of SU(2). Physical examples of SU(2) group are the rotation group in 3-dimension and isospin symmetry in the strong interaction. There are 3 parameters for this group. Parametrize 2 × 2 unitary matrices by

$$U\left(\varepsilon_{1},\varepsilon_{2},\varepsilon_{3}\right) = \exp\left(i\varepsilon_{i}\sigma_{i}\right)$$

where  $\varepsilon_1, \varepsilon_2, \varepsilon_3$  are real group parameters, and  $\sigma_1, \sigma_2, \sigma_3$  are the usual Pauli matrices,

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \qquad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \qquad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},$$

The commutation relations for Pauli matrices are of the form,

$$\left[\frac{\sigma_i}{2}, \frac{\sigma_j}{2}\right] = i\varepsilon_{ijk}\frac{\sigma_k}{2}, \qquad i, j, k = 1, 2, 3$$

where  $\varepsilon_{ijk}$  is the totally anti-symmetric Levi-Civita symbol with  $\varepsilon_{123} = 1$ . The operators  $J_1, J_2, J_3$  which satisfy the same commutation relation

$$[J_i, J_j] = i\varepsilon_{ijk}J_k \tag{1}$$

are called the generators of SU(2) and the commutation relations are called the **Lie algebra of** SU(2). Clearly these commutation relations are the same as those of the angular momentum operators  $\vec{L} = \vec{r} \times \vec{p}$ ,

$$[L_i, L_j] = i\varepsilon_{ijk}L_k \tag{2}$$

Thus these two algebras (1)(2) are in one to one correspondence (isomorphism). Here we have set  $\hbar = 1$  for conveience.

Recall that the angular momentum operators are given by

$$L_1 = -i\left(x_2\frac{\partial}{\partial x_3} - x_3\frac{\partial}{\partial x_2}\right), \qquad L_2 = -i\left(x_3\frac{\partial}{\partial x_1} - x_1\frac{\partial}{\partial x_3}\right), \qquad L_3 = -i\left(x_1\frac{\partial}{\partial x_2} - x_2\frac{\partial}{\partial x_1}\right)$$

It is clear that these operator will leave the quadratic form,

$$x_1^2 + x_2^2 + x_3^2$$

invariant. For example,

$$L_1 \left( x_1^2 + x_2^2 + x_3^2 \right) = -i \left( x_2 \frac{\partial}{\partial x_3} - x_3 \frac{\partial}{\partial x_2} \right) \left( x_1^2 + x_2^2 + x_3^2 \right) \\ = -i \left( x_2 2 x_3 - x_3 2 x_2 \right) = 0$$

### **3** Representation of SU(2) algebra

Any set of matrices  $D_1, D_2, D_3$  which satisfy the same algebra,

$$[D_i, D_j] = i\varepsilon_{ijk}D_k$$

are called the **representation** of generators  $J_1, J_2, J_3$ . For example  $D_i = \frac{\sigma_i}{2}$  is a representation (defined representation) or fundamental representation)

To find all other representations, we define

$$J_{\pm} = J_1 \pm i J_2$$

Then we get

$$[J_{\pm}, J_3] = \mp J_{\pm}, \qquad [J_+, J_-] = 2J_3$$

Suppose  $|m\rangle$  is an eigenstate of  $J_3$  with eigenvalue m,

$$J_3 |m\rangle = m |m\rangle$$

then we get from these commutation relations,

$$J_3(J_+|m\rangle) = (m+1)(J_+|m\rangle), \qquad J_3(J_-|m\rangle) = (m-1)(J_-|m\rangle)$$

Thus  $J_+(J_-)$  is the raising(lowering) operator which increases(decrease) the eigenvalues by one unit. Define the total angular momentum operator by,

$$J^{2} \equiv J_{1}^{2} + J_{2}^{2} + J_{3}^{2} = \frac{1}{2} \left( J_{+}J_{-} + J_{-}J_{+} + J_{3}^{2} \right) \ge 0$$
(3)

