

Neutrino Flavor Physics and Astronomy

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K.-C. Lai, G.-L. Lin and T. C. Liu,

Phys. Rev. D 82, 103003 (2010)

Prog.Part. Nucl. Phys. 64, 420 (2010)

Phys. Rev. D 80, 103005 (2009)

T. C. Liu, M. A. Huang and G.-L. Lin, [arXiv:1005.5154](https://arxiv.org/abs/1005.5154)

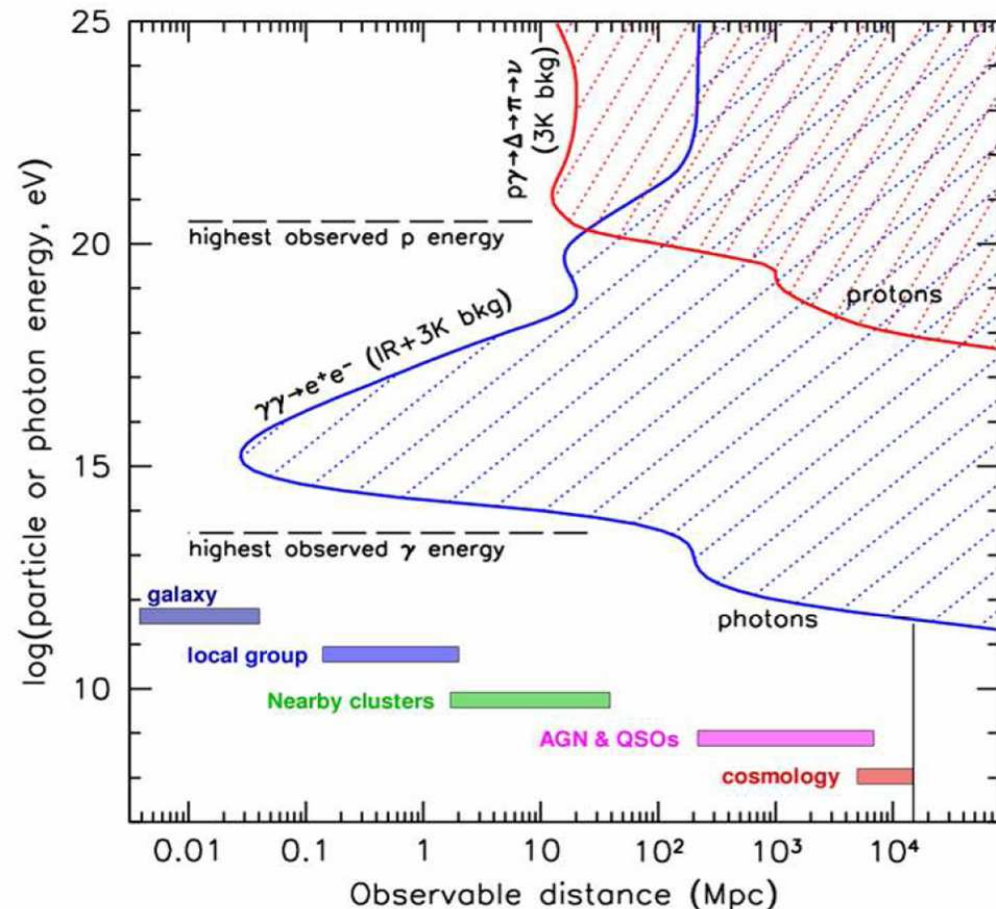
Outline

- Review on possible types of astrophysical neutrino sources
- What can we learn by detecting these neutrinos?
 - (1) the astrophysical source
 - (2) the neutrino flavor transitions
- The requirements on neutrino telescopes

The motivation for detecting astrophysical neutrinos

- Both neutrinos and photons are produced by high energy hadronic collisions—likely to in AGN, GRB,....
- The universe becomes opaque for any photon with an energy $>10^{14}$ eV
- On the other hand, a neutrino propagates freely due to its weak-interacting nature—a complementary astrophysical probe

P. Allison et al., arXiv:0904.1309.



Fluxes of astrophysical neutrinos

Atm:

