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Experimental observation of two massless Dirac-fermion gases in graphene-topological insulator heterostructure

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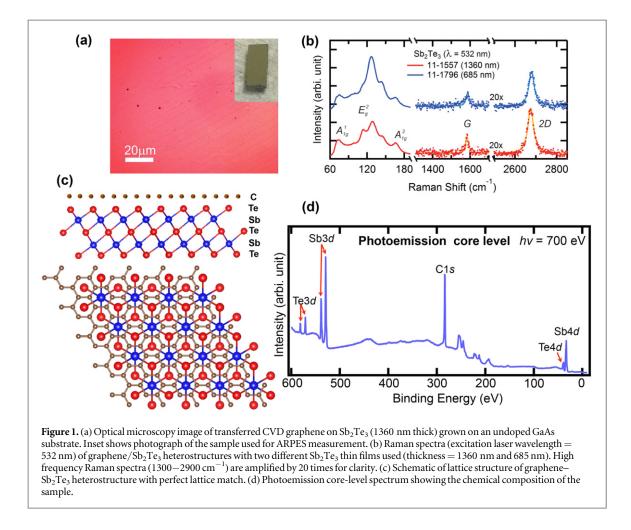
Abstract

Graphene and topological insulators (TI) possess two-dimensional (2D) Dirac fermions with distinct physical properties. Integrating these two Dirac materials in a single device creates interesting opportunities for exploring new physics of interacting massless Dirac fermions. Here we report on a practical route to experimental fabrication of graphene–Sb₂Te₃ heterostructure. The graphene–TI heterostructures are prepared by using a dry transfer of chemical-vapor-deposition grown graphene film. ARPES measurements confirm the coexistence of topological surface states of Sb₂Te₃ and Dirac π bands of graphene, and identify the twist angle in the graphene–TI heterostructure. The results suggest a potential tunable electronic platform in which two different Dirac low-energy states dominate the transport behavior.

Introduction

Dirac materials such as graphene and topological insulators (TI) have been in the spotlight attracting global research interest in the past decade, because they not only provide unprecedented opportunities to explore fundamental physics in condensed matters but also open a new route for device innovations thanks to the unique electronic properties of those materials [1–10]. In both graphene and TIs, the lowenergy excitations can be described as a two-dimensional (2D) electron gas with linear dispersion akin to massless Dirac fermions. There is a key difference between the two systems: in graphene the intrinsic spin–orbit coupling (SOC) is negligibly small and the chirality of the Dirac state is associated with the sublattice degrees freedom, the so-called pseudospin; on the other hand, in TIs the topological bulk band ordering is caused by the strong SOC and gives rise to the helical spin-momentum locked feature of the Dirac surface states. There have emerged many interesting proposals of combining the two Dirac materials in heterostructures, taking advantage of the dramatic difference in spin texture of their Dirac states [11–15]. It has been predicted that the SOC of graphene can be greatly enhanced by proximity to the strongly spin–orbit coupled TI surface. As a consequence, the epitaxial graphene can develop a spin–orbit gap as





large as 20 meV, making it a Kane-Mele quantum spin-Hall insulator [13, 16]. Thus the major interest in this type of heterojunctions is the potential for engineering electronic structure of graphene by proximity effect. Despite several experimental efforts to grow TI films on graphene substrate [17-19] the experimental fabrication of a graphene layer on top of TI surface remains a tremendous technical challenge, not to mention the characterization of the electronic band structure of such graphene-TI junctions. The difficulty lies in the fact graphene can not be directly grown in situ on the surface of TIs such as Bi₂Se₃ and Sb₂Te₃. In this work we report on an experimental route to preparing graphene-Sb₂Te₃ heterostructures in laboratory. We also perform angle-resolved photoemission (ARPES) measurements on the graphene-TI heterostrcuture and demonstrate the coexistence of two Dirac modes of different types. The results suggest a potential tunable electronic platform for studing the interaction between two different Dirac low-energy states.

Results and discussion

The micro-ARPES experiments were carried out at the ANTARES beamline at the SOLEIL synchrotron

with a beam spot size of 50–100 μ m [20–23]. The photoelectron spectra were obtained using a hemispherical analyzer Scienta R4000 with 5 meV and 0.005 Å⁻¹, energy and momentum resolutions, respectively. The Scienta detector is aligned along the Γ -K direction of graphene. All photoemission measurements at ANTARES were done at a temperature of 100 K. The samples were degassed *in situ* at 200 °C for 8 h before ARPES measurements. The degassing was proved to be critical for acquiring good ARPES spectra.