Then we get

$$|J^2, J_i| = 0,$$
 for  $i = 1, 2, 3$ 

and  $J^2$  is called **Casmir operator**, the operator which commutes with all the generators in the group. From Schur's lemma we know that the Casmir operators will represented by a multiple of identit matrix in any irreps. Choose the states to be eigenstates of  $J^2$ ,  $J_3$ , with eigenvalues,  $\lambda$ , m

$$J^2 \ket{\lambda,m} = \lambda \ket{\lambda,m}, \qquad J_3 \ket{\lambda,m} = m \ket{\lambda,m}$$

with normalization

$$\left\langle \lambda', m' \left| \lambda, m \right\rangle = \delta_{\lambda\lambda'} \delta_{mm'} \tag{4}$$

Note that from Eq(3) we see that m, the eigenvalue of  $J_3$  is bounded by

$$m^2 \leq \lambda$$

This will make the representation matrice finite dimensional. Since  $J_{\pm} |\lambda, m\rangle$  are eigenstates of  $J_3$  with eigenvalues  $m \pm 1$ , we can write

$$J_{\pm} \left| \lambda, m \right\rangle = C_{\pm} \left( \lambda, m \right) \left| \lambda, m \pm 1 \right\rangle$$

Here  $C_{\pm}(\lambda, m)$  are constants to be determined by the normalization conditions in Eq(4). Since  $J^2 - J_3^2 \ge 0$ , we get  $\lambda - m^2 \ge 0$ , i.e. eigenvalue  $m^2$  is bounded. This means that for largest value of m, say m = j, we have

$$J_{+}|\lambda,j\rangle = 0$$

We can write  $J^2$  operator as

$$J^{2} = \frac{1}{2} \left( J_{+}J_{-} + J_{-}J_{+} + J_{3}^{2} \right) = J_{-}J_{+} + J_{3}^{2} + J_{3}$$

Applying this on the state  $|\lambda, j\rangle$ , we get

$$(\lambda - j^2 - j) |\lambda, j\rangle = 0, \qquad \Rightarrow \qquad \lambda = j (j+1)$$

Similarly, for smallest value of m, say m = j', we have

$$J_{-}|\lambda, j'\rangle = 0$$
, and  $\lambda = j'(j'-1)$ 

Combing these two cases, we get

$$j(j+1) = j'(j'-1), \Rightarrow j = -j', \text{ or } j' = j+1$$

The solution j' = j + 1 violates the assumption that j is the largest value of m. Thus we will take j = -j'. Since  $J_{-}$  decreases value of m by 1 each time it acts on the eigenstate of  $J_3$ , this implies that the maximum and minimum values of m should differ by an integer,

 $j - j' = 2j = \text{integer}, \Rightarrow j \text{ integer or half integer}$ 

We will now use the parameter j to label the state instead of  $\lambda$ , with

$$J^2 \ket{j,m} = j \left(j+1\right) \ket{j,m}$$

The coefficients  $C_{\pm}(\lambda, m)$  can be calculated as follows,

$$\begin{aligned} J_{+} \left| j, m \right\rangle &= C_{+} \left( j, m \right) \left| j, m + 1 \right\rangle, \qquad \left\langle j, m \right| J_{-} &= \left\langle j, m + 1 \right| C_{+}^{*} \left( j, m \right) \\ \\ \left\langle j, m \right| J_{-} J_{+} \left| j, m \right\rangle &= |C_{+} \left( j, m \right)|^{2} \end{aligned}$$

On the other hand,

$$\langle j, m | J_{-}J_{+} | j, m \rangle = \langle j, m | (J^{2} - J_{3}^{2} - J_{3}) | j, m \rangle = [j (j+1) - m^{2} - m] = (j-m) (j+m+1)$$

