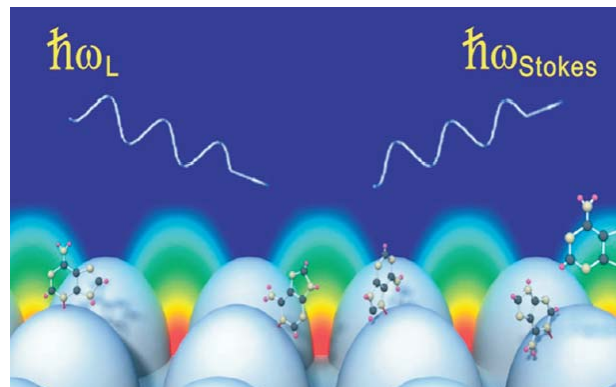
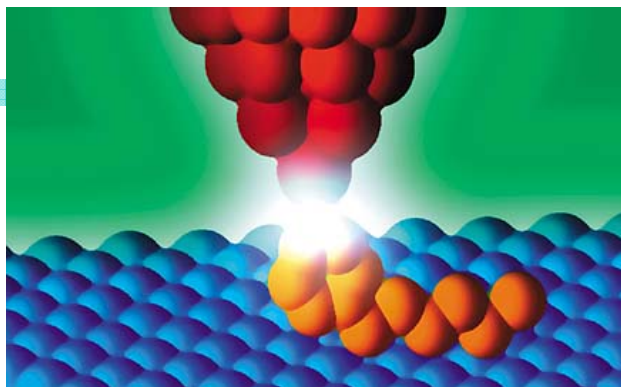


Nanoprobe enhanced optical spectroscopy



Juen-Kai Wang

Center for Condensed Matter Sciences, National Taiwan University
Institute of Atomic and Molecular Sciences, Academia Sinica

April 10, 2008

Collaborators

Dr. Juen-Kai Wang, CCMS, NTU

● Scattering-type SNOM

- Dr. Jen-You Chu (朱仁佑博士) (ITRI)
- Dr. Jyi-Tyan Yeh (葉吉田博士) (ITRI)
- Mr. Ming-Wei Lin (林明為) (ITRI)
- Mr. Tien-Jen Wang (汪天仁) (ITRI)
- Mr. You-Chia Chang (張祐嘉) (ITRI)

● SERS-active substrates

- Dr. Yuh-Lin Wang (王玉麟博士) (IAMS)
- Dr. Chih-Yi Liu (劉志毅博士) (IAMS)
- Mr. Huai-Hsien Wang (王懷賢) (IAMS)
- Dr. M. M. Dvoynenko (IAMS)
- Prof. Chi-Hung Lin (林奇宏) (NYMU)
- Dr. Ting-Ting Liu (劉婷婷博士) (NYMU)

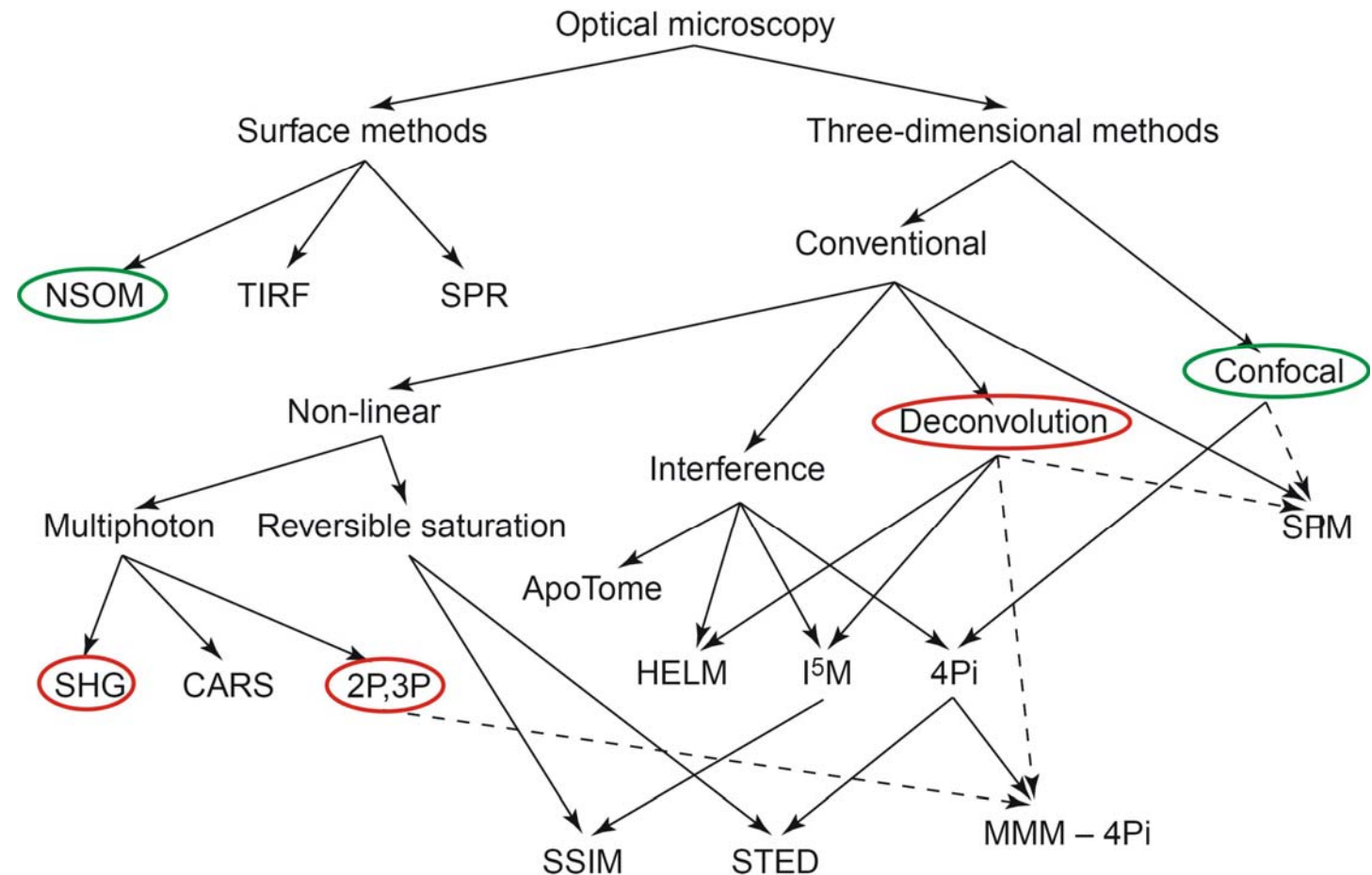
Optical microscopy

Dr. Juen-Kai Wang, CCMS, NTU

- Rayleigh criteria:

- $d_{x,y} = 0.61\lambda/NA$

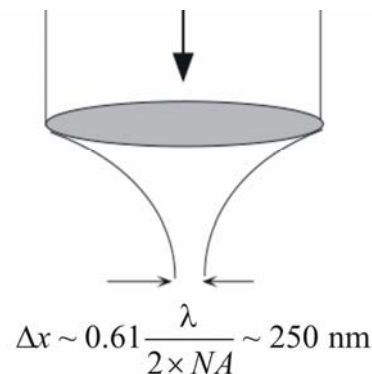
- $d_z = 2\lambda/NA^2$



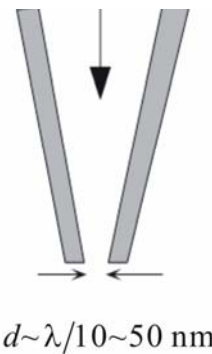
Comparison of optical microscopes

Dr. Juen-Kai Wang, CCMS, NTU

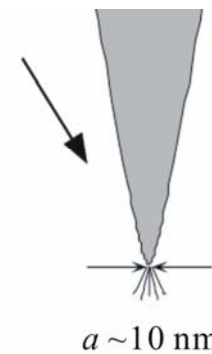
- Performing optical spectroscopy in nanometer scales is one of the critical steps in the development of nanoscience and nanotechnology.
- Two key issues in characterization in nanometer scales:
 - Nanometer-scaled resolution
 - Signal amplification
- New physics involving light-matter interaction in nanometer scales need to be developed.



classical
diffraction-limited



aperture SNOM
aperture-limited



scattering SNOM
tip-limited

Lycurgus Cup in Roman times

Dr. Juen-Kai Wang, CCMS, NTU



The glass appears green in daylight (reflected light), but red when the light is transmitted from the inside of the vessel.

*The Lycurgus Cup, Roman (4th century AD), British Museum
F. E. Wagner et al., Nature 407, 691 (2000).*

Stained glasses

Dr. Juen-Kai Wang, CCMS, NTU

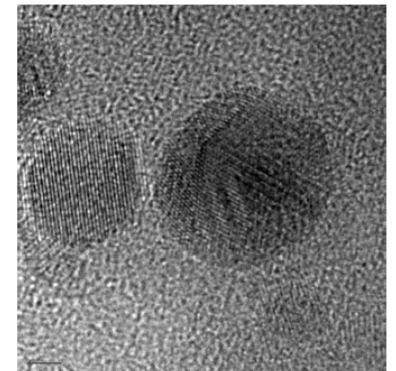
Reynard the Fox

early 15-th century, *Holy Cross Church*



The Ascent of Elijah

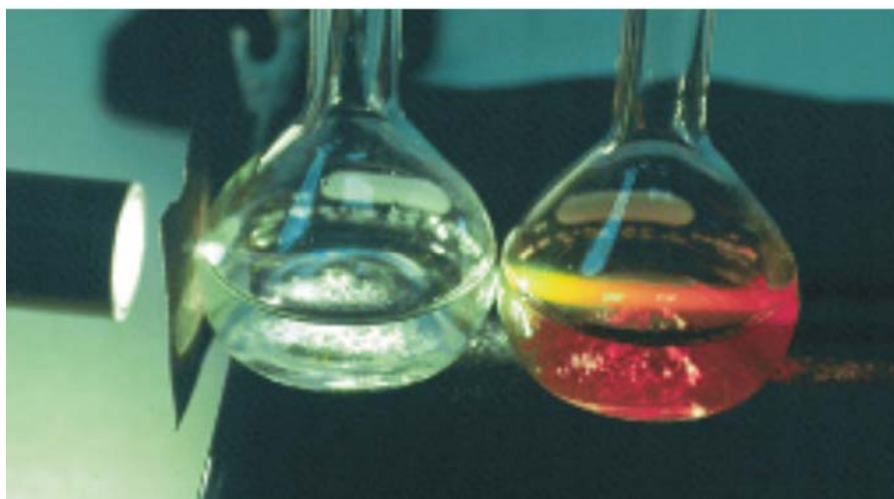
c.1863, *Trinity Methodist Church*



Faraday's works on Au nanoparticles

Dr. Juen-Kai Wang, CCMS, NTU

Faraday-Tyndall Effect



A solution of
gold chloride

Gold colloids



Faraday's slides

Prepared in 1856, in conjunction
with Faraday's research on finely-
divided gold

(The Royal Institution of Great Britain)

M. Faraday, *Philos. Trans. R. Soc. London* **147**, 145 (1857).

R. D. Tweney, Department of Psychology, Bowling Green State University, USA (personal.bgsu.edu/_tweney).

Scattering by a metal sphere

Dr. Juen-Kai Wang, CCMS, NTU

Induced dipole by the applied field

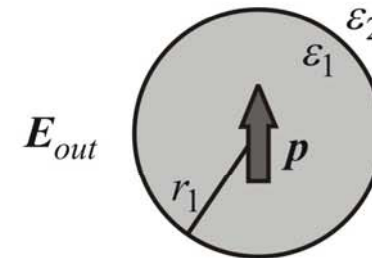
$$\mathbf{E}_{out} = E_0 \mathbf{e}_z + \frac{\epsilon_1 - \epsilon_2}{\epsilon_1 + 2\epsilon_2} \frac{r_1^3}{r^3} E_0 (2 \cos \theta \mathbf{e}_r + \sin \theta \mathbf{e}_\theta)$$

$$\Downarrow$$

$$\mathbf{p} = \epsilon_2 \alpha E_0$$

Effective dipole inside the sphere

$$\alpha = 4\pi r_1^3 \frac{\epsilon_1 - \epsilon_2}{\epsilon_1 + 2\epsilon_2}$$



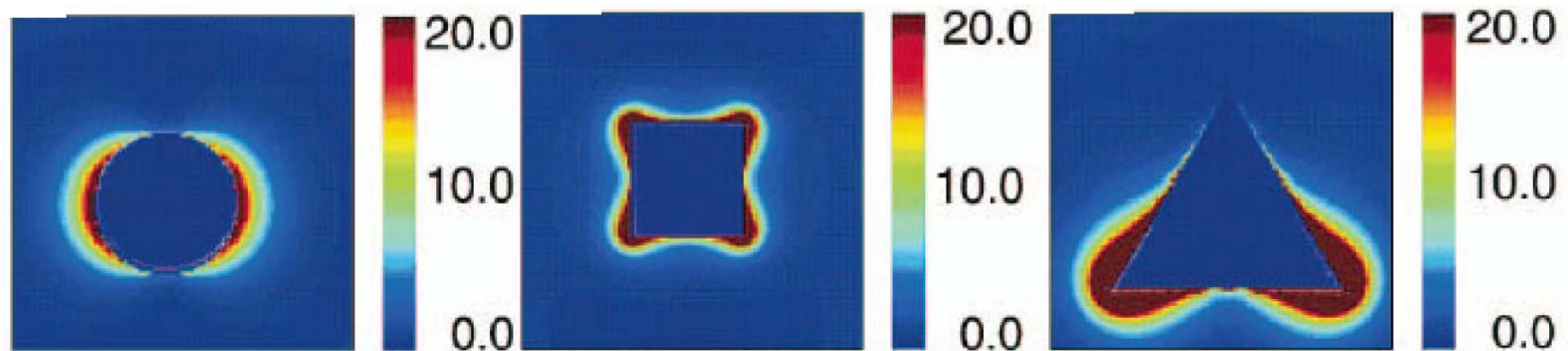
Near-field radiation power from $\mathbf{p}(t)$ (near-field scattering cross section)

$$C_{sca}(r) = \int_0^{2\pi} d\theta \int_0^\pi d\phi |\mathbf{E}|^2 r^2 \sin \theta = \frac{\alpha^2}{6\pi} \left(\frac{3}{r^4} + \frac{k^2}{r^2} + k^4 \right) \quad \mathbf{E}: \text{the near-field electric field by } \mathbf{p}(t)$$

$$C_{sca}^{NF} = C_{sca}(r) = \frac{\alpha^2}{6\pi} \left(\frac{3}{r_1^4} + \frac{k^2}{r_1^2} + k^4 \right)$$