The first-principles calculations were based on the generalized gradient approximation [24] using the full-potential projected augmented wave method [25] as implemented in the VASP package [26]. The electronic structure of bulk Sb₂Te₃ were calculated using a $15 \times 15 \times 6$ Monkhorst-Pack *k*-mesh over the Brillouin zone (BZ) with the spin–orbit coupling included self-consistently. The surface states were calculated from the surface Green's function of the semi-infinite system [27]. A $30 \times 30 \times 1$ Monkhorst-Pack *k*-mesh was used in the calculation for graphene.

We choose Sb₂Te₃ as the TI substrate because there is a nearly perfect lattice match between the (111) surface of Sb₂Te₃ (a = 4.26 Å) and a $\sqrt{3} \times \sqrt{3}$ super cell of graphene (a = 2.46 Å). Therefore the heterostructure in the perfect alignment can be described by



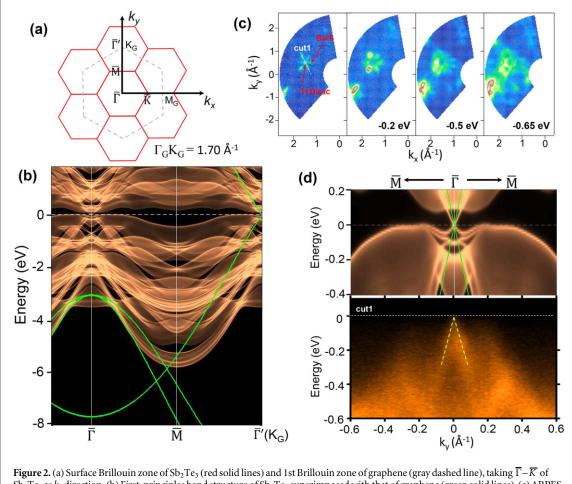


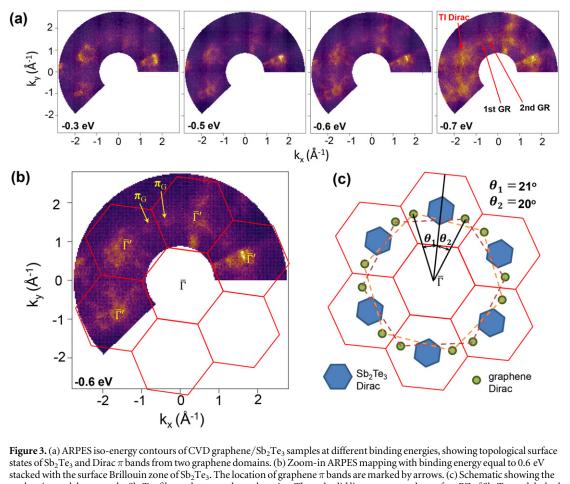
Figure 2. (a) Surface Brillouin zone of Sb₂Te₃ (red solid lines) and 1st Brillouin zone of graphene (gray dashed line), taking $\Gamma - K$ of Sb₂Te₃ as k_x direction. (b) First-principles band structure of Sb₂Te₃ superimposed with that of graphene (green solid lines). (c) ARPES mapping of MOCVD-grown Sb₂Te₃ films at different binding energies. The photon energy is 100 eV. The topological surface state and bulk band lobes are marked by arrows. (d) Calculated band structure of Sb₂Te₃ (top panel, the green lines show the calculated graphene π band for a comparison) and ARPES spectral cut (bottom panel, along the dotted line in (c)) along $\overline{M} - \overline{\Gamma} - \overline{M}$ direction.

a commensurate $\sqrt{3} \times \sqrt{3}$ stacking pattern. Graphene/Sb₂Te₃ heterostructures were prepared using a dry transfer of chemical vapor deposition (CVD) grown graphene film [28]. Graphene film was grown on Cu foils at ambient pressure which has been reported elsewhere [29-31]. Briefly, the growth was at 1065 °C with 20 sccm methane (500 ppm in Ar) and 200 sccm forming gas (5% H₂ in Ar) for 60-75 min. Prior to the growth, clean Cu foil was annealed for 120 min in the forming gas environment. The Sb₂Te₃ thin film was grown (at RTI International) on an undoped GaAs(100) substrate by metalorganic chemical vapor deposition (MOCVD) process. To transfer as-grown graphene film, a PMMA layer was spin-coated onto one side of the Cu foil, and the graphene on the opposite side of the Cu foil was removed by oxygen plasma. Next, a piece of wafer dicing tape (Blue Med Tack Squares from Semiconductor Equipment Corp.) with a small window cut in its center was adhered to the graphene covered with PMMA. The exposed Cu was then dissolved in ammonium persulfate solution (~2.5 g in 50 ml DI water), obtaining a suspended PMMA/graphene membrane. After Cu etching, the sample was further cleaned by DI water and SC2 baths