We can then take

$$C_{+}(j,m) = \sqrt{(j-m)(j+m+1)}$$

Similarly,

$$C_{-}(j,m) = \sqrt{(j+m)(j-m+1)}$$

To summarize, the states  $|j,m\rangle$ ,  $m = -j, -j + 1, \dots, j - 1, j$  form the basis of the irreducible representation characterized by j. These states have the following properties,

$$J^{2}|j,m\rangle = j(j+1)|j,m\rangle, \quad J_{3}|j,m\rangle = m|j,m\rangle$$
(5)

$$J_{+}|j,m\rangle = \sqrt{(j-m)(j+m+1)}|j,m+1\rangle, \quad J_{-}|j,m\rangle = \sqrt{(j+m)(j-m+1)}|j,m-1\rangle$$
(6)

Note that we can get the matrix elements of  $J_1, J_2$  by using the relations,

$$J_1 = \frac{1}{2} (J_+ + J_-), \qquad J_2 = \frac{1}{2i} (J_+ - J_-)$$

Thus for a given value of j, the matrices contructed for  $J_1, J_2$  and  $J_3$  will satisfy the angular momentum algebra given in Eq(1) and they are the irreps of SU(2) group.

#### Remark:

For the case of Lorentz group in 2 dimensions, the generators are,

$$L_3 = -i\left(x_1\frac{\partial}{\partial x_2} - x_2\frac{\partial}{\partial x_1}\right), \qquad K_1 = -i\left(x_0\frac{\partial}{\partial x_1} + x_1\frac{\partial}{\partial x_0}\right), \qquad K_2 = -i\left(x_0\frac{\partial}{\partial x_2} + x_2\frac{\partial}{\partial x_0}\right)$$

These are the operators which leave the quadratic form,

$$x_1^2 + x_2^2 - x_0^2$$

invariant. From these generators we get the commutation relations,

$$[L_3, K_1] = iK_2,$$
  $[L_3, K_2] = -iK_1,$   $[K_1, K_2] = -iL_3$ 

We can also define the raising and lowering operators by

$$K_+ = K_1 + iK_2, \qquad K_- = K_1 - iK_2$$

to get the commutation relations,

$$[L_3, K_{\pm}] = \mp K_{\pm}, \qquad [K_{+}, K_{-}] = 2L_3$$

We also get that

$$\left[K_1^2 + K_2^2 - L_3^2, L_3\right] = 0, \qquad \left[K_1^2 + K_2^2 - L_3^2, K_1\right] = 0, \qquad \left[K_1^2 + K_2^2 - L_3^2, K_2\right] = 0$$

The Casmir operator is now of the form  $B = K_1^2 + K_2^2 - L_3^2$ . In this case the eigenvalue of  $L_3$  is no longer bounded by that of B. The main difference between Lorentz group in 2 dimension and the 3-dimensional rotation group O(3)is that O(3) is a compact group while the Lorentz group is non-compact.

We can realize this algebra by using a boson harmonic oscillator,

$$K_{+} = \frac{1}{2\sqrt{2}}a^{\dagger}a^{\dagger}, \qquad K_{-} = \frac{1}{2\sqrt{2}}aa$$

which gives

$$[K_{+,}K_{-}] = \frac{1}{8} \left[ a^{\dagger}a^{\dagger}, aa \right] = -\frac{1}{4} \left( 1 + 2a^{\dagger}a \right) \equiv -2L_{3}$$

Or

$$L_3 = \frac{1}{8} \left( 1 + 2a^{\dagger}a \right)$$

The state with lowest  $L_3$  eigenvalue is defined by

$$a\left|0\right\rangle = 0$$

Then

$$K_{+}\left|0\right\rangle = \frac{1}{2}\left|2\right\rangle$$

and

$$(K_{+})^{n} |0\rangle = \frac{\sqrt{2n!}}{2\sqrt{2}} |2n\rangle, \qquad L_{3} |2n\rangle = \frac{(1+4n)}{4} |2n\rangle$$

This infinite tower of states  $|0\rangle$ ,  $|2\rangle$ ,  $\cdots$   $|2n\rangle$ ,  $\cdots$  generates an infinite dimensional unitary representation of the algebra.

