
Superconductivity over a century

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- ❑ **Introduction**
- ❑ **Conventional superconductor**
- ❑ **High temperature superconductivity**
- ❑ **Recent development, chiral p-wave and topological superconductor**

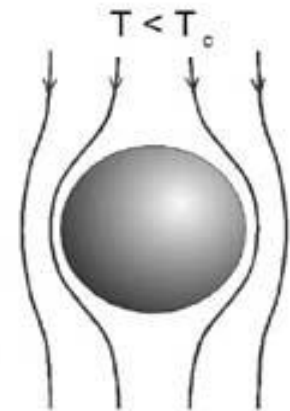
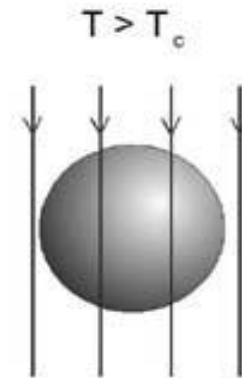
Colloquium at National Tsinghua Univ., June 5 , 2013

Superconductivity

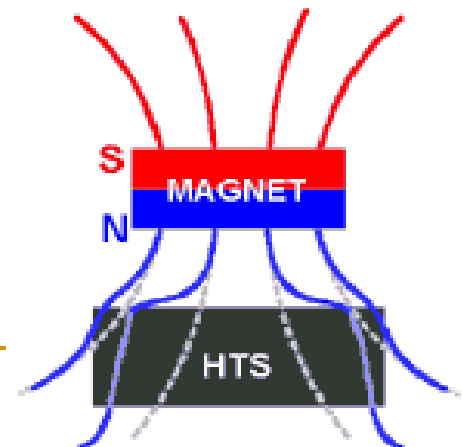
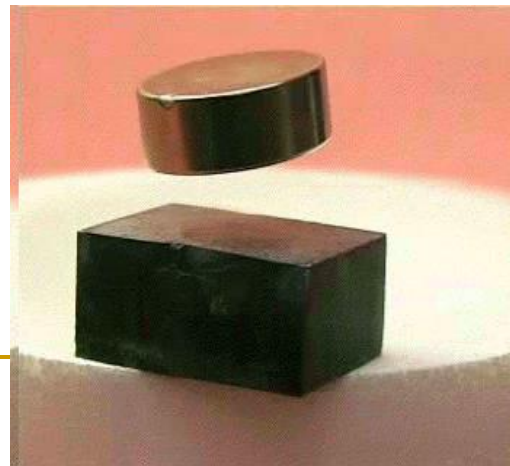
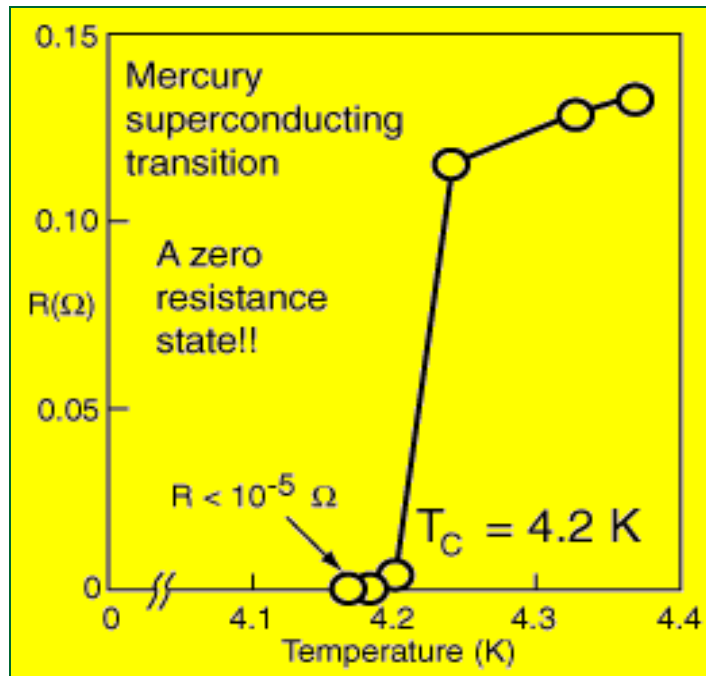


(Onnes, 1911)

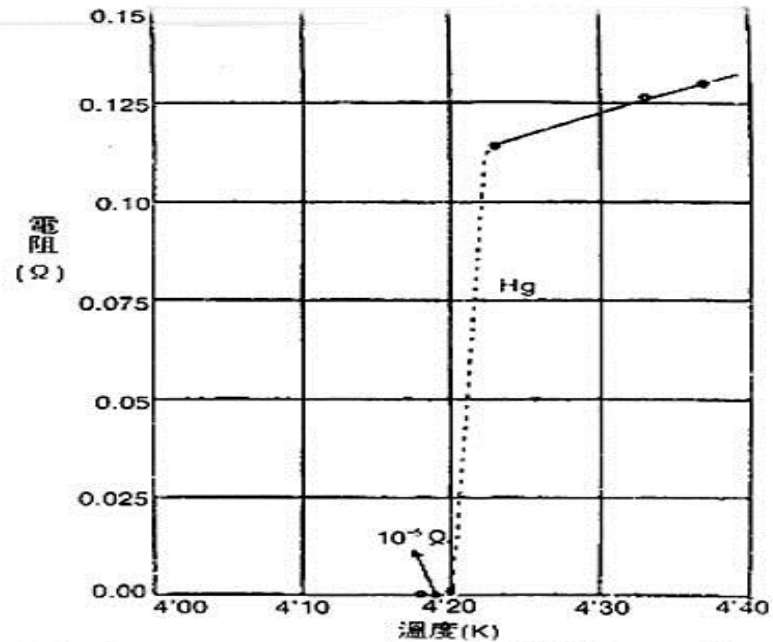
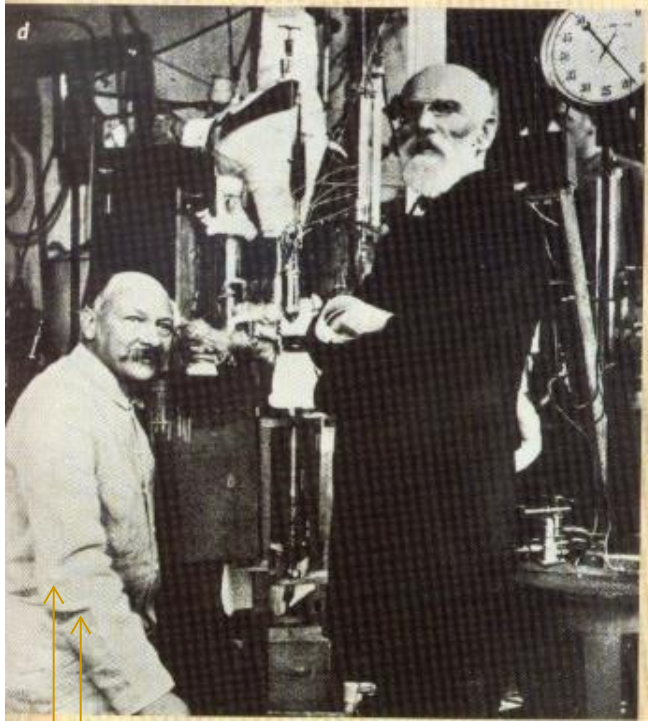
**Meissner effect (1930's):
Repel magnetic field**



Zero electric resistance



Discovery of superconductivity, 1911



超導態首次發現：

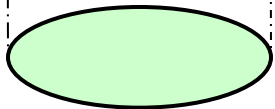
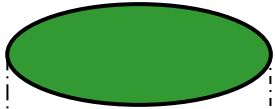
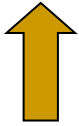
Kamerlingh-Onnes測量汞的低溫電阻數據

1913 Nobel prize in Physics

for "his investigations on the properties of matter at low temperatures which led, inter alia, to the production of *liquid helium*".

Meissner Effect

磁鐵磁力



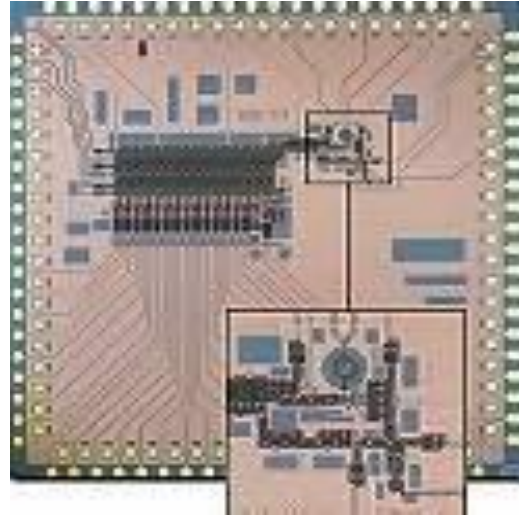
超導體重力+磁力



Applications of Superconductors



Magnetic-Levitation Train



Superconducting Microchip

Power transportation
No heat, no energy cost

Superconductivity: once mysterious phenomenon

- A quantum phenomenon
- Need also new concept:
 - Spontaneous symmetry broken
 - Cooper pairs & BCS theory
- New challenges
 - high temperature superconductivity
 - other unconventional superconductivity
(topological superconductor)

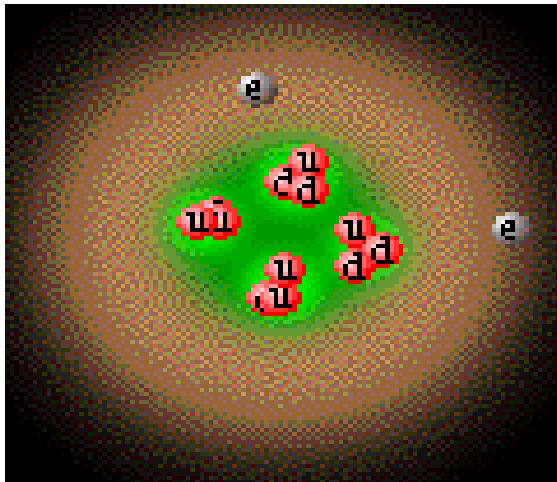
Two kinds of identical particles

Fermions

(spin $1/2$, $3/2$, $5/2$, etc.)

- Cannot occupy the same state
- Pauli exclusion principle

Antisocial



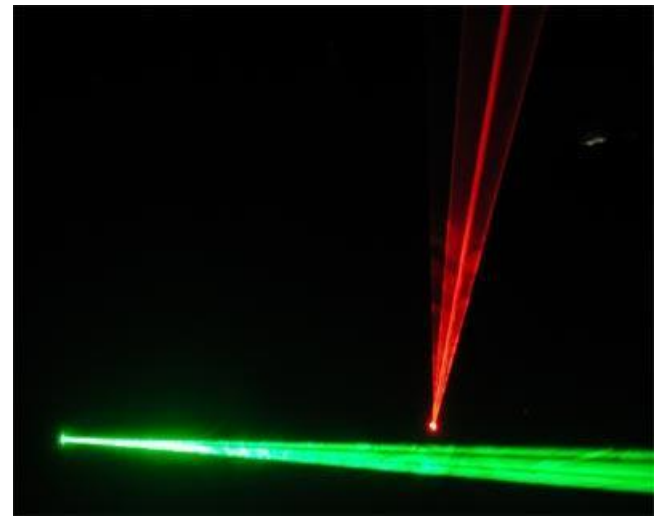
Electrons are Fermions

Bosons

(spin 0, 1, 2, etc.)

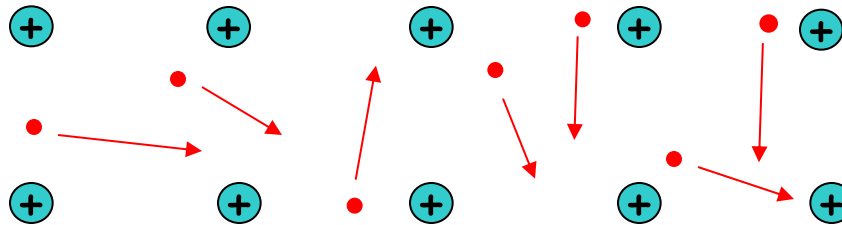
- Can occupy the same space at the same time

All Follow the Crowd



Photons are bosons → lasers

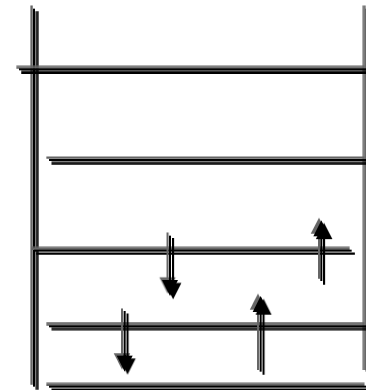
Basics of Condensed Matter Physics



Quantum Mechanics

Electrons, Ions and
Coulomb-interaction:

Fermi surface



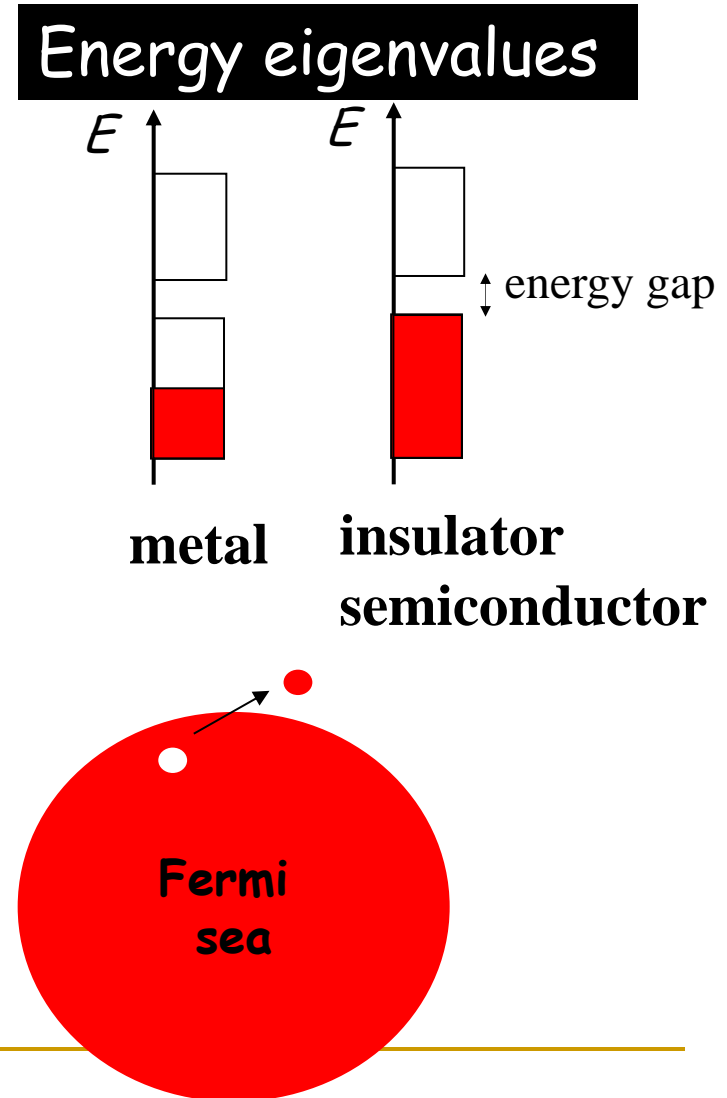
Band picture - electrons in momentum space

- Odd number of electrons/unit cell
 - metal
- Even number of electrons/unit cell,
 - can be insulator or semiconductor

Independent electron picture

-- Landau's Fermi liquid (1956)

For interacting fermion system, low energy excitations are quasi-particles, similar to free fermions.



Spontaneous symmetry broken

- Order parameters

Order parameter in magnetism

A magnet, all spins up or all down, symmetric.

The state: all spins up, breaks symmetry

Laws symmetric respect to matter & anti-matter

The Nature: matter rather than anti-matter

- Superconducting state:

Complex order parameter $|\Psi| \exp(i\phi)$

- Phenomenological theory for superconductivity:
Ginzburg-Landau theory (1950's) – 1/3 Nobel prize
in 2003

Theory for conventional superconductivity

Bardeen- Cooper-Schrieffer theory (BCS theory)

--- metal: Landau Fermi liquid theory, free electron systems

**--- electrons form Cooper pairs due to attraction
(\mathbf{k} , spin-up \uparrow ; $-\mathbf{k}$, spin-down \downarrow)**

----metal unstable against infinitesimal electron pairing interaction

--- electron-lattice coupling leads to e-e attractive interaction

Confirmed in experiments later, and is one of the most beautiful theory in physics

BCS Theory for Conventional Superconductivity, 1956



John Bardeen



Leon Cooper

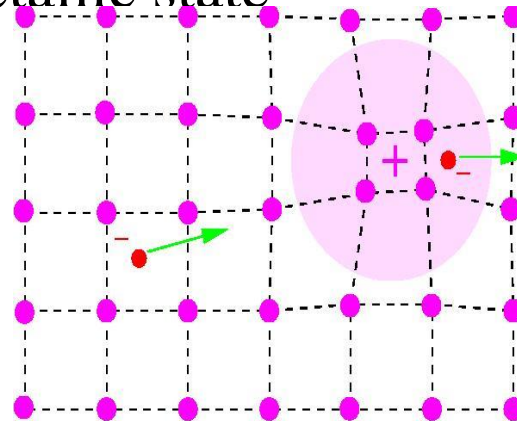


Bob Schrieffer

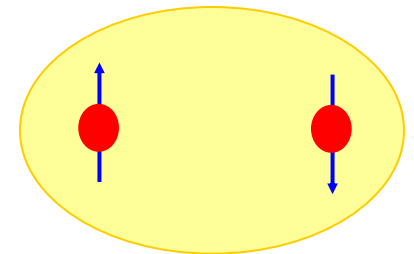
Cooper pairs of electrons formed by an attraction,
instability of the metallic state

Conventional pairing

attractive interaction of
electrons in a metal thru
electron-phonon
interaction



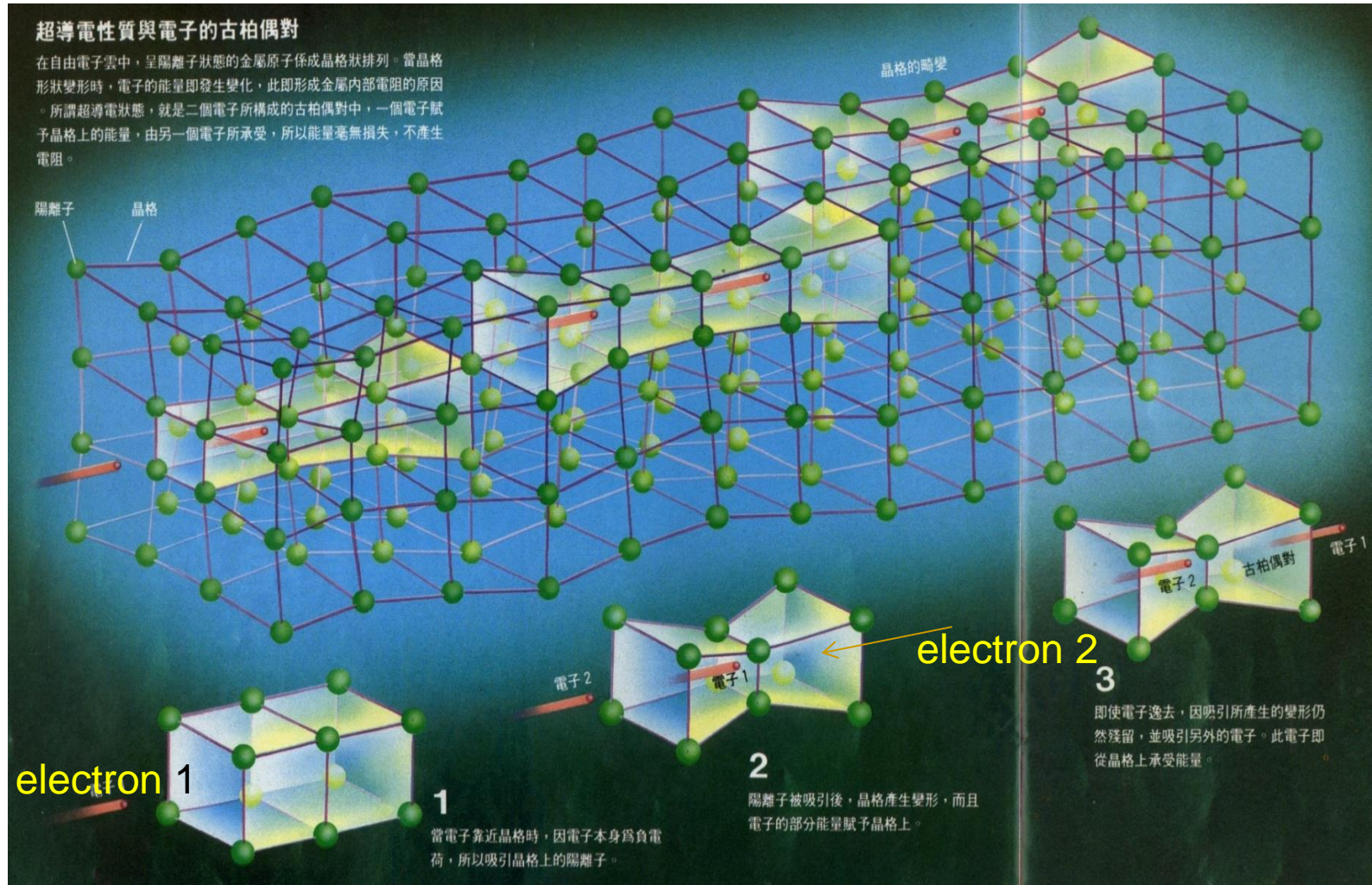
1972 Nobel prize



angular momentum $l = 0$
spin singlet

Electrons in metal can pair via the lattice

Cartoon Picture of BCS Theory



Conventional (BCS) Superconductivity

Macroscopic Coherent Pair Wave Function forms at $T < T_c$

$$|\Psi_{BCS}\rangle = \prod_k (u_k + v_k c_{k,\uparrow}^+ c_{-k,\downarrow}^+) |0\rangle$$

Pairing amplitude constant around Fermi surface

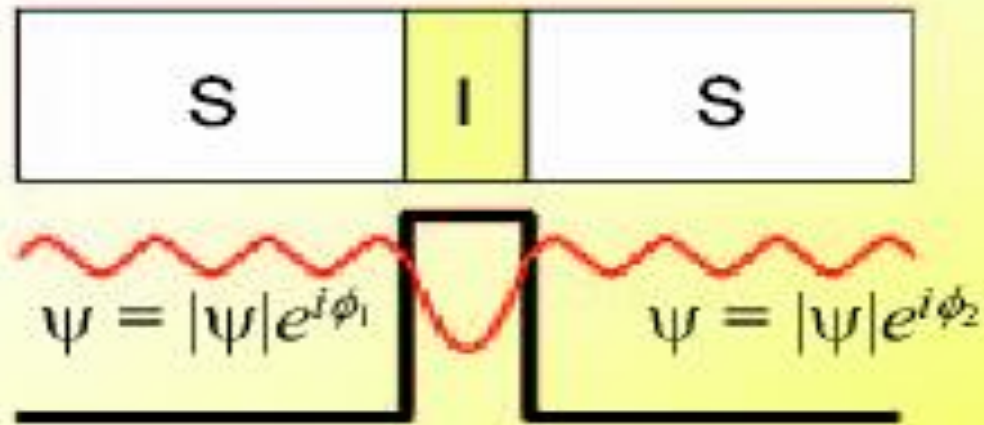
Energy gap function: $\Delta_{\sigma,\sigma_1}(\vec{k}) = \Delta_0(\uparrow\downarrow - \downarrow\uparrow)/\sqrt{2}$

Specific Heat $C(T)$ vanishes exponentially as $T \rightarrow 0$

BCS theory explains all features of conventional superconductors

Josephson Effect

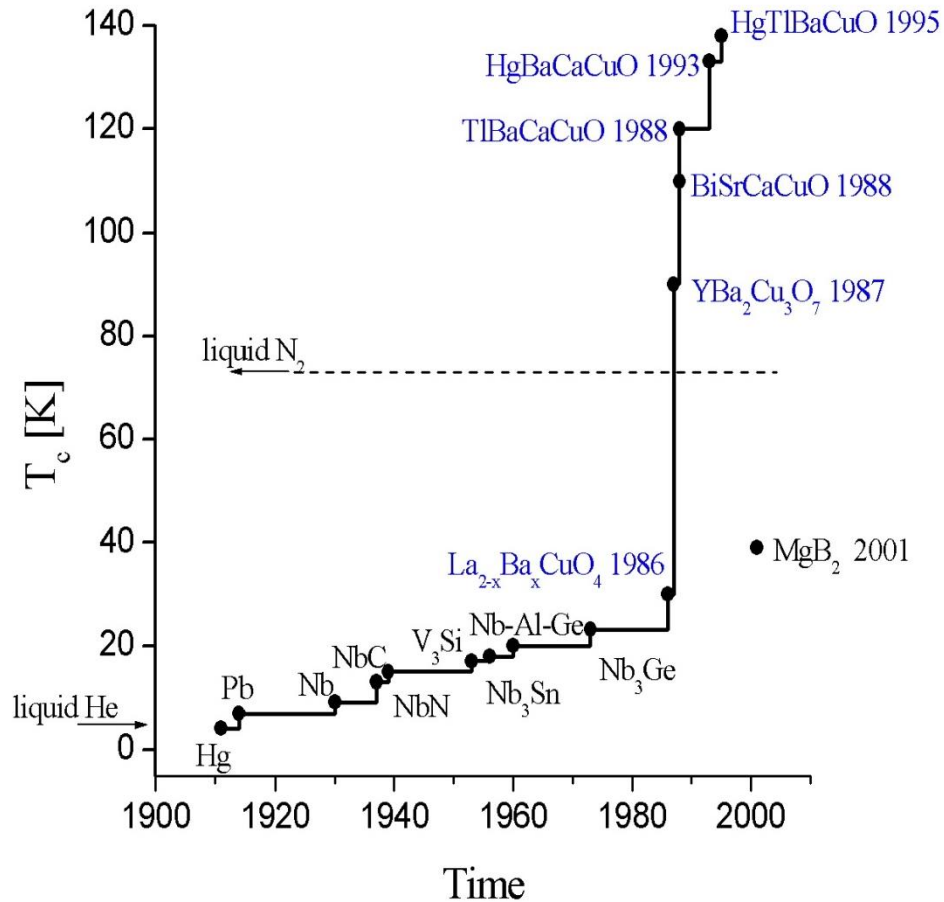
Josephson junctions



$$\begin{cases} I = I_C \sin \phi, \phi = \phi_1 - \phi_2 \\ \frac{d\phi}{dt} = \frac{-2eV}{\hbar} \end{cases}$$

Josephson was a student when he predicted the effect, [Nobel prize 1973](#)

Discovery of High Temperature Superconductivity



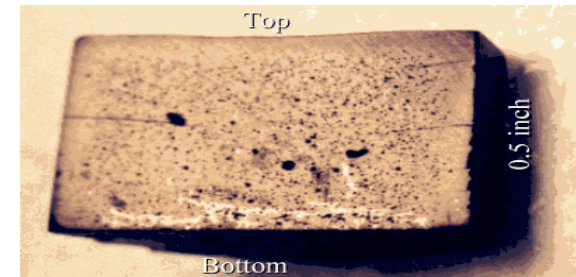
Tc up to 133K

1986: J.G. Bednorz & K.A. Müller



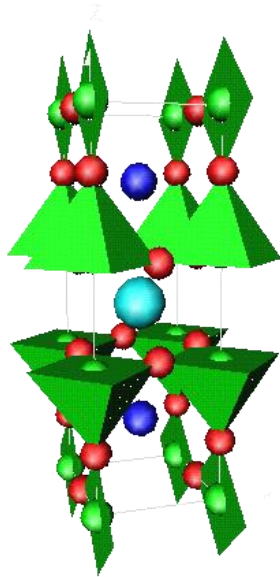
$La_{2-x}Ba_xCuO_4$ $T_c = 35$ K

1987 Nobel prize

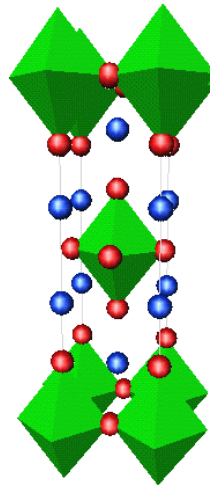


Ceramic, Insulator at normal state
How does it superconduct??

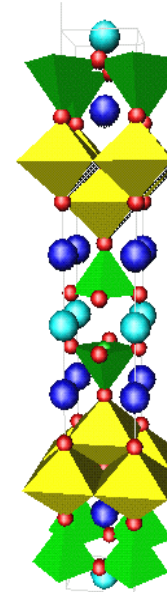
Structures of High Temperature Superconductors



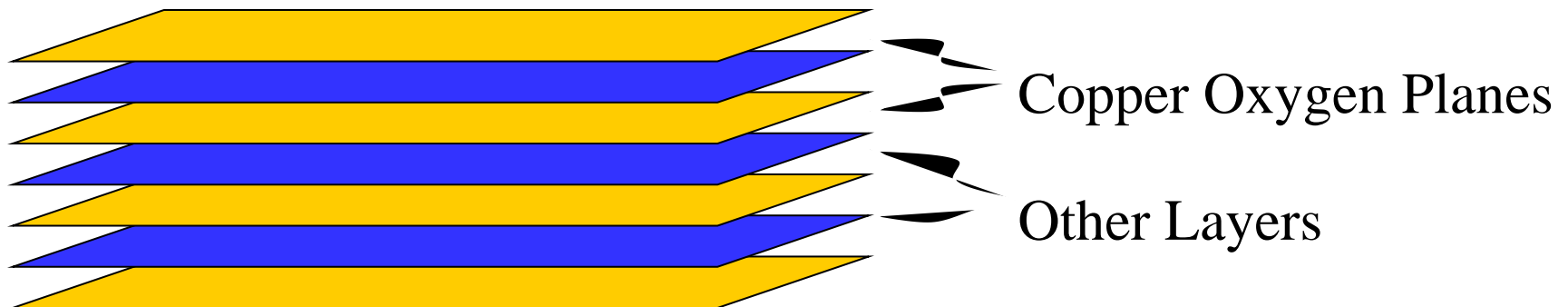
LSCO



YBCO

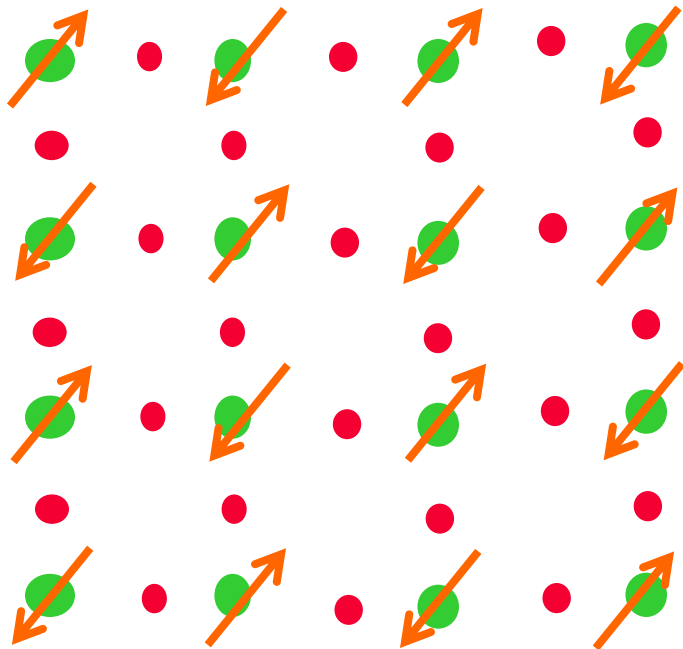


HgCuO



Layered structure \rightarrow quasi-2D system

High T_c Superconducting Cu-oxides

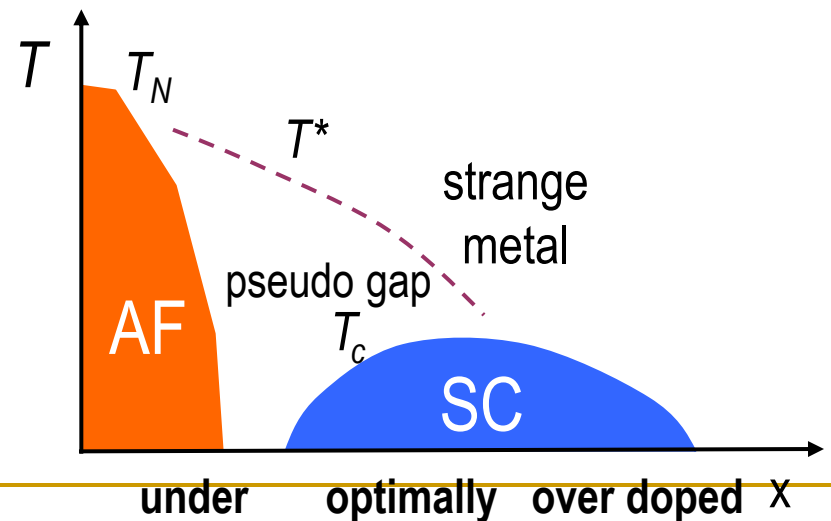


● Oxygen ● Copper

CuO_2 Planes Important, “Undoped” is half-filled antiferromagnetic state, Naive band theory fail to describe strongly correlated system

Chemical doping introduces carriers: superconductivity

- Near magnetic insulator
- D-wave pairing symmetry.
- Metallic not simple Fermi liquid

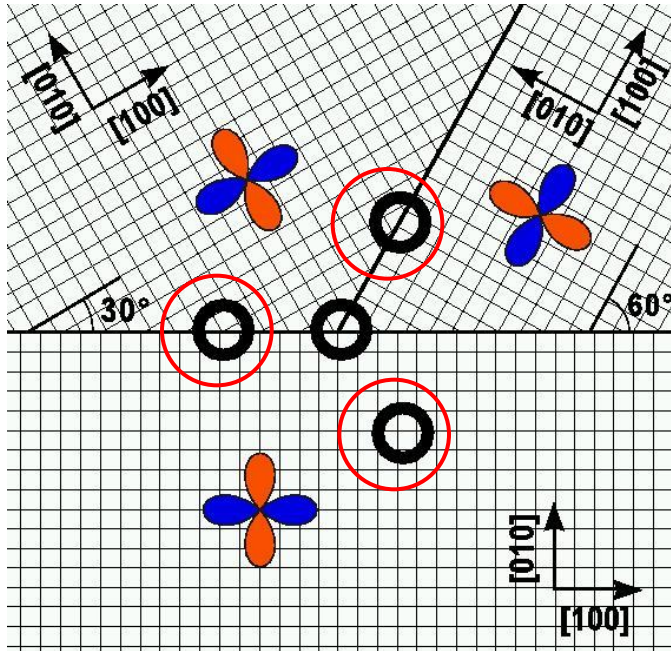


Generic Phase Diagram

Gap Symmetry of High Temperature Superconductors

$d_{x^2-y^2}$ symmetry

Tri-Crystal Geometry



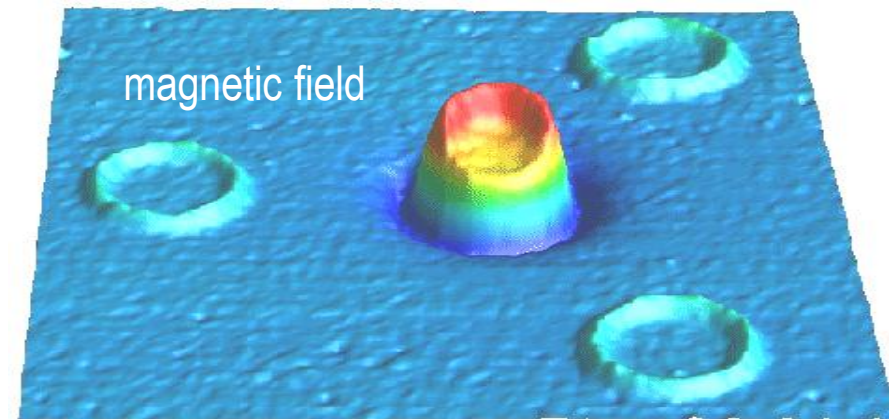
Tsuei, Kirtley Chi et al. (1995)

Superconducting loop $\phi = 60 \mu\text{m}$
 $\text{YBa}_2\text{Cu}_3\text{O}_7$ $T_c = 92 \text{ K}$

Odd number of π -shifts



frustrated loops lead to
a current in groundstate



SQUID-scanning-microscope
measures the magnetic field
that results from the current

Highly challenging problem

Many talented physicists tried to understand high T_c , including over 10 Nobel laureates. Not yet solved

Mysteries of High Temperature Superconductivity

- Ceramic superconductors, not metallic
- Magnetism nearby (antiferromagnetism)
- Very robust against randomness
- Don't follow BCS theory

Doped Antiferromagnetic Mott Insulator



Hole Motion is Frustrated



Basic or minimum model for high T_c

---- Anderson 87'; Zhang & Rice, 88

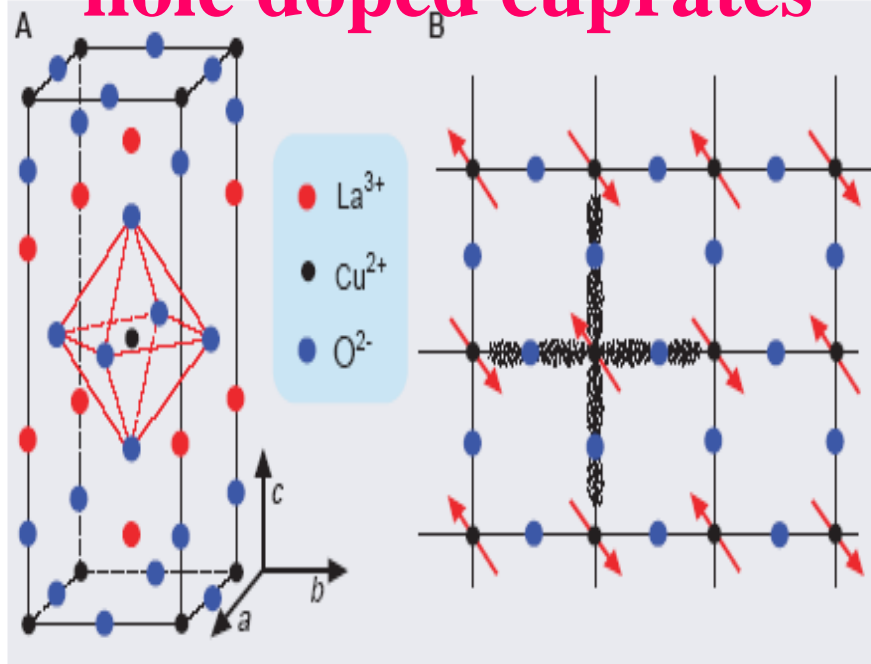
Merit:

- reproduce AFM-Mott insulator for the half-filling;
- agreements with many expts.:
 - photoemission, quasiparticle;
 - neutron scattering, spin fluctuation;
 - interband gap in infrared optical.

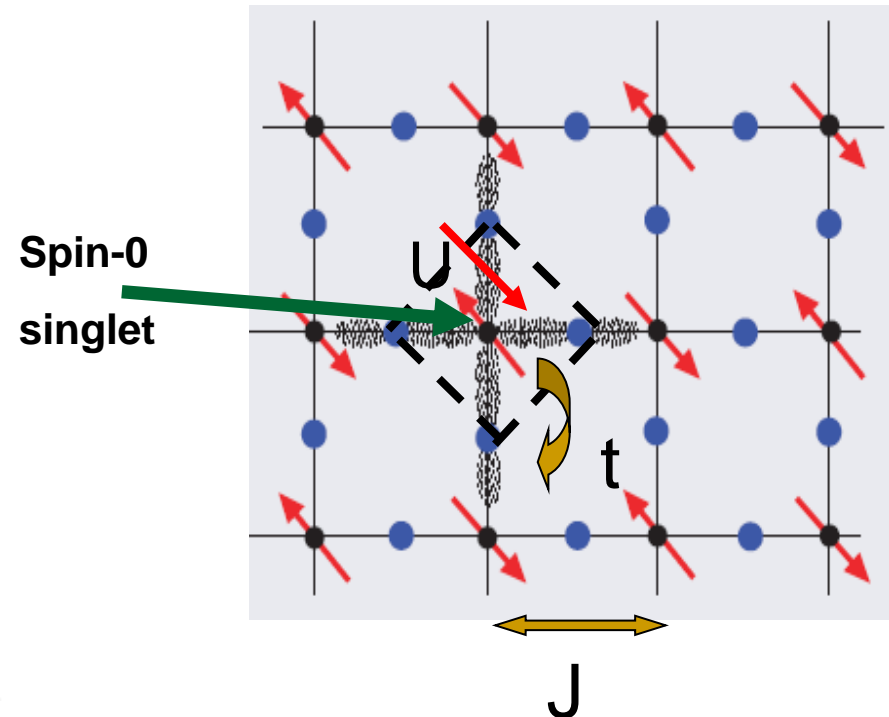
Challenging problem in theory:

doped Mott insulator, perturbation does not work

Zhang-Rice Singlet – charge carrier in hole doped cuprates



Crystal structure and electronic structure of undoped cuprates



doped with one additional hole--- Zhang & Rice, 1988

Plain Vanilla Version of RVB

(low T theory for high T_c based on ground state and elementary excitations)

Anderson, Lee, Randeria, Rice, Trivedi, Zhang, 2004

Hamiltonian:

$$H = -t \sum_{\langle i,j \rangle} c_{i,\sigma}^+ c_{j,\sigma} + J \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j$$

Constraint: 0, or 1 electron each site

State: $|RVB\rangle = P|BCS\rangle$ P: Projection to keep
0 or 1-el at each site

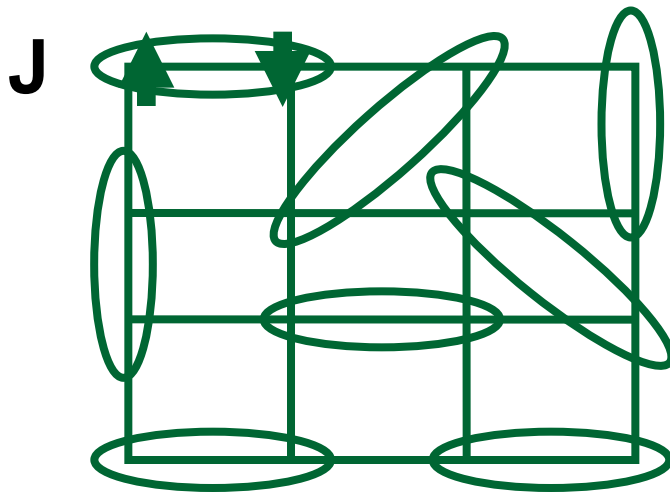
RVB = resonant valence bond

1. Model
2. Variational calculations (numerical or mean field)
3. Results

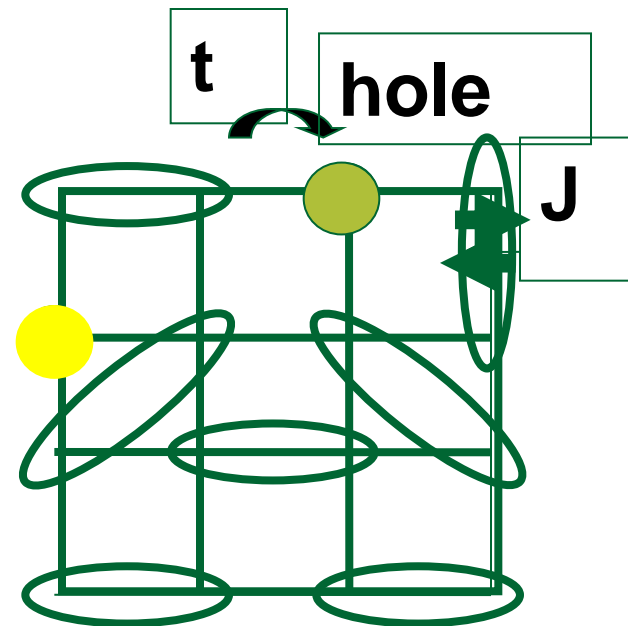
magnetic singlet (valence bond)

$$\text{—} = |\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle$$

Quantum spin liquid



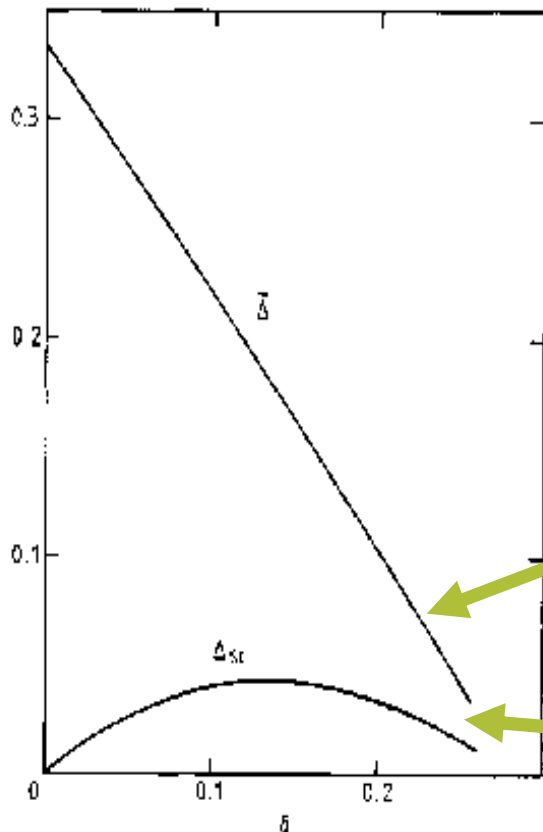
A snap shot of
RVB insulator



Doped RVB
(Supercond)

Pseudogap v.s. SC order

Theory (1988)



$$\Psi_{RVB}^{q.p.} = P \Psi_{BCS}^{q.p.}$$

$$E_k = \sqrt{\xi_k^2 + (\tilde{\Delta}(\cos k_x - \cos k_y))^2}$$

$$\Delta_{SC} = g_t \tilde{\Delta} \approx 2\delta \tilde{\Delta}, g_t = \frac{2\delta}{1+\delta}$$

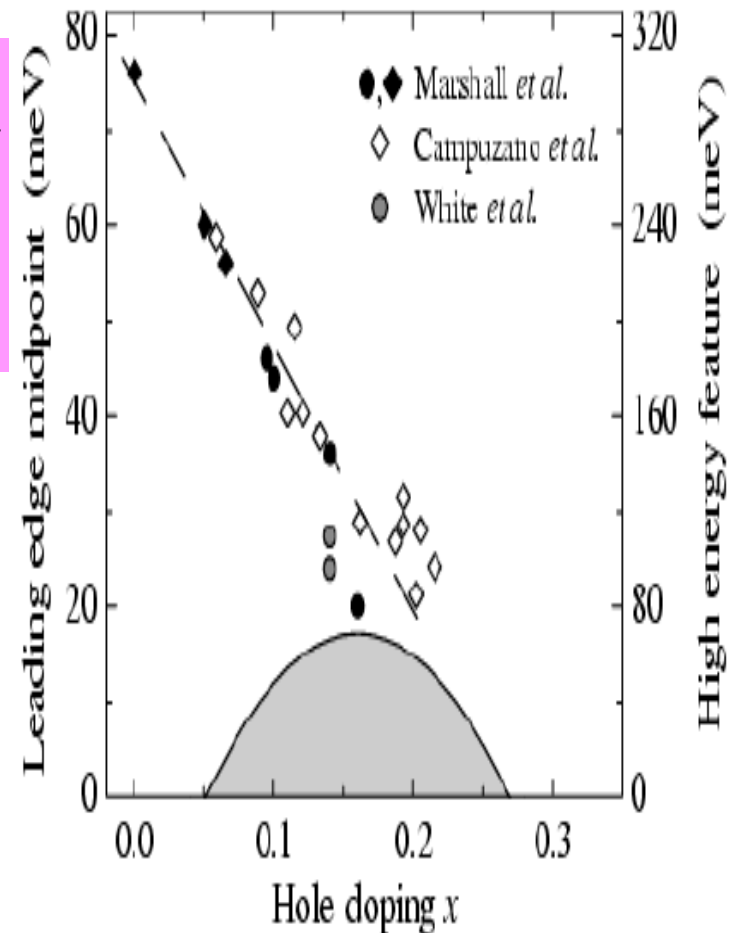
amplitude of
excitation
energy

SC order
parameter

hole
concentration

$$t/J = 5$$

Experiment



Fe-based superconductivity

- Doped LaAsFeO, BaFe₂As₂, FeSe ...
- T_c as high as 55K, next to Cu-oxides
- Multi-orbitals, multi Fermi pockets
- Pairing symmetry: likely anti-phase s-wave
- Likely due to magnetic interaction, similar to Cu-oxides, but strength is weak

Room temperature superconductivity

- This remains to be the dream task for material scientists working on superconductivity. Huge possible applications.
- We may need new ideas from young people like you.

Even and odd parities of Cooper pair

- A Cooper pair: 2 electrons, total wavefunction antisymmetric with interchange electrons:
spin = 0, even parity;
spin = 1, odd parity
- Conventional superconductors, high T_c cuprates and pnictides all have even parity, the total spin of two electrons is zero.
- P-wave superconductivity ?

Superfluid helium -3

helium-3 contains 2 protons and 1 neutron, so it is a fermion. No electric charge

At very low T, superfluid. Discovery: **David M. Lee, Douglas D. Osheroff and Robert C. Richardson** ; Nobel prize 1996

Theory: **Anthony Leggett**, Nobel prize 2003

- In astrophysics
- Pairing in fermionic ultracold atoms

^3He - Isotope with Fermionic Nucleus $2p+1n$

effective mass $m^*/m \approx 4$
 spin susceptibility: $\chi^*/\chi \approx 9$ }

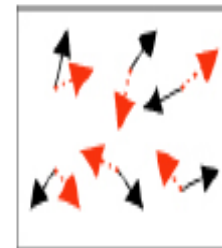
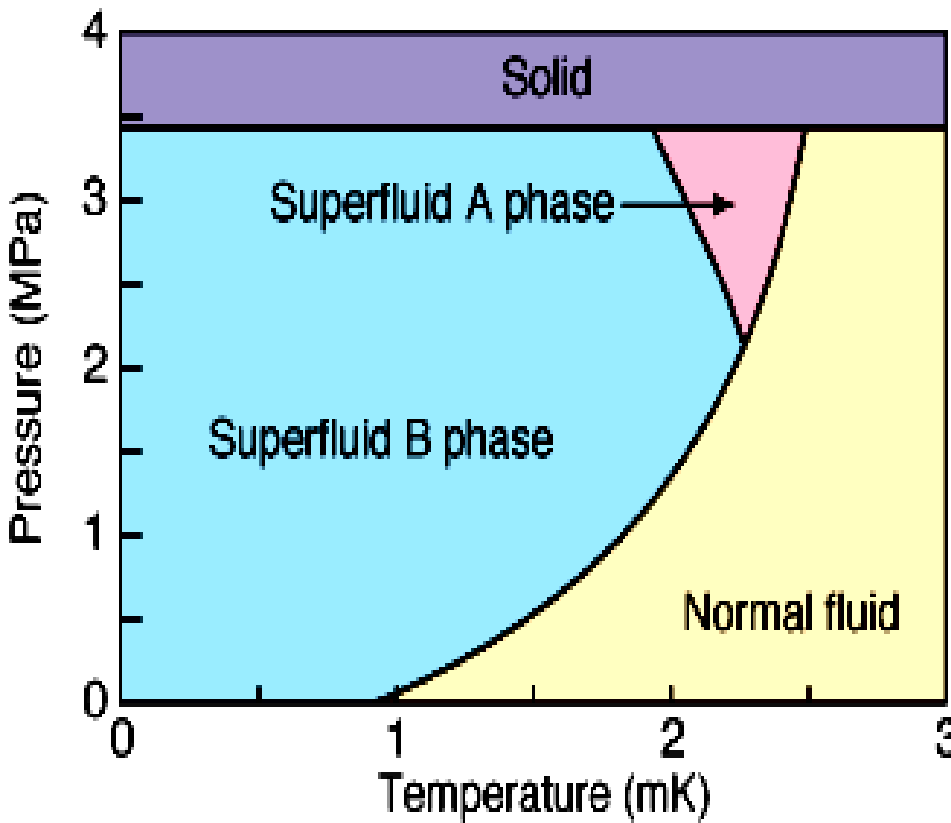
strong correlations
close to solid phase

Superfluid : p-wave, 1971

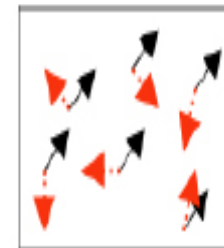
$$\Delta_{\sigma,\sigma_1}(\vec{k}) = \Delta_0(k_x + ik_y)(\uparrow\downarrow + \downarrow\uparrow)/\sqrt{2}$$

Lee, Osheroff & Richardson

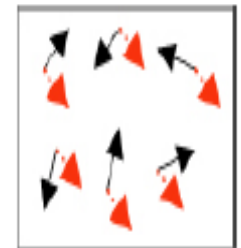
Cooper pairing: odd parity spin triplet
 $\ell = 1$ $S = 1$



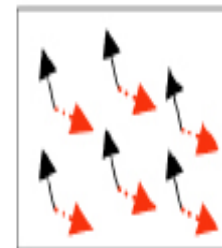
(a)



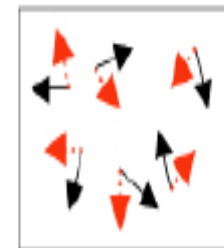
(b)



(c)



A-phase: time reversal broken



(e) B phase

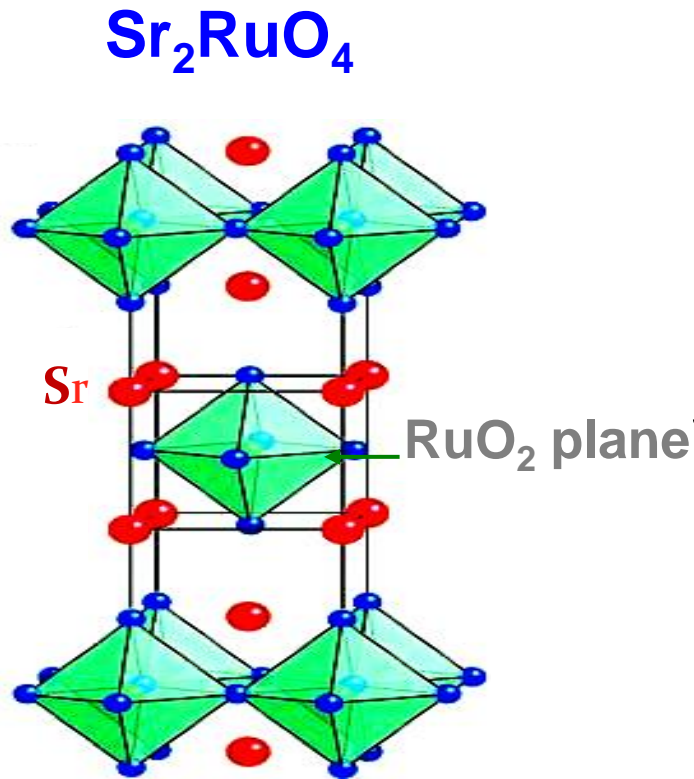
black arrow
 spin; red arrow:
 orbital

B-phase

Ruthenate

Highly anisotropic, 2D

Y. Maeno, et al. (1994)



- Likely p-wave superconductivity
- $(P_x + iP_y)$
- Evidence for odd parity,
- time reversal symmetry broken,
But edge current has not been seen.

Same 2D crystal
structure as cuprate 214

Integer Quantum Hall Effect

VOLUME 45, NUMBER 6

PHYSICAL REVIEW LETTERS

11 AUGUST 1980

New Method for High-Accuracy Determination of the Fine-Structure Constant Based on Quantized Hall Resistance

K. v. Klitzing

*Physikalisches Institut der Universität Würzburg, D-8700 Würzburg, Federal Republic of Germany, and
Hochfeld-Magnetlabor des Max-Planck-Instituts für Festkörperforschung, F-38042 Grenoble, France*

□1980 年 Klitzing教授在强磁场下MOS
晶体管二维电子气中发现整数量子霍尔
效应。

1985

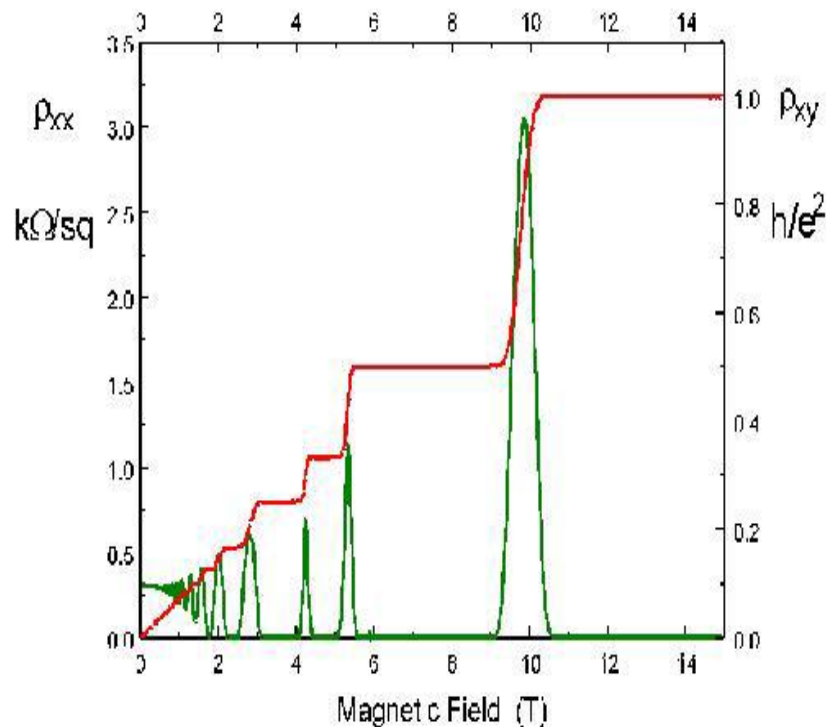
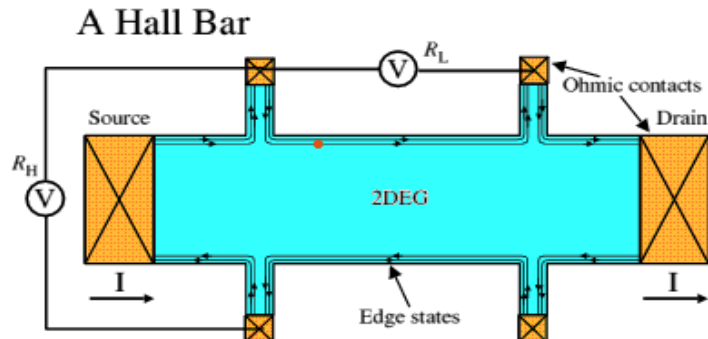


Klaus von Klitzing

The Nobel Prize in Physics 1985 was awarded to Klaus von Klitzing
"for the discovery of the quantized Hall effect".

http://www.nobelprize.org/nobel_prizes/physics/laureates/1985/

Quantum Hall effect



$$\rho_{xy} = R_Q / n \quad n = \text{integer}$$

□ R_Q Quantized resistance

$$R_Q = h / e^2 = 25.812\,807 \text{ k}\Omega$$

Geometry and topology

拓扑等价

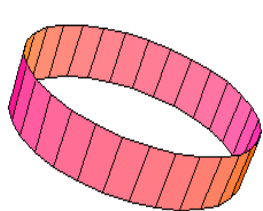


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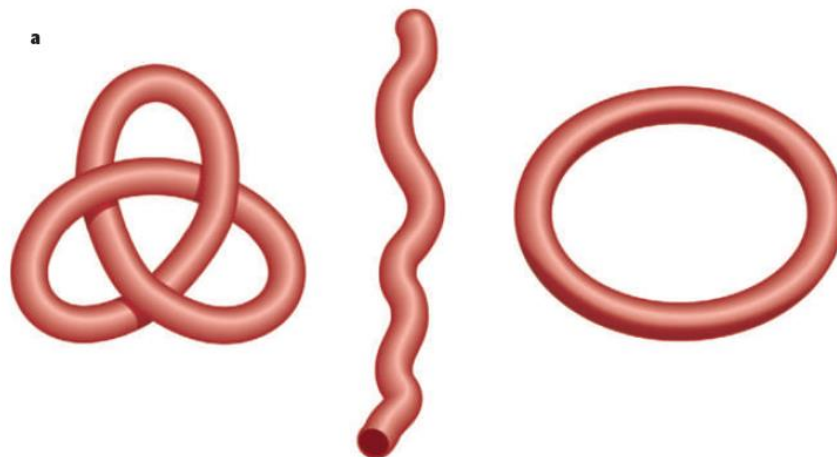
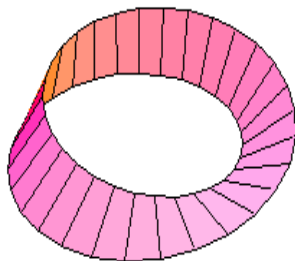


www.ripit.com/

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Topological superconductor & quantum Hall or quantum spin Hall effects



Just beginning of research in topological
insulator/superconductor

Search for Majorana particles
particle = its antiparticle.

Summary

- Superconductivity, a remarkable phenomenon. Basic understanding of “conventional superconductivity”
- High temperature superconductivity, remain a major challenge in physics. Related physics: Mott insulator, spin liquid, ...
- Chiral p-wave superconductivity, Sr_2RuO_4 topological superconductor, ... new challenges



FIG. 40 (Top row) Schematic comparison of 2D chiral superconductor and QH state. In both systems, TR symmetry is broken and the edge states carry a definite chirality. (Bottom row) Schematic comparison of 2D TR invariant topological superconductor and QSH insulator. Both systems preserve TR symmetry and have a helical pair of edge states, where opposite spin states counter-propagate. The dashed lines show that the edge states of the superconductors are Majorana fermions so that the $E < 0$ part of the quasiparticle spectrum is redundant. In terms of the edge state degrees of freedom, we have symbolically $\text{QSH} = (\text{QH})^2 = (\text{Helical SC})^2 = (\text{Chiral SC})^4$.



*Working Together We Can
Advance
Into Higher Orbit
And Beyond*

Thanks for
your attention

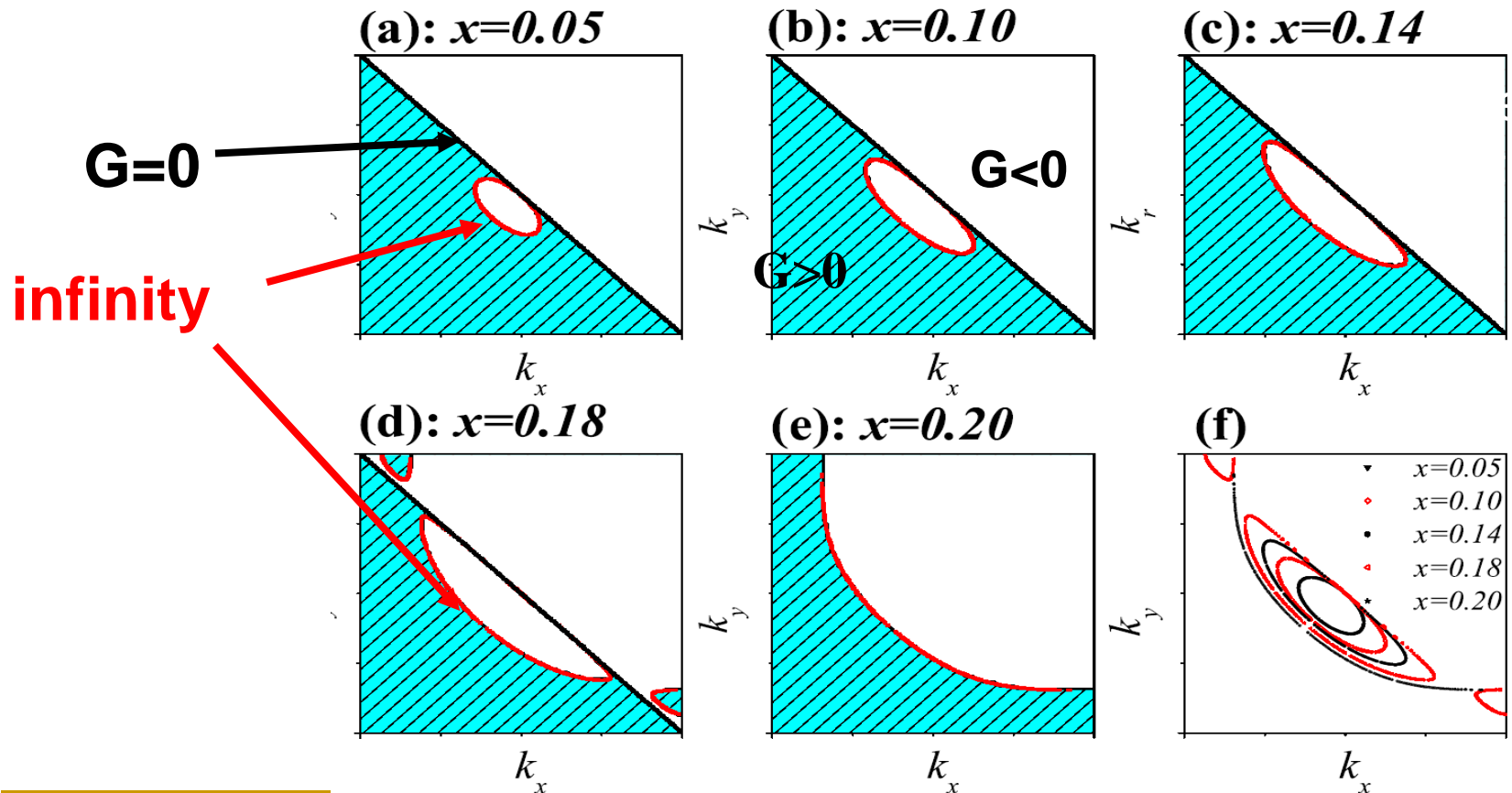


**Pseudogap states in high T_c cuprates:
Yang- Rice-Zhang theory
Review Article (2011)**

A Phenomenological Theory of the Anomalous
Pseudogap Phase in Underdoped Cuprates

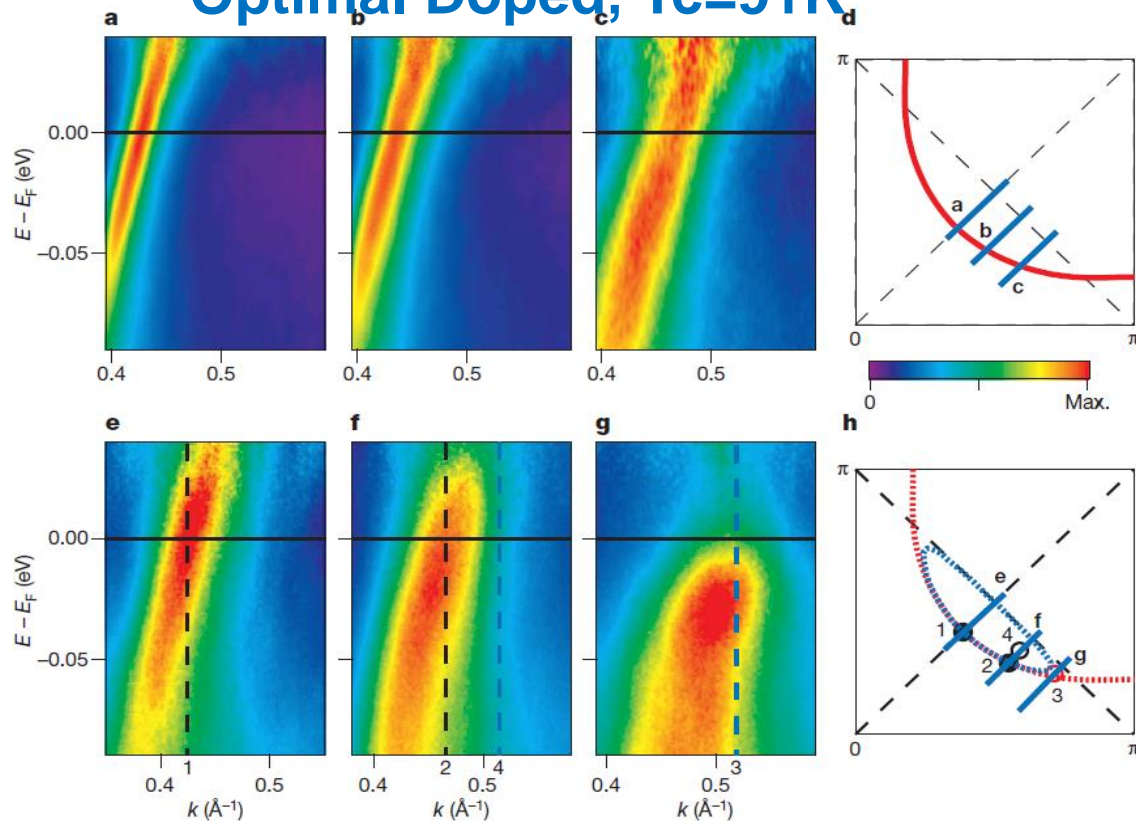
Very different from normal metal

Fermi surface evolution ($E=0$) from YRZ

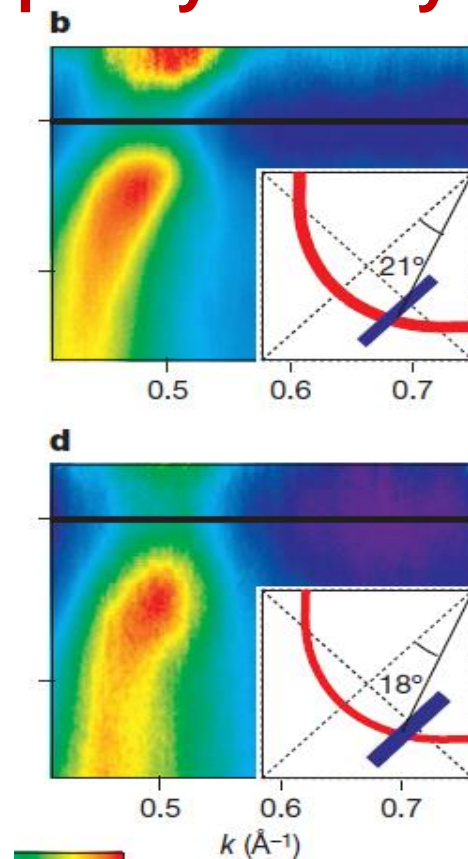


Normal state spectra around node from ARPES: particle-hole asymmetry in BSCCO

T = 140K (normal state)
Optimal Doped, $T_c=91K$



SC State:
p-h symmetry



Under-doped: $T_c=65K$

Arcs not related to thermal effect of nodal SC

**H. B. Yang, P. Johnson et al.,
Nature, 2008**

YRZ appears to explain many experiments for the underdoped cuprates:

ARPES,

STM,

Raman,

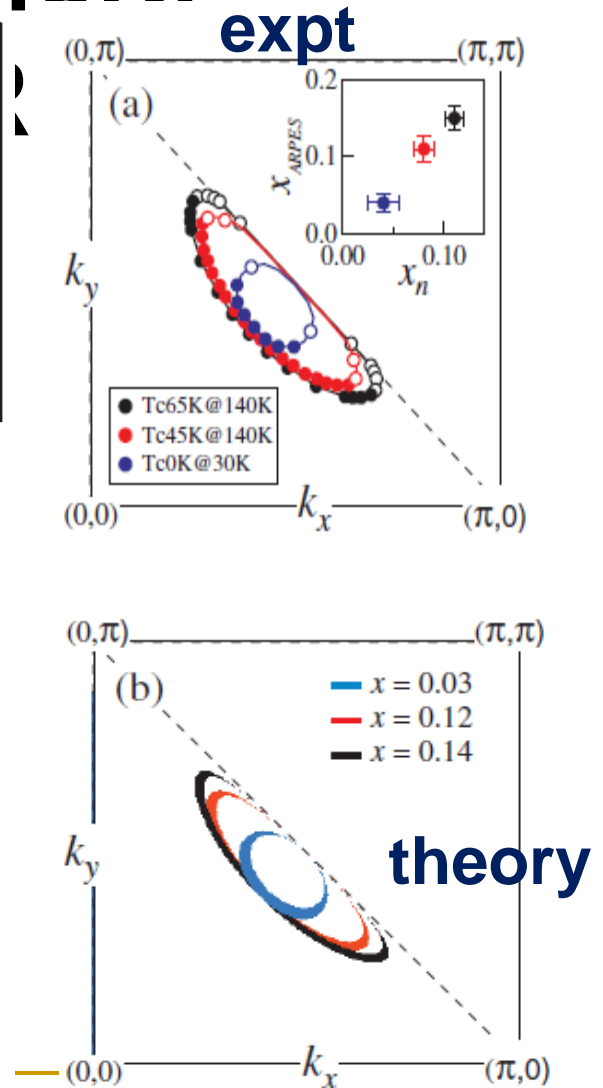
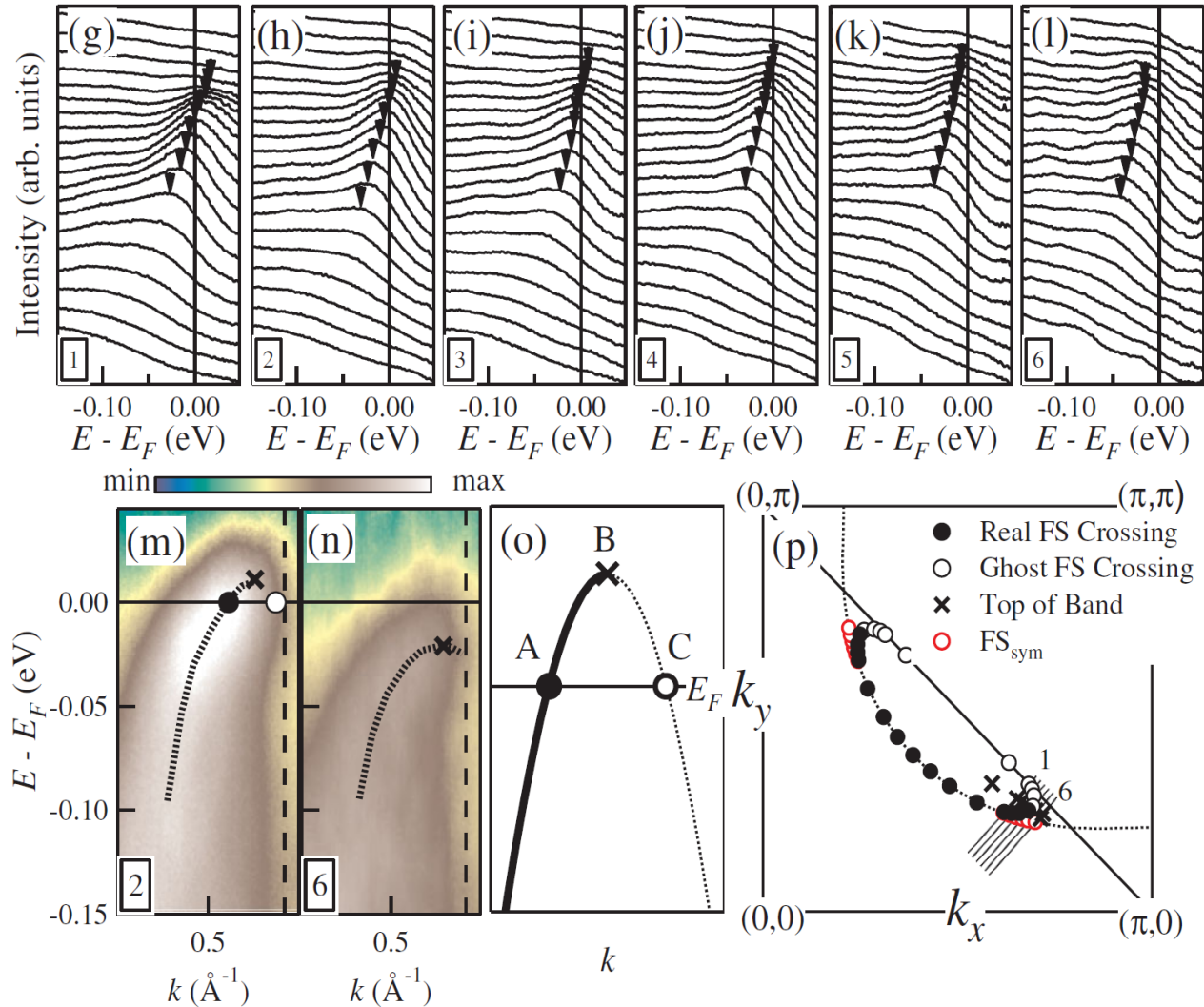
Specific heat,

Penetration depth,

Infrared,

Andreev reflection

■ AREPS on Bi2212 of underdoped $T_c=65K$ at 140K.



YRZ appears to explain many experiments for the underdoped cuprates:

ARPES,

STM,

Raman,

Specific heat,

Penetration depth,

Infrared,

Andreev reflection