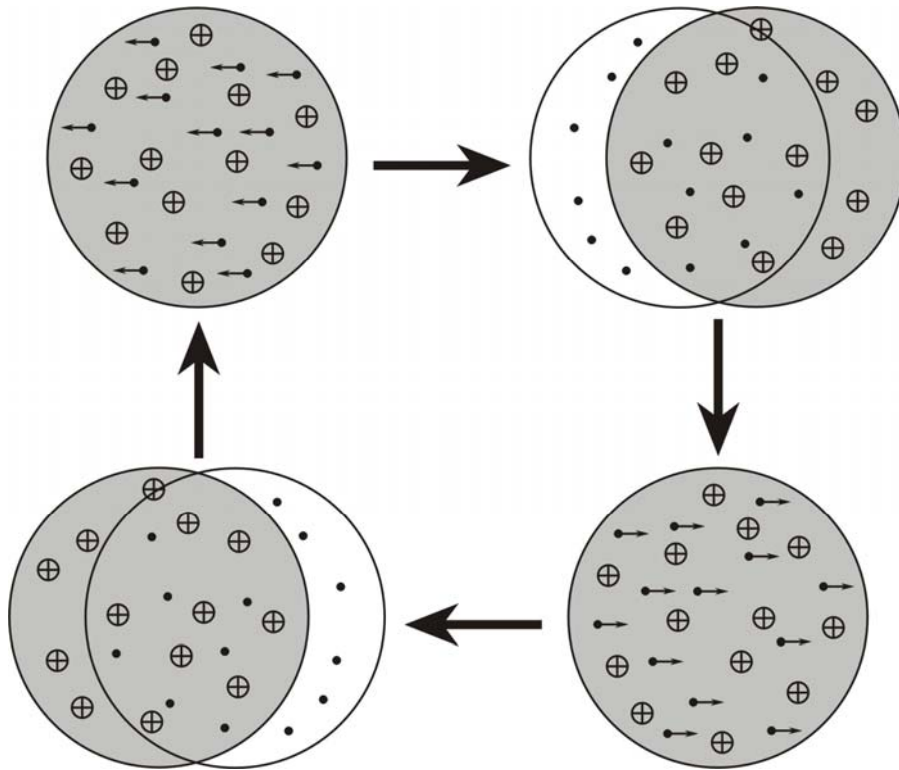
Enhanced field around nanoparticles

Dr. Juen-Kai Wang, CCMS, NTU

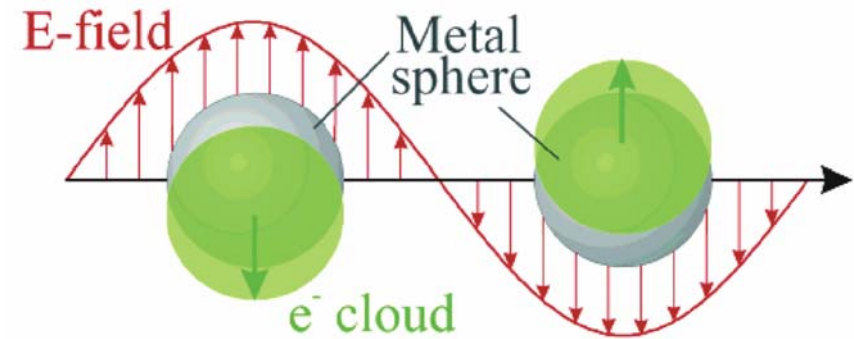


Electron collective motion in metal clusters

Dr. Juen-Kai Wang, CCMS, NTU



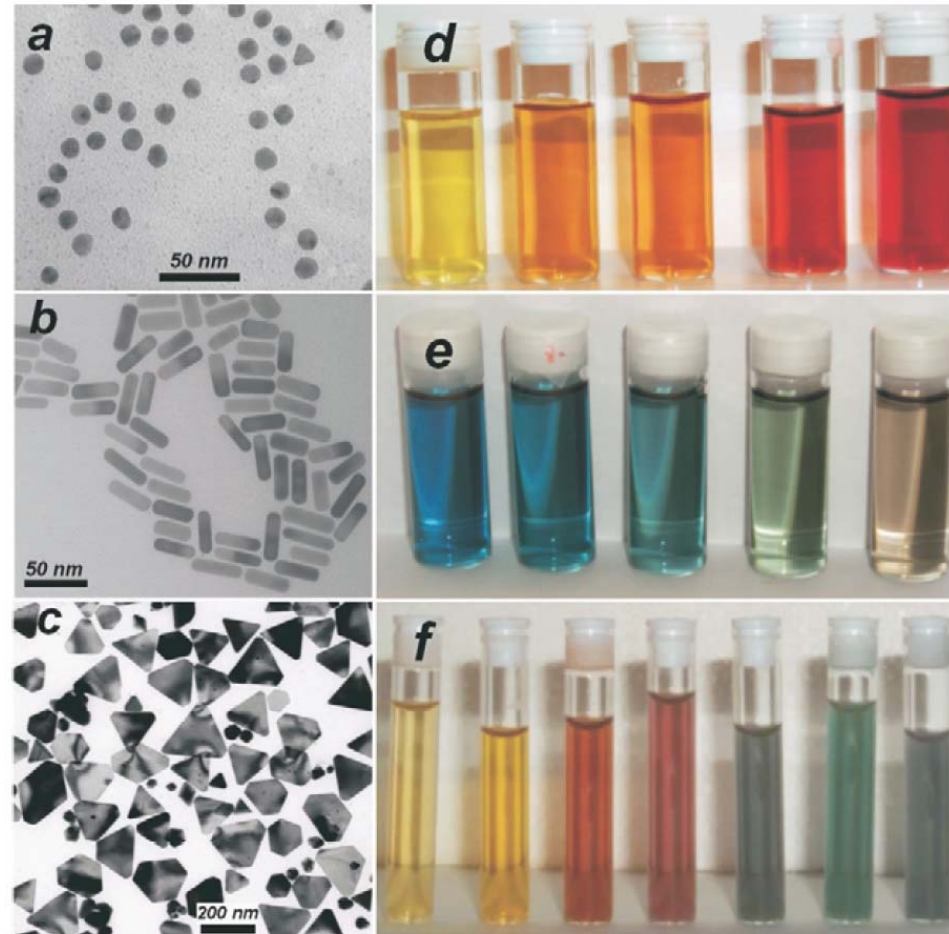
Coherent oscillatory motion



Resonant excitation

Colors in nanometals

Dr. Juen-Kai Wang, CCMS, NTU



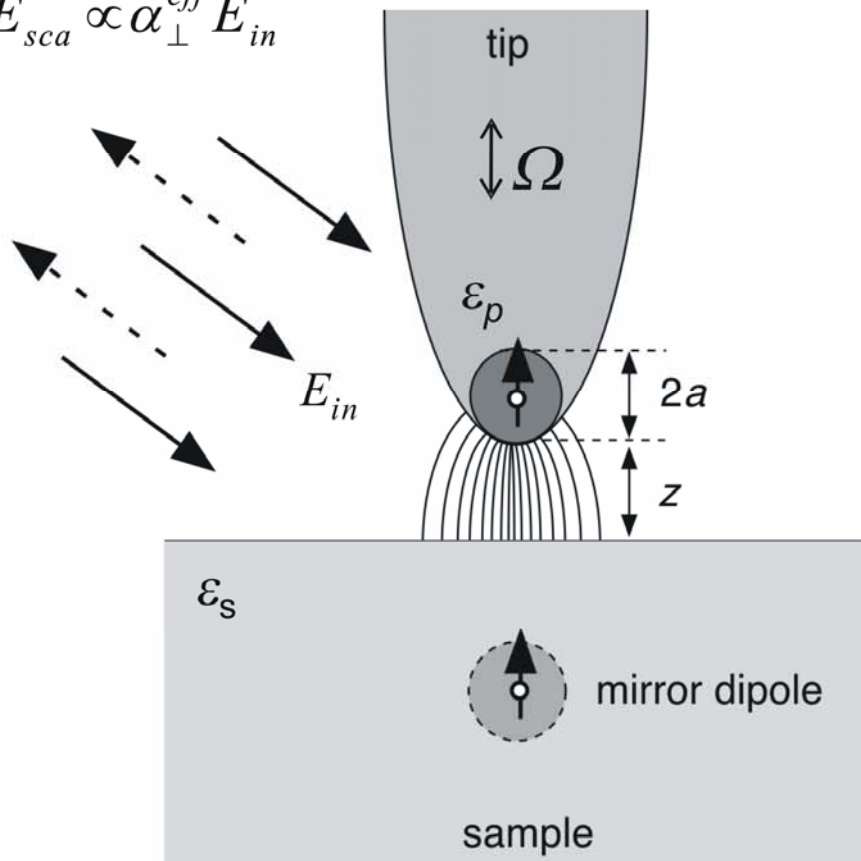
L. M. Liz-Marzan, *Materials Today* **26**, February 2004.

Model of tip-sample near-field interaction

Dr. Juen-Kai Wang, CCMS, NTU

Far-field scattering:

$$E_{sca} \propto \alpha_{\perp}^{eff} E_{in}$$



Polarizability of the tip: $\alpha = 4\pi a^3 \frac{(\epsilon_p - 1)}{(\epsilon_p + 2)}$

Effective polarizability of tip and sample:

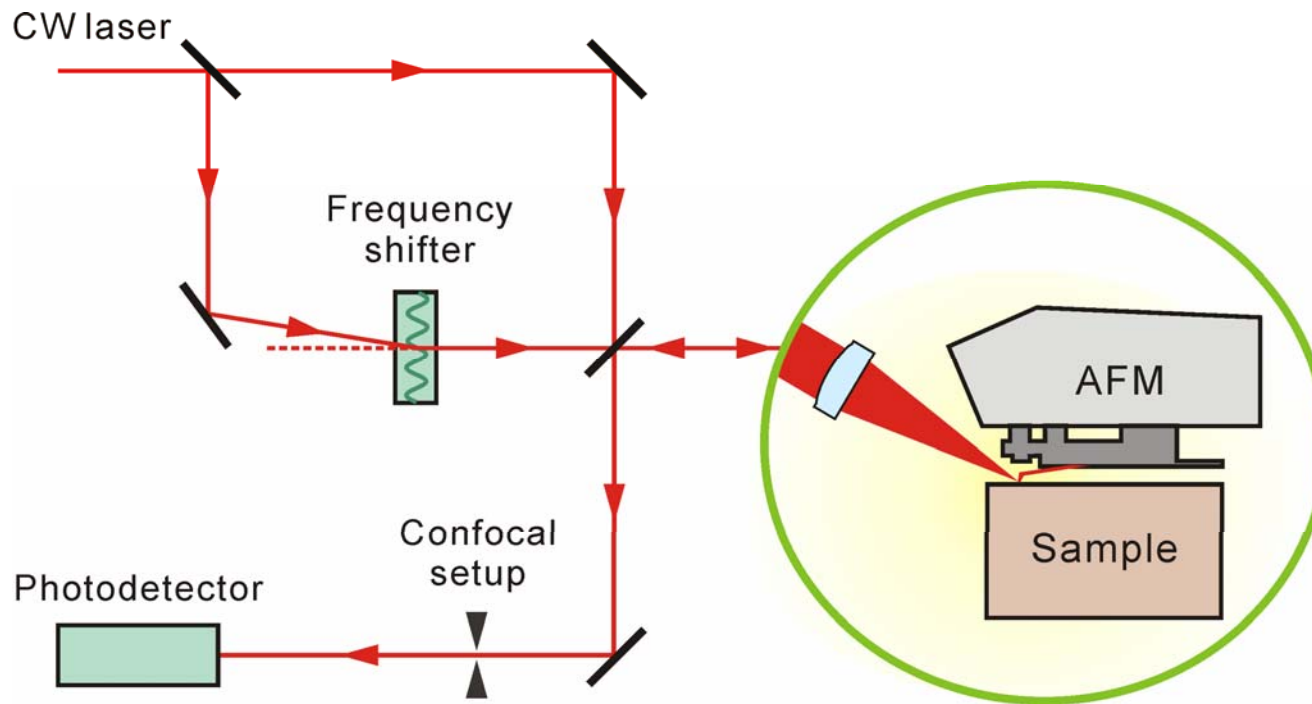
$$\beta = \frac{1 - \epsilon_s}{1 + \epsilon_s}$$

$$\alpha_{\perp}^{eff} = \frac{\alpha(1 + \beta)}{1 - \frac{\alpha\beta}{16\pi(z + a)^3}}$$

Nonlinear term

Schematic layout of s-SNOM

Dr. Juen-Kai Wang, CCMS, NTU

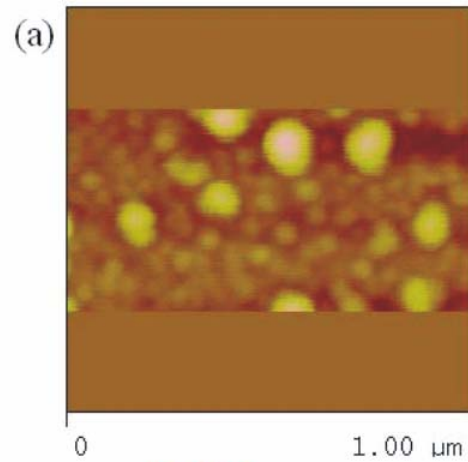


$$S_{\text{det}} \propto I_{\text{sca}} + I_{\text{ref}} + 2\sqrt{I_{\text{sca}} \times I_{\text{ref}}} \cos[(\Delta + n\Omega)t + \varphi], \quad I_{\text{sca}} \sim 10^{-6} I_{\text{ref}}$$

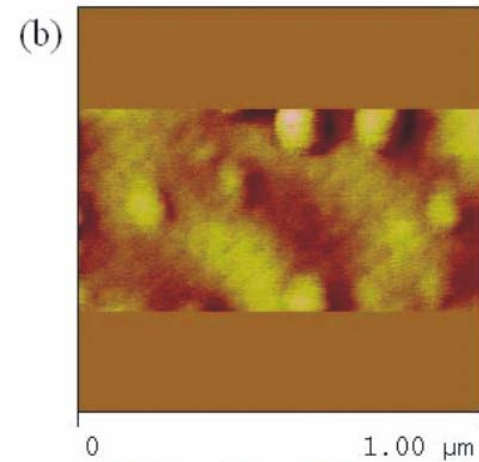
- The s-SNOM achieves ~5 nm resolution, suitable for the near-field studies of plasmonics.
- Performing s-SNOM with different wavelengths is important, because plasmonic properties are wavelength dependent in nature.