#### **Product Representation** 4

In the physical application of SU(2) group, we need to deal with product representations. For example, if we have 2 spin 1/2 particles, we want to know the total spin J of the product of the two wavefunctions. In this simple case, the answer is J = 0, or 1. We now want to study this problem in terms of group theory. Denote the spin-up and spin-down states of the first particle by  $r_1$  and  $r_2$ , and for the second particles,  $s_1$  and  $s_2$ . Under SU(2) matrices, they transform according to

$$r'_{i} = U\left(\overrightarrow{\epsilon}\right)_{ij}r_{j}, \qquad s'_{k} = U\left(\overrightarrow{\epsilon}\right)_{kl}s_{l}$$

where

$$U\left(\vec{\epsilon}\right) = \exp\left(i\vec{\epsilon}\cdot\vec{J}\right), \quad \text{and} \quad \vec{J} = \frac{\vec{\sigma}}{2}$$

Then the product will transform as

$$(r'_{i}s'_{k}) = U\left(\overrightarrow{\epsilon}\right)_{ij} U\left(\overrightarrow{\epsilon}\right)_{kl} (r_{j}s_{l}) = D\left(\overrightarrow{\epsilon}\right)_{ik,jl} (r_{j}s_{l})$$

where

$$D\left(\overrightarrow{\epsilon}\right)_{ik,jl} = U\left(\overrightarrow{\epsilon}\right)_{ij} U\left(\overrightarrow{\epsilon}\right)_{kl}$$

Generally,  $D(\vec{\epsilon})$  is reducible. To see what irreducible representation it decomposes into, it is easier to work with the generators directly by taking  $\varepsilon_i \ll 1$ ,

$$r_{i}^{\prime} \simeq \left(1 + i\vec{\epsilon} \cdot \vec{J}\right)_{ij} r_{j} = \left(1 + i\vec{\epsilon} \cdot \vec{J}^{(1)}\right)_{ij} r_{j}$$
$$s_{k}^{\prime} \simeq \left(1 + i\vec{\epsilon} \cdot \vec{J}\right)_{kl} s_{l} = \left(1 + i\vec{\epsilon} \cdot \vec{J}^{(2)}\right)_{kl} s_{l}$$

where  $\overrightarrow{J}^{(1)}$  is defined to operate on  $r_i$  and does not affect  $s_k$ ; while  $\overrightarrow{J}^{(2)}$  operates on  $s_i$  and does not affect  $r_k$ . Define the total angular mometum operator as

$$\vec{J} = \vec{J}^{(1)} + \vec{J}^{(2)}$$

We now change to more familiar notation. Let  $\alpha_i$  denote the spin-up state of the *i*th particle and  $\beta_i$  the spin-down state of the *i*th particle. There are four combinations of two-particle states :  $\alpha_1 \alpha_2, \alpha_1 \beta_2, \beta_1 \alpha_2, \beta_1 \beta_2$ . We start with state with largest value of  $J_3$ ,

$$J_3 |\alpha_1 \alpha_2\rangle = J_3^{(1)} |\alpha_1 \alpha_2\rangle + J_3^{(2)} |\alpha_1 \alpha_2\rangle = |\alpha_1 \alpha_2\rangle$$

To find the total angular momentum, we write

$$\left(\vec{J}\right)^2 = \left(\vec{J}^{(1)}\right)^2 + \left(\vec{J}^{(2)}\right)^2 + \left[\left(J^{(1)}_+ J^{(2)}_- + J^{(1)}_- J^{(2)}_+\right) + 2J^{(1)}_3 J^{(2)}_3\right]$$

and find that

$$\left(\vec{J}\right)^2 \left|\alpha_1 \alpha_2\right\rangle = 2 \left|\alpha_1 \alpha_2\right\rangle$$

This means the state  $|\alpha_1 \alpha_2\rangle$  has J = 1 and  $J_3 = 1$ ,

$$1,1\rangle = |\alpha_1\alpha_2\rangle \tag{7}$$

We can use the lowering operator  $J_{-} = J_{-}^{(1)} + J_{-}^{(2)}$ , to reach all other states in the J = 1 irrep,

$$J_{-} |1,1\rangle = J_{-} |\alpha_{1}\alpha_{2}\rangle = \left(J_{-}^{(1)} + J_{-}^{(2)}\right) |\alpha_{1}\alpha_{2}\rangle = |\alpha_{1}\beta_{2}\rangle + |\beta_{1}\alpha_{2}\rangle$$