$P A \rightarrow \pi, K$

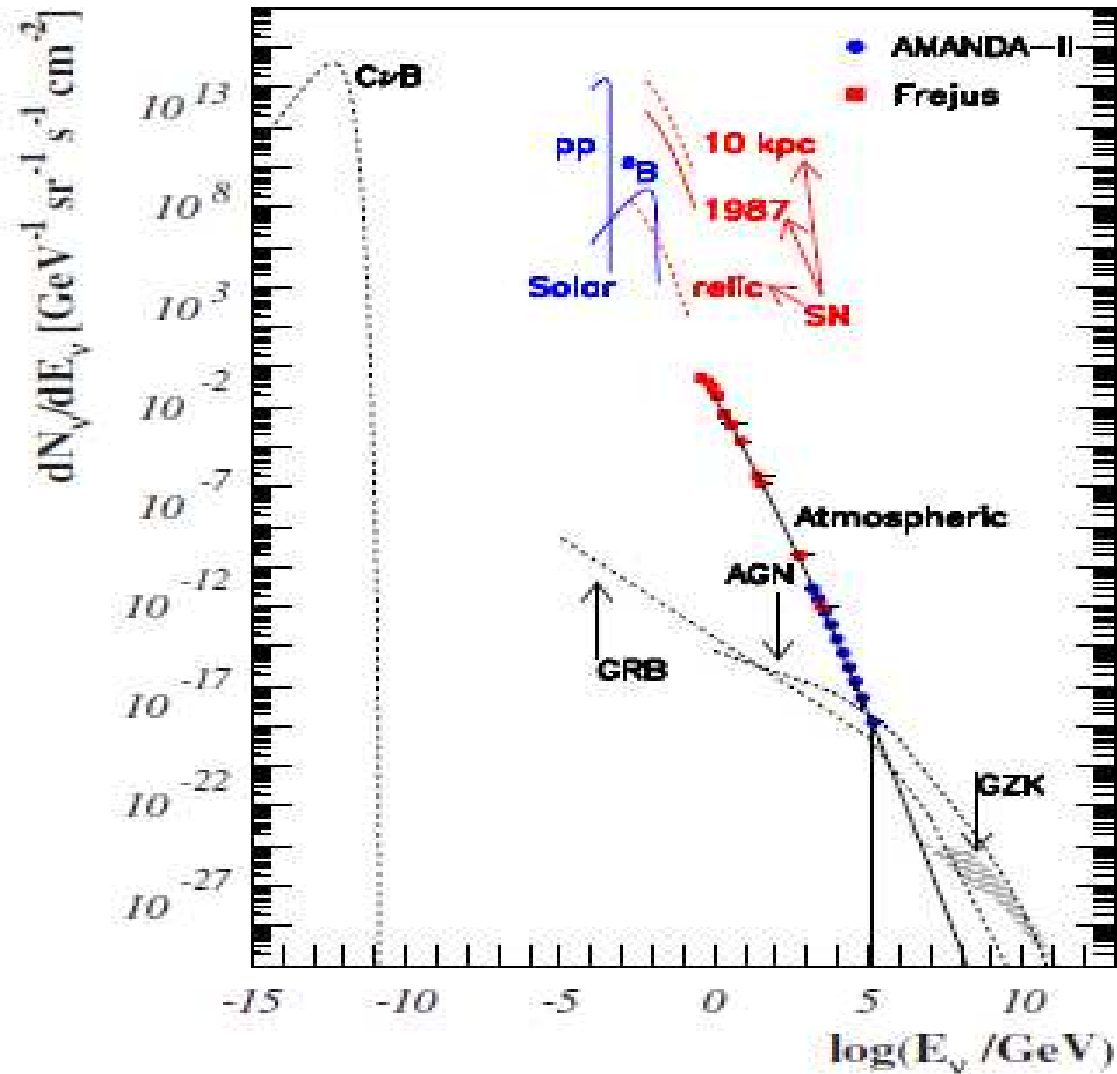
GRB, AGN

$P \gamma \rightarrow \Delta \rightarrow n \pi$

GZK

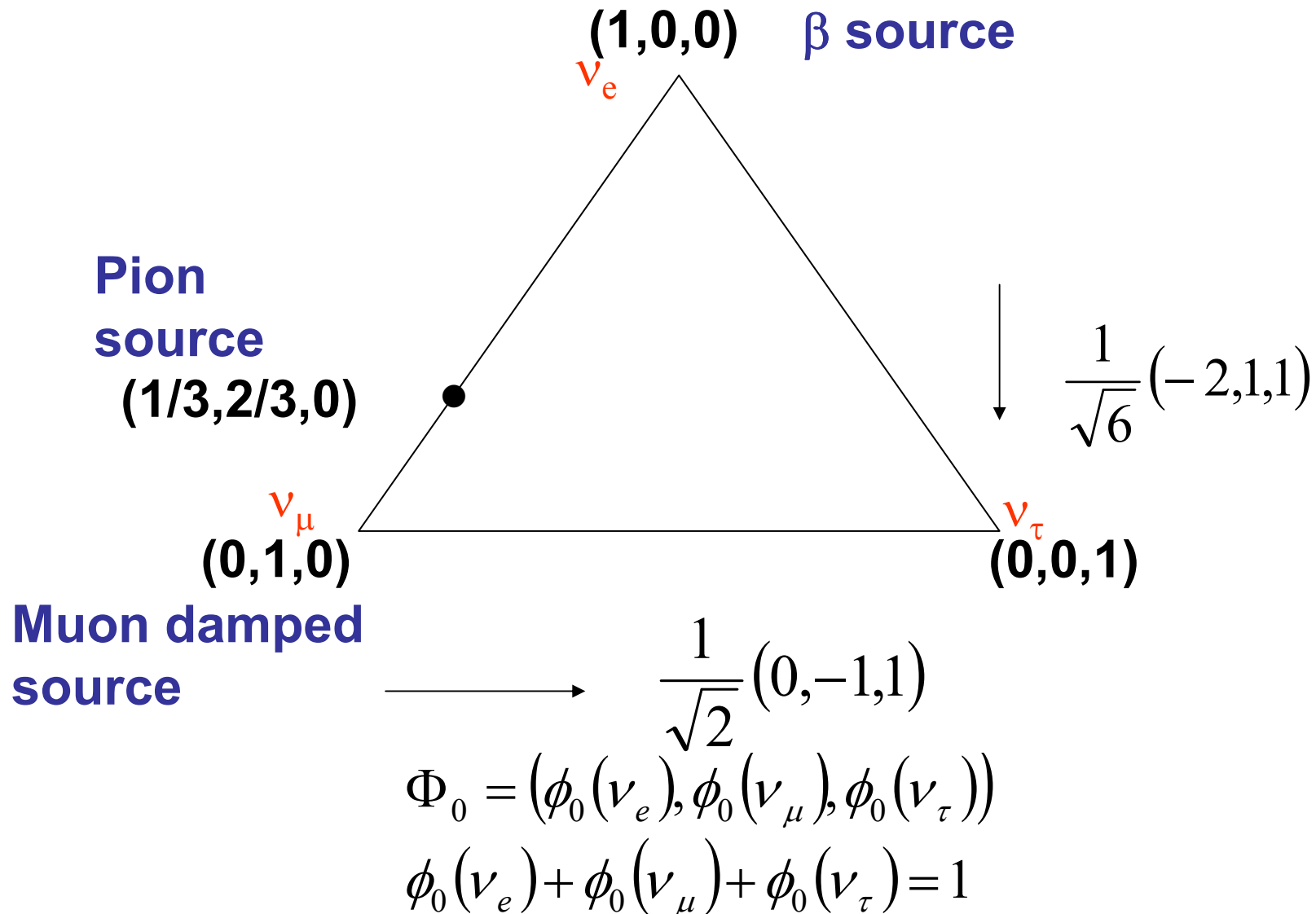
$P \gamma_{\text{CMB}} \rightarrow \Delta \rightarrow n \pi$

Neutrino arises from
 π, K decays



F. Halzen and S. R. Klein, 2010

Common astrophysical neutrino sources



Pion source (1/3,2/3,0)

$$\pi^+ \rightarrow \mu^+ + \nu_\mu$$

$$\mu^+ \rightarrow \bar{\nu}_\mu + e^+ + \nu_e$$

Energies of various neutrinos are comparable, i.e., muon decays before losing its energy by interactions.

Cosmogenic (GZK) neutrinos produced by $p + \gamma_{CMB} \rightarrow \Delta^+ \rightarrow n + \pi^+$ and the subsequent pion decay fit into this category.

Muon damped source (0,1,0)

$$\pi^+ \rightarrow \mu^+ + \nu_\mu$$

$$\mu^+ \rightarrow \bar{\nu}_\mu + e^+ + \nu_e$$

Muon loses significant amount of energy before it decays:

(1) muon interacts with matter

J. P. Rachen and P. Meszaros, 1998

(2) Muon interacts with background photon field

**M. Kacherliess, O. Ostapchenko and R. Tomas,
arXiv: 0708.3007**

Neutrino flux from muon decays is negligible

See more detailed studies in

T. Kashti and E. Waxman *Phys. Rev. Lett.* 2005

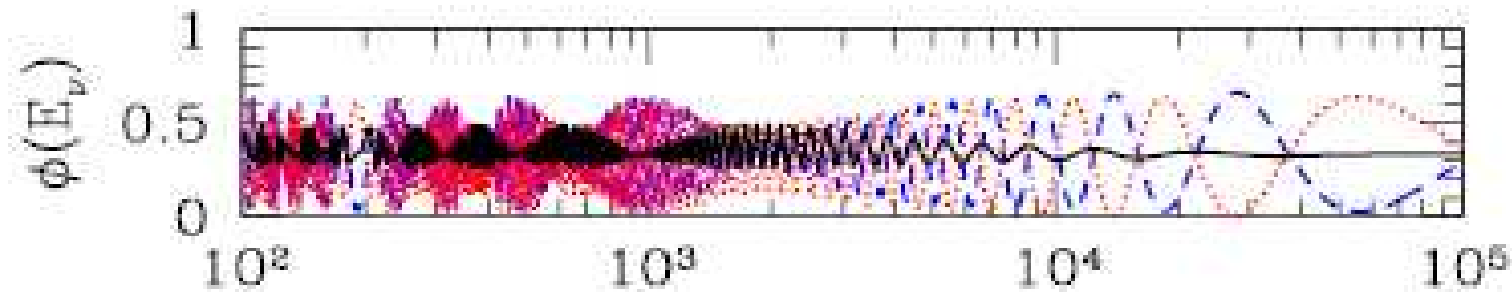
P. Lipari, M. Lusignoli and D. Meloni, *Phys. Rev. D* 2007

Source with a significant tau neutrino flux

Optically thick sources: highly relativistic GRB jets

Neutrinos already oscillate inside the object.

O. Mena, I. Mocioiu and S. Razzaque, 2006



— ν_e
- - - ν_μ
... ν_τ

$$\phi_0(\nu_e) : \phi_0(\nu_\mu) : \phi_0(\nu_\tau) = \frac{1}{3} : a : \left(\frac{2}{3} - a \right)$$

for $E_\nu > 10^4$ GeV

The β source (1,0,0)

Motivated by the correlation of the arrival direction of the cosmic rays to the Galactic Plane (GP) near EeV (10^{18} eV) energies

AGASA 1998; Fly's Eye 1998

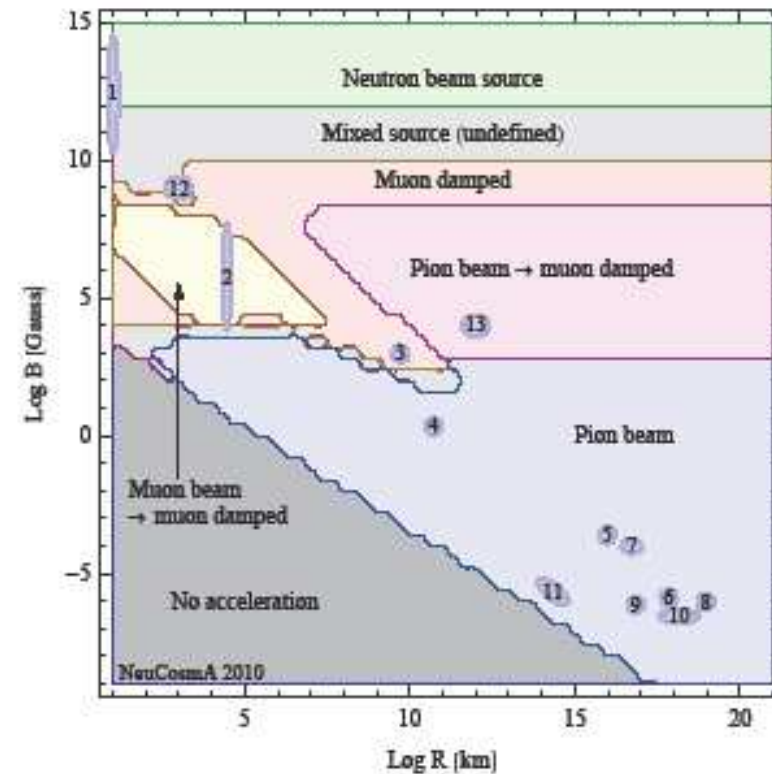
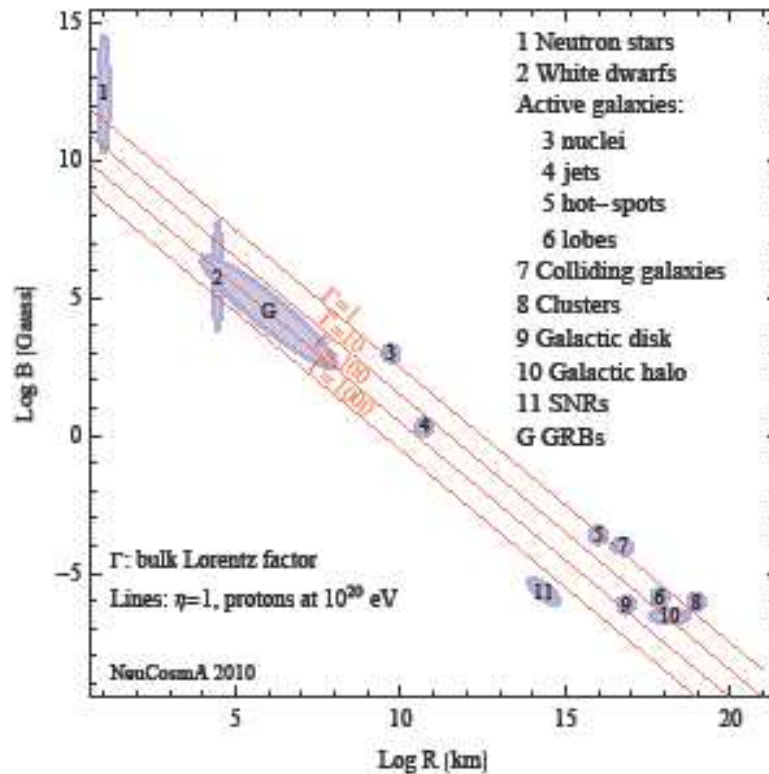
Directional signal requires relatively-stable neutral primaries.