(HCl:H₂O₂:H₂O = 1:1:50 ml). The sample is subsequently rinsed by isopropanol and blown dry with N₂ gas. The typical domain size of graphene is about 20 μ m [31]. Finally, the suspended sample was transferred onto Sb₂Te₃ substrate, and followed by dissolving the PMMA layer in acetone. Figure 1(a) shows an optical micrograph of the surface of graphene/Sb₂Te₃ (thickness of Sb₂Te₃ = 1360 nm).

A Horiba Jobin Yvon XploRA confocal Raman system with a solid-state laser operating at a wavelength of 532 nm and a spectral resolution of 1.1 cm^{-1} was used for the Raman spectroscopy measurement. Power of the laser was maintained below 1 mW to minimize local heating effect. The laser spot size was 0.6 μ m in diameter with a $100 \times$ objective (NA = 0.90) and all measurements were performed in an air ambient environment and at room temperature. As shown in figure 1(b), there are two high-frequency Raman modes at 1584 and 2676 cm⁻¹ in the Raman spectra, corresponding to the G and 2D modes of graphene [32]. We also observe a series of characteristic Raman modes at 73 cm⁻¹ (A_{1g}^1), 113 cm⁻¹ (E_g^2) and 166 cm⁻¹ (A_{1g}^2) for Sb₂Te₃ [33]. Two extra peaks could be assoicated with surface oxidation of the Sb₂Te₃ thin film. The measured





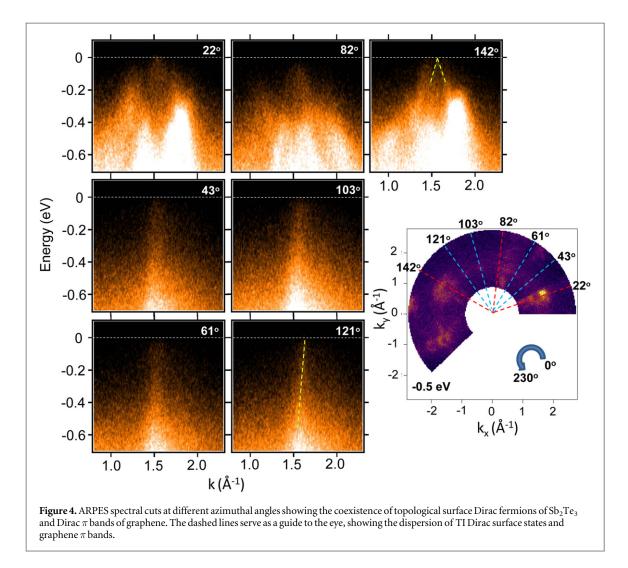
states of Sb₂Te₃ and Dirac π bands from two graphene domains. (b) Zoom-in ARPES mapping with binding energy equal to 0.6 eV stacked with the surface Brillouin zone of Sb₂Te₃. The location of graphene π bands are marked by arrows. (c) Schematic showing the angle mismatch between the Sb₂Te₃ film and two graphene domains. The red solid lines represent the surface BZ of Sb₂Te₃ and dashed lines BZ of two graphene domains. The blue hexagons schematically mark the position of Sb₂Te₃ surface states and green circles the position of graphene Dirac π bands.

Raman characteristics confirm the success in fabricating graphene/Sb₂Te₃ heterostructures.

The lattice structure of graphene/Sb₂Te₃ with a perfect alignment is plotted in figure 1(c). In this case the surface unit cell of the heterostructure is same as that of Sb₂Te₃. However, in reality, there is no epitaxial relationship between CVD graphene and Sb₂Te₃ and being van der Waals coupled they can be oriented in any angles. Figure 1(d) shows the photoemssion spectrum taken with 700 eV photons. The characteristic core levels of C, Sb, and Te atoms are observed, indicating the correct chemical composition of the samples. We note that in the spectrum there exist several extra peaks which can be attributed to the contamination introduced during the ex situ assembly of the heterostructure. The surface contamination is mainly from three sources: (1) surface oxidation of the Sb₂Te₃ surface; (2) inorganic molecules introduced by the Cu etching and bath washing: ammonium persulfate ((NH₄)₂S₂O₈), hydrochloric acid (HCl) and hydrogen peroxide (H₂O₂), which probably explains the photoemission peaks around 200 eV (Cl-2p), 230 eV (S-2s) and 270 eV (Cl-2s); (3) remnant polymer molecules after dissolving the PMMA layer in acetone.