On the other hand, from Eq(6) we get,

$$J_{-}|1,1\rangle = \sqrt{2}|1,0\rangle$$

Then

$$|1,0\rangle = \frac{1}{\sqrt{2}} \left( |\alpha_1\beta_2\rangle + |\beta_1\alpha_2\rangle \right) \tag{8}$$

Obviously,

$$|1,-1\rangle = |\beta_1\beta_2\rangle$$

The remaining independent state with J = 0 can be obtained by orthogonality with respect to the state  $|1,0\rangle$  given in Eq(8),

$$|0,0\rangle = \frac{1}{\sqrt{2}} \left( |\alpha_1\beta_2\rangle - |\beta_1\alpha_2\rangle \right)$$

More generally, the product representations,  $|j_1, m_1\rangle \times |j_2, m_2\rangle$  can be combined into eigenstates  $|J, M\rangle$  of total angular momentum,  $\overrightarrow{J} = \overrightarrow{J}^{(1)} + \overrightarrow{J}^{(2)}$ ,

$$|J,M\rangle = \sum_{m_1,m_2} |j_1,m_1\rangle |j_2,m_2\rangle \langle j_1,m_1,j_2,m_2|JM\rangle$$

The coefficients  $\langle j_1, m_1, j_2, m_2 | J, M \rangle$  are called **Clebsch-Gordon coefficients**. Thus for the above case (Eqs(7,8))

$$\left\langle \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2} | 1, 1 \right\rangle = 1, \qquad \left\langle \frac{1}{2}, -\frac{1}{2}, \frac{1}{2}, -\frac{1}{2} | 1, 0 \right\rangle = \frac{1}{\sqrt{2}}, \quad etc$$

Note that the  $J_3$  quantum number is additive,

$$M = m_1 + m_2$$

The procedure of working out the irrep of the product representations can be summarized as follows.

- 1. Start with the combination of states with largest  $J_3$ . Clearly this is also an eigenstate with largest total J.
- 2. Use the lowering operator  $J_{-} = J_{-}^{(1)} + J_{-}^{(2)}$  to get all the other states in the same irrep.
- 3. Find the orthogonal combination to  $|J_m, J_m 1\rangle$  where  $J_m$  is the maximum value of J in the product. This orthogonal state should be  $|J_m 1, J_m 1\rangle$ . Then use the lowering operator to reach other  $J = J_m 1$  states.
- 4. Repeat these steps until  $J = |j_1 j_2|$ .

### 5 SU(3) Algebra

Physical example of this group is the eightfold way of mesons and baryons. Moreover this forms the basis for the quark model in particle physics. Denote the group parameters by  $\alpha_i$ , i = 1, 2, ..., 8 and write the group elements as

 $U(\alpha_i) = \exp(i\alpha_i\lambda_i), \qquad \lambda_i: \text{ hermitian traceless } 3 \times 3 \text{ matrices}$ 

The standard form of  $\lambda_i$  are those first given by Gell-Mann,

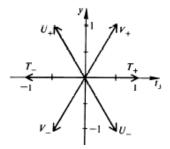
$$\lambda_{1} = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \lambda_{2} = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \lambda_{3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix},$$
$$\lambda_{4} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \quad \lambda_{5} = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}, \quad \lambda_{6} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \quad \lambda_{7} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix},$$
$$\lambda_{8} = \sqrt{\frac{1}{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix},$$

Note that these matrices are normalized as

$$Tr\left(\lambda_i\lambda_j\right) = 2\delta_{ij}$$

The commutators for these matrices, the Lie algebrs, are of the form,

$$\left[\frac{\lambda_i}{2}, \frac{\lambda_j}{2}\right] = i f_{ijk} \left(\frac{\lambda_k}{2}\right),$$



where  $f_{ijk}$  are the totally anti-symmetric structure constants and those which are non-zero are given by,

$$f_{123} = 1$$
,  $f_{147} = -f_{156} = f_{246} = f_{257} = f_{345} = -f_{367} = \frac{1}{2}$ ,  $f_{458} = f_{678} = \frac{\sqrt{3}}{2}$ 