Neutron decay length is about 10 kpc for $E_n=1$ EeV.
Smaller energy neutrons can decay $n \rightarrow p + e^- + \bar{\nu}_e$

L. A. Anchordoqui, H. Goldberg, F. Halzen
and T. J. Weiler, 2004

Scanning sources on [Hillas plot](#)

$$\phi(E_p) \propto E_p^{-2}$$



S. Hummer, M. Maltoni, W. Winter, and C. Yaguna, *Astropart. Phys.* 34, 205 (2010).

Detectors of High Energy Astrophysical Neutrinos

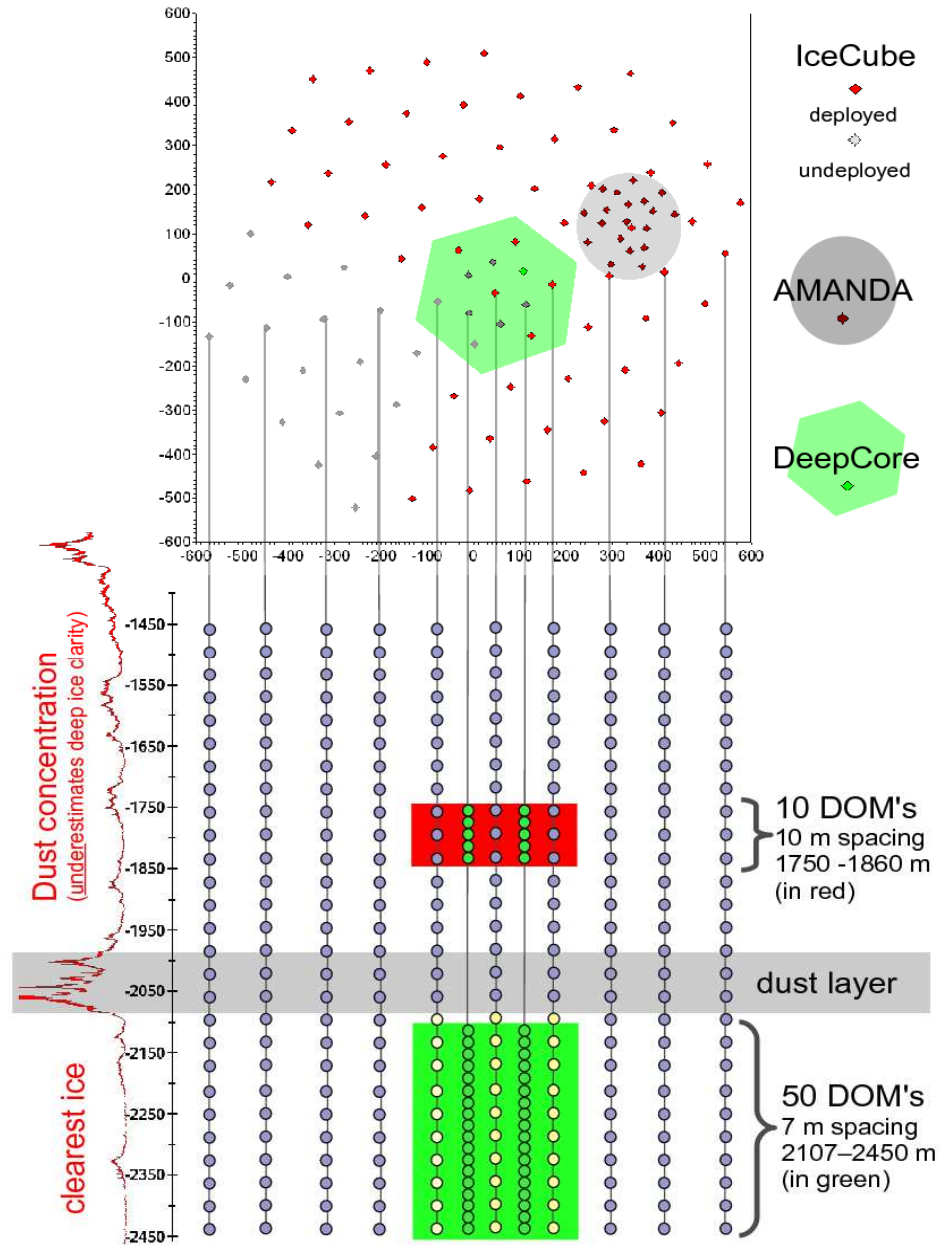
- IceCube—PMT array in South Pole ice
- ANTARES→KM3Net—PMT array in the Mediterranean
- ANITA—radio wave detector above South Pole
- Pierre Auger—earth skimming tau neutrinos
- ARA—radio extension of IceCube

IceCube layout

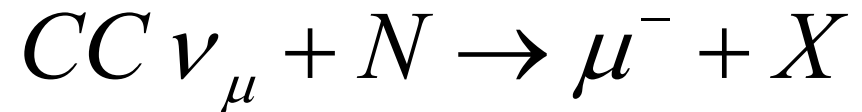
Top view

Side view

C. Wiebusch, ICRC 2009



The track signature



Muon deposits energies as it passes through the detector volume.

The shower signature

- $CC \nu_e + N \rightarrow e^- + X$ EM + Hadronic
 $NC \nu_e + N \rightarrow \nu_e + X$ Hadronic
- $NC \nu_\mu + N \rightarrow \nu_\mu + X$, suppressed by $\langle y \rangle^{(\gamma-1)} \times \sigma_{NC}/\sigma_{CC}$
- ♦ $CC \nu_\tau + N \rightarrow \tau^- + X$, $\tau^- \rightarrow$ hadrons (double bang)
 $NC \nu_\tau + N \rightarrow \nu_\tau + X$

$$2 \times 10^6 \text{ GeV} < E_\tau < 2 \times 10^7 \text{ GeV}$$

ν_μ fraction can be extracted. Rather difficult to identify ν_τ due to the detector size (IceCube for example).

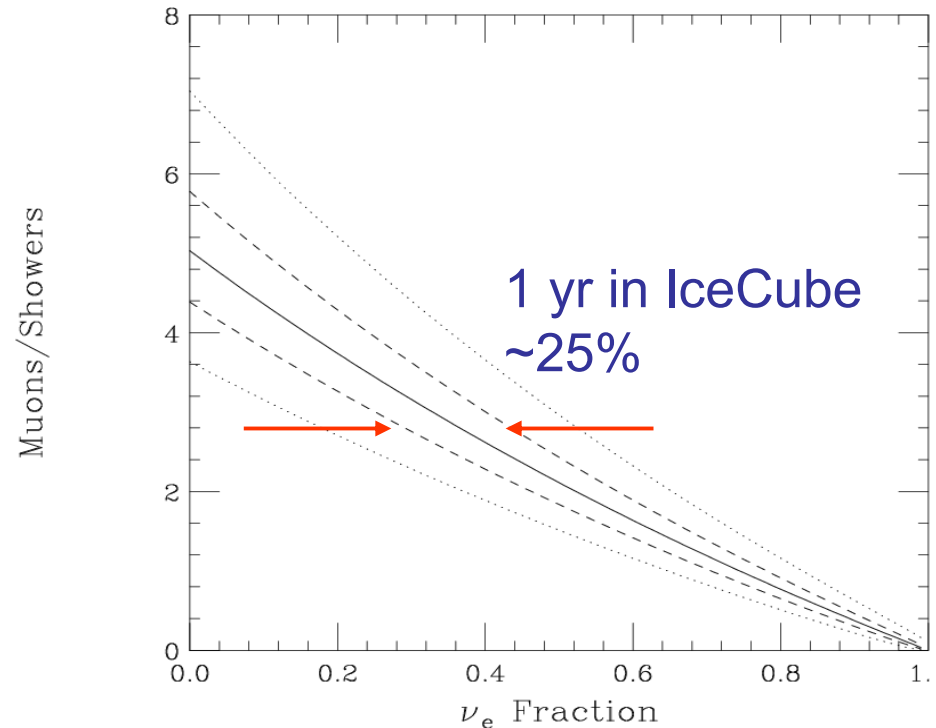
Accuracy for flavor ratio determination

J. F. Beacom *et al.*
Phys. Rev. D 2003, arXiv:
hep-ph/0307027v3

- Assume ν_μ -- ν_τ symmetry
- Muon energy threshold at 100 GeV, shower energy threshold energy at 1 TeV.
- Flux analyzed:

$$E_{\nu_e}^2 \frac{dN_{\nu_e}}{dE_{\nu_e}} = 0.5 E_{\nu_\mu}^2 \frac{dN_{\nu_\mu}}{dE_{\nu_\mu}} = 10^{-7} \text{ GeV cm}^{-2} \text{ s}^{-1}$$

~ Waxman-Bahcall bound
Waxman and Bahcall 1998



Can be translated to ~10% accuracy in separating ν_μ from ν_e and ν_τ in a decade of data taking in IceCube

What can we learn by detecting astrophysical neutrinos?

(I). The neutrino flavor ratio at the source might be probed.