Near-field optical images

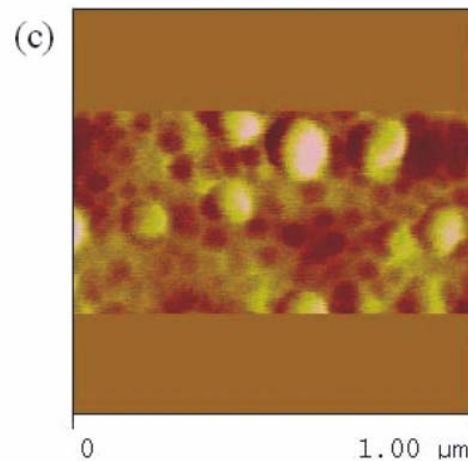
Dr. Juen-Kai Wang, CCMS, NTU



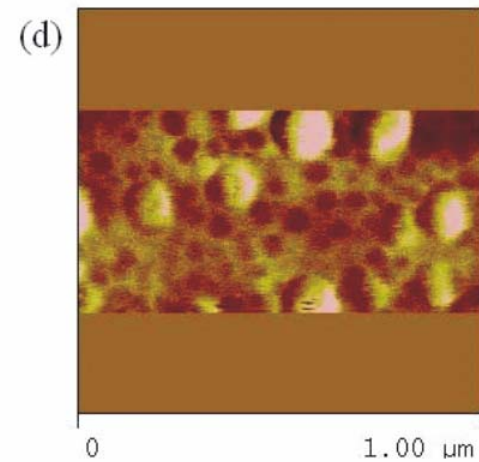
AFM image



2Ω optical image



3Ω optical image

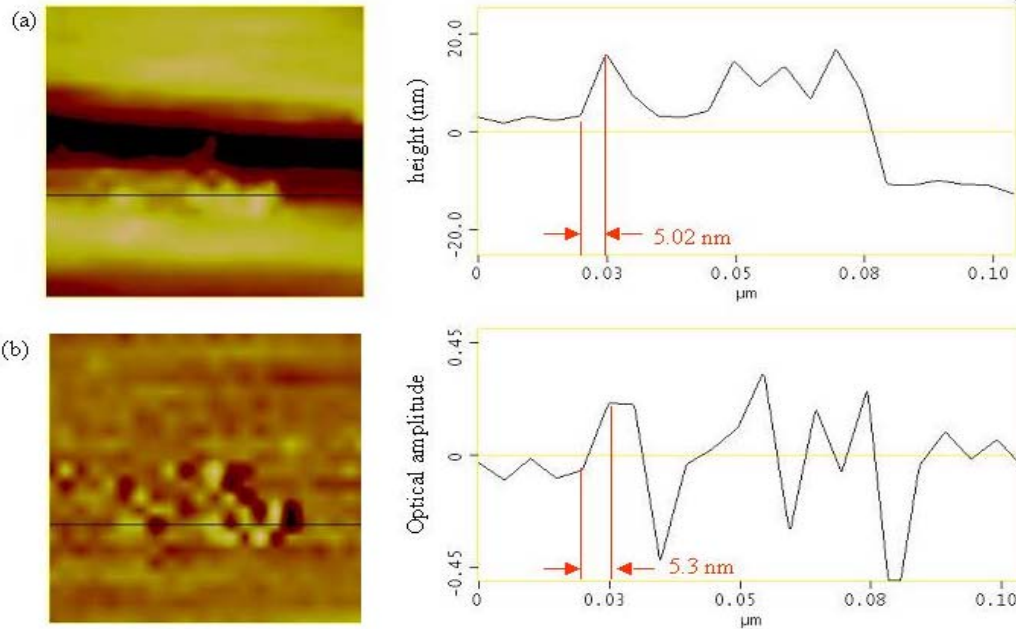


4Ω optical image

Spatial resolution

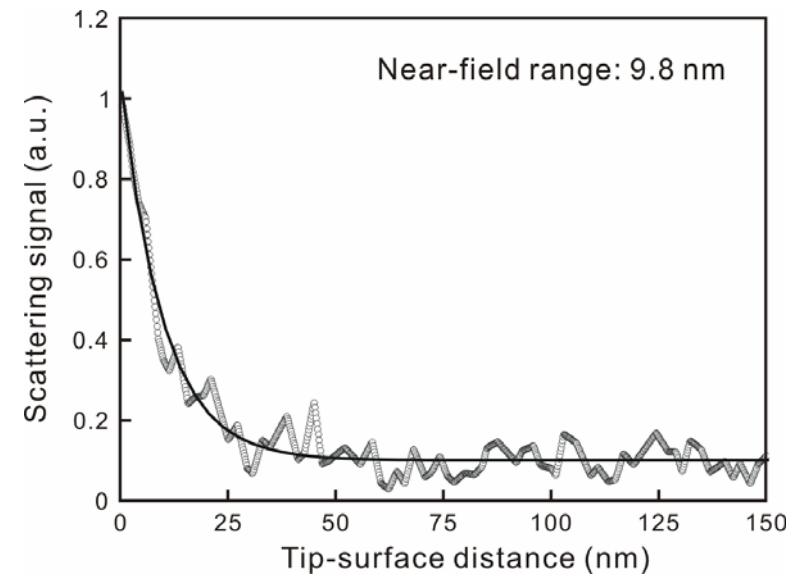
Dr. Juen-Kai Wang, CCMS, NTU

AFM image



s-SNOM image

Lateral resolution: 5 nm

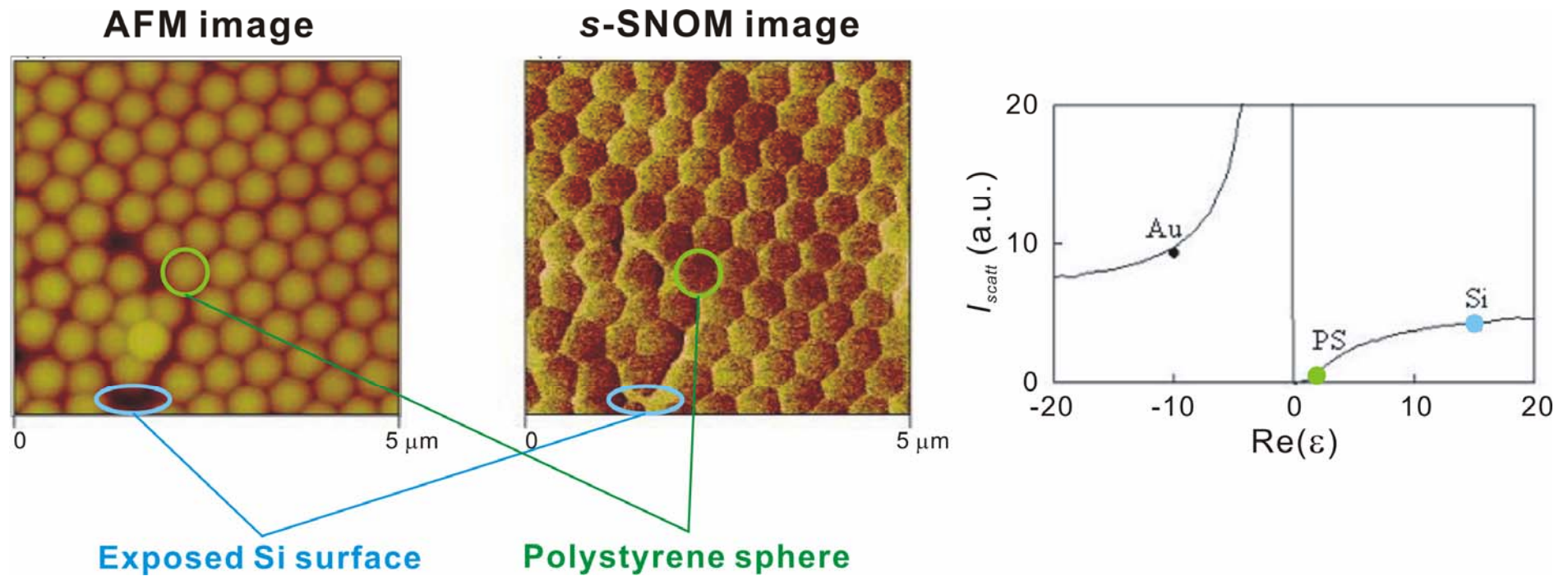


Vertical resolution: 10 nm

Material contrast

Dr. Juen-Kai Wang, CCMS, NTU

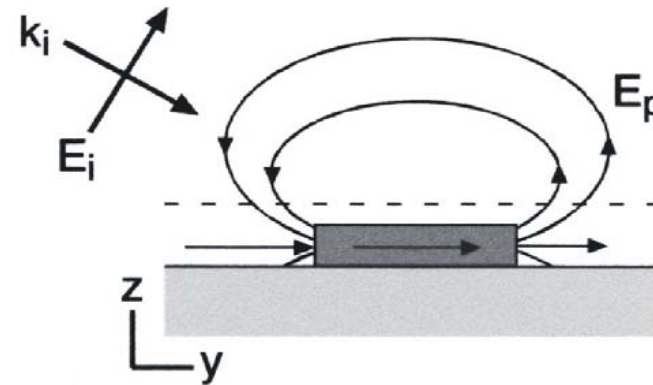
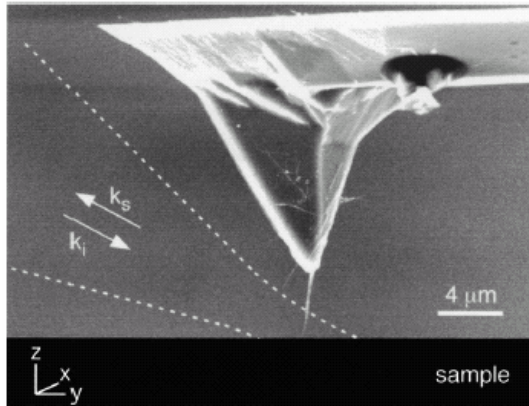
Polystyrene sphere on Si(111)



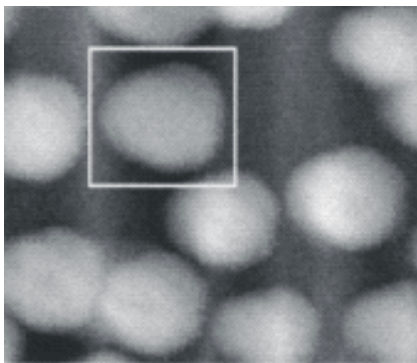
- **Detection limit of Δn : 0.02**

Scattering-SNOM with single CNT

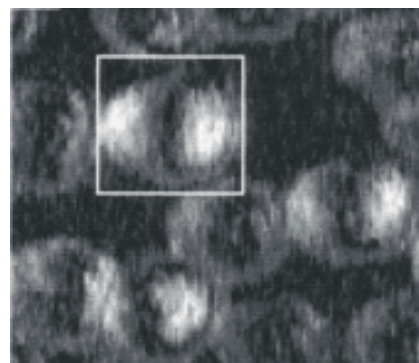
Dr. Juen-Kai Wang, CCMS, NTU



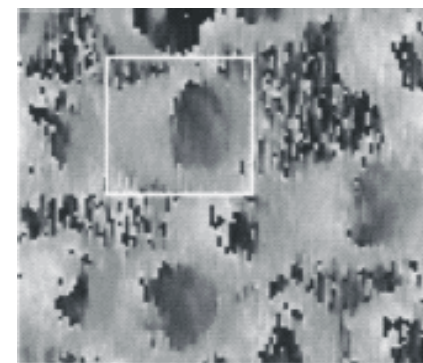
AFM image



Amplitude image



Phase image

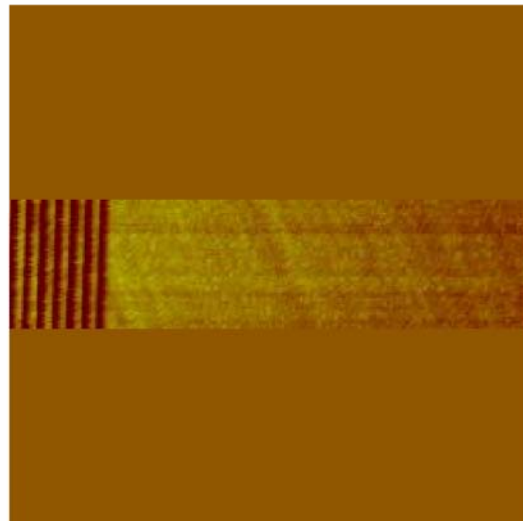


Near field imaging of a linear grating

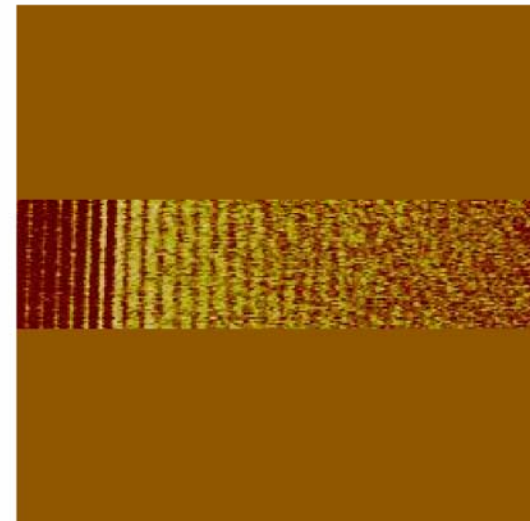
Dr. Juen-Kai Wang, CCMS, NTU

$B //$ groove

AFM image



Amplitude image

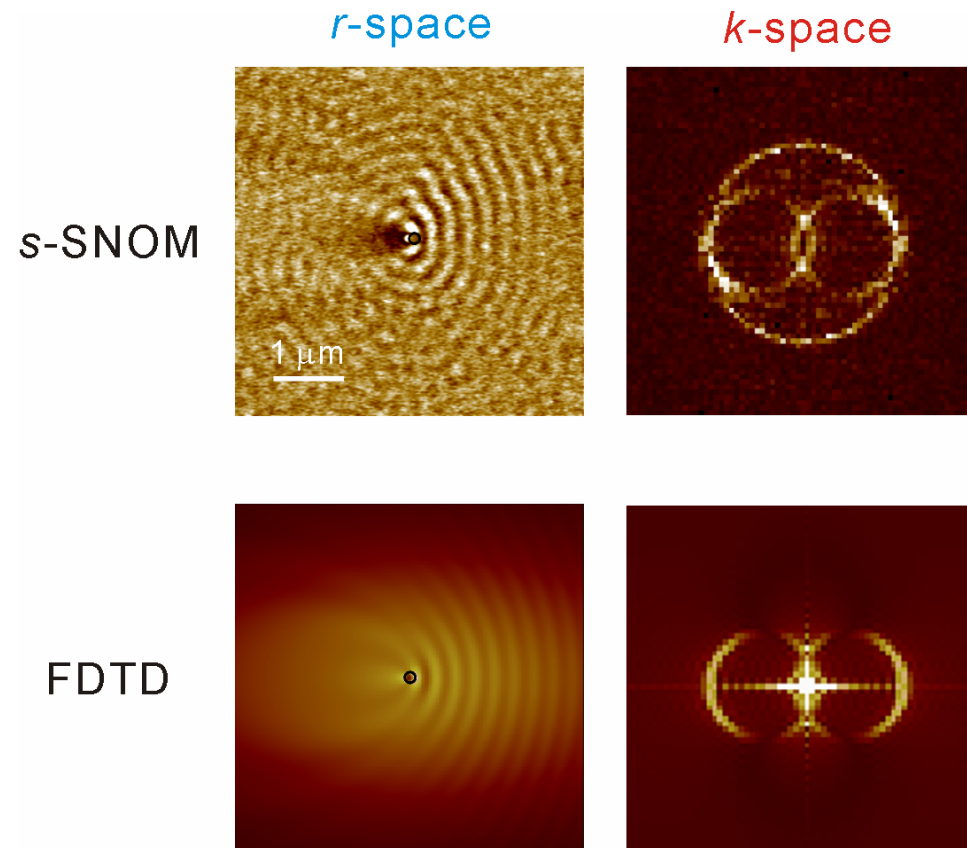


- TM-mode excitation ($B //$ groove) produces interference pattern outside the linear grating, suggesting the generation of surface plasmon wave.
- TE-mode excitation ($B \perp$ groove) gives no interference pattern.