Thus the generators  $F_i$  of SU(3) satisfy the same commutators,

$$[F_i, F_j] = i f_{ijk} F_k$$

From the fact that  $\lambda_3$  and  $\lambda_8$  are diagonal, we have

$$[\lambda_3, \lambda_8] = 0$$

which implies that

 $[F_3, F_8] = 0$ 

Hence,  $F_3$  and  $F_8$  can be diagonalized simultaneously and their eigenvalues, up to some scaling factor, will be used to label states in the representation. The largest number of mutually commuting generators in the algebra is called the **rank of the algebra**. Thus SU(3) is a rank 2 group while SU(2) is a rank 1 group. This is also the number of eigenvalues needed to label the states in a given irreps.

#### **6** Representation of SU(3)

Define the raising and lowering operators by

$$T_{\pm} = F_1 \pm iF_2, \quad U_{\pm} = F_6 \pm iF_7, \quad V_{\pm} = F_4 \pm iF_5$$

If we write  $T_3 = F_3$ ,  $Y = \frac{2}{\sqrt{3}}F_8$ , where  $T_3$  is the usual isospin and Y is the hypercharge, the commutation relations become

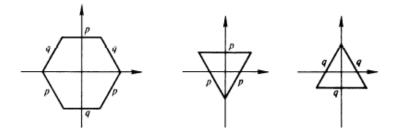
$$\begin{split} [T_3,T_{\pm}] &= \pm T_{\pm} & [Y,T_{\pm}] = 0 & [T_+,T_-] = 2T_3 \\ [T_3,U_{\pm}] &= \mp \frac{1}{2}U \pm & [Y,U_{\pm}] = \pm U_{\pm} & [U_+,U_-] = \frac{3}{2}Y - T_3 \equiv 2U_3 \\ [T_3,V_{\pm}] &= \pm \frac{1}{2}V \pm & [Y,V_{\pm}] = \pm V_{\pm} & [V_+,V_-] = \frac{3}{2}Y + T_3 \equiv 2V_3 \\ [T_+,V_+] &= 0 & [T_+,U_-] = 0 & [U_+,V_+] = 0 \\ [T_+,V_-] &= -U_- & [T_+,U_+] = V_+ & [U_+,V_-] = T_- \end{split}$$

Clearly, these raising and lowering operators move the states on the plane labeled by  $(T_3, Y)$ ,

 $\begin{array}{ll} T_+ & \text{raises } T_3 \text{ by 1 unit and leaves } y \text{ unchanged;} \\ U_+ & \text{raises } T_3 \text{ by } \frac{1}{2} \text{ unit and raises } y \text{ by 1 unit;} \\ V_+ & \text{raises } T_3 \text{ by } \frac{1}{2} \text{unit and raises } y \text{ by 1 unit; } \text{etc} \cdots \end{array}$ 

If the units of  $T_3$  and Y are appropriately scaled in the graph, these raising and lowering operators connect points along lines that are multiple of  $60^0$  with repsect to each other.

Each irrep of SU(3) is characterized by a set of two integers (p,q). Graphically it shows up as a figure with a hexagonal boundary on the  $T_3 - Y$  plane: three sides having p units of length and other sides having q units: the hexagon collapses into an equilateral triangle when either p or q vanishes. The boundary is symmetric under reflection about Y-axis. We recall that an SU(2) irreps is characterized by one integer j; graphically it is a straight line of 2j length. There are 2j + 1 sites, each of them singly occupied by one state. For the SU(3) representation



(p,q) the multiplicity of states on each site in the  $T_3 - Y$  plane form the following pattern : the sites in the boundary are singly occupied, on the next layer they are doubly occupied, on the third layer they are triply occupied, etc., until a triangular layer is reached beyond which the multiplicity ceases to increase and remians to be q + 1 for p > q (p + 1 for q > p).