Earlier discussions on this issue:

G. Barenboim and C. Quigg, Phys. Rev. D 2003;
Z. Z. Xing and S. Zhou, Phys. Rev. D 2006

Our analysis takes into account errors in neutrino telescope measurements--

K. C. Lai, GLL, T.C. Liu, Phys. Rev. D, 2009.

See also

A. Esmaili and Y. Farzan, Nucl. Phys. B, 2009.

Flavor transitions for a large neutrino propagation distance

$$\begin{pmatrix} \phi(\nu_e) \\ \phi(\nu_\mu) \\ \phi(\nu_\tau) \end{pmatrix} = \begin{pmatrix} P_{ee} & P_{e\mu} & P_{e\tau} \\ P_{\mu e} & P_{\mu\mu} & P_{\mu\tau} \\ P_{\tau e} & P_{\tau\mu} & P_{\tau\tau} \end{pmatrix} \begin{pmatrix} \phi_0(\nu_e) \\ \phi_0(\nu_\mu) \\ \phi_0(\nu_\tau) \end{pmatrix}$$

Standard neutrino oscillations

Measured flux Φ

Source flux Φ_0

$$P_{\alpha\beta} \equiv P(\nu_\beta \rightarrow \nu_\alpha) = \sum_{i=1}^3 |U_{\beta i}|^2 |U_{\alpha i}|^2, \text{ where } \nu_\alpha = U_{\alpha i}^* \nu_i$$

Flavor Eigenstate

Mass Eigenstate

$U_{\alpha i}$ contains 3 mixing angles-- θ_{12} , θ_{23} , and θ_{13}
one CP phase δ

The exact form of oscillation probability matrix

$$\begin{aligned}
 P_{ee} &= \left(1 - \frac{1}{2}\omega\right) (1 - D^2)^2 + D^4, \\
 P_{e\mu} &= \frac{1}{4}(1 - D^2) \left[\omega(1 + \Delta) + (4 - \omega)(1 - \Delta)D^2 + 2\sqrt{\omega(1 - \omega)(1 - \Delta^2)}D \cos \delta \right], \\
 P_{e\tau} &= \frac{1}{4}(1 - D^2) \left[\omega(1 - \Delta) + (4 - \omega)(1 + \Delta)D^2 - 2\sqrt{\omega(1 - \omega)(1 - \Delta^2)}D \cos \delta \right], \\
 P_{\mu\mu} &= \frac{1}{2} \left[(1 + \Delta^2) - (1 - \Delta)^2 D^2 (1 - D^2) \right] \\
 &\quad - \frac{1}{8}\omega \left[(1 + \Delta)^2 + (1 - \Delta)^2 D^4 - (1 - \Delta^2)D^2(2 + 4 \cos^2 \delta) \right] \\
 &\quad - \frac{1}{2}\sqrt{\omega(1 - \omega)(1 - \Delta^2)} \left[(1 + \Delta) - (1 - \Delta)D^2 \right] D \cos \delta, \\
 P_{\mu\tau} &= \frac{1}{2}(1 - \Delta^2)(1 - D^2 + D^4) \\
 &\quad - \frac{1}{8}\omega \left[(1 - \Delta^2)(1 + 4D^2 \cos^2 \delta + D^4) - 2(1 + \Delta^2)D^2 \right] \\
 &\quad + \frac{1}{2}\sqrt{\omega(1 - \omega)(1 - \Delta^2)}\Delta(1 + D^2)D \cos \delta, \\
 P_{\tau\tau} &= \frac{1}{2} \left[(1 + \Delta^2) - (1 + \Delta)^2 D^2 (1 - D^2) \right] \\
 &\quad - \frac{1}{8}\omega \left[(1 - \Delta)^2 + (1 + \Delta)^2 D^4 - (1 - \Delta^2)D^2(2 + 4 \cos^2 \delta) \right] \\
 &\quad + \frac{1}{2}\sqrt{\omega(1 - \omega)(1 - \Delta^2)} \left[(1 - \Delta) - (1 + \Delta)D^2 \right] D \cos \delta,
 \end{aligned} \tag{A1}$$

$\omega \equiv \sin^2 2\theta_{12}, \Delta \equiv \cos 2\theta_{23}, D \equiv \sin \theta_{13}$
 δ CP phase

Our understandings of neutrino mixing parameters

$$\sin^2 \theta_{12} = 0.32^{+0.02}_{-0.02},$$

$$\sin^2 \theta_{23} = 0.45^{+0.09}_{-0.06},$$

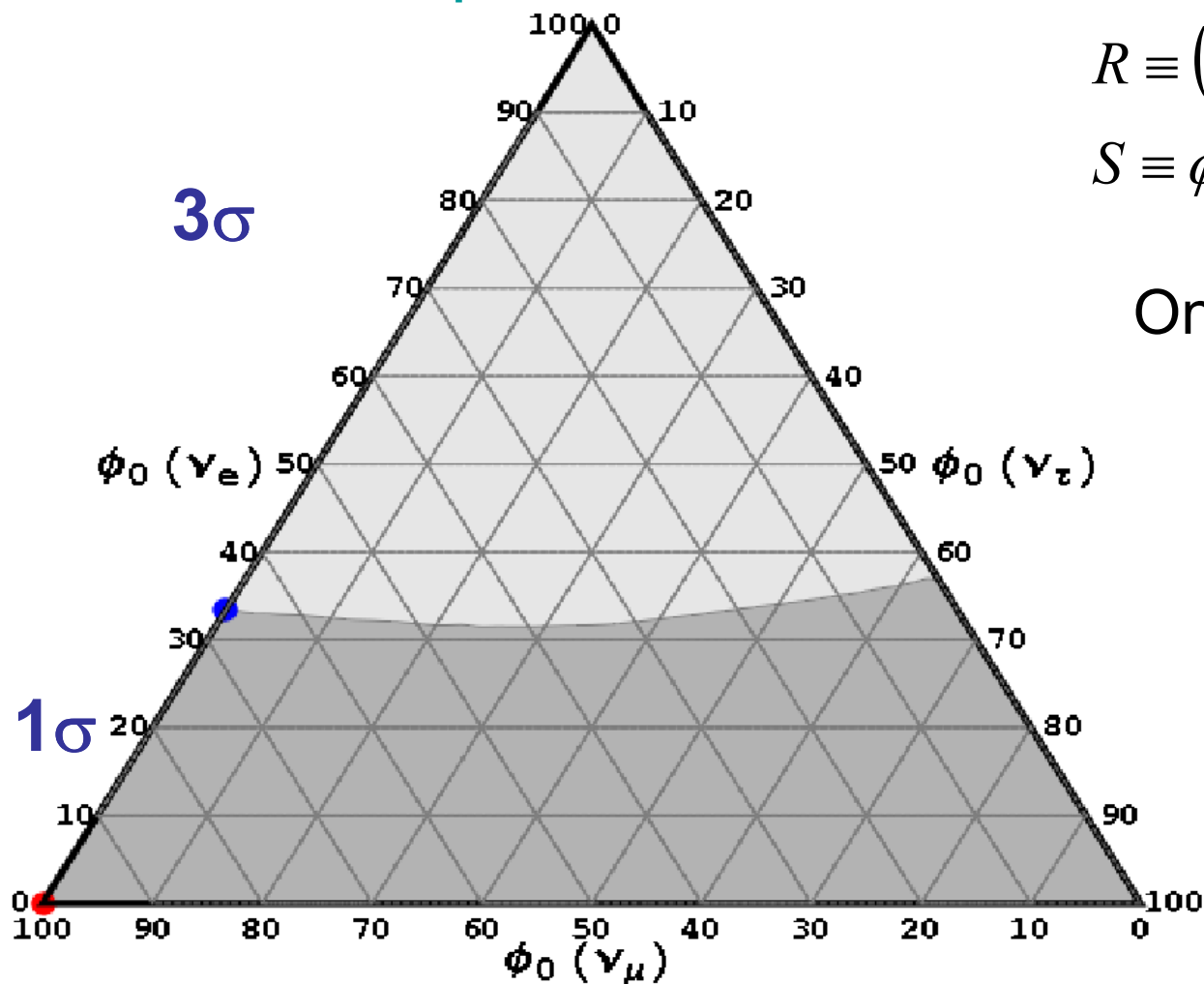
M.C. Gonzalez-Garcia and
M. Maltoni, Phys. Rept. 2008

$$\sin^2 \theta_{13} < 0.019 \text{ (90\% C.L.)}$$

Δm^2 not required in this analysis

Results for the Reconstruction of Source Flavor Ratio

Muon-damped source as the input



$$R \equiv (\phi(\nu_\mu)) / (\phi(\nu_e) + \phi(\nu_\tau))$$

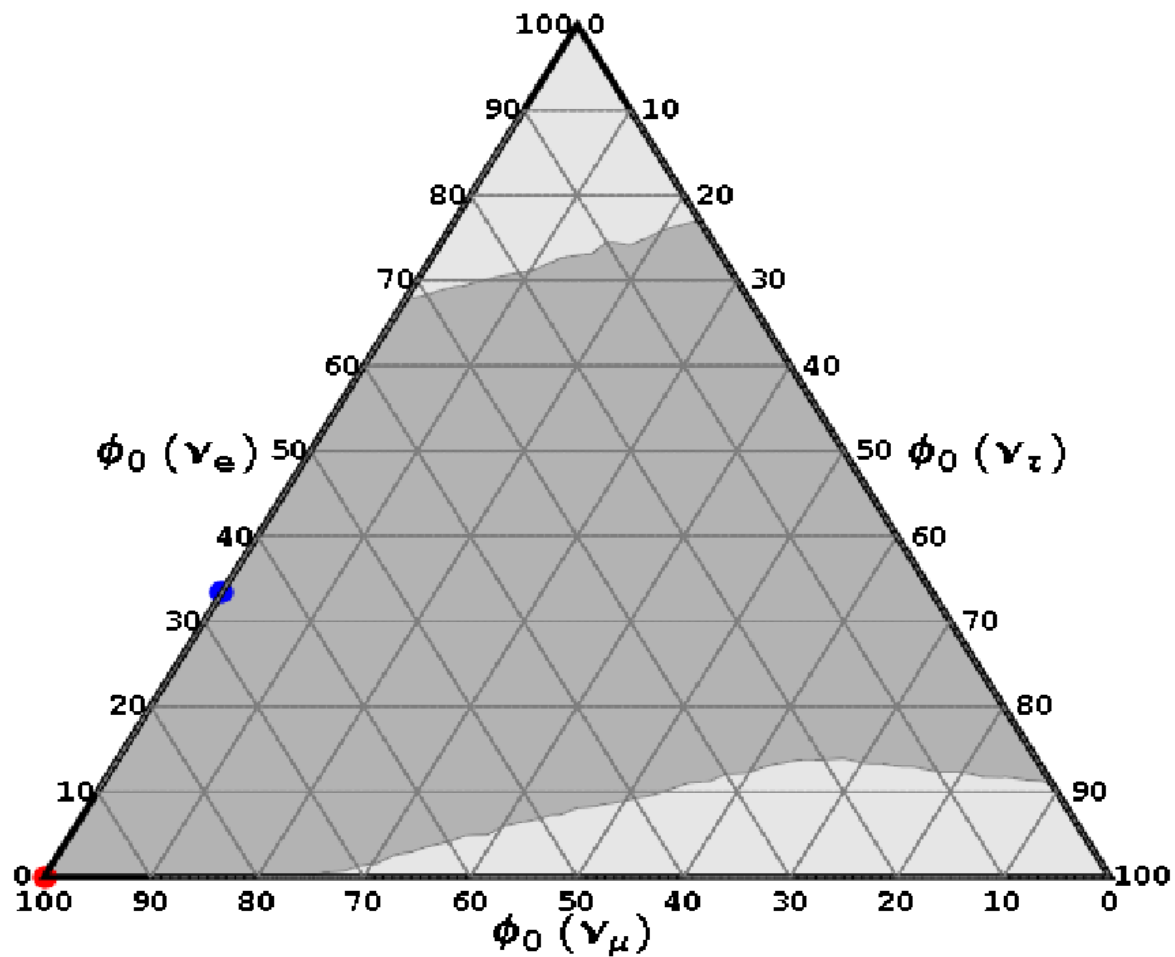
$$S \equiv \phi(\nu_e) / \phi(\nu_\tau)$$

Only R is measured

$$\Delta R / R = 10\%$$

Degeneracy

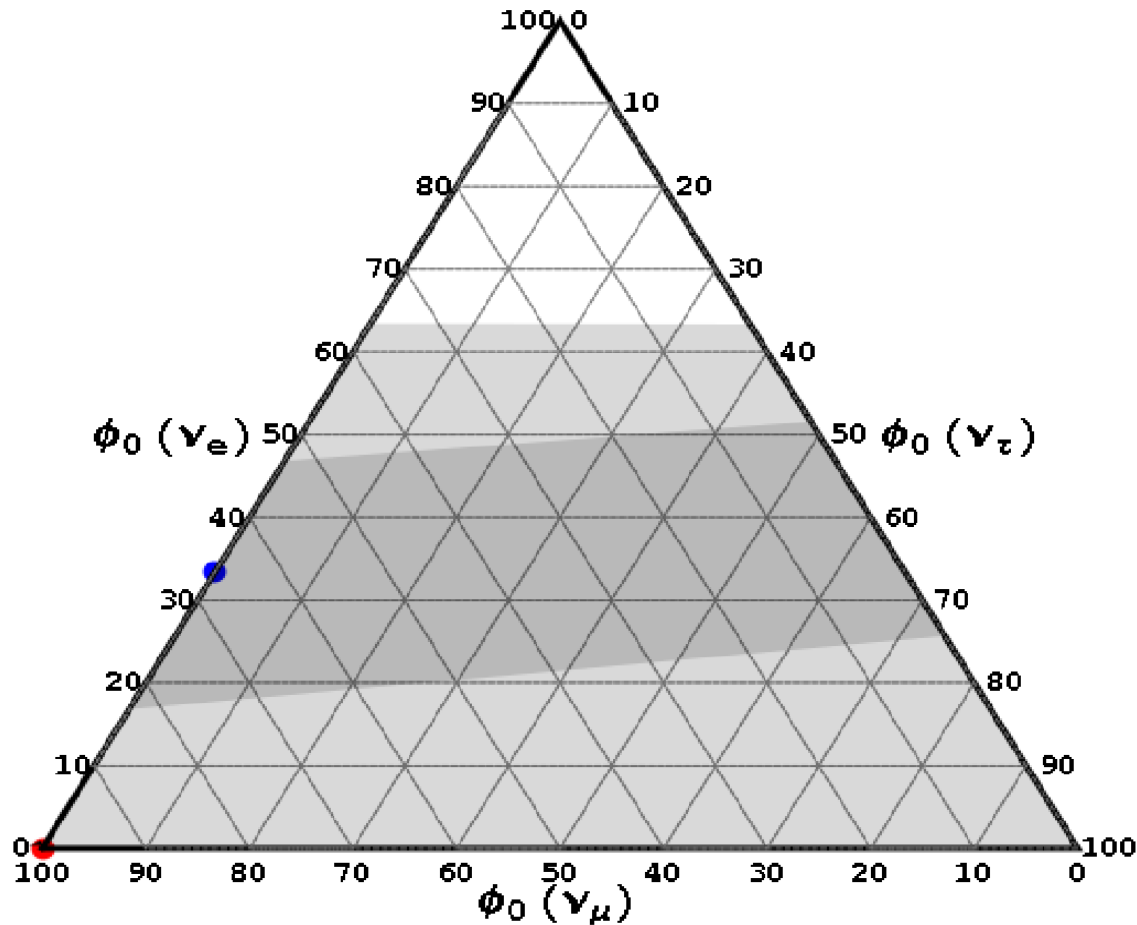
Pion source as the input



Only R is measured

$$\Delta R / R = 10\%$$

Pion source as the input



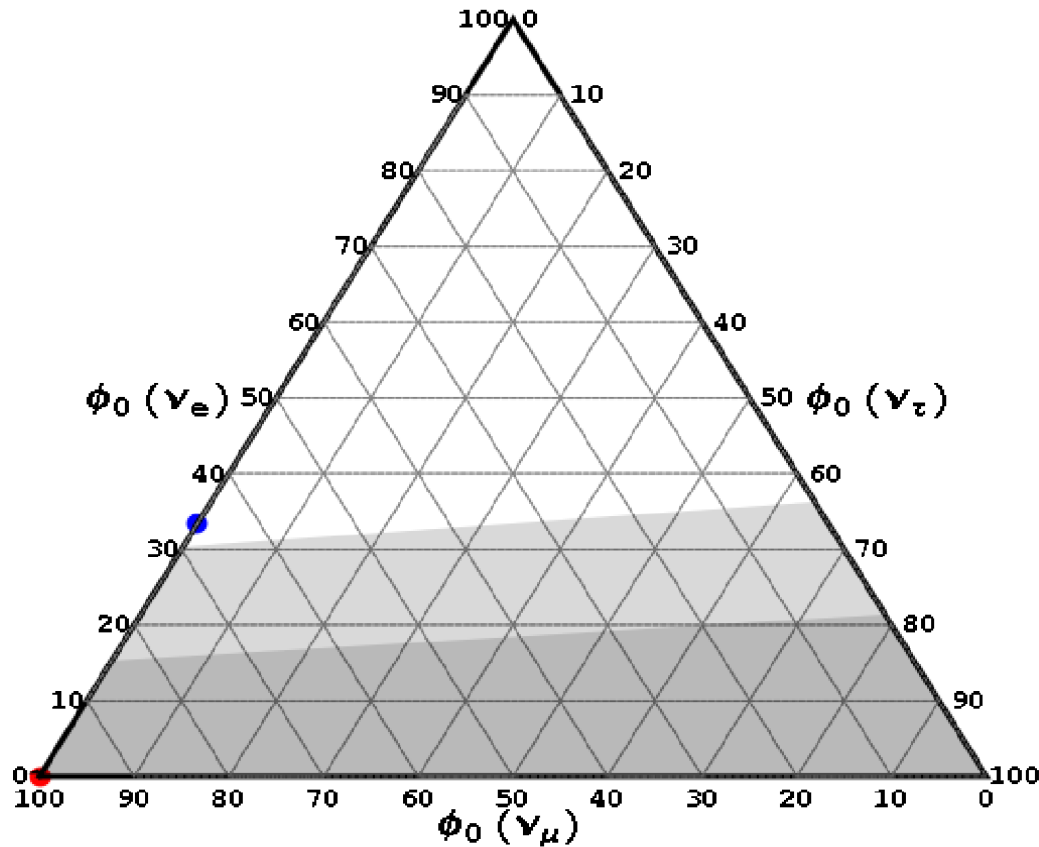
Both R and S are measured

$$\Delta R / R = 10\%$$

$$\Delta S / S = 1.2 \Delta R / R$$

Assuming ΔR and ΔS are dominated by statistical errors

Muon-damped source as the input



Both R and S are measured

$$\Delta R / R = 10\%$$

$$\Delta S / S = 1.3 \Delta R / R$$

Assuming ΔR and ΔS are dominated by statistical errors

Tau neutrino identification is very important!

Summary and remarks for part (I)

- We have presented the attempt to reconstruct flavor ratios of astrophysical neutrinos at the source, using IceCube as an example.
- Using Waxman-Bachall bound as a reference point, it has been shown previously that ν_μ fraction can be measured to 10% accuracy for a decade of data taking in IceCube. However, the ν_τ fraction is not easy to extract.
- With only ν_μ fraction measured, it is challenging to discriminate astrophysical neutrino sources with different flavor ratios. ***Effective tau neutrino identification is needed.*** Larger detector or larger density of detector modules?

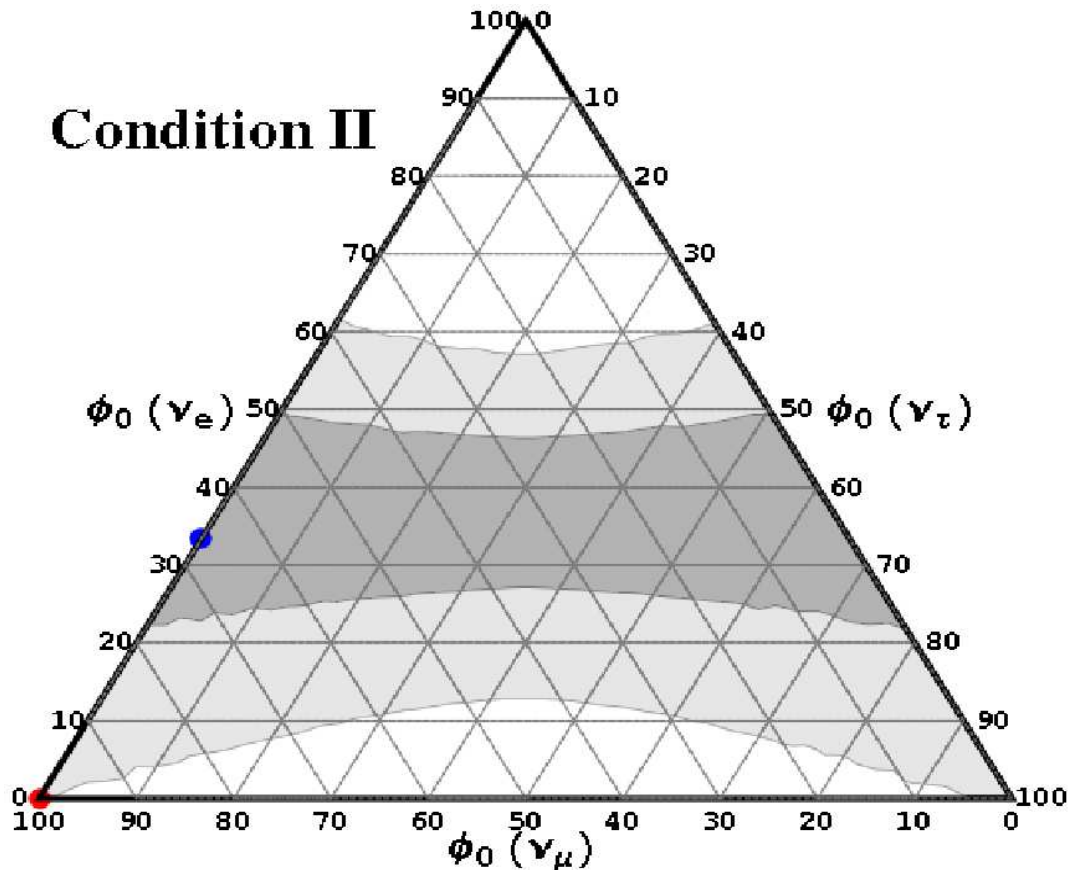
- ◆ For neutrino energies higher than 3×10^7 GeV, tau neutrino also has a track-like signature similar to muon neutrino, while electron neutrino still has a shower signature.
- ◆ The ratio variable one can extract from the neutrino telescope measurement becomes

$$R' = \phi(\nu_e) / (\phi(\nu_\mu) + \phi(\nu_\tau)).$$

- ◆ The variable $S' = \phi(\nu_\mu) / \phi(\nu_\tau)$ more difficult to determine. Nevertheless it is not important due to $\nu_\mu \leftrightarrow \nu_\tau$ symmetry.

T. C. Liu, M. A. Huang and GLL, arXiv:1005.5154

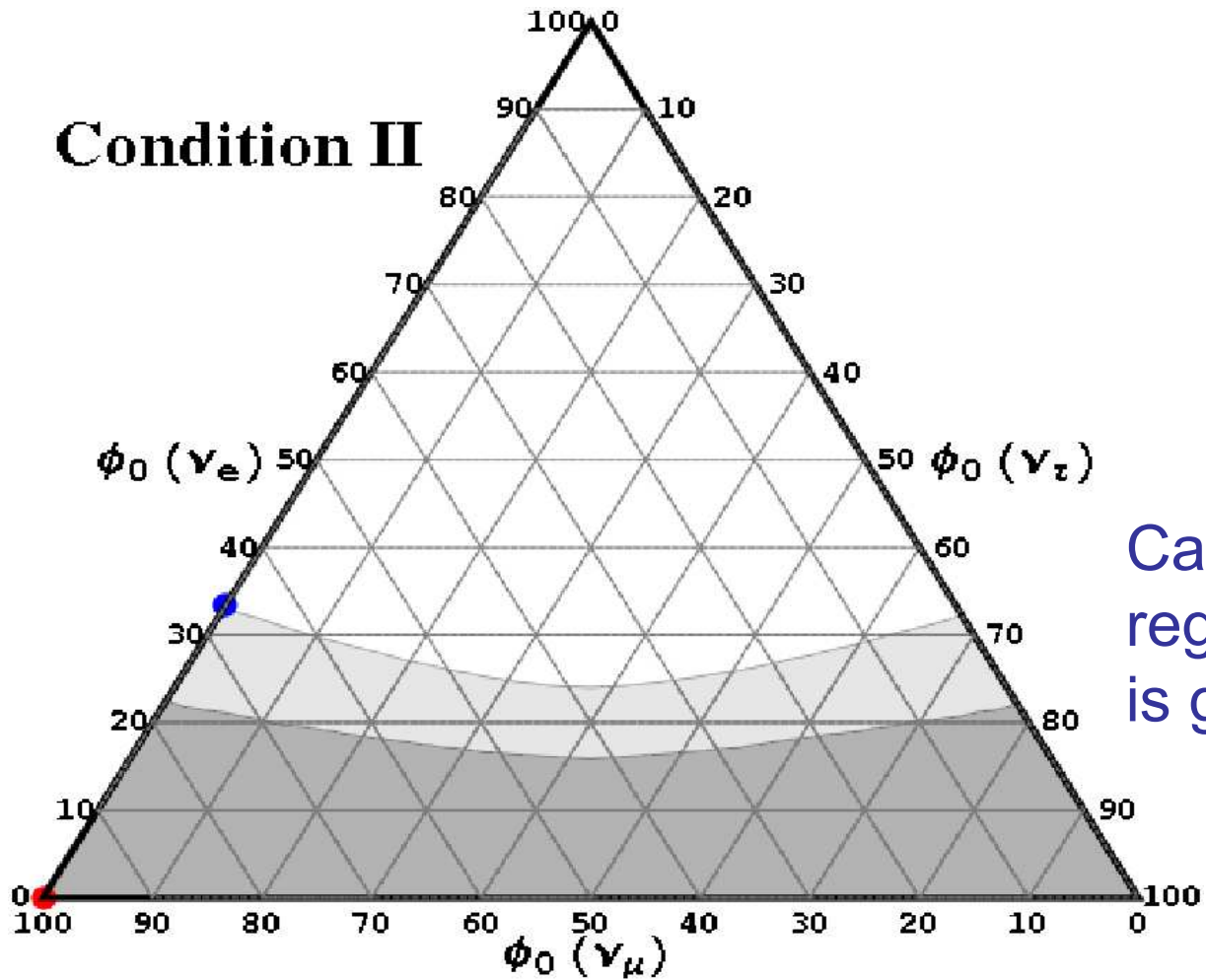
- ◆ Pion source (such as GZK ν) as the input



Only R' is measured

$$\Delta R' / R' = 10\%$$

◆ Muon-damped source as the input



Only R' is measured

$$\Delta R' / R' = 10\%$$

Caveat: In high energy regime, neutrino flux is generally suppressed!

What can we learn by detecting astrophysical neutrinos?

(II). The flavor transition mechanisms of astrophysical neutrinos might be probed.

terrestrially measured flux $\Phi = P\Phi_0$ source flux

Earlier discussions on this issue:

G. Barenboim and C. Quigg, *Phys. Rev. D* 2003,

J. Beacom *et al.* *Phys. Rev. Lett.* 2003 ...

Work out P model by model and calculate the resultant Φ which is to be tested by neutrino telescope.

However, we perform a transformation $Q = A^{-1}PA$.

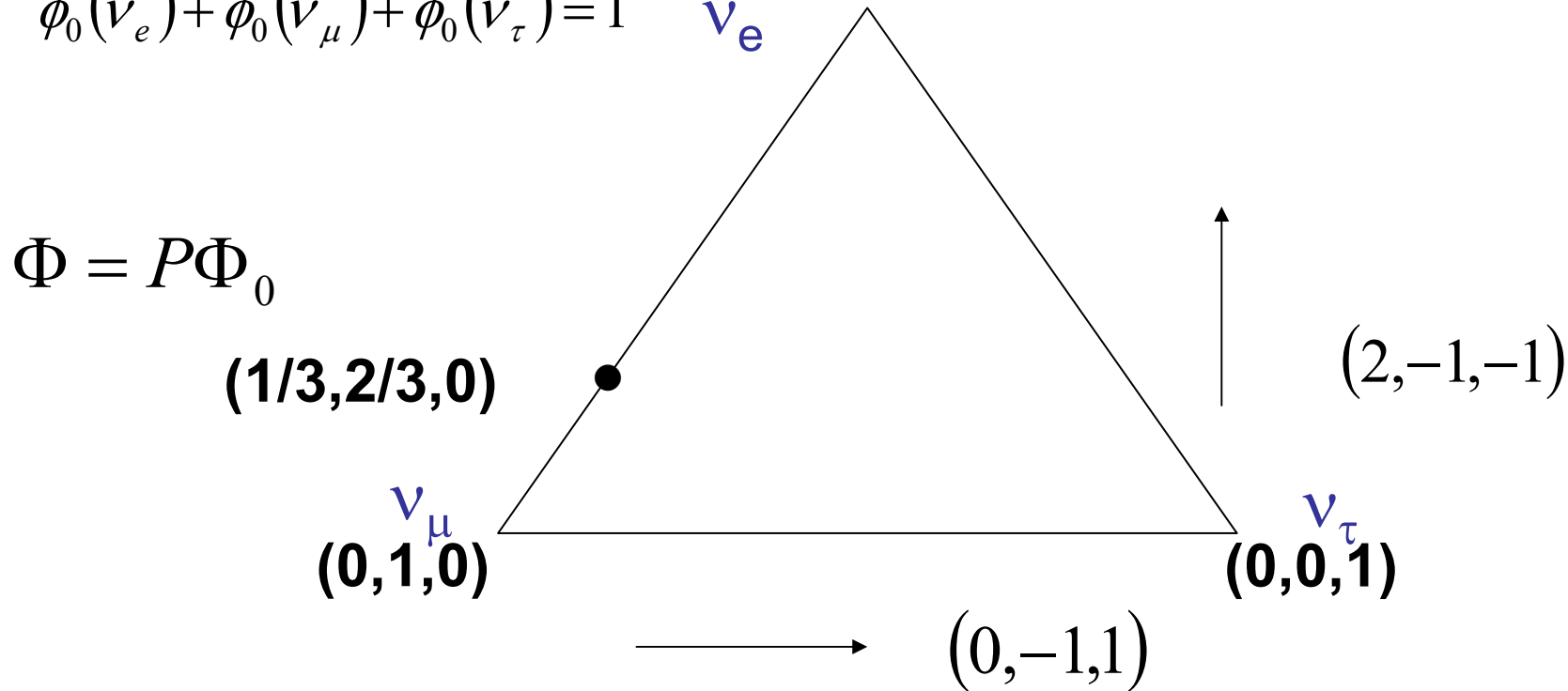
Classification of flavor transition models can be done easily on Q . Fit Q to the measurement.

K.-C. Lai, G.-L. Lin and T. C. Liu,
Phys. Rev. D 82, 103003 (2010)

$$\Phi_0 = \frac{1}{3}(1,1,1)^T + a(0,-1,1)^T + b(2,-1,-1)^T, \quad -1/6 \leq b \leq 1/3$$

$$(\phi_0(\nu_e), \phi_0(\nu_\mu), \phi_0(\nu_\tau))^T \quad -1/3 + b \leq a \leq 1/3 - b$$

$$\phi_0(\nu_e) + \phi_0(\nu_\mu) + \phi_0(\nu_\tau) = 1$$



$$(\phi(\nu_e), \phi(\nu_\mu), \phi(\nu_\tau))^T$$

$$\Phi = \kappa(1,1,1)^T + \rho(0,-1,1)^T + \lambda(2,-1,-1)^T$$

$\kappa = 1/3$ corresponds to conservation of neutrino flux

A simple transformation

$$\begin{pmatrix} \kappa \\ \rho \\ \lambda \end{pmatrix} = \begin{pmatrix} Q_{11} & Q_{12} & Q_{13} \\ Q_{21} & Q_{22} & Q_{23} \\ Q_{31} & Q_{32} & Q_{33} \end{pmatrix} \begin{pmatrix} 1/3 \\ a \\ b \end{pmatrix}, \text{ where}$$

$$\begin{pmatrix} Q_{11} & Q_{12} & Q_{13} \\ Q_{21} & Q_{22} & Q_{23} \\ Q_{31} & Q_{32} & Q_{33} \end{pmatrix} = A^{-1} \begin{pmatrix} P_{ee} & P_{e\mu} & P_{e\tau} \\ P_{\mu e} & P_{\mu\mu} & P_{\mu\tau} \\ P_{\tau e} & P_{\tau\mu} & P_{\tau\tau} \end{pmatrix} A \text{ with}$$

$$A = \begin{pmatrix} 1 & 0 & 2 \\ 1 & -1 & -1 \\ 1 & 1 & -1 \end{pmatrix}. \quad \rho = \frac{1}{2}(\phi(v_\tau) - \phi(v_\mu)), \quad \lambda = \frac{1}{3} \left(\phi(v_e) - \frac{\phi(v_\mu) + \phi(v_\tau)}{2} \right)$$

$$\phi(v_e) + \phi(v_\mu) + \phi(v_\tau) = 3\kappa$$

$\kappa = 1/3$ is ensured by $Q_{11}=1$, $Q_{12}=Q_{13}=0$
 The meanings of Q_{ij} are clear!

Classify flavor transition models

Flux conservation

$$Q = \begin{pmatrix} 1 & 0 & 0 \\ Q_{21} & Q_{22} & Q_{23} \\ Q_{31} & Q_{32} & Q_{33} \end{pmatrix}$$

Flux conservation + ν_μ -- ν_τ symmetry

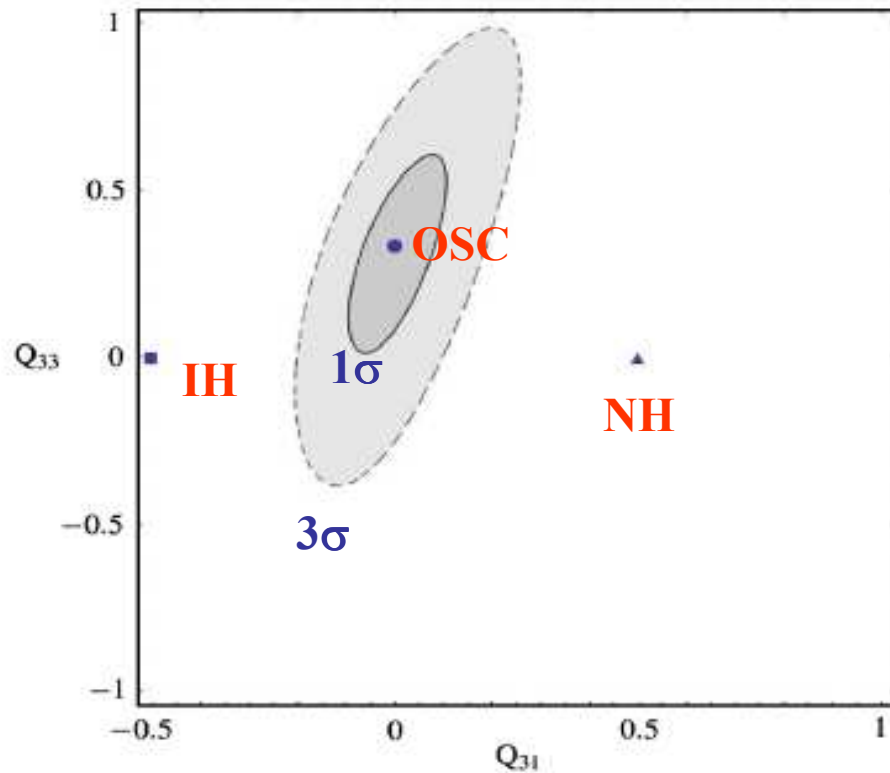
$$Q = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ Q_{31} & 0 & Q_{33} \end{pmatrix}$$

Values for Q_{31} and Q_{33}
determine the model

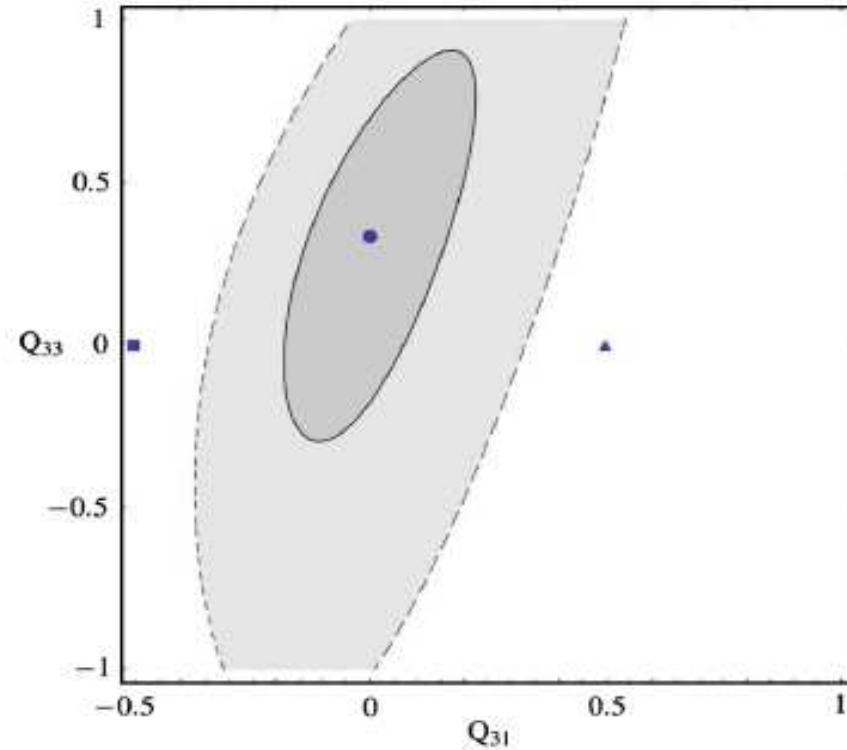
Fit Q_{31} and Q_{33} to the data

Fitting results—pion source+muon damped source

Compare oscillation with neutrino decays (H, M→L)