k-space analysis of single nanohole image-1

Dr. Juen-Kai Wang, CCMS, NTU

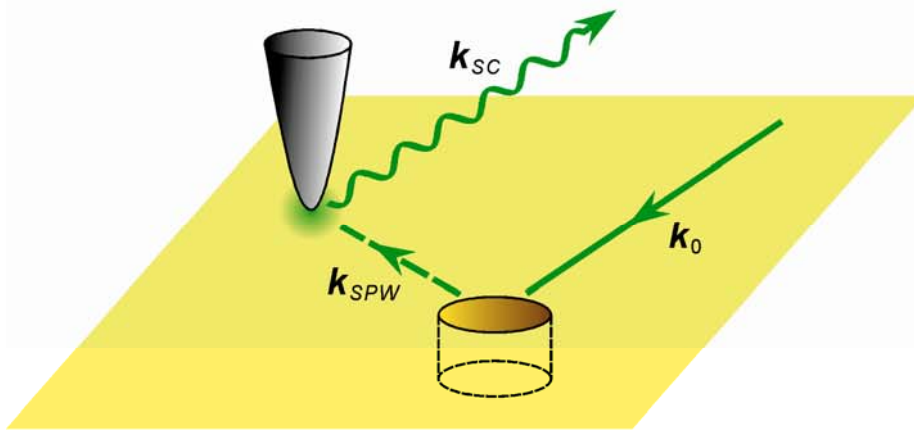
200 nm Ag film
Hole diameter: 150 nm
 $\lambda_{\text{ex}} = 532 \text{ nm}$



- Through Fourier transform, the obtained *k*-space image exhibits one large circle and two center-shifted small circles and does not agree with the one obtained with FDTD method.

Scattered field contributions from a single nanohole

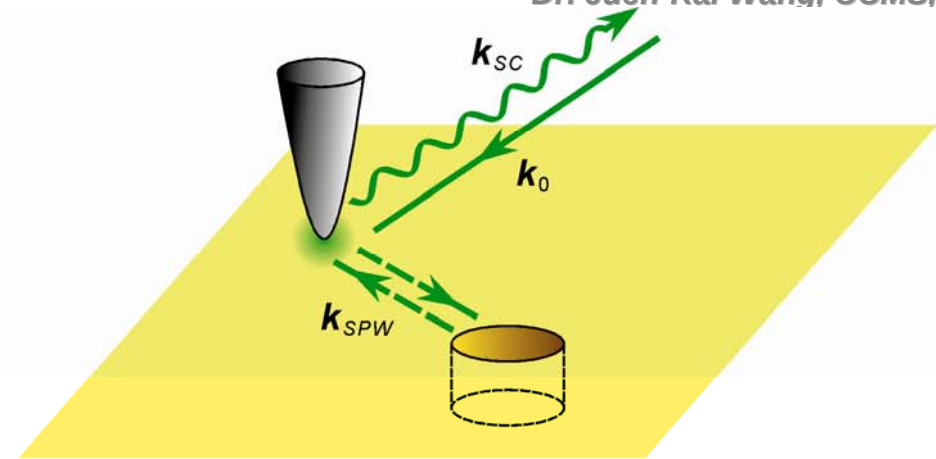
Dr. Juen-Kai Wang, CCMS, NTU



**Nanohole-induced
surface plasmon wave**

$$E_{hole-SPW} = A_{hole-SPW}(\mathbf{r}) \exp[i(-k_0 \sin \theta \mathbf{i} \cdot \mathbf{r} + k_{SPW} \cdot \mathbf{r} + \phi)]$$

$$E_{tip-SPW} = A_{tip-SPW}(\mathbf{r}) \exp[i(2k_{SPW} \cdot \mathbf{r} + \phi')]$$



**Tip-induced
surface plasmon wave**

$$k_{SPW} = k_0 \sqrt{\epsilon_{air} \epsilon_{Ag} / (\epsilon_{air} + \epsilon_{Ag})}$$

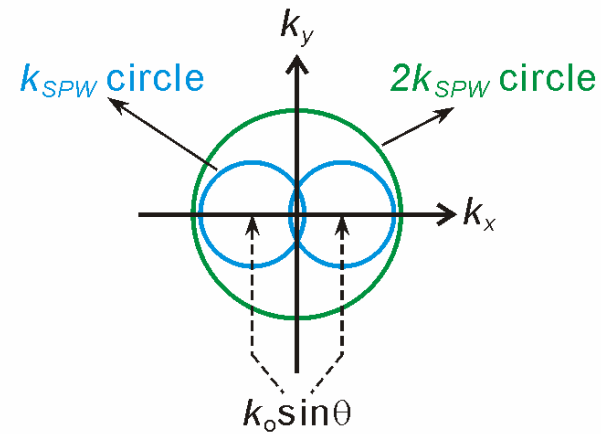
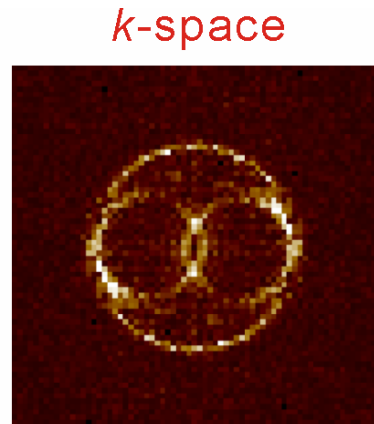
- Nanohole-induced and tip-induced surface plasmon waves
- Both waves contribute to the detected scattering radiation of s-SNOM.

k-space analysis of single nanohole image-2

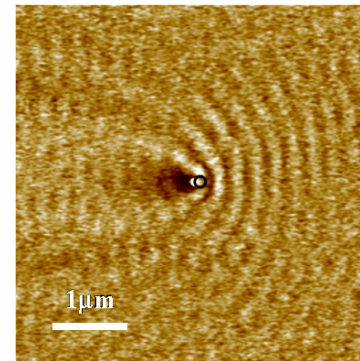
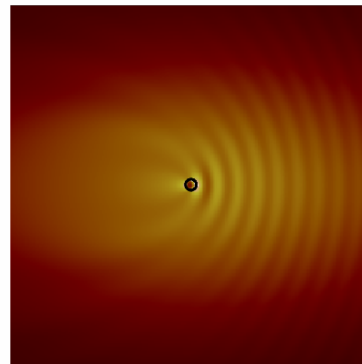
Dr. Juen-Kai Wang, CCMS, NTU

200 nm Ag film
Hole diameter: 150 nm
 $\lambda_{\text{ex}} = 532 \text{ nm}$

s-SNOM



FDTD

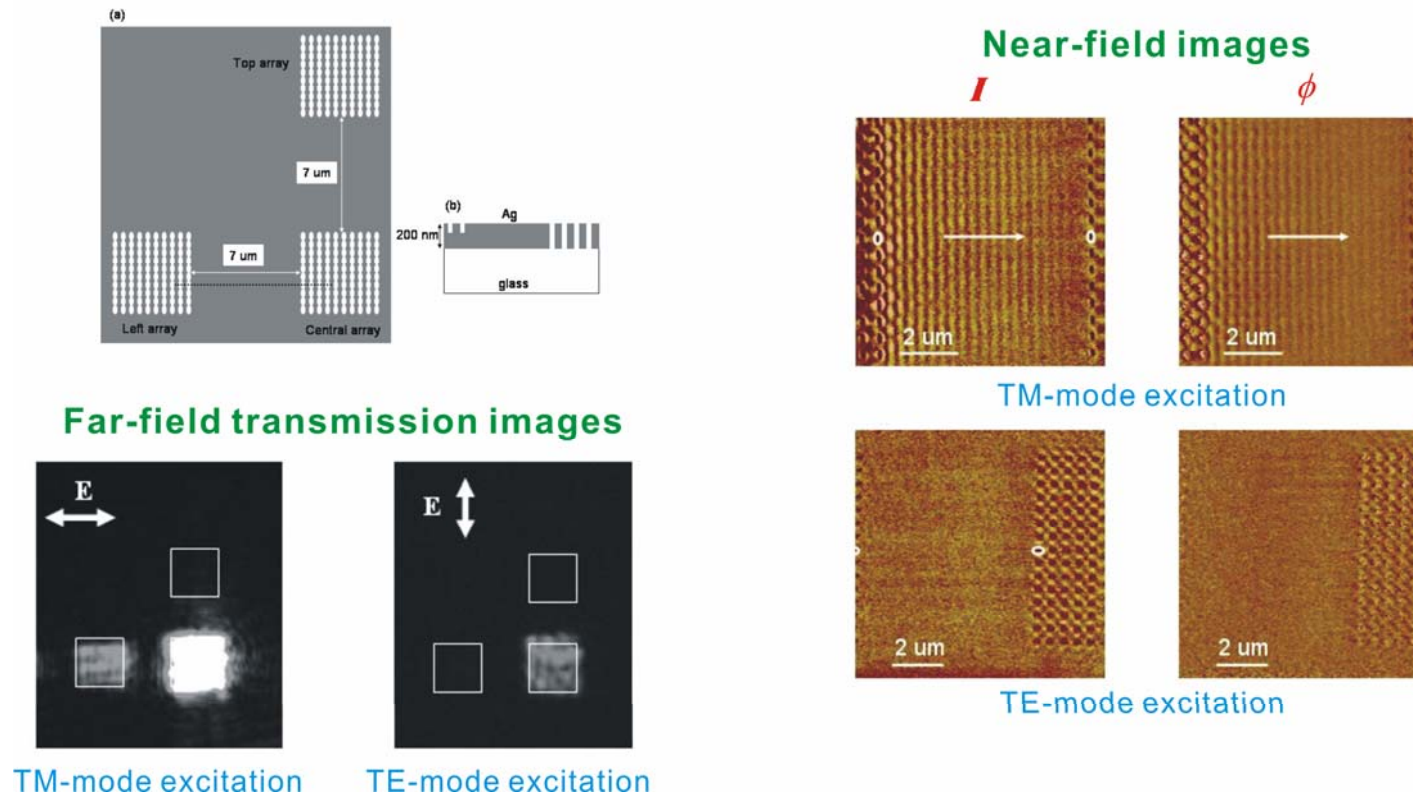


s-SNOM image
w/o $2k_{SPW}$ circle

- The s-SNOM image without the $2k_{SPW}$ circle matches with the image calculated without the tip.

Near-field observation of elliptical hole arrays

Dr. Juen-Kai Wang, CCMS, NTU



- A TM-mode excitation gives an enhanced transmission image and also produces an emission image at an adjacent pattern.
- Clear surface plasmon only exists in TM-mode excitation and prominent dipole field oscillation emerges at each elliptical hole. This confirms that the surface plasmon is the superposition of local plasmon of holes.

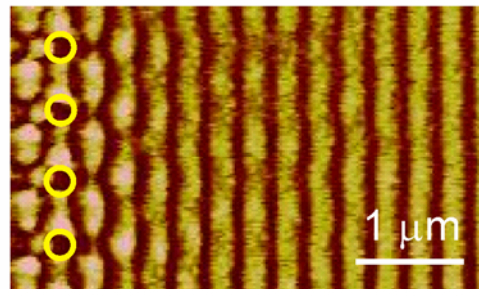
Two-color near-field images of nanohole arrays

Dr. Juen-Kai Wang, CCMS, NTU

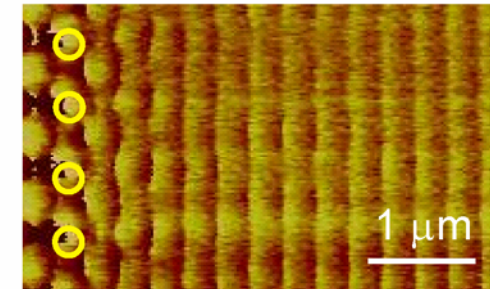
200 nm Ag film
Hole period (d): 535 nm
Hole diameter: 150 nm

$$\lambda_{ex} = 532 \text{ nm}$$

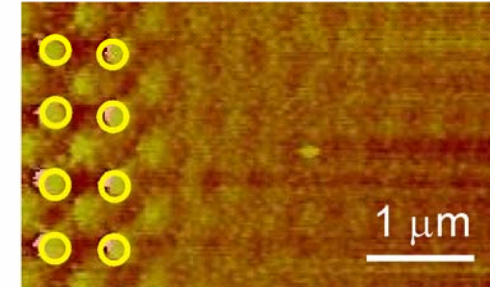
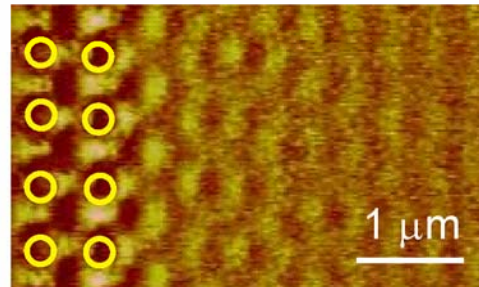
Amplitude image



Phase image



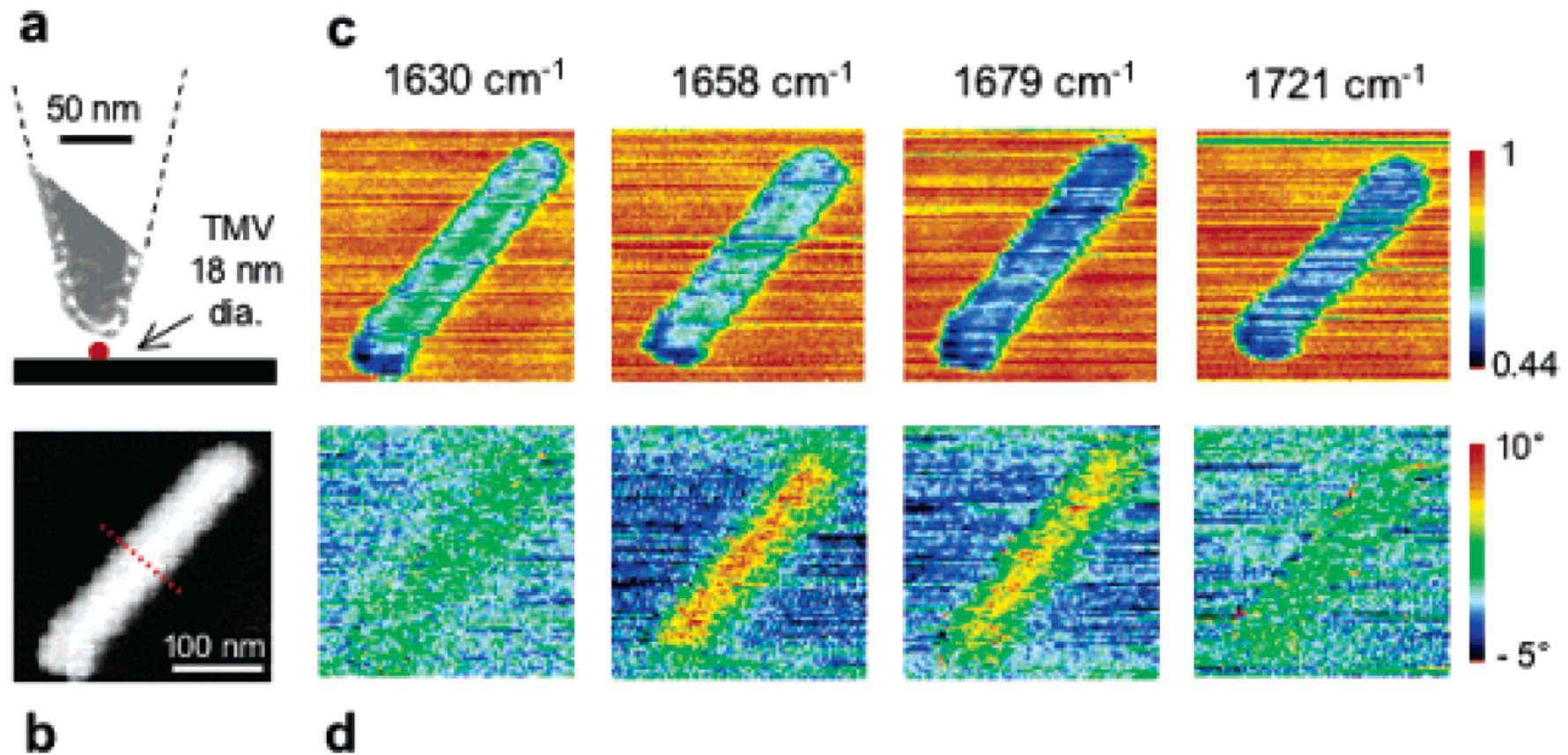
$$\lambda_{ex} = 632.8 \text{ nm}$$



- The period of fringes (278 nm) as $\lambda_{ex} = 532 \text{ nm}$ is consistent with the prediction of the in-phase construction requirement of surface plasmon waves ($k_{SPW} - k_0 \sin \theta = 2\pi m/d$, n : integer).
- The period of hole array is however away from the in-phase construction condition for $\lambda_{ex} = 632.8 \text{ nm}$, yielding no excitation of surface plasmon wave.

Mapping of single virus with s-SNOM

Dr. Juen-Kai Wang, CCMS, NTU



Raman, Rayleigh scattering and Fluorescence

Dr. Juen-Kai Wang, CCMS, NTU

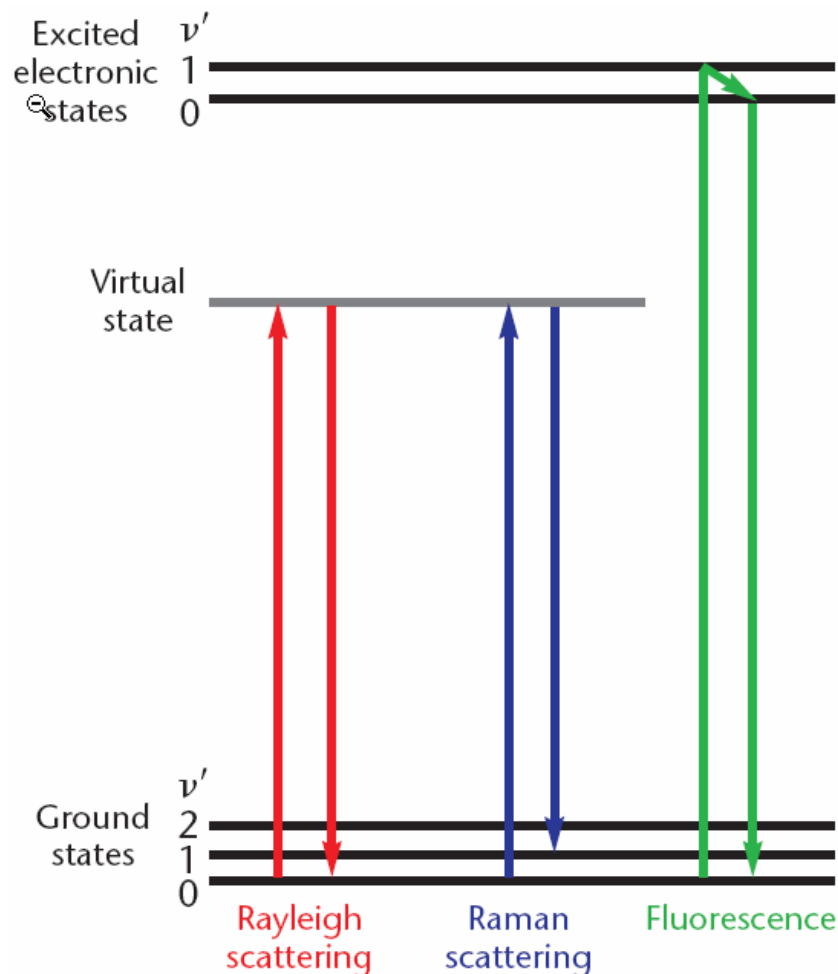


Sir C. V. Raman



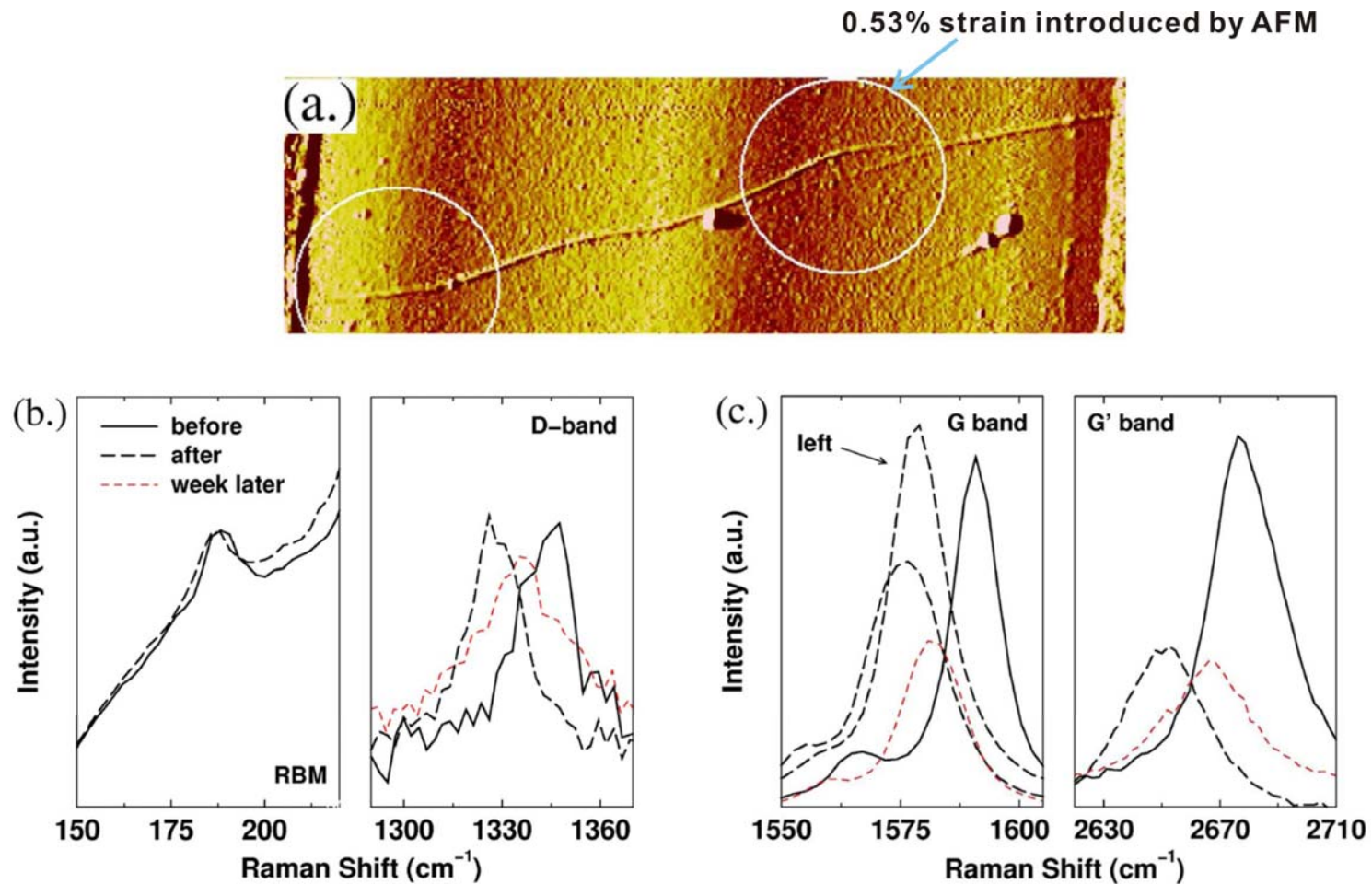
Raman's spectrograph

- Rayleigh scattering is an elastic scattering process.
- Laser-induced fluorescence requires resonant absorption.
- Raman scattering is an inelastic scattering processes, providing vibrational information



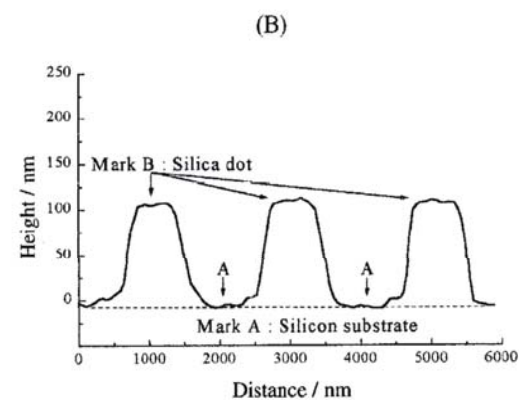
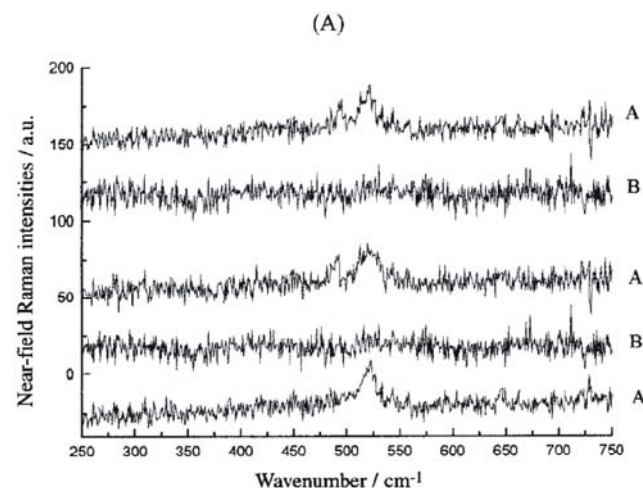
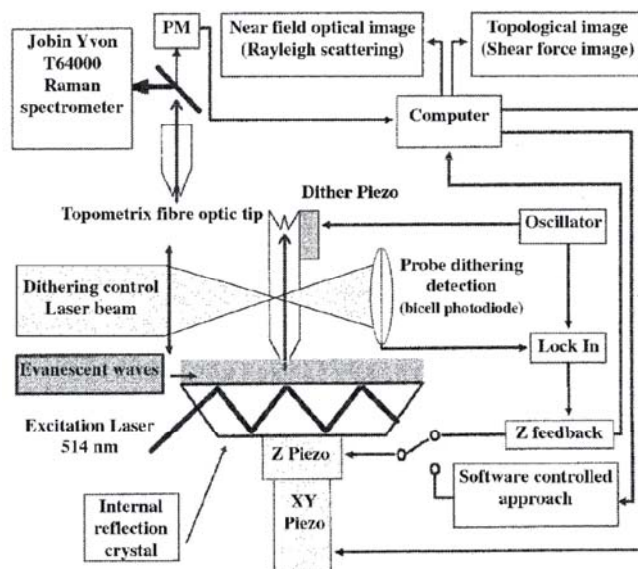
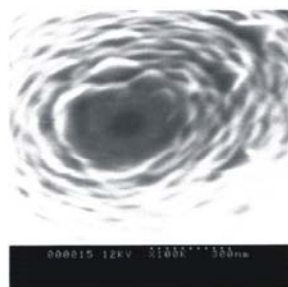
Micro Raman of CNT under local strain

Dr. Juen-Kai Wang, CCMS, NTU



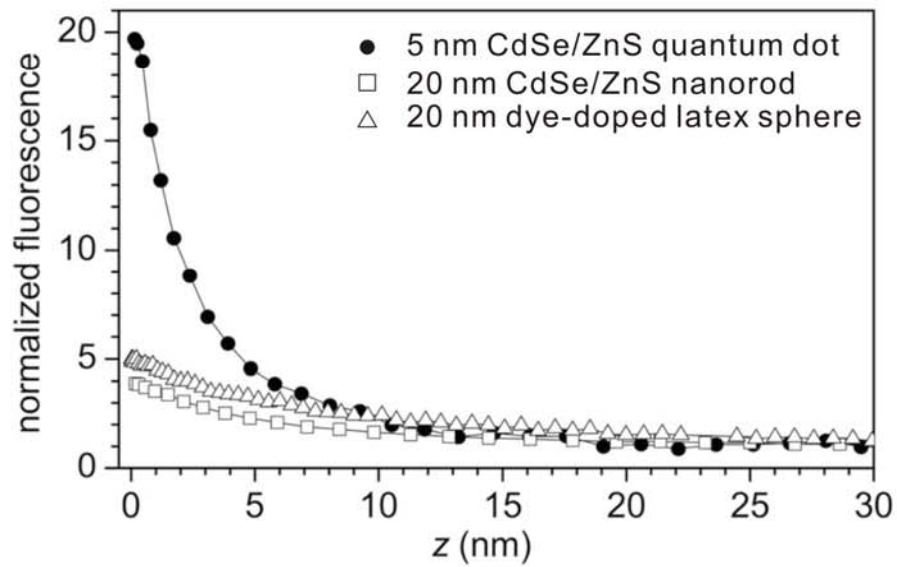
Near-field Raman spectroscopy

Dr. Juen-Kai Wang, CCMS, NTU

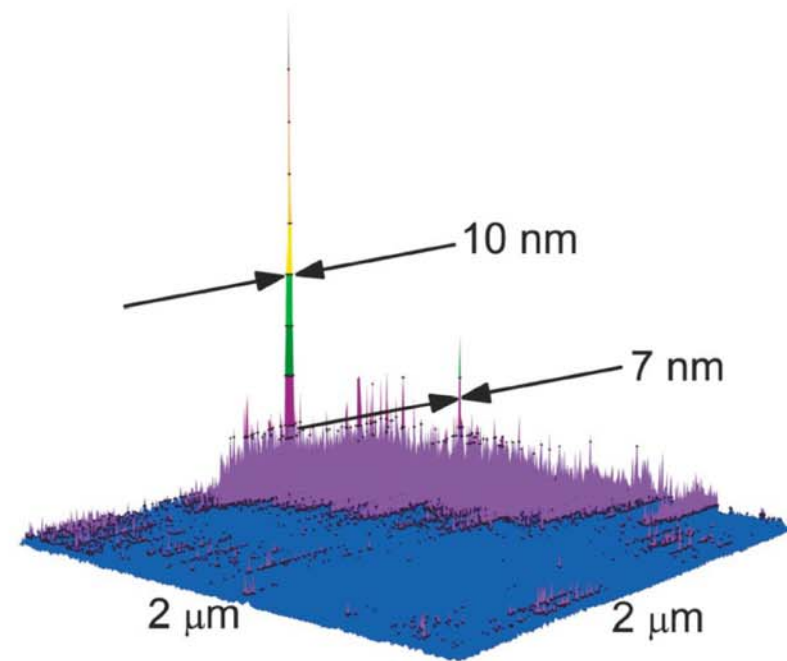


Near-field fluorescence spectroscopy

Dr. Juen-Kai Wang, CCMS, NTU



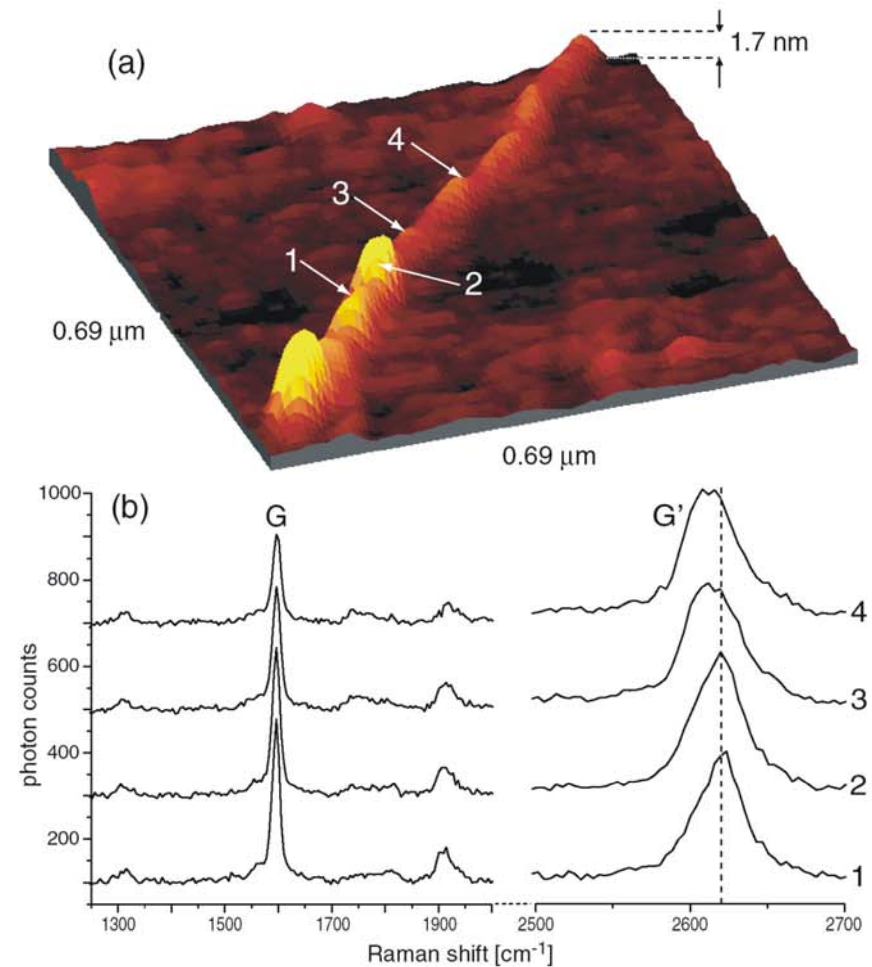
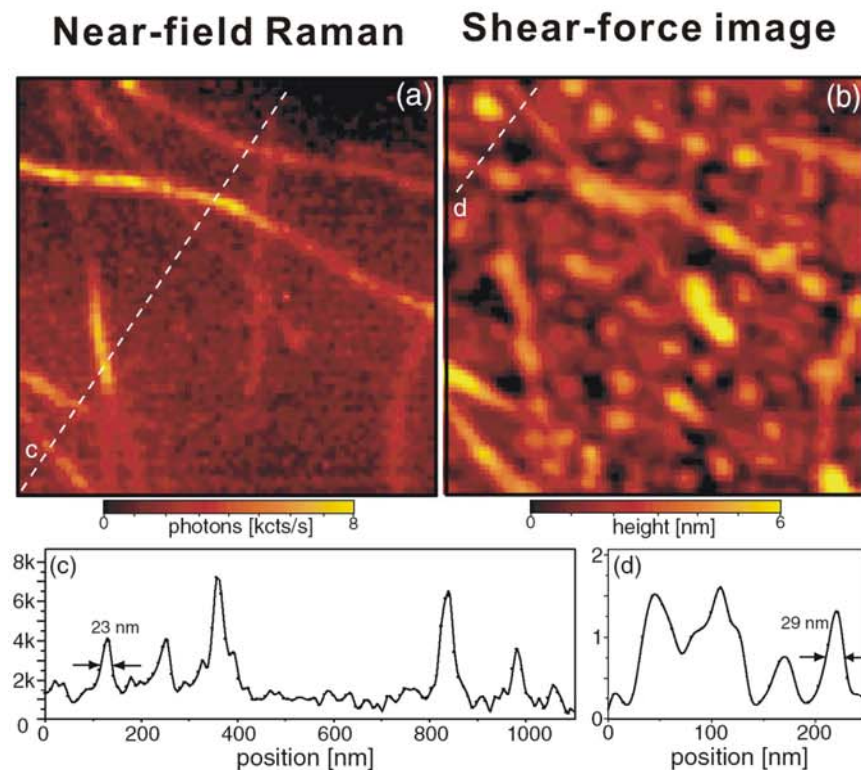
Fluorescence enhancement near a silicon tip



Tip-enhanced fluorescence image of quantum dots

Near-field Raman spectroscopy of CNT

Dr. Juen-Kai Wang, CCMS, NTU

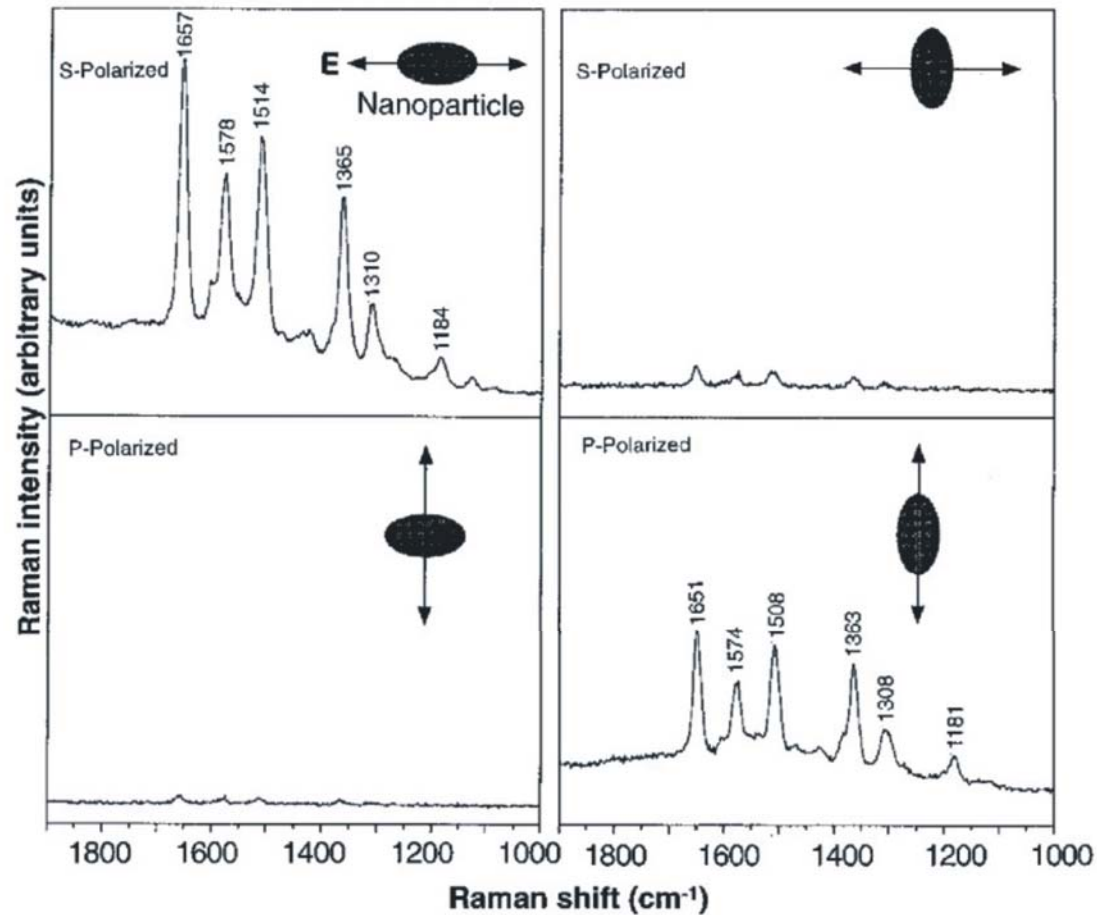


A. Hartschuh, E. J. Sánchez, X. S. Xie, and L. Novotny, *Phys. Rev. Lett.* 90, 095503 (2003).

Single-molecule Raman spectroscopy

Dr. Juen-Kai Wang, CCMS, NTU

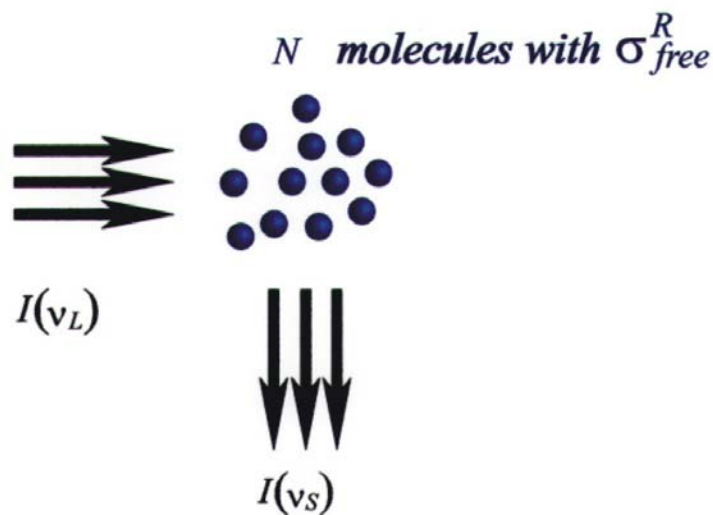
Polarized single molecule Raman spectra of dye-to-colloidal particles



S. Nie and S. R. Emory, *Science* 275, 1102 (1997).

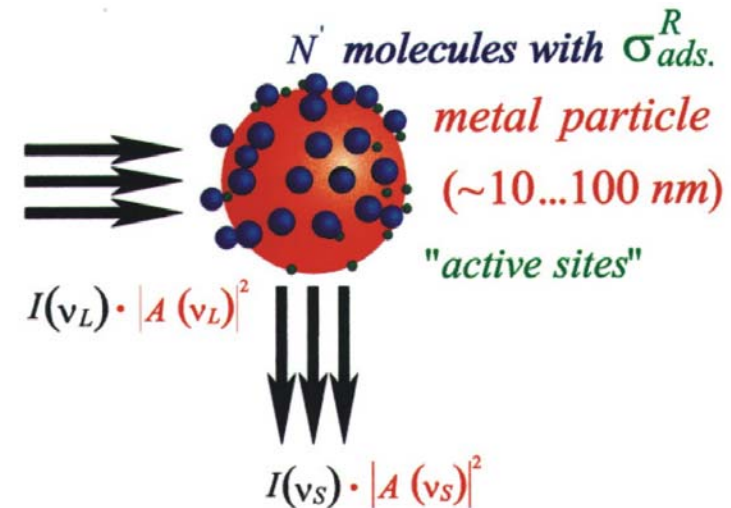
Comparison between Raman and SERS

Dr. Juen-Kai Wang, CCMS, NTU



$$I_{NRS}(v_S) = N \cdot I(v_L) \cdot \sigma_{free}^R$$

Unenhanced Raman scattering



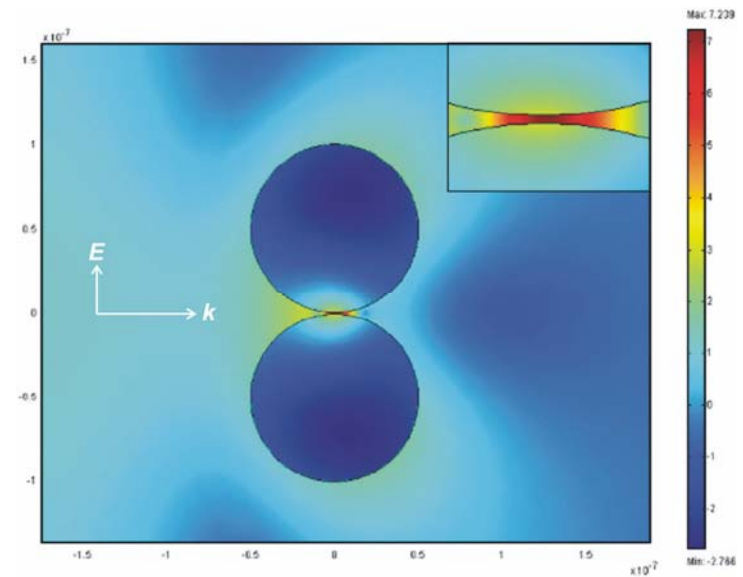
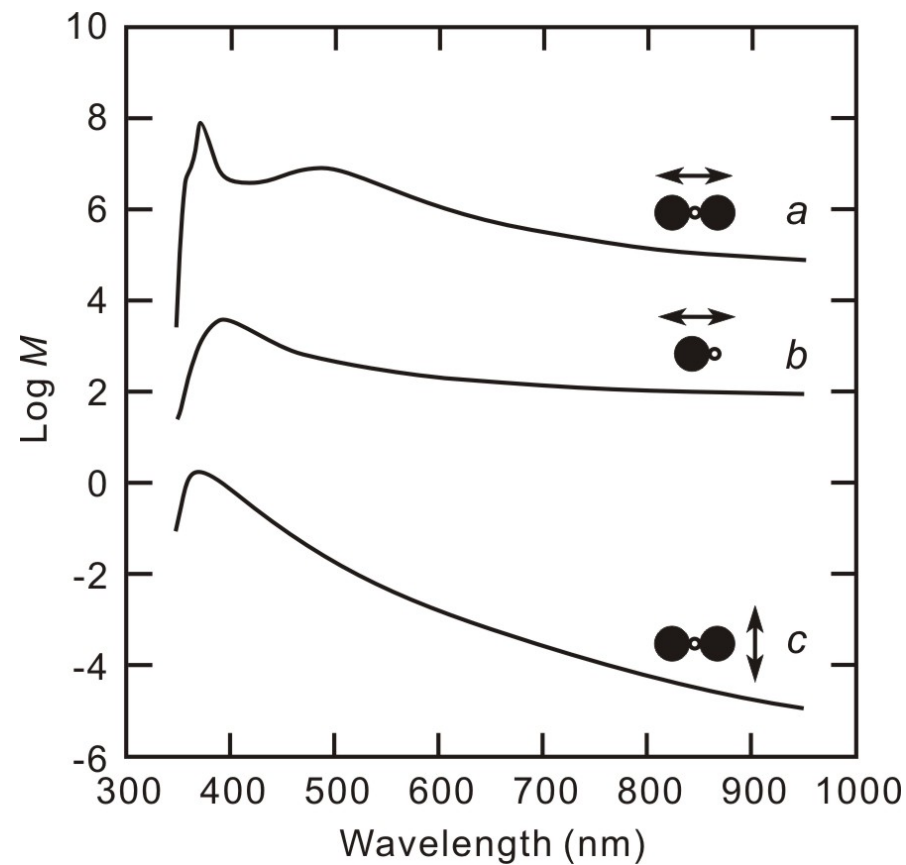
$$I_{SERS}(v_S) = N' \cdot I(v_L) \cdot |A(v_L)|^2 \cdot |A(v_S)|^2 \cdot \sigma_{ads}^R$$

SERS

Interparticle field enhancement in SERS

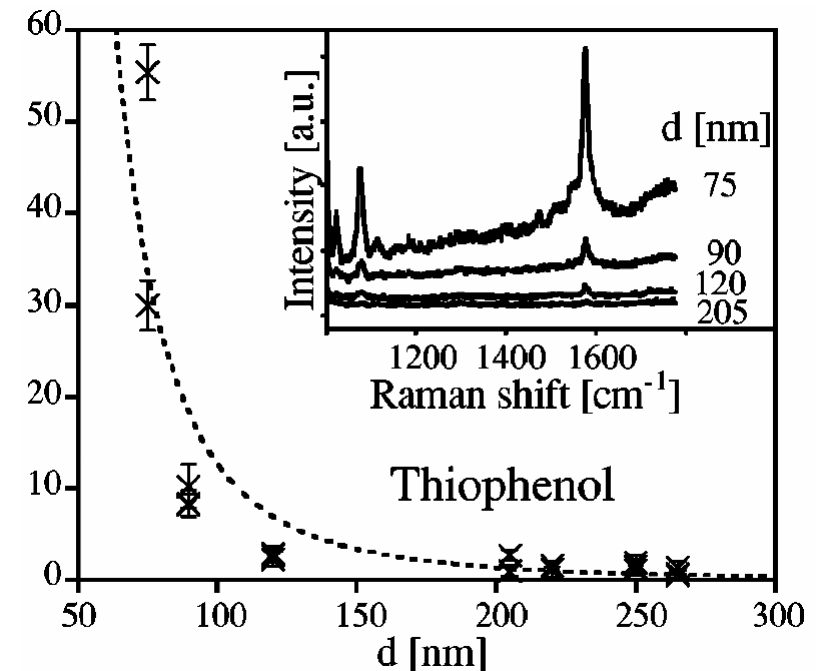
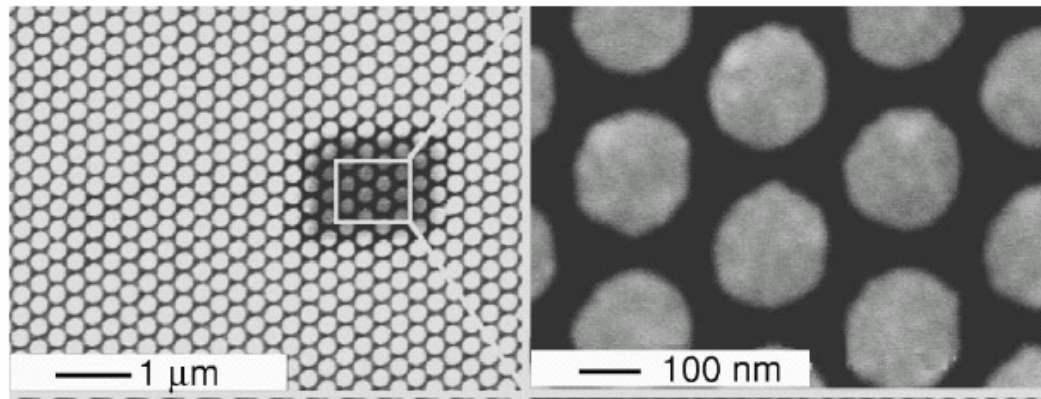
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$$M = \left[E_L(\omega_l) / E_I(\omega_l) \right]^2 \cdot \left[E_L(\omega_S) / E_I(\omega_S) \right]^2$$



Substrates made by E-beam lithography

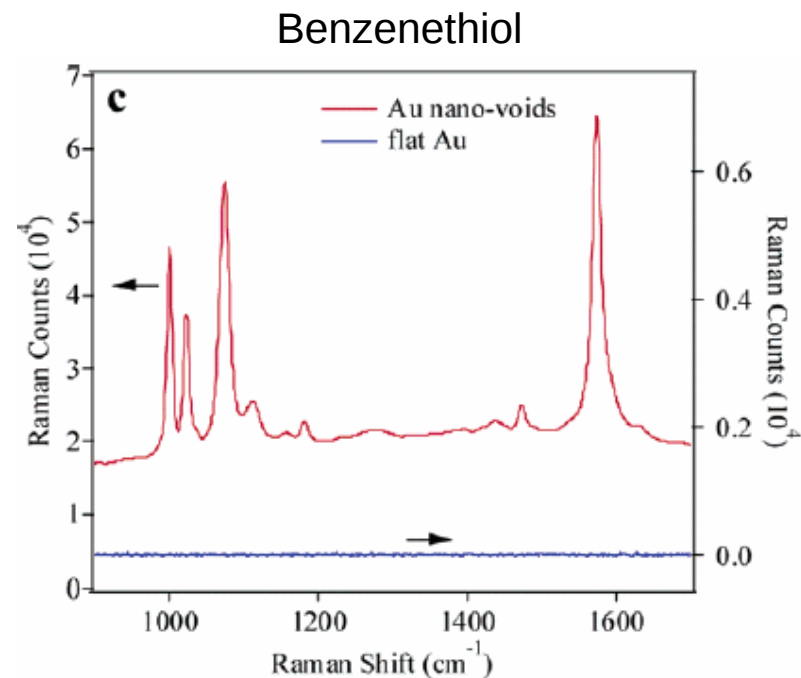
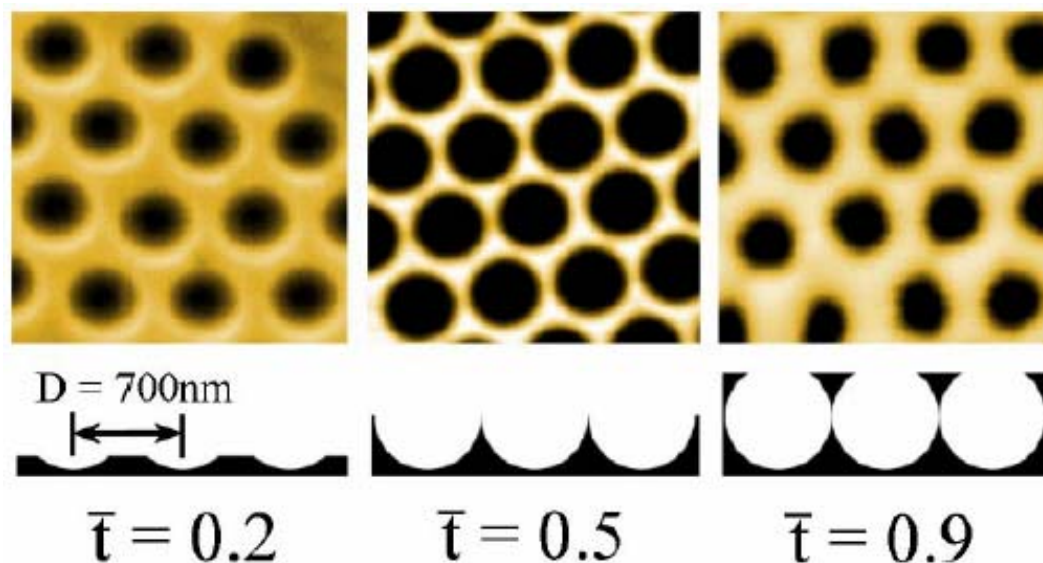
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- Interparticle plasmon coupling was investigated, while theoretical analysis was preliminary.
- 'Hot junctions' with sub-10 nm gaps were not achieved.
- Expensive fabrication method: E-beam lithography

Sculpted SERS substrates

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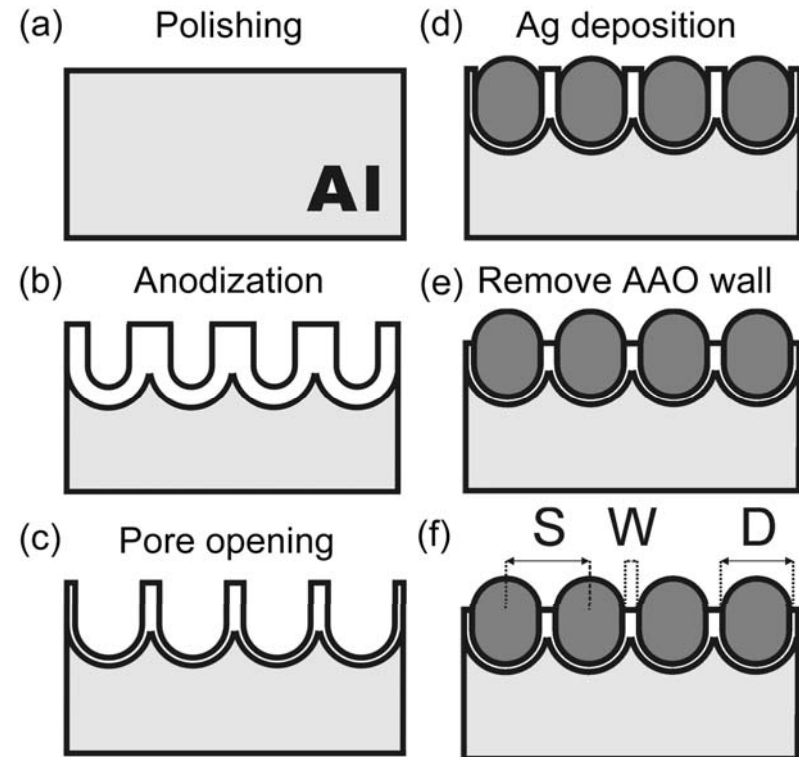


- Electrodeposition of metal on self-assembled latex nanosphere monolayer followed by dissolving nanospheres
- Uniform Raman enhancement

Fabrication procedure of Ag-particle arrays

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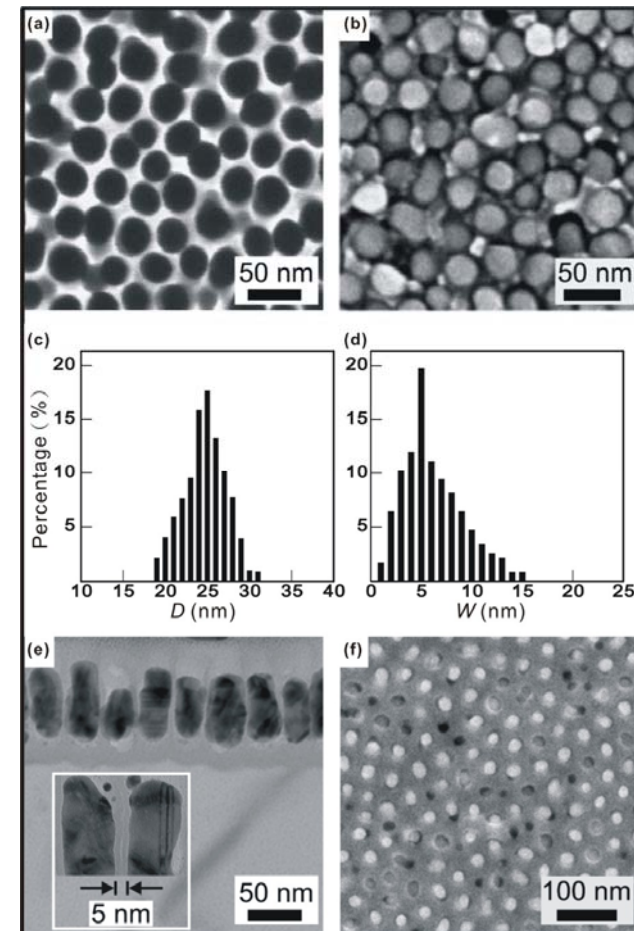
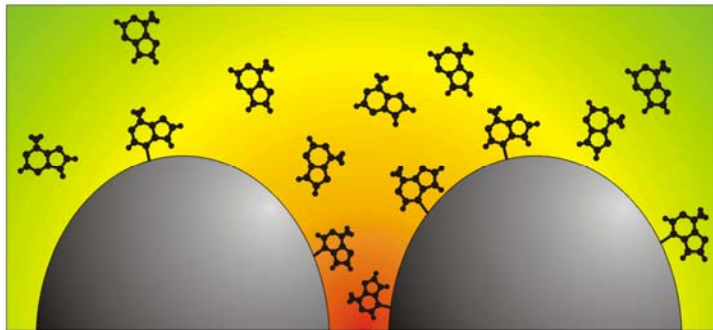
- High-purity aluminum foil is electropolished to 1-nm surface roughness.
- The foil is then anodized using different voltages to obtain arrays of self-organized nanochannels with specific interchannel spacings.
- Identical channel diameter is created by controlled etching for the substrates with different pore spacings.
- By AC electrochemical plating procedure, Ag nanoparticles are grown in the AAO nanochannels.
- The 'hot junctions' are then created by subsequent etching of alumina walls.



SEM and TEM examination

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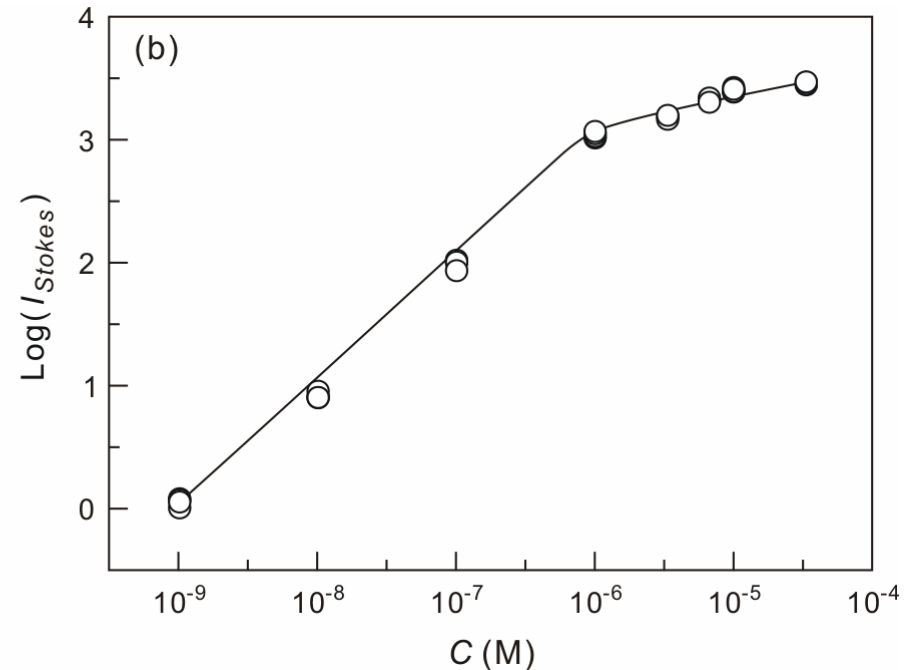
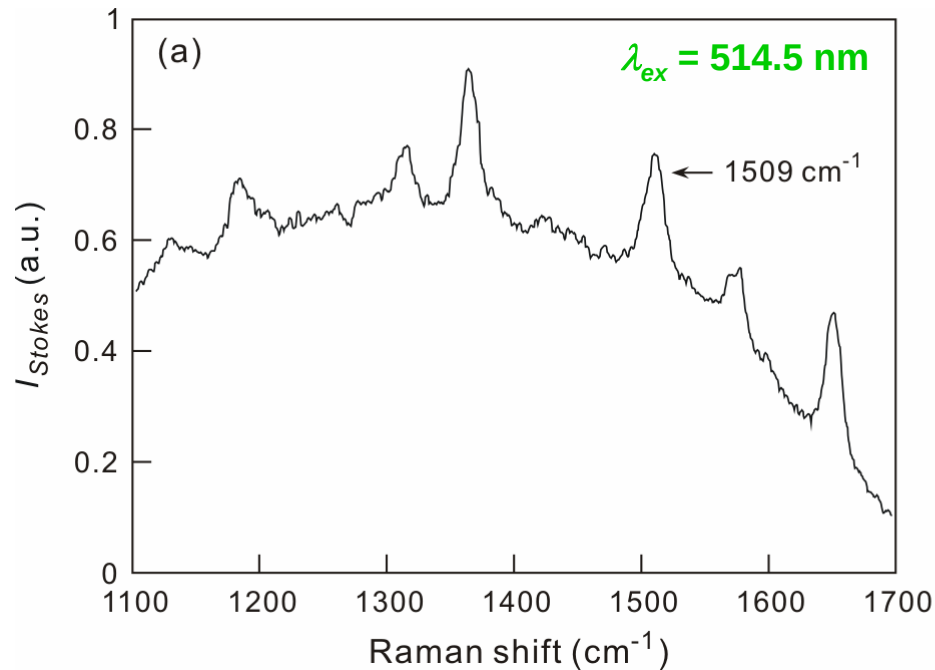
- The spread of the distribution of D and W is ~ 5 nm.
- The hot junctions were further examined by cross-sectional transmission electron microscopy.
- In this study, the gap is tuned from 5 to 25 nm, while maintaining the particle diameter to be 25 nm.



Enhancement & dynamical range

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Rhodamine 6G in water



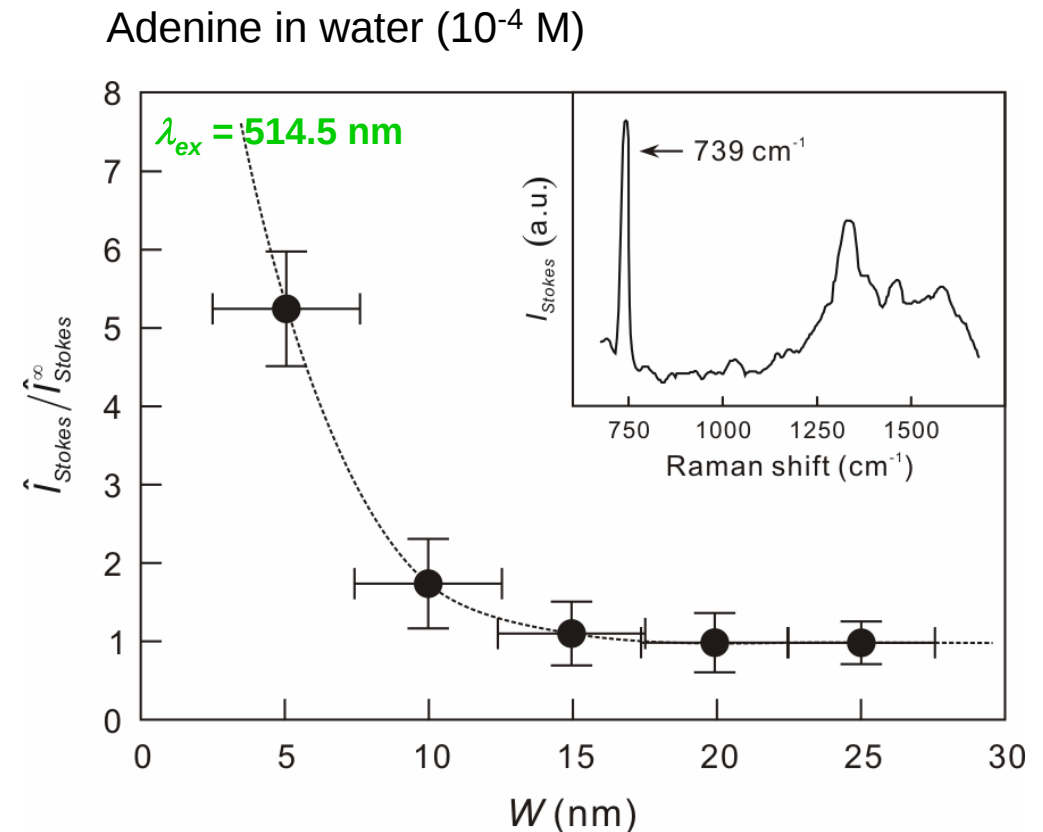
- Uniform Raman enhancement (<5% for different locations of a substrate)
- 10^5 more Raman enhancement than the substrate of $\sim 30 \text{ nm}$ Ag nanoparticles thermally deposited on a silicon surface
- Large dynamical range (>1000)

H.-H. Wang, C.-Y. Liu, S.-B. Wu, N.-W. Liu, C.-Y. Peng, T.-H. Chan, C.-F. Hsu, J.-K. Wang, and Y.-L. Wang, *Adv. Mater.* 18, 491 (2006).

Gap dependence of SERS signal-I

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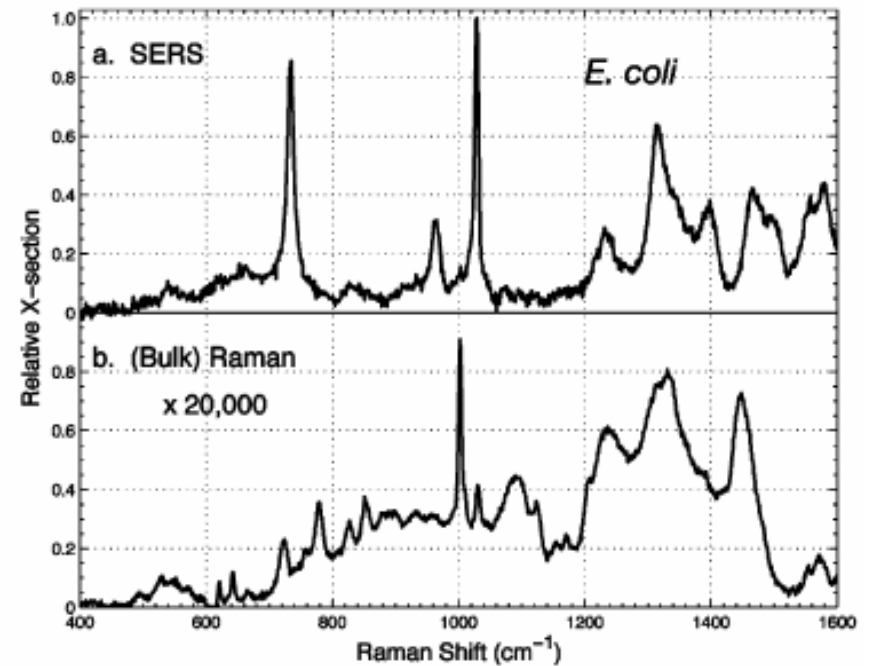
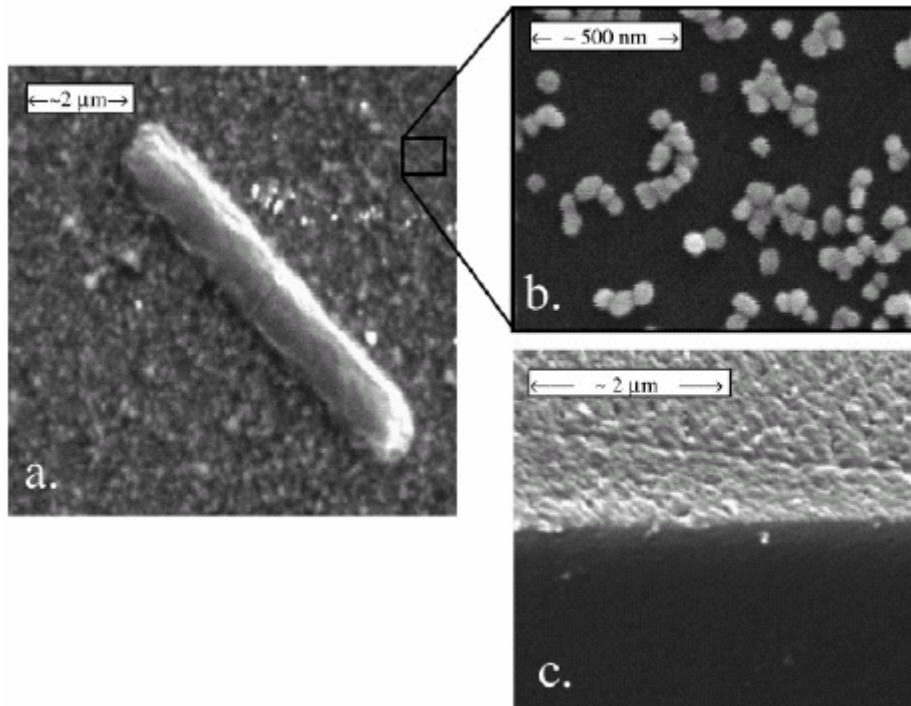
- Adenine: no fluorescence background from 514.5-nm excitation
- 739 cm^{-1} : purine ring breathing mode
- $\hat{I}_{\text{Stokes}}$: average Raman signal per particle
- $\hat{I}_{\text{Stokes}}^{\infty}$: for substrates with infinitely large W
- The average Raman signal per particle at 739 cm^{-1} starts increasing drastically as W decreases below 10 nm.



SERS characterization of bacteria

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Bacteria on thermally evaporated Au nanoparticles



- Poor reproducibility within one substrate (~15%) and even poorer from substrate to substrate
- Different vibrational signatures between SERS and bulk Raman

Conclusions

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- Scattering-type SNOM has been demonstrated to serve as a nanoprobe to investigate local optical properties and to probe local field distribution.
- Tip-enhanced optical spectromicroscope makes direct link between structure and property in nanometer scale.
- The uniform and highly reproducible SERS-active properties and the wide dynamical range facilitate the use of SERS for chemical and biological sensing applications with high sensitivity.