To get all the states within a given irrep, start with state with largest value of  $T_3$ ,  $\psi_{\text{max}}$ , which can be characterized as

$$T_+\psi_{\max} = V_+\psi_{\max} = U_-\psi_{\max} = 0$$

Repeatly apply  $V_{-}$  on  $\psi_{\text{max}}$ , it has to vanish at some point, say after p+1 steps,

$$\left(V_{-}\right)^{p+1}\psi_{\max} = 0$$

At the step  $(V_{-})^{p} \psi_{\max}$ , we can apply  $T_{-}$  until it reach another corner,

$$(T_{-})^{q+1} (V_{-})^{p} \psi_{\max} = 0$$

Then we use  $U_+, V_+, T_+, U_-$  until it comes back  $\psi_{\text{max}}$ . This completes the outer layer of the representation. For the next layer we can start with state  $\psi'_{\text{max}}$  which has same properties as  $\psi_{\text{max}}, i.e.$ 

$$T_+\psi'_{\max} = V_+\psi'_{\max} = U_-\psi'_{\max} = 0$$

but with  $T_3$  quantum number one unit less than  $\psi_{\rm max}.$  Repeat the procedures as described above. Remarks :

1. The boundary is always convex.

This can be seen as follows. If there is a state at site C as shown in the figure, we can write

$$U_+\psi_C = \lambda\psi_A, \qquad \lambda \text{ some constant and } \psi_A = V_-\psi_B$$

Then

$$\lambda(\psi_A, \psi_A) = (V_-\psi_B, U_+\psi_C) = (\psi_B, V_+U_+\psi_C) = (\psi_B, U_+V_+\psi_C) = 0$$

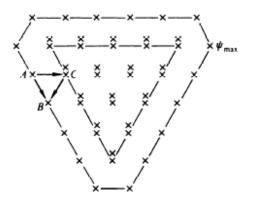
This implies  $\lambda = 0$  and there is no state at site C.

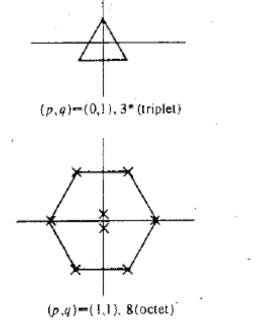
- 2. The boundary will in general be a six-sided figure and is symmetric under rotation of  $120^{0}$ . The boundary is also symmetric with repect to reflection in Y axis.
- 3. There is one state at each site on the boundary, 2 states at each site on the next layer, 3 states at each site on the next layer, etc, until a triangluar layer is reached, beyond which the multiplicity ceases to increase. Denote the representation by the integers (p, q) Then the number of states in the inner most triangle is

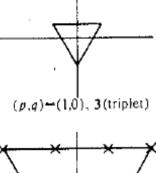
$$\sum_{l=1}^{p-q+1} l = \frac{1}{2} \left( p - q + 1 \right) \left( p - q + 2 \right)$$

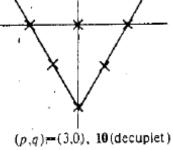
and the multiplicity for each state in the triangle is (q + 1). The number of states in the next layer is 3(p - q + 2) with multiplicity q. Contining the counting of states in this fashsion, we can get the dimensionality of the irrep as

$$d(p,q) = \frac{1}{2} (q+1) (p-q+1) (p+q+2) + 3 \sum_{\nu=0}^{q} (q-\nu) (p-q+2\nu+2)$$
$$= \frac{1}{2} (p+1) (q+1) (p+q+2)$$









4. In general, there will be several states for a given value of  $(T_3, Y)$ . The states on the Y = constant line form isospin multiplet. For example, there are p+1 states on the top line and the isospin of these states is  $T = \frac{p}{2}$ . Similarly the next line will have isospin  $T = \frac{1}{2}(p-1)$ , etc. It is not hard to see that  $(T_3)_{\text{max}} = \frac{1}{2}(p+q)$