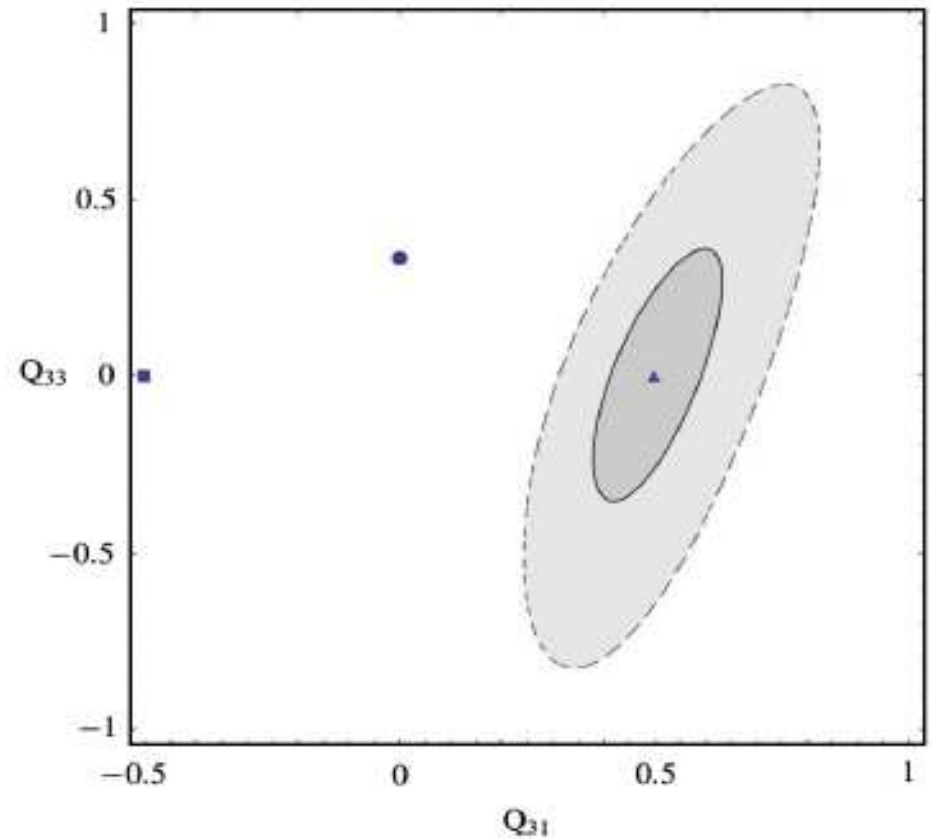
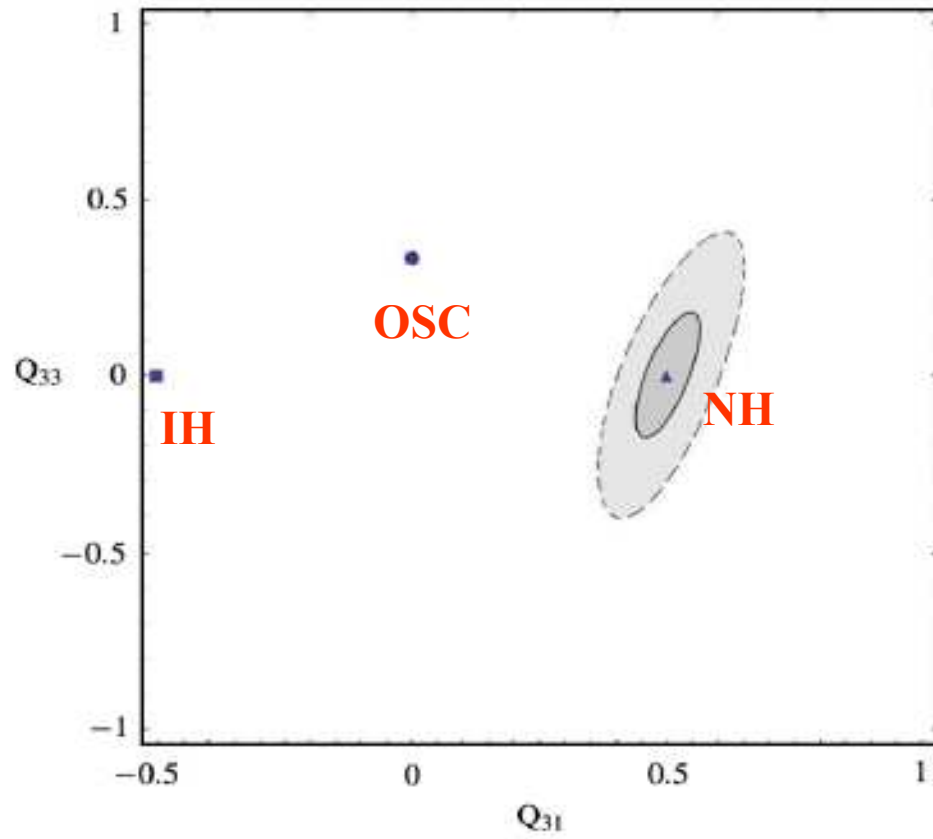


$$\Delta R_\pi / R_\pi = \Delta R_\mu / R_\mu = 10\%$$

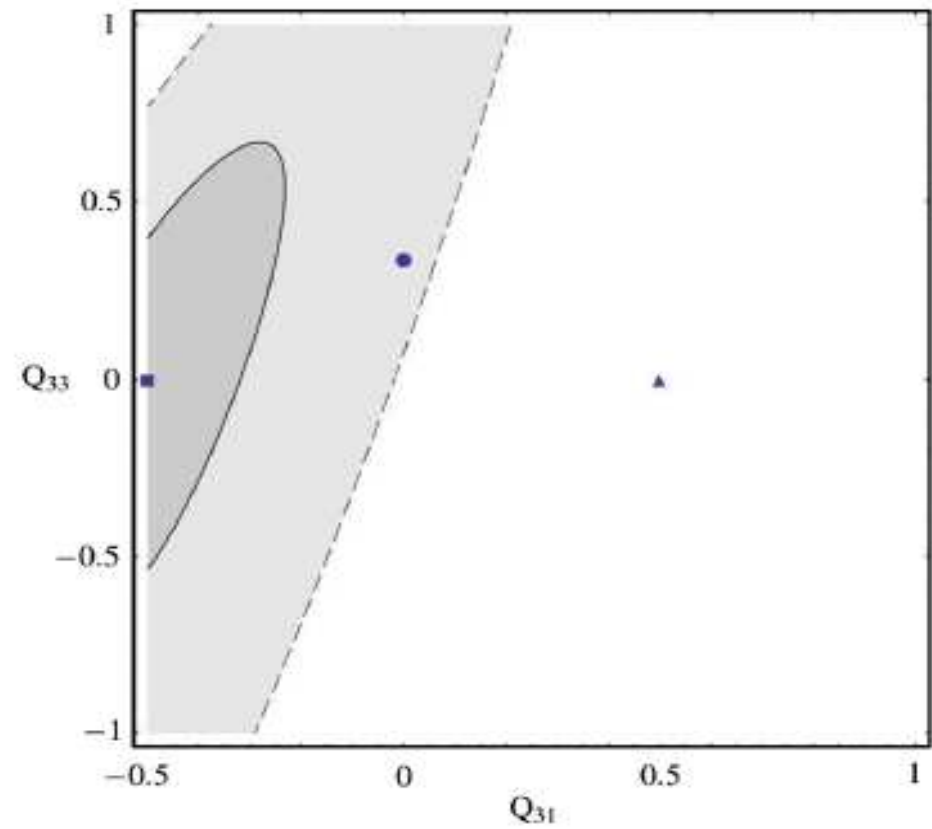
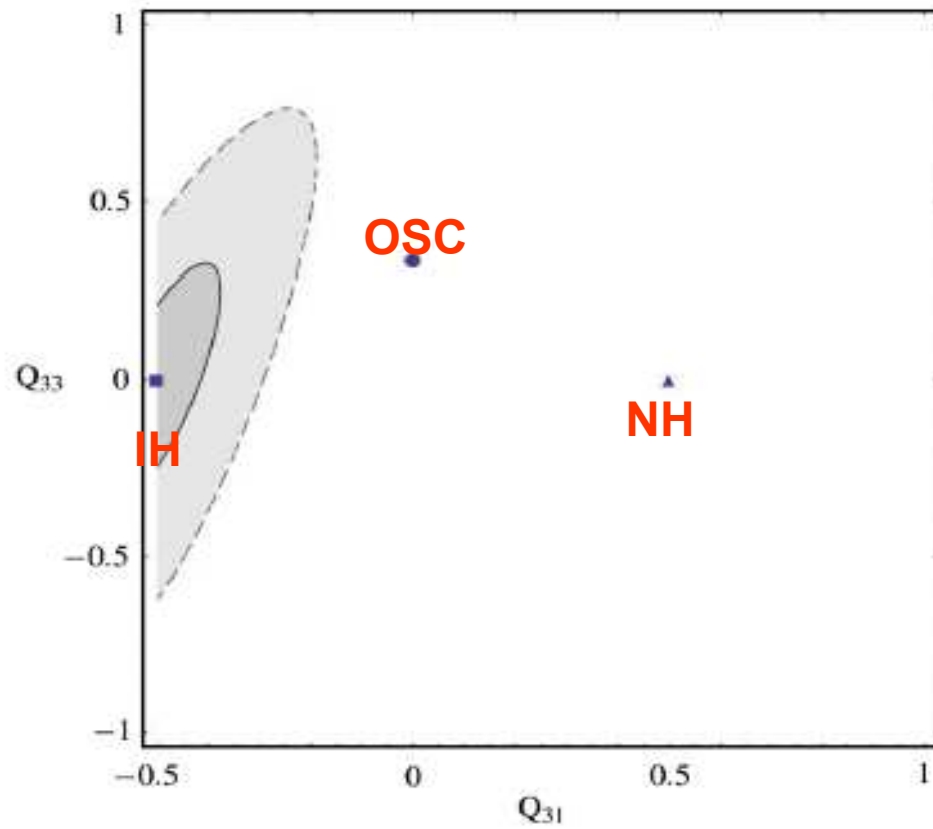


$$\Delta R_\pi / R_\pi = \Delta R_\mu / R_\mu = 20\%$$

Change the input model



Change the input model--continued



Summary for part (II)

- We have proposed to parameterize the flavor transitions of propagating astrophysical neutrinos by the matrix Q .
- Each row of matrix Q carries a definite physical meaning.
- The matrix element of Q can be probed by measuring the flavor ratios of astrophysical neutrinos arriving on the earth.