The Brillouin zone corresponding the stacking geometry in figure 1(c) is shown in figure 2(a). The K point of graphene (where the Dirac point of graphene is located) coincide with the $\overline{\Gamma}$ point of Sb₂Te₃ in the second zone. Under this ideal situation the Dirac cones of graphene and Sb₂Te₃ overlap in momentum space, and strong interaction between the Dirac states is expected. In the nonideal case which there exists an angle mismatch, the Dirac cones of two materials separate in k space and the interaction between them is weaker. Therefore this is a tunable system (depending on the twist angle) for studying the correlation between two Dirac low-energy modes and the resulting novel transport behaviors. First-principles band structure of Sb₂Te₃ superimposed with that of graphene (green solid lines) is shown in figure 2(b). We can find that the Dirac cone of graphene indeed overlap with the topological surface states of Sb₂Te₃. Figure 2(c) presents ARPES mapping of a Sb₂Te₃ film employed as the substrate in our experiment (without in situ cleavage). The mapping at the Fermi level clearly resolve the Dirac point of the topological surface states and six lobes of bulk valence band as marked by arrows, indicating the good surface quality





of the MOCVD-grown Sb_2Te_3 film despite a brief exposure to the air (which is inevitable during the transfer of graphene). A comparison of the ARPES spectrum of Sb_2Te_3 with the calculated band structure is given in figure 2(d). It is shown in the APRES spectrum that the Fermi level cuts the topological surface bands at the Dirac point and grazes the top of the bulk valence band, consistent with the first-principles result.

ARPES results taken from our graphene/Sb₂Te₃ sample are shown in figure 3(a). Comparing with the result from the bare Sb₂Te₃ surface, there are two additional bright spots in the ARPES spectra of the heterostructure, as indicated by the arrows. They are from the π band of graphene. The two spots indicate there are two major domains with different angles of twist in the CVD graphene layer. The twisting geometry of the sample is illustrated in figures 3(b) and (c). According to the ARPES spectra, the distance between the Dirac band of graphene and the zone center is equal to the momentum of Sb₂Te₃ surface states in the second zone, which confirms the lattice match of the two Dirac materials. The twist angles of two graphene domains with respect to Sb₂Te₃ are 21° and 20°, respectively. To further show the energy dispersion of Dirac fermions in this heterostructure, ARPES spectral cuts are plotted with different azimuthal angles (as marked by the dashed lines in the panel on the right hand side) in figure 4. At angles of 22°, 82°, and 142°, the Dirac surface states of Sb₂Te₃ were observed. We note that the quality of the spectrum is worse than that reported in figure 2, which can be attributed to the coverage of graphene as well as the contamination introduced during the transfer of graphene. At 43° and 103° we saw the linear Dirac π bands from one graphene domain. The π bands of the other graphene domain was observed at 62° and 122°. The Fermi velocity of the CVD graphene is found to be $(1.01 \pm 0.12) \times 10^8$ cm s⁻¹. In the spectral cuts only one branch of the graphene π band shows up, see figure 4. It is due to a well-known selection rule of photoexcitation matrix elements [9, 34, 35]. The ARRES cuts confirm the twisting geometry schematic shown in figure 3(c) and the coexistence of two different Dirac modes in our graphene/Sb₂Te₃ samples, which is the key conclusion of the this work.

Conclusions

In this work, we explored a practical approach to fabricating graphene/TI heterostructrure, a promising platform for studying interacting Dirac fermions. Our ARPES experiment demonstrated the coexistence of the Dirac low-energy states of graphene and Sb₂Te₃ in our lab-prepare sample. A twist angle between the CVD graphene layer and the TI substrate was identified by our photoemission measurement. This twist angle provides a tunable degree of freedom for manipulating the electronic properties of the heterojunction system. Our work presented a first step towards an ideal system of two interacting Dirac fermions with a tunable interaction strength. Further improvements on the sample preparation are desired: first, reduce the contamination introduced during the transfer of graphene; second, increase the domain size of graphene; and third, a precise way to control the twist angle. Those efforts will refine the graphene/TI system in such a way that could eventually enable the observation of the proximity effect on the graphene π band and potentially the quantum spin-Hall effect proposed in the original Kane-Mele model of graphene.